

First Neutrino Disappearance Results from MINOS

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BNL Particle Physics Seminar
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- ν oscillations
- NuMI & MINOS
- disappearance analysis
- conclusions



ν oscillations

- 2-neutrino mixing
- 3-neutrino mixing
- Current results



Two neutrino oscillations



ν : produced/detected as **WEAK** eigenstates
propagates as **MASS** eigenstates

Quantum Mechanics: **weak \neq mass** states

⇒ mixing:

$$\begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$|\nu(0)\rangle = |\nu_a\rangle = \cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle$$

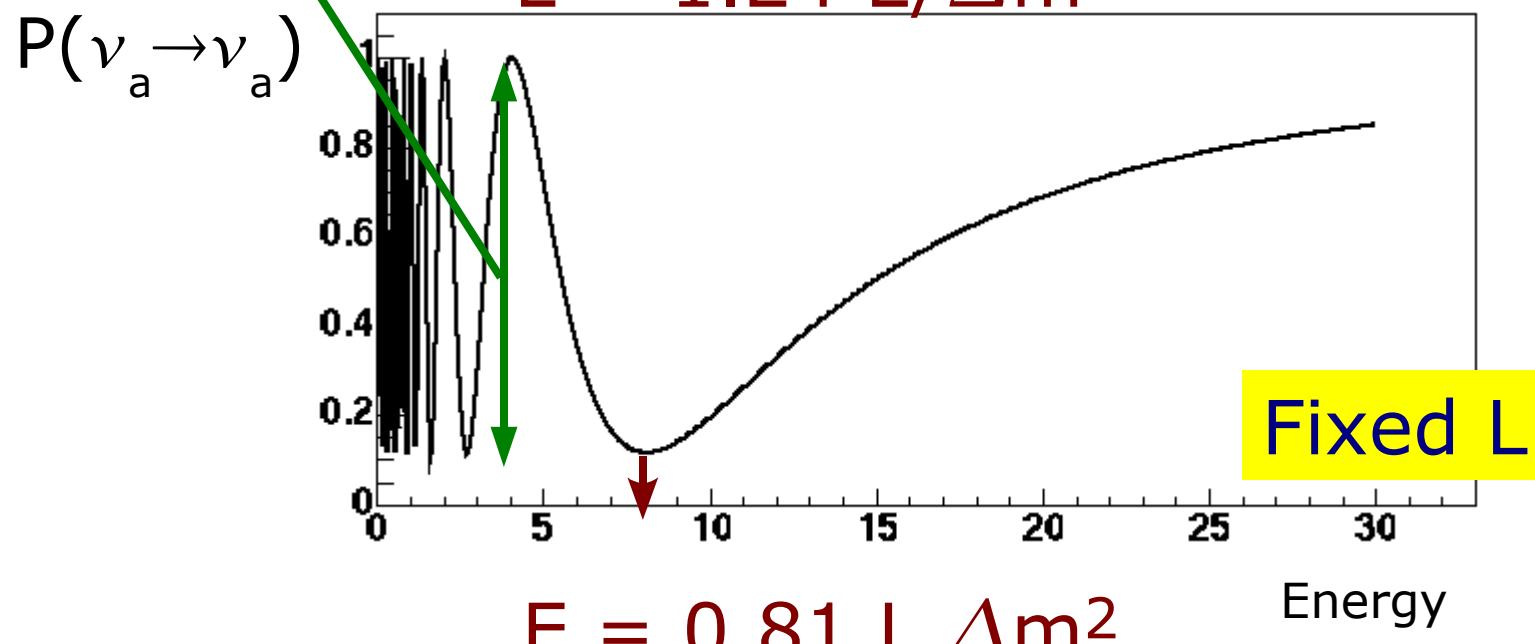
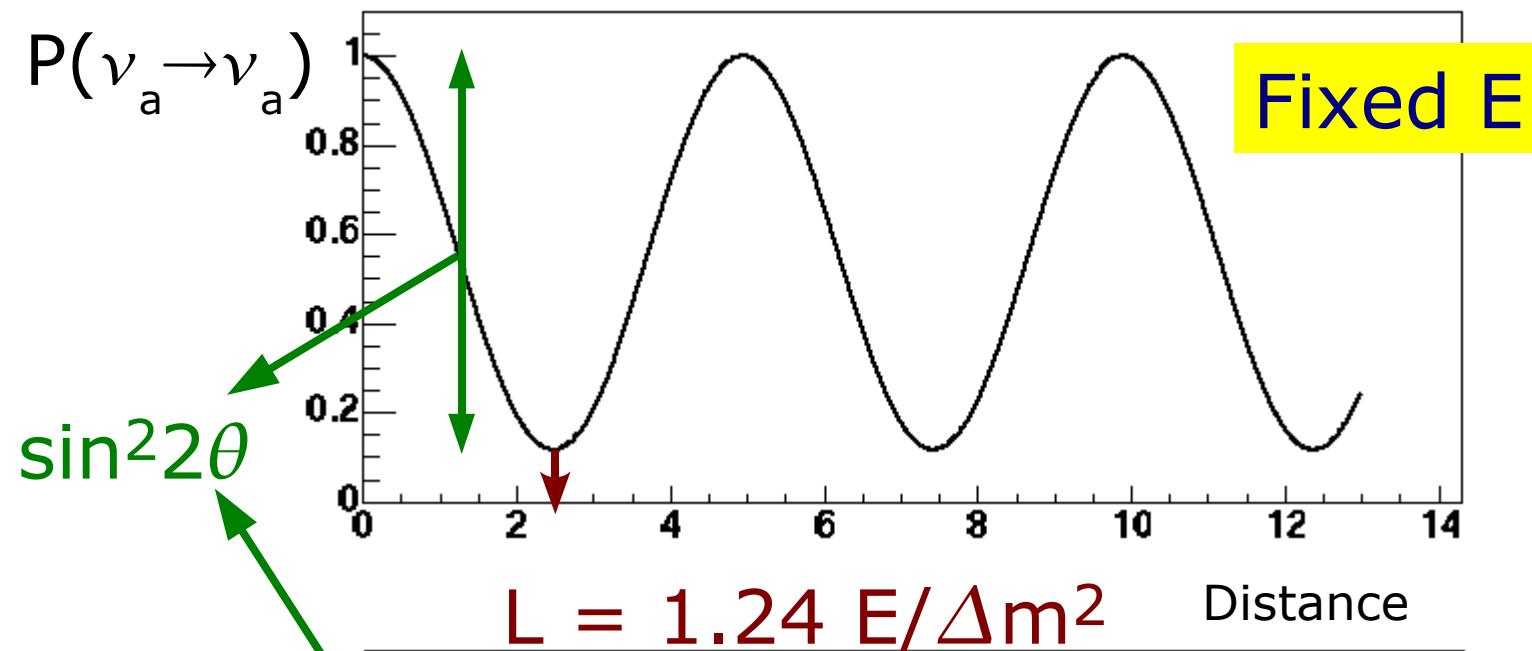
survival prob: $P(\nu_a \rightarrow \nu_a) = 1 - \sin^2 2\theta \cdot \sin^2 \left(\frac{1.27 L \Delta m_{21}^2}{E} \right)$

with L in km, E in GeV, $\Delta m_{21}^2 = m_2^2 - m_1^2$ in eV²



Two neutrino oscillations

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3 generation ν mixing

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Pontecorvo-Maki-Nakagawa-Sakata (PMNS) Matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

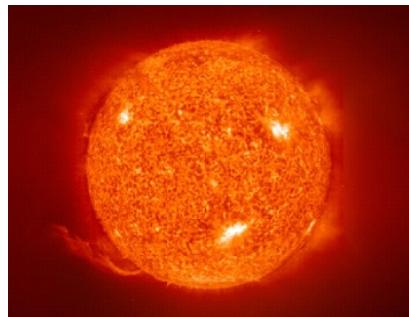
→ 3 mixing angles
1 CP phase
(2 CP Majorana phases)

Neutrino oscillations described by 6 new parameters:

$$\theta_{12}, \theta_{13}, \theta_{23}, \delta \\ \Delta m^2_{21}, \Delta m^2_{32}$$



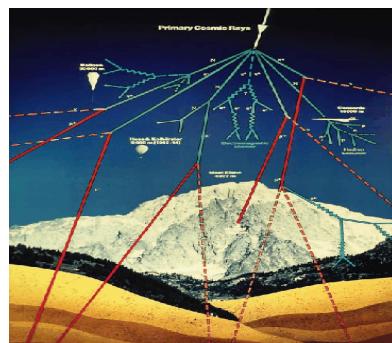
Current Results



SNO, KamLAND, Super-K,...

$$7.2 < \Delta m_{21}^2 < 8.6 \cdot 10^{-5} \text{ eV}^2$$

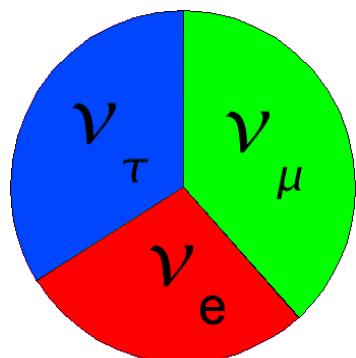
$$0.78 < \sin^2 2\theta_{12} < 0.93 \quad (\theta_{12} \approx 34^\circ)$$



Super-K, K2K,...

$$1.78 < |\Delta m_{32}^2| < 2.90 \cdot 10^{-3} \text{ eV}^2 \quad \text{sign?}$$

$$\sin^2 2\theta_{23} > 0.90 \quad (\theta_{23} \approx 45^\circ)$$



LSND

Chooz,...

$$\sin^2 2\theta_{13} < 0.13$$

$$\delta_{CP} = ???$$

$$\Delta m^2 \sim 1 \text{ eV}^2$$

all limits at 95% C.L.
ref: Fogli et al, hep-ph/0506083

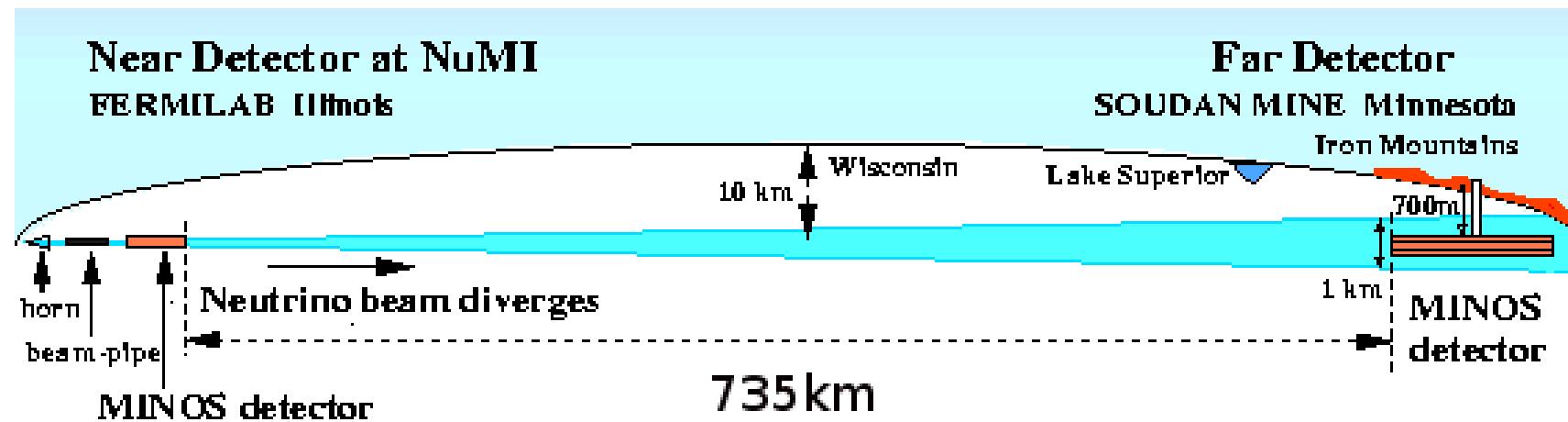


NuMI/MINOS

- The concept
- NuMI beamline
- Near detector
- Far detector
- Calibration
- Physics reach



The Concept



High intensity ν_μ beam from Fermilab to Soudan (MN)

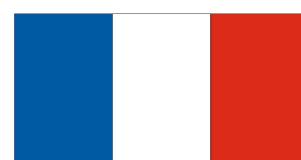
compare energy spectrum:
near detector \Leftrightarrow far detector
unoscillated \Leftrightarrow oscillated





Main Injector Neutrino Oscillation Search

collaboration of
175 physicists
32 institutes
6 countries

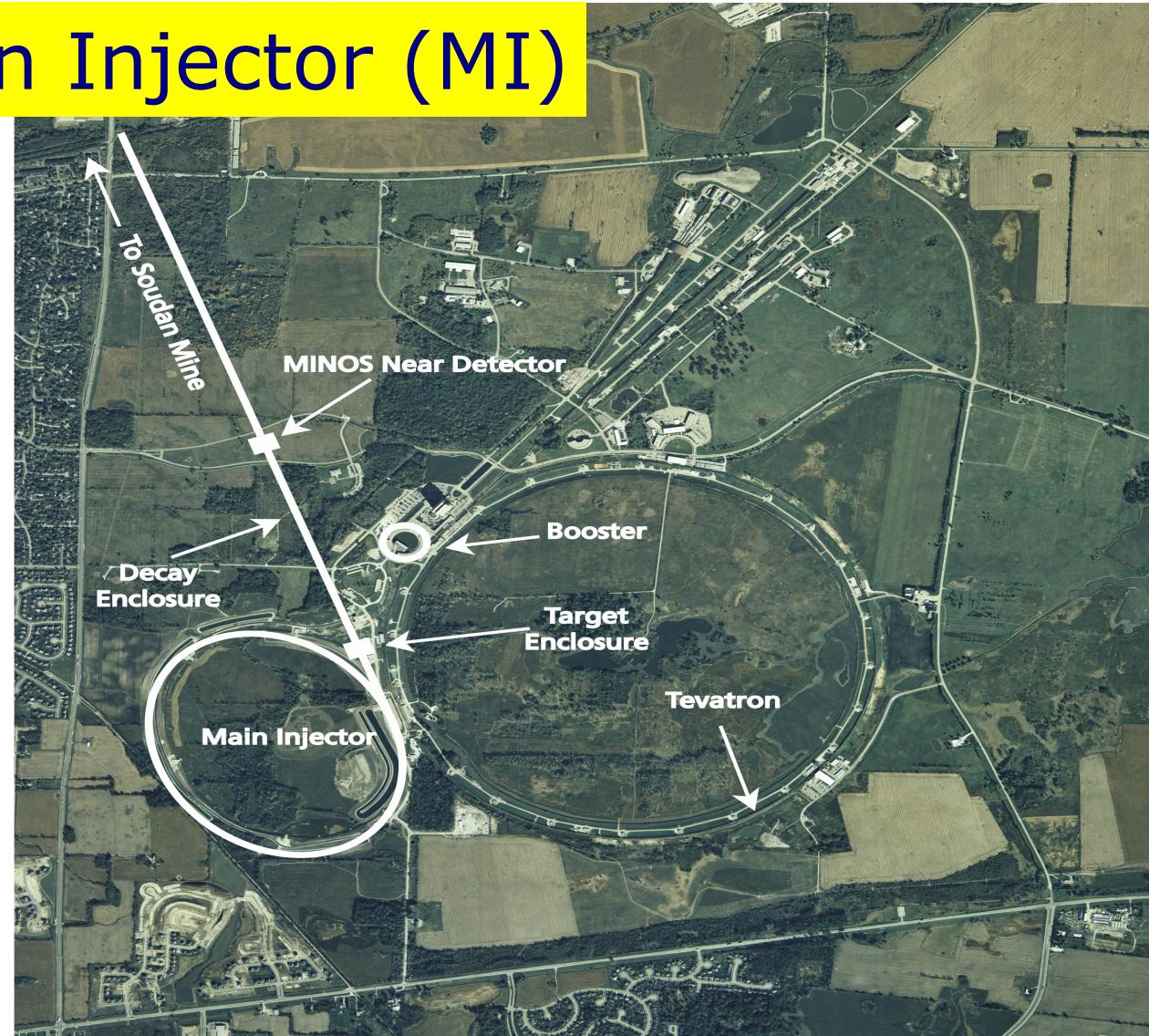


Argonne – Athens – Benedictine – Brookhaven – Caltech – Cambridge –
Campinas – Fermilab – College de France – Harvard – IIT – Indiana –
ITEP Moscow – Lebedev – Livermore – Minnesota, Twin Cities –
Minnesota, Duluth – Oxford – Pittsburgh – Protvino – Rutherford
Appleton – Sao Paulo – South Carolina – Stanford – Sussex – Texas A&M
– Texas-Austin – Tufts – UCL – Western Washington – William & Mary –
Wisconsin



Fermilab Main Injector (MI)

- ▶ 120 GeV protons
- ▶ 5 or 6 booster batches in MI
- ▶ 4.0×10^{13} protons on target (PoT) per spill
- ▶ 1.9s rep. rate
- ▶ $\sim 10 \mu\text{s}$ spill
- ▶ \Rightarrow beam power: 400 kW

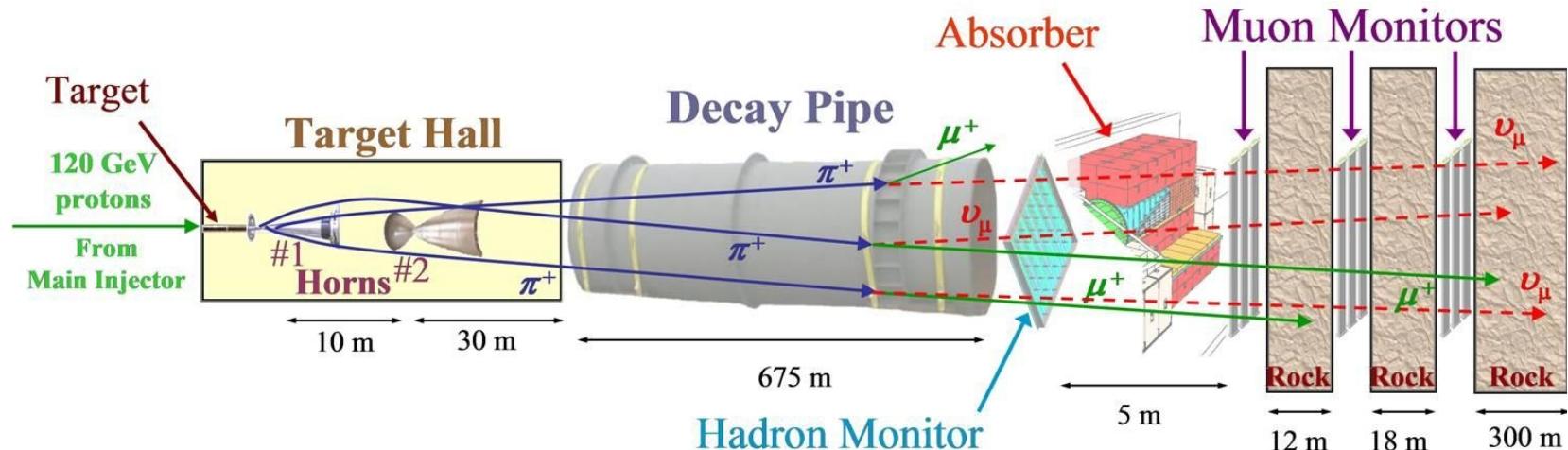


© FERMILAB #98-765D



NuMI Beamline

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- ▶ graphite target:
 - ✓ 47 segments, $6.4 \times 15 \times 20 \text{ mm}^3$

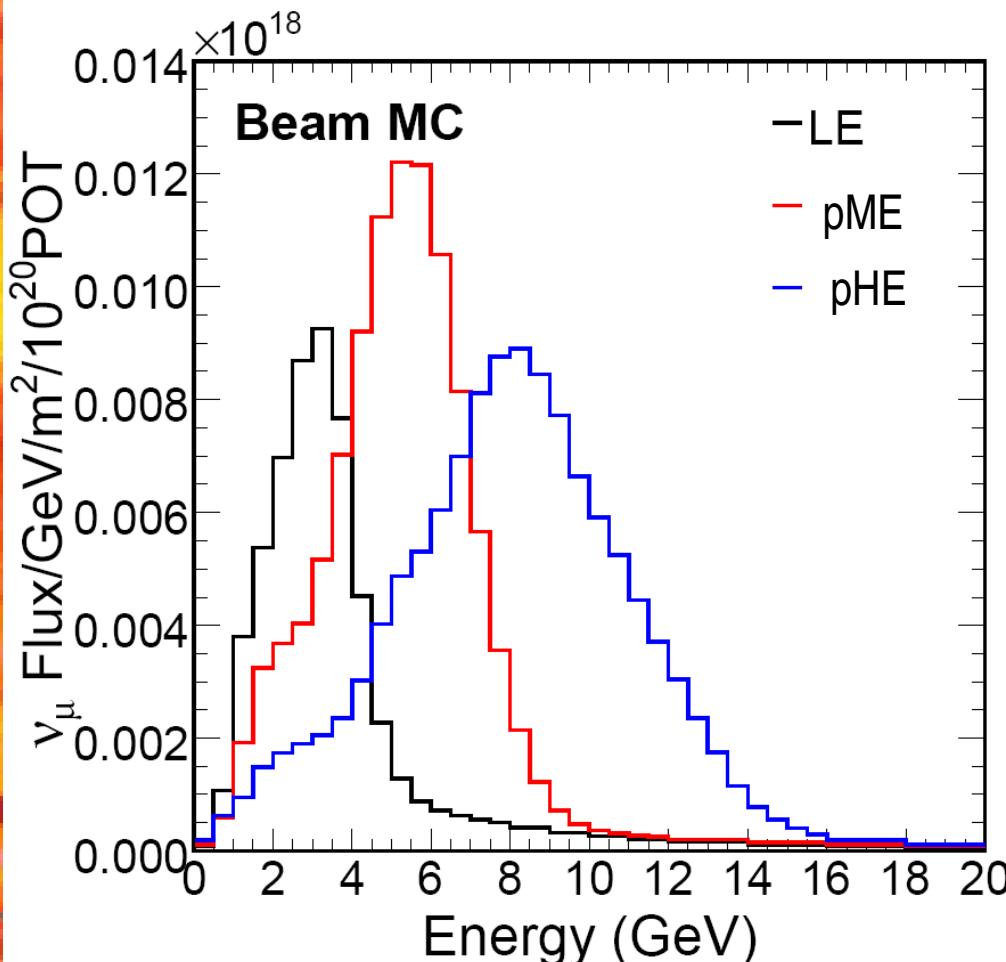


- ▶ 2 magnetic focusing horns:
 - ✓ pulsed, 200kA, 3T field





- ▶ tunable beam energy by modifying target and/or horn positions



expected unoscillated FD events per 10^{20} pot

target position (cm)

LE-10	-10	390
pME	-100	970
pHE	-250	1340

Beam composition LE-10:

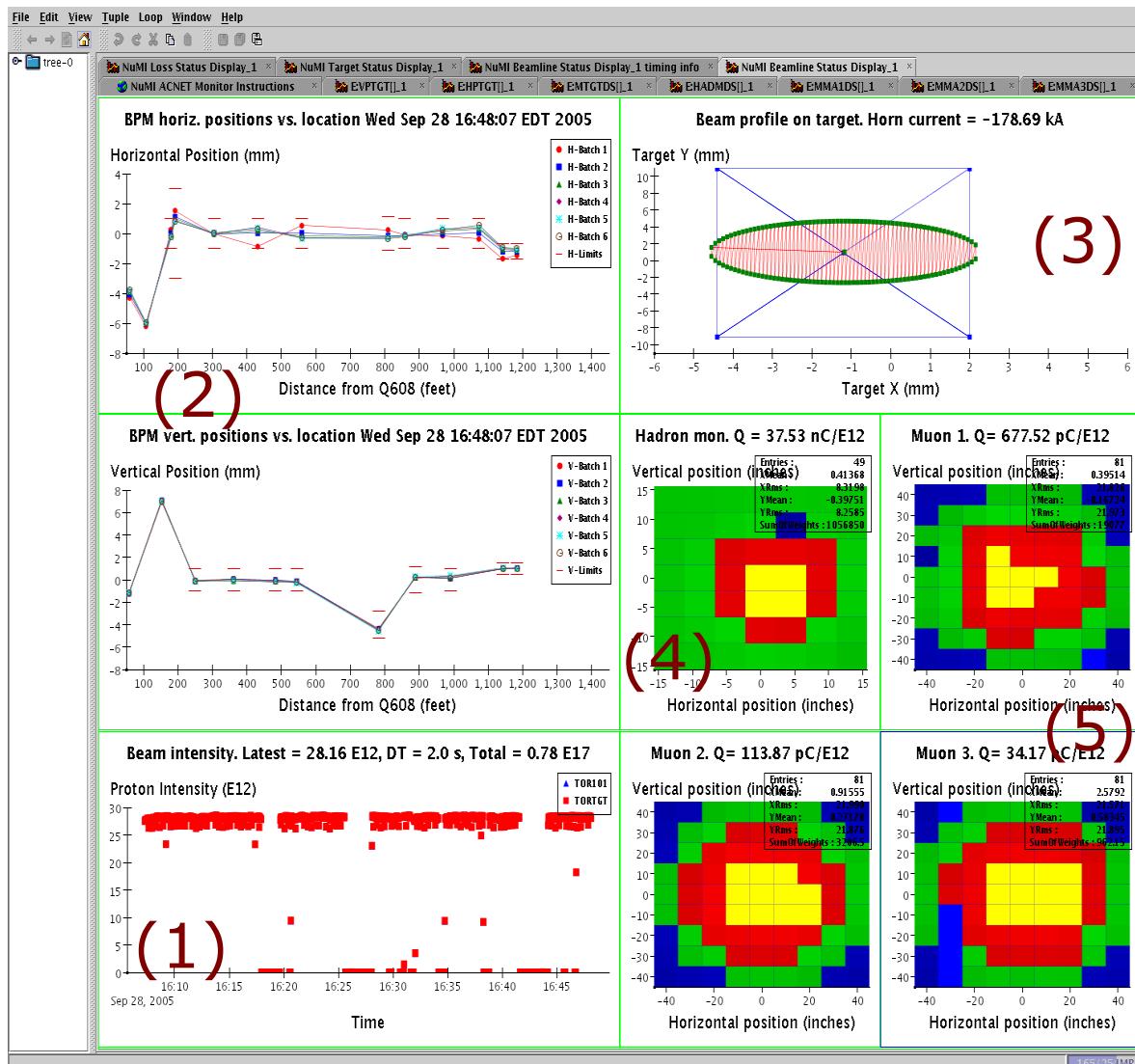
92% ν_μ 6.5% $\bar{\nu}_\mu$
1.5% $\nu_e + \bar{\nu}_e$



► beam line instrumentation:

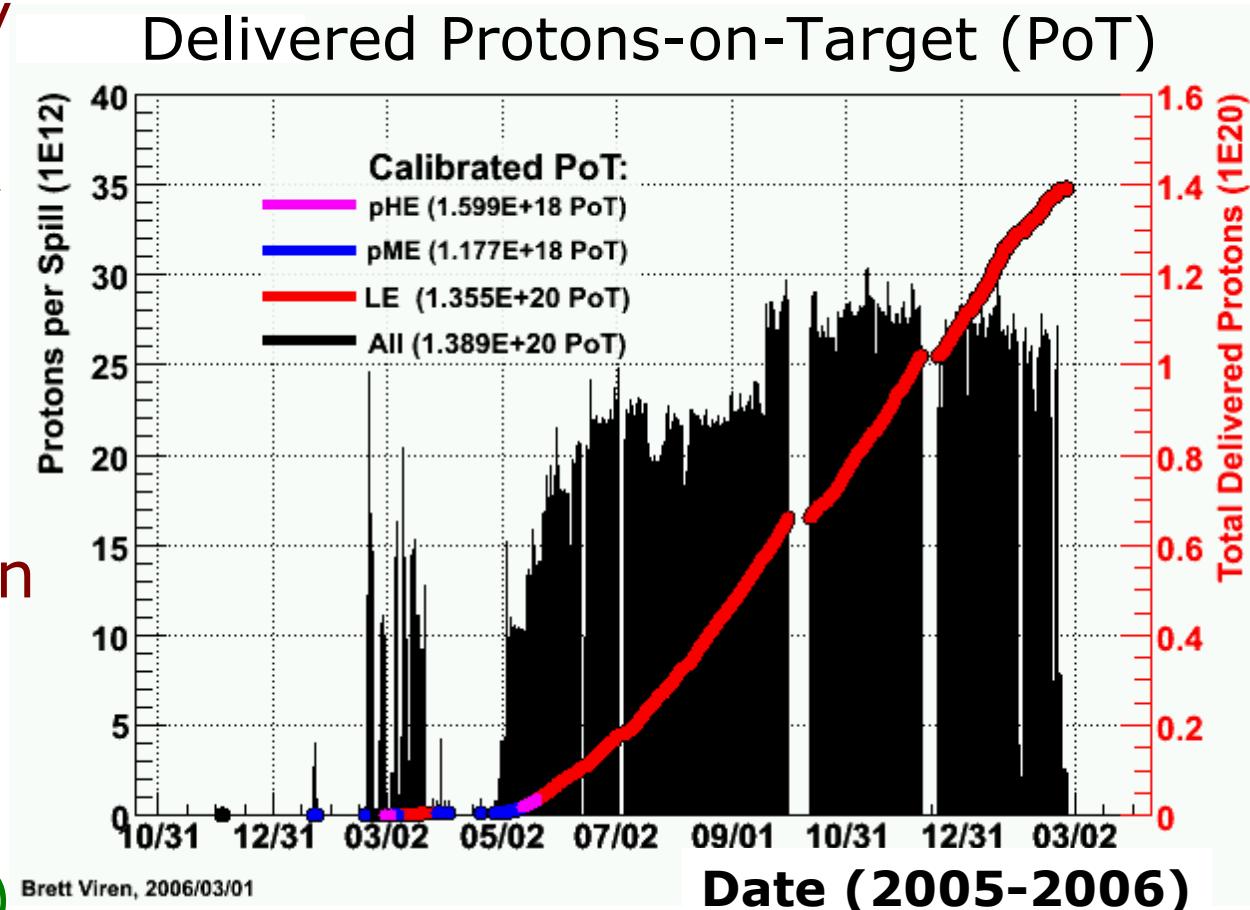
- (1) toroids (intensity)
- (2) position
- (3) profile
- (4) hadron monitors
- (5) muon monitors

- info recorded for every spill
- offline beam data quality cuts





- ▶ First neutrino interaction in ND: Jan. 21, 2005
- ▶ Physics run: March 05 – Feb. 06: 1.4×10^{20} PoT
 - Most at Low Energy configuration for maximum sensitivity to atmospheric oscillation result
 - Shorter runs in pME and pHE position
- ▶ Achievements:
 - ✓ 3×10^{13} PoT/spill
 - ✓ 270 kW (~ 30 min)

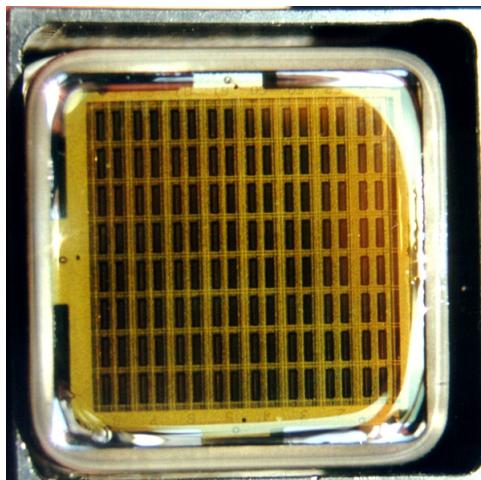




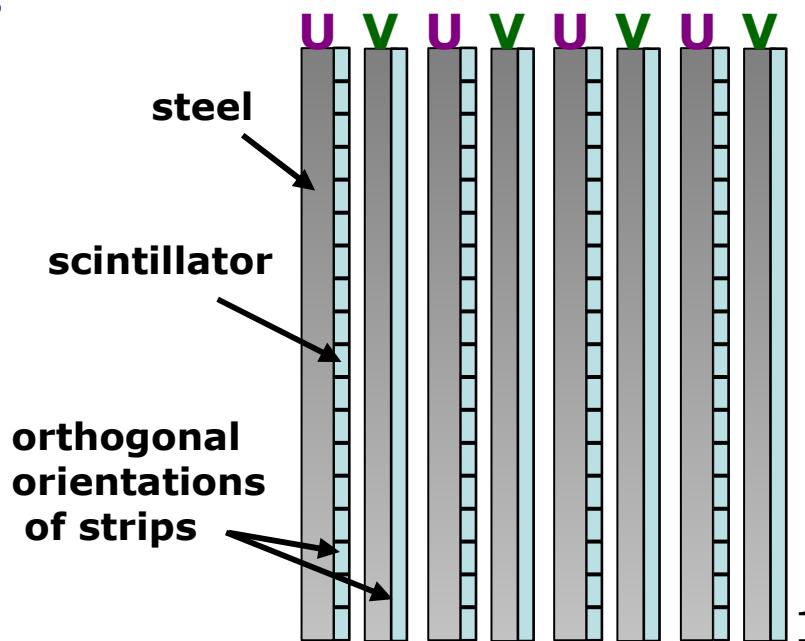
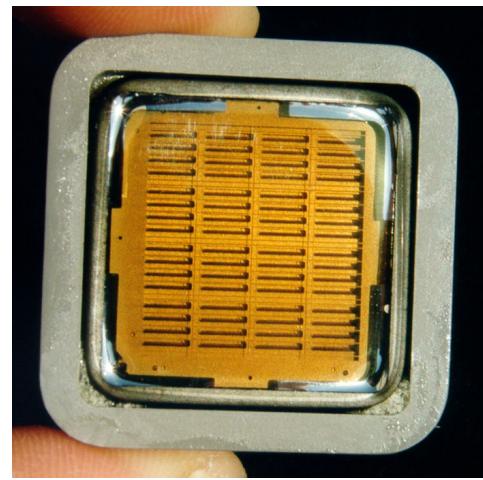
Detector Concept

Common detector technology:

- ▶ 1 inch steel planes
- ▶ 1.2T field
- ▶ $4.1 \times 1 \text{cm}^2$ scintillator strips
- ▶ consecutive planes have orthogonal strips
- ▶ 1.2mm wavelength shifting fiber
- ▶ Hamamatsu multi-channel PMTs
- ▶ GPS timestamps to match data



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Near Detector (ND)

- ▶ located 100m underground at 1km from target
- ▶ 3.8m x 4.8m steel planes
- ▶ 282 planes: 0.98 kT

- ◆ calorimeter region: 120 pl.
4 part. + 1 fully instrum.
- ◆ spectrometer region: 162 pl.
only every 5th instrumented
- ◆ strips read out one side
- ◆ spectrometer multiplexed

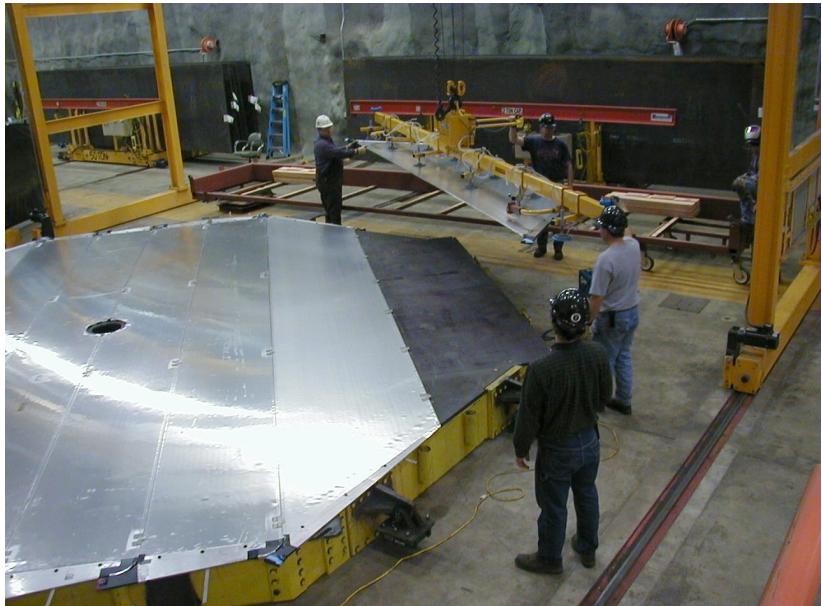
- ★ fast 'QIE' electronics:
continuous digitization during spills: 19ns time slices





Far Detector (FD)

- ▶ SOUDAN underground lab
- ▶ ~700m underground
- ▶ 8m octagonal steel plates
- ▶ 486 planes in total
⇒ 5.4 kton

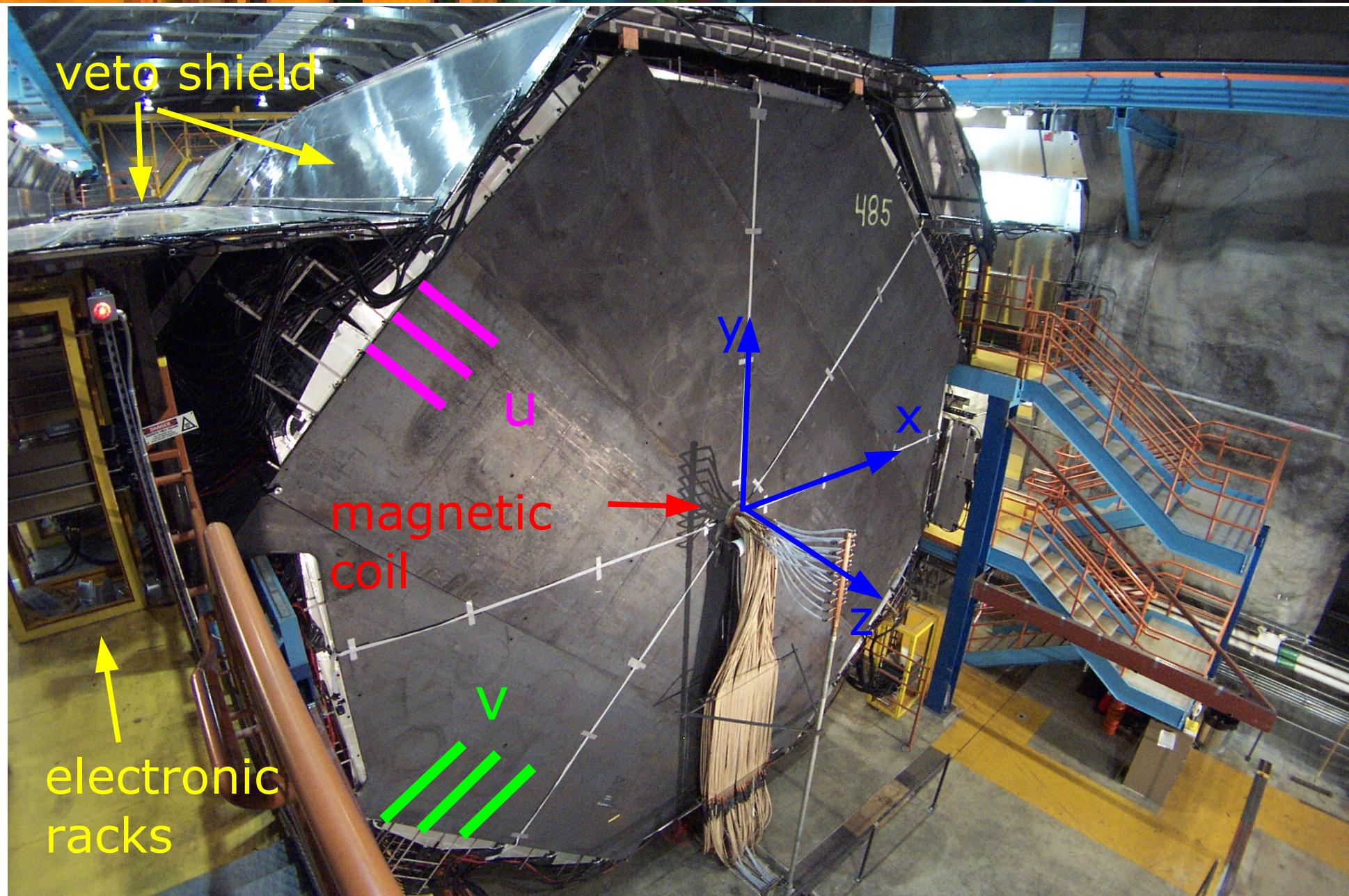


- ◆ all planes fully instrumented
 - ◆ both strip ends read out
 - ◆ 8 fibers to 1 channel multiplexed
-
- ★ veto shield for cosmic muons
 - ★ completed July 2003



Far Detector

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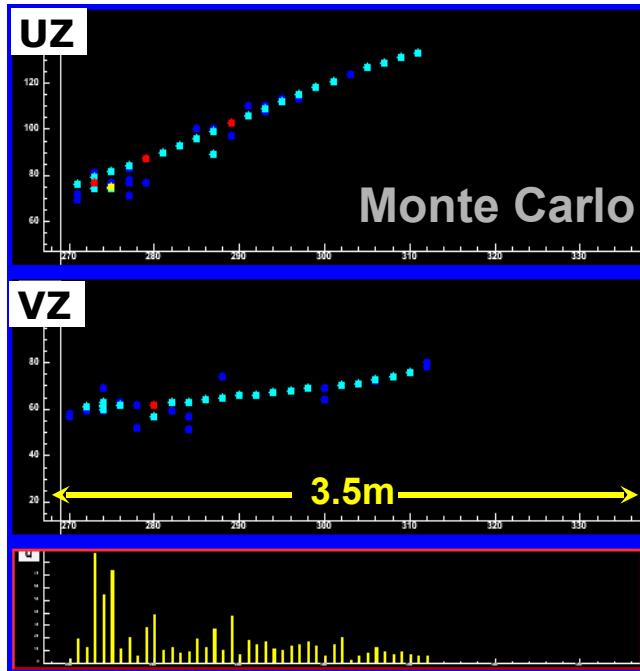


Event Topologies

ν_μ charged current
(CC)

ν_x neutral current
(NC)

ν_e charged current
(ν_e)



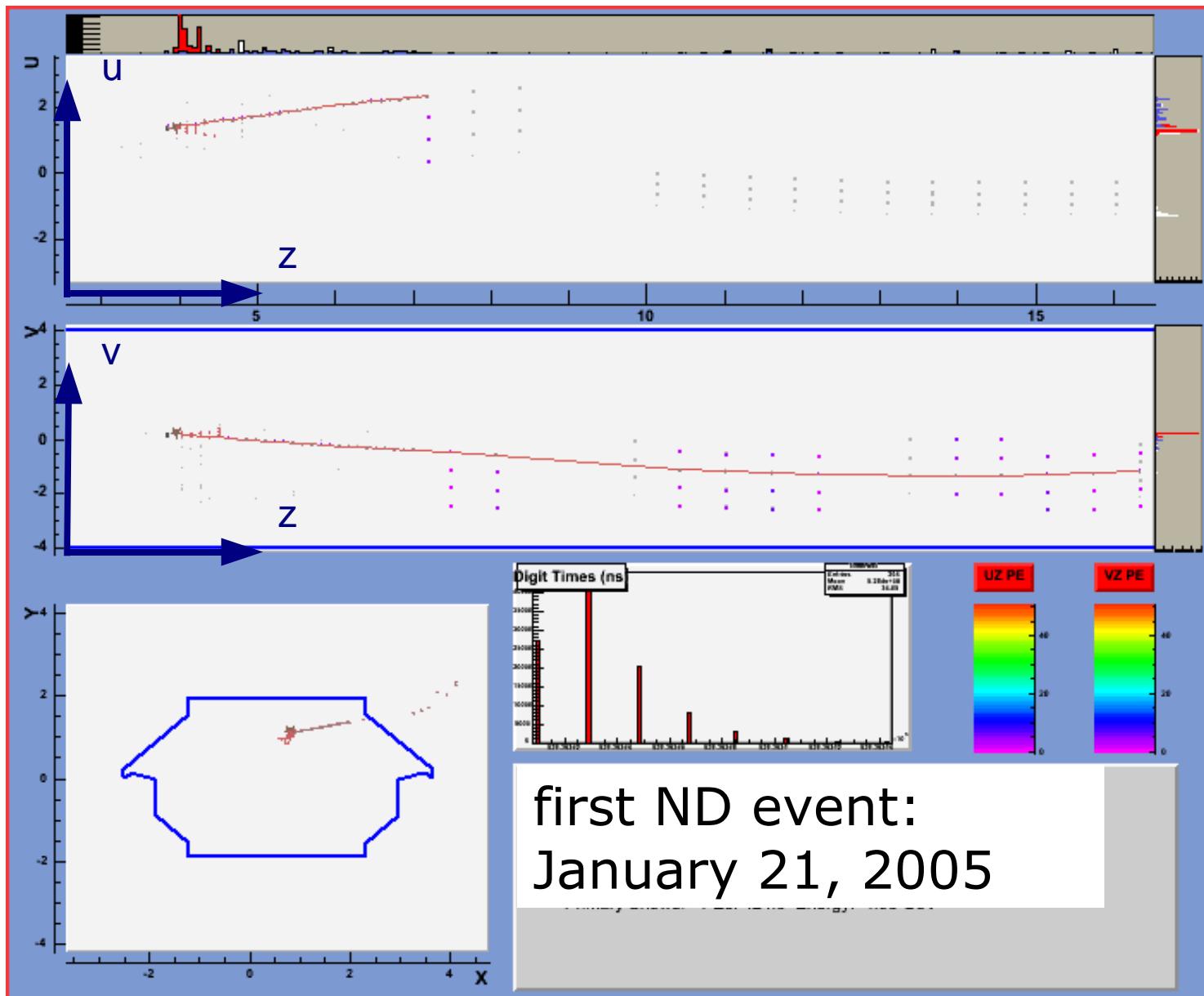
- ▶ long track (μ)
- ▶ hadronic activity near vertex

- ▶ short event
- ▶ diffuse

- ▶ short event
- ▶ EM shower profile



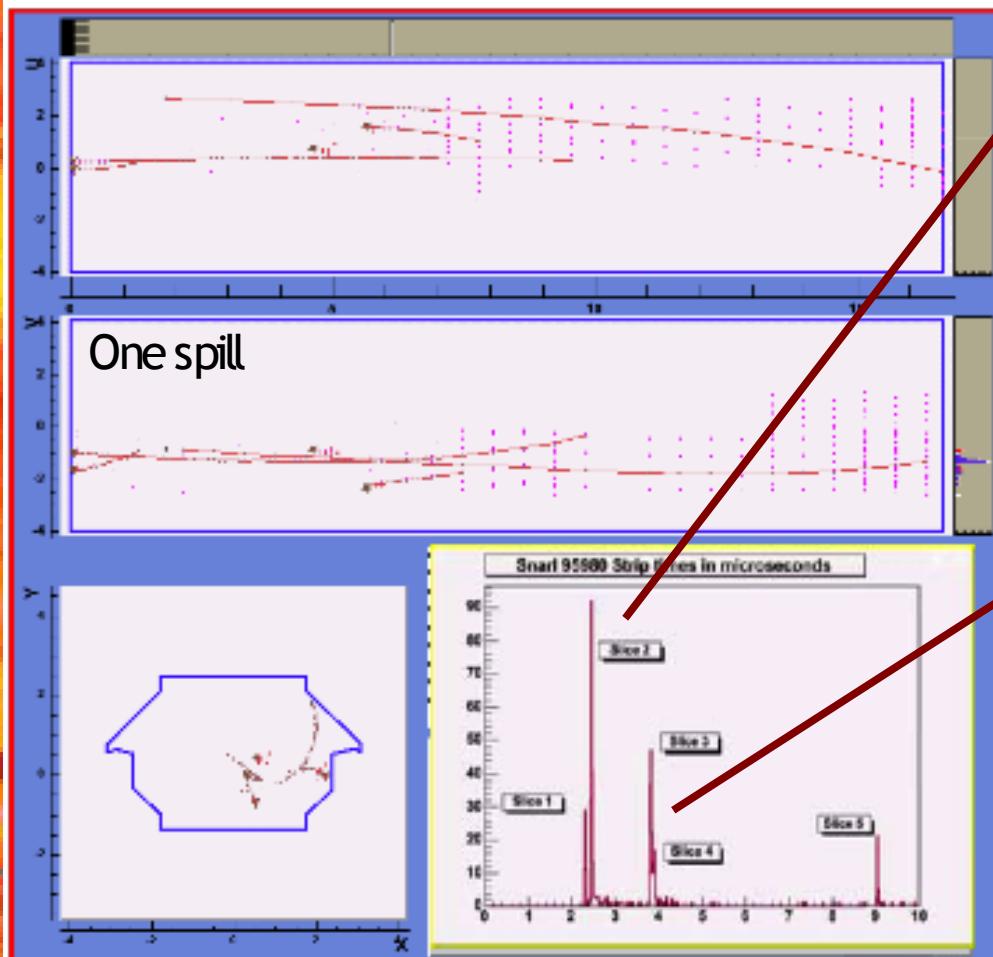
First Beam Events in ND



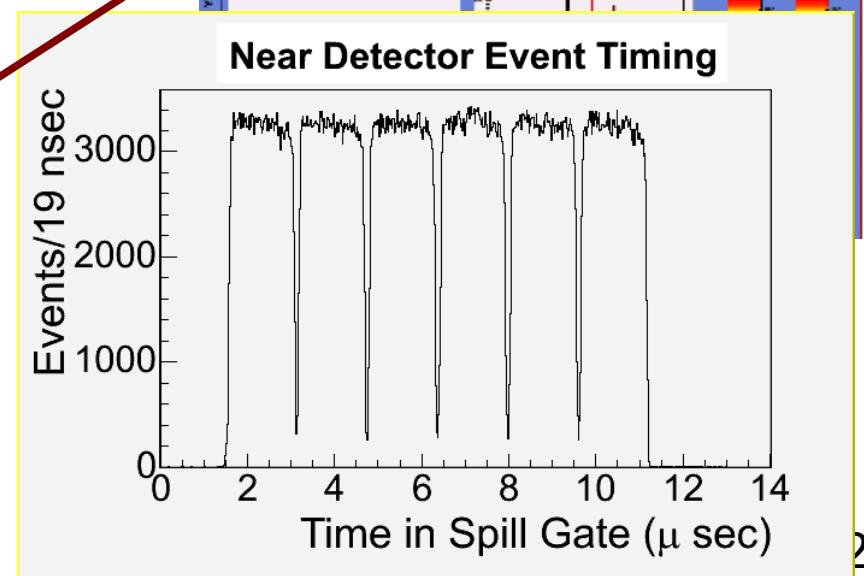
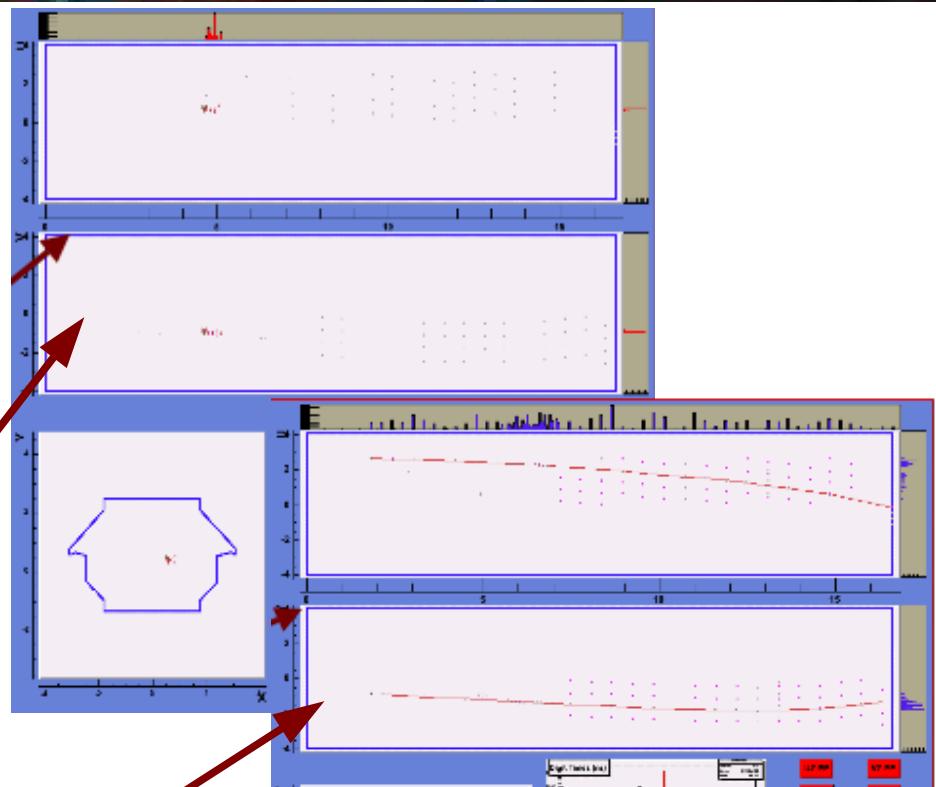


ND Events

- ▶ Multiple interactions per spill in Near Detector

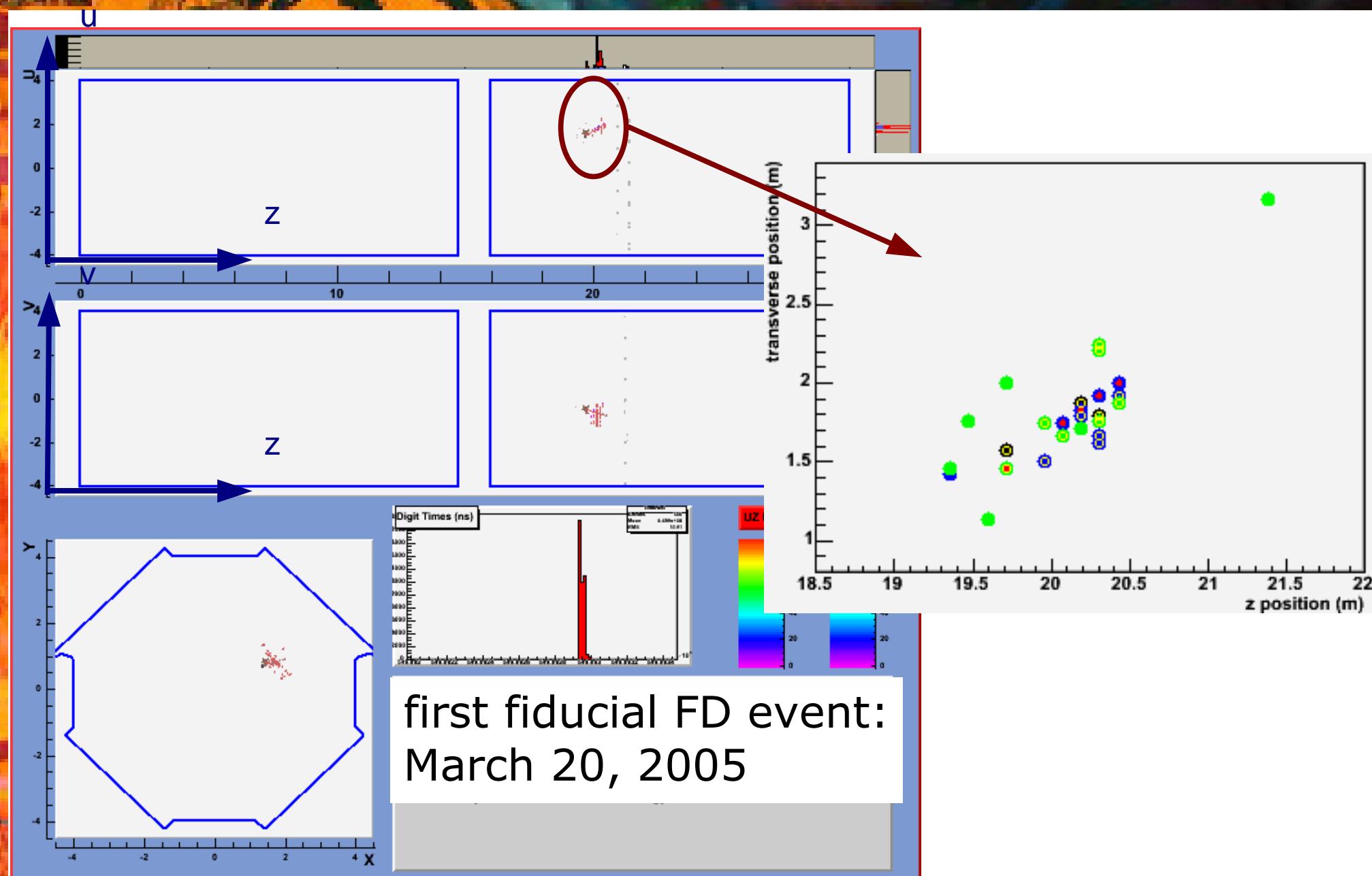


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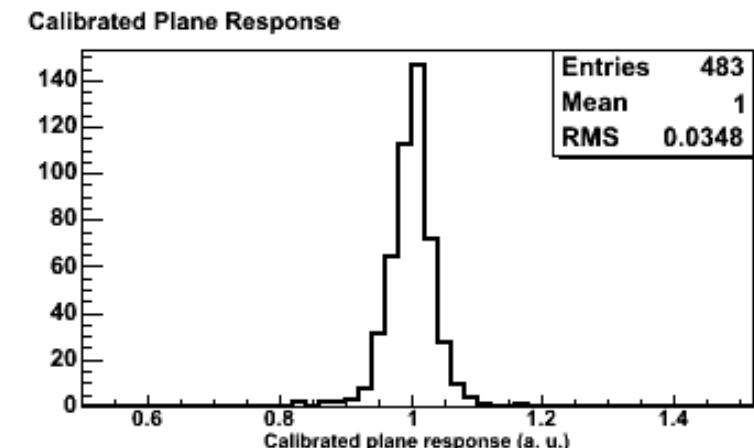
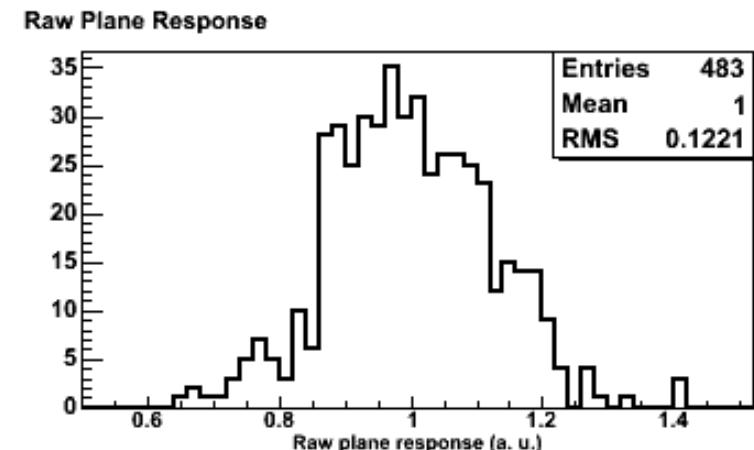
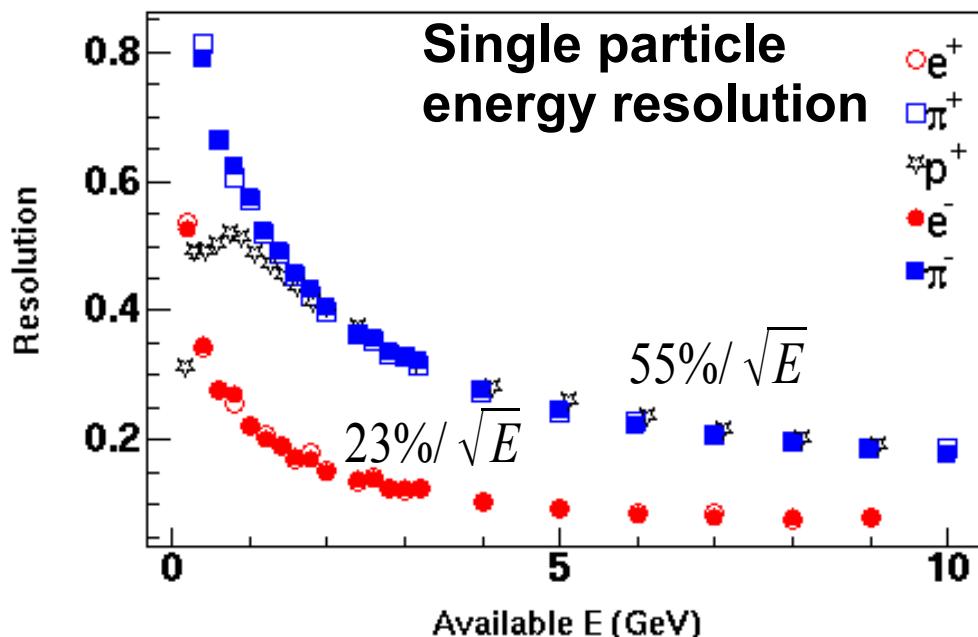
First FD Beam Event





Calibration

- ▶ Light injection: PMT gain
- ▶ cosmic ray μ : strip-to-strip,
inter-detector
- ▶ calibration detector: absolute
calibration
 - ✓ 'mini-MINOS' at CERN
test beam



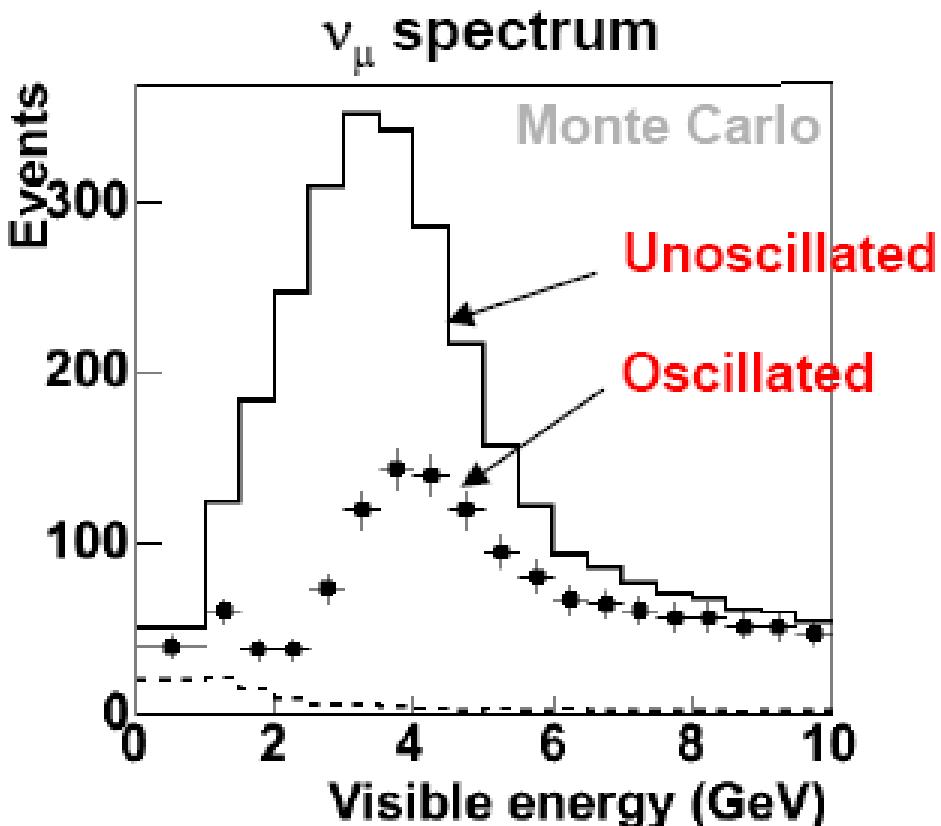
Energy scale calibration:

- ◆ ND abs: 1.9%
- ◆ FD abs: 3.5%
- ◆ ND-FD rel: 2.0%

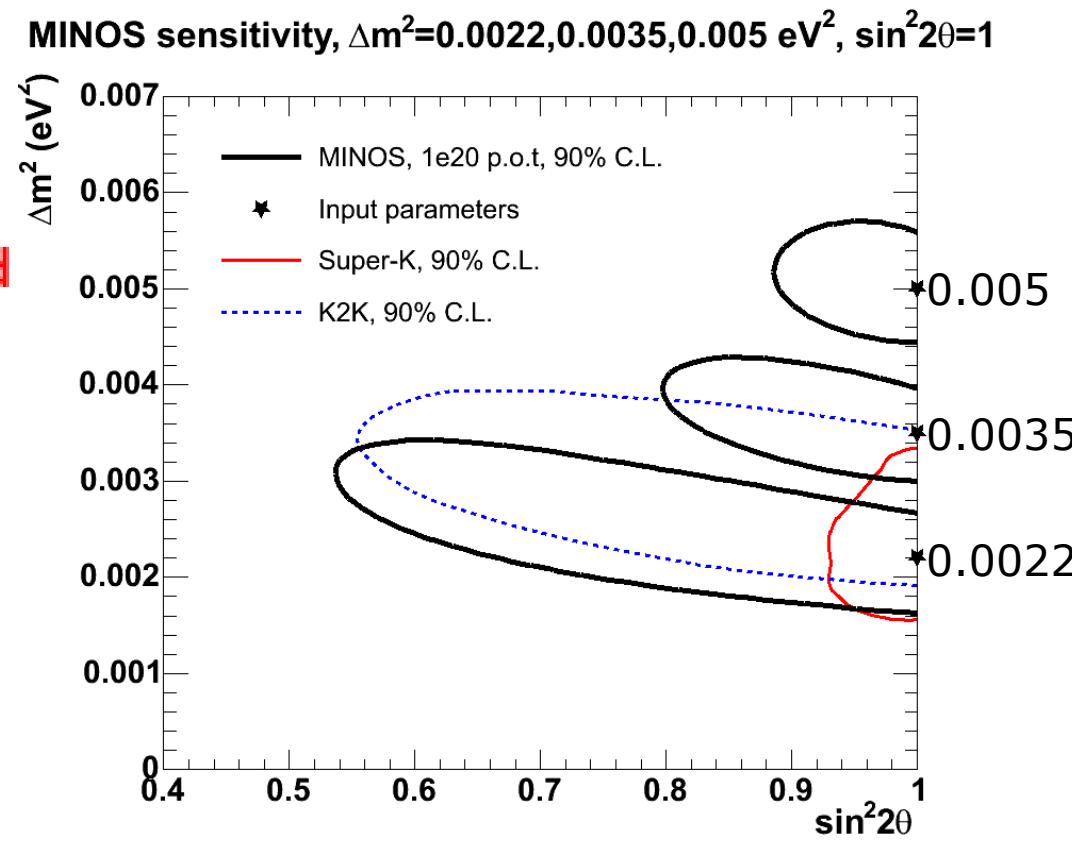


ν_μ disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{23} \cdot \sin^2(1.27 \Delta m_{32}^2 \frac{L}{E})$$



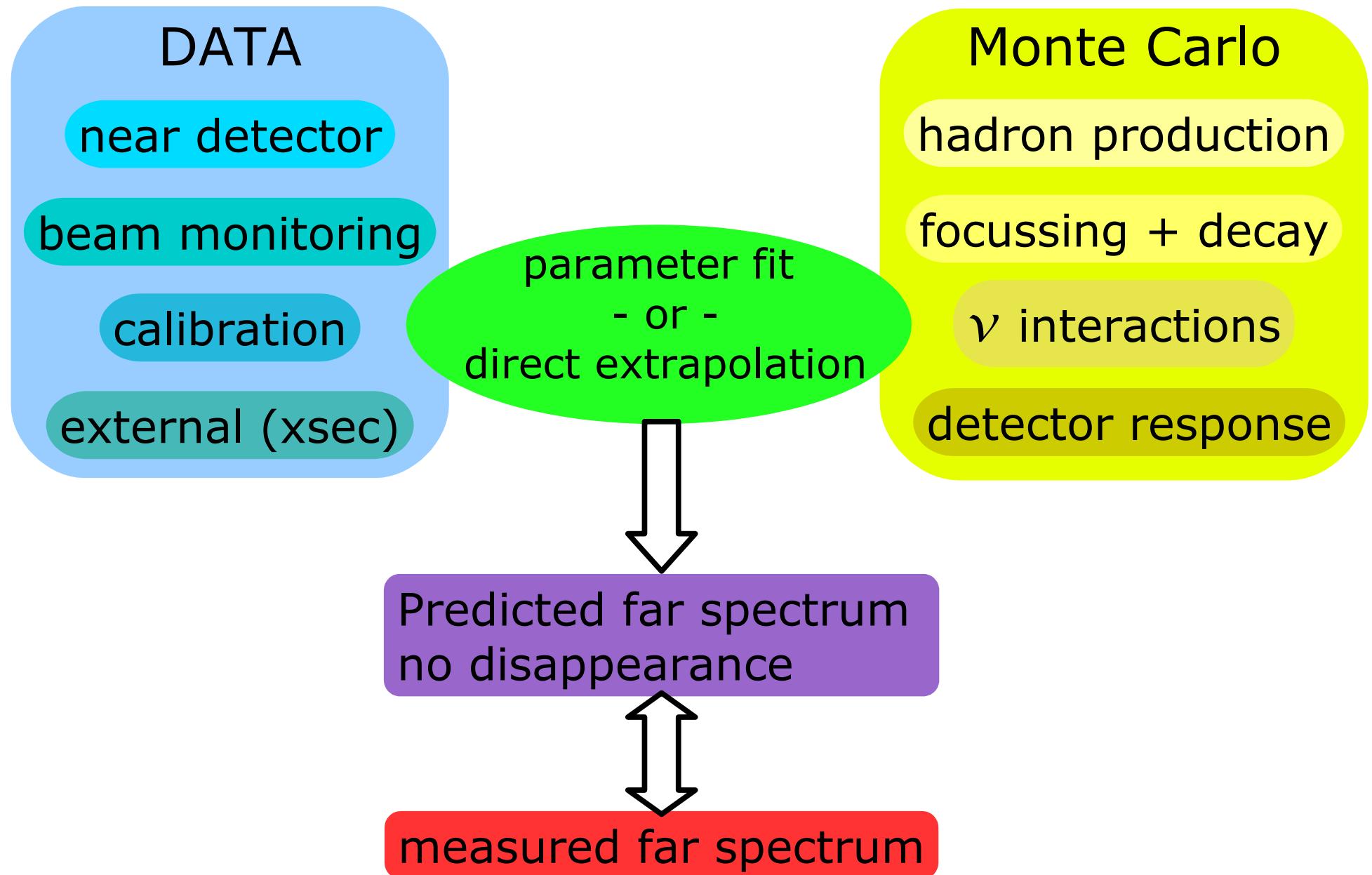
10^{20} protons on target (PoT)





ν_μ disappearance analysis

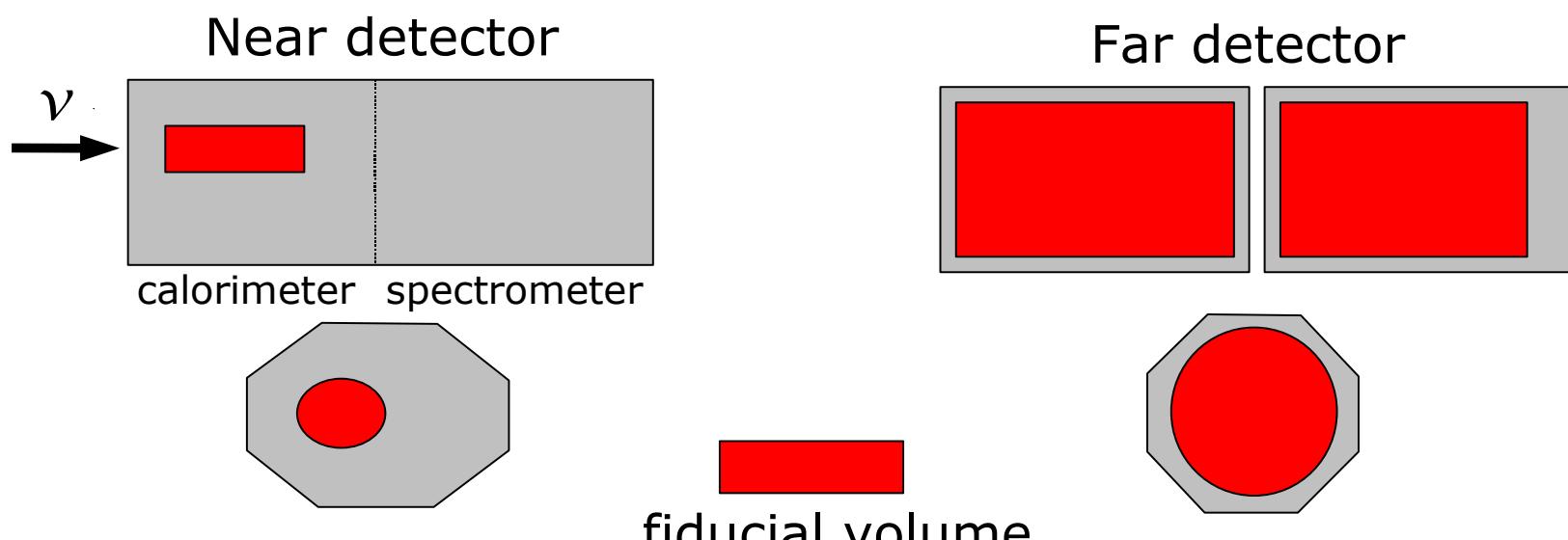
- Analysis sketch
- Event selection
- Near detector distributions
- Near to far extrapolation
- Far detector distributions
- Oscillation fit





CC Event Selection

- ▶ beam monitoring and detector quality cuts
- ▶ CC pre-selection:
 - ✓ # good reconstructed track > 0
 - ✓ select only tracks with negative curvature
 - ✓ fiducial volume cuts on vertex:
 - ✗ ND: $1\text{m} < z < 5\text{m}$; $r < 1\text{m}$ w.r.t. beam center
 - ✗ FD: $z > 50\text{cm}$ from edge, $z > 2\text{m}$ from end; $r < 3.7\text{m}$

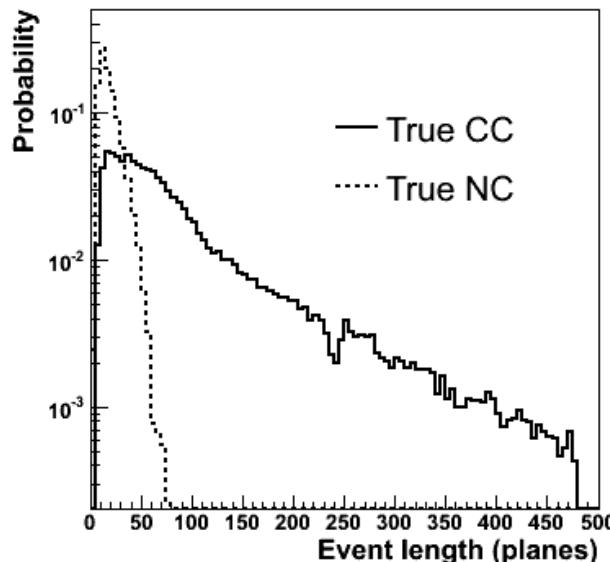




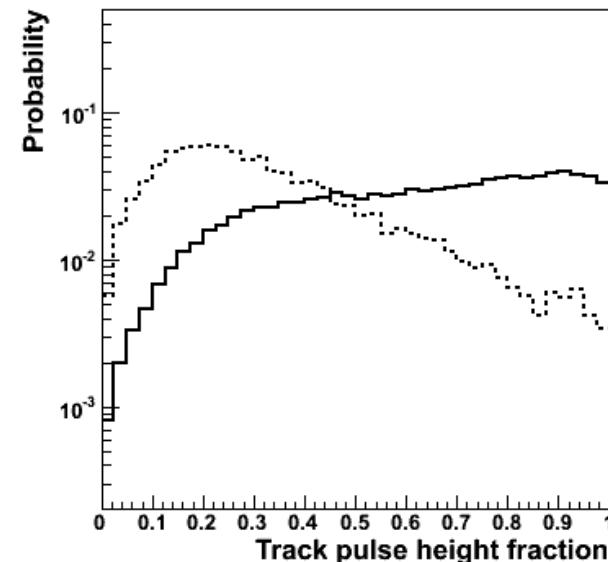
CC Event Selection

- ▶ CC/NC separation using likelihood-based method
- ▶ Three probability density functions (PDFs) used:

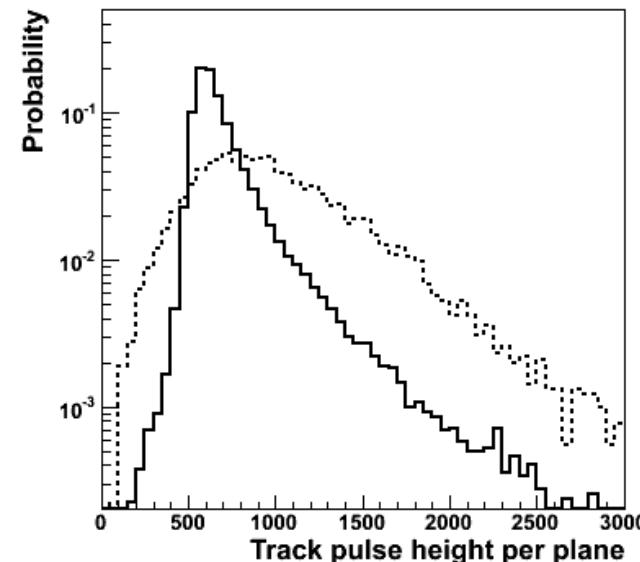
event length
 $\sim p_\mu$



track pulse
height fraction
 \sim inelasticity



track pulse
height per plane
 $\sim dE/dx$





CC Event Selection

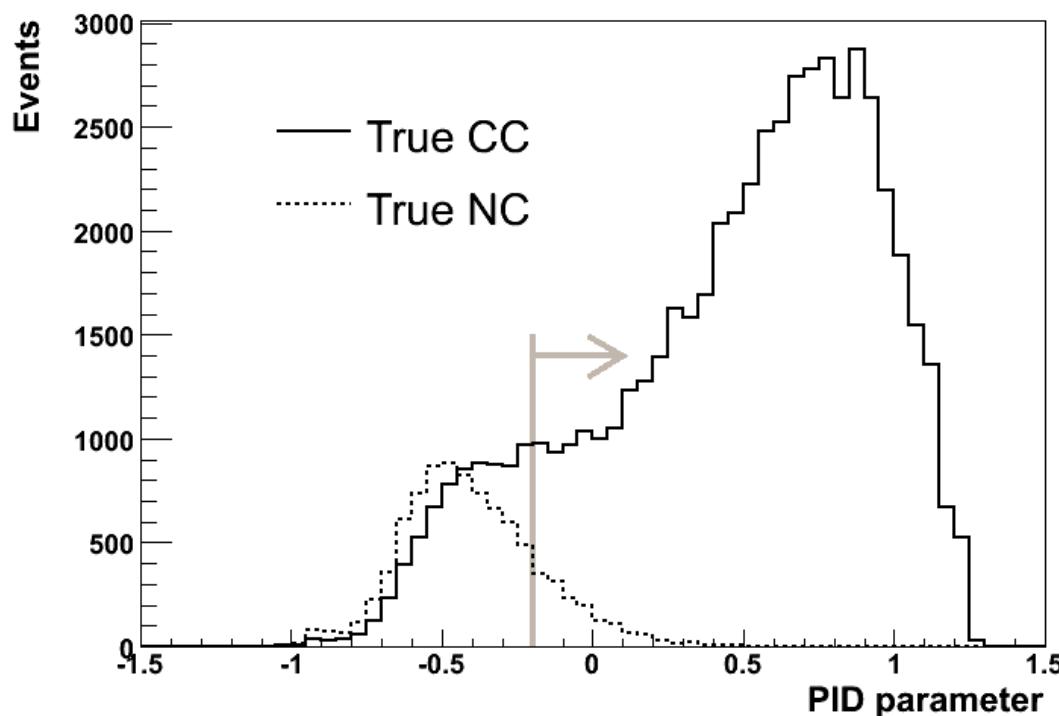
- Particle ID (PID) defined as:

$$PID = -\sqrt{-\log P_{CC}} + \sqrt{-\log P_{NC}}$$

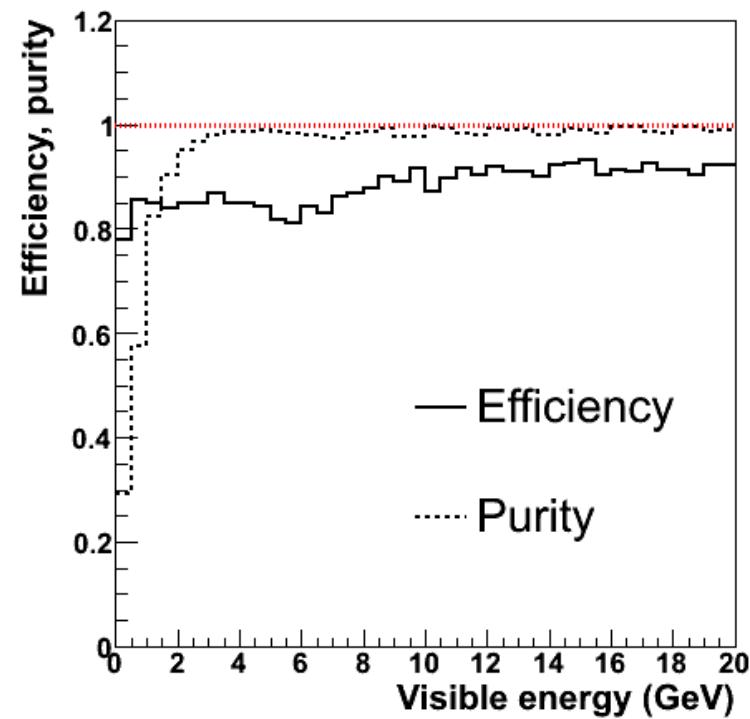
- Select CC-like events:

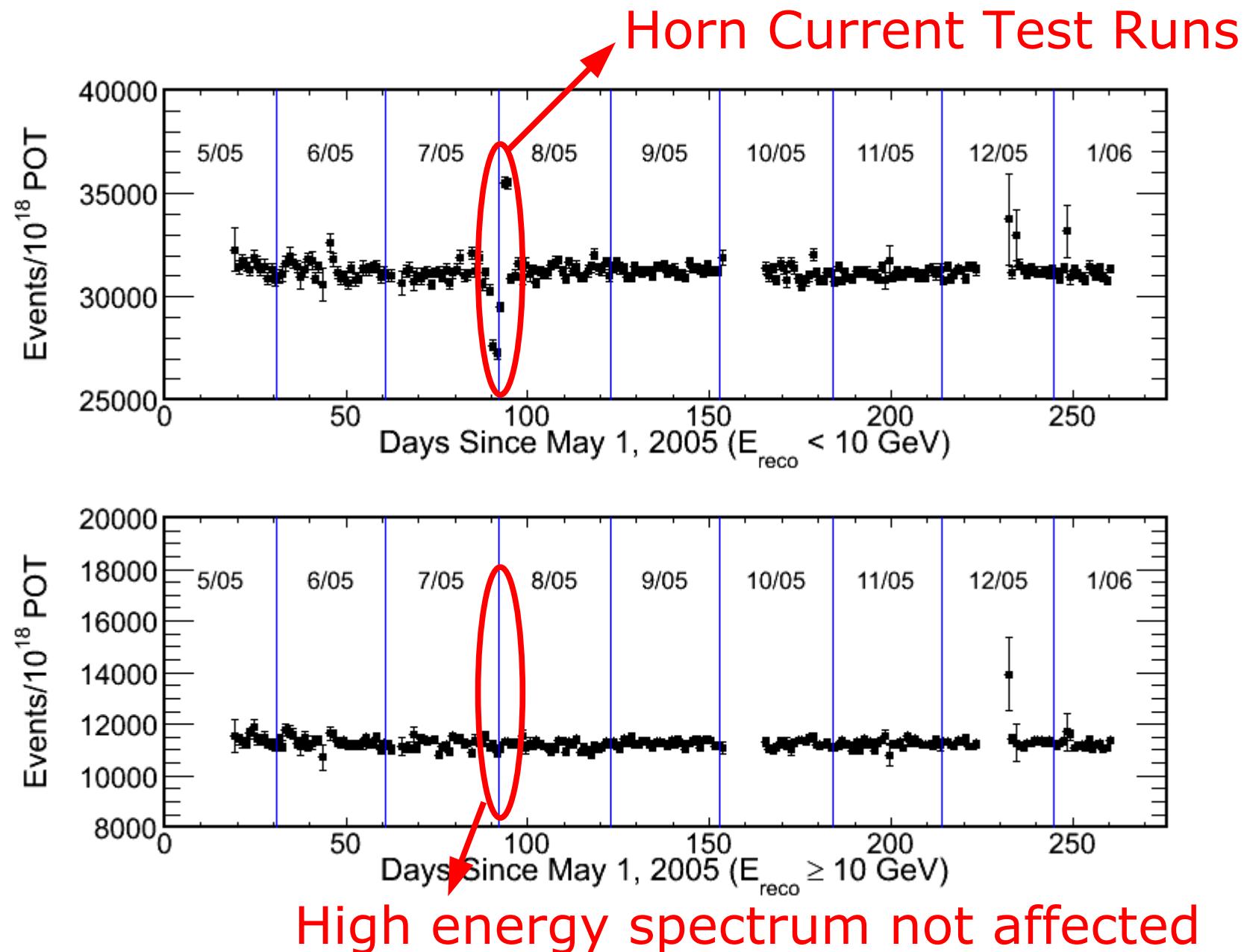
- ✓ $PID > -0.2$ in FD
- ✓ $PID > -0.1$ in ND

PDF PID parameter distribution for true CC and NC events



CC selection efficiencies and purities

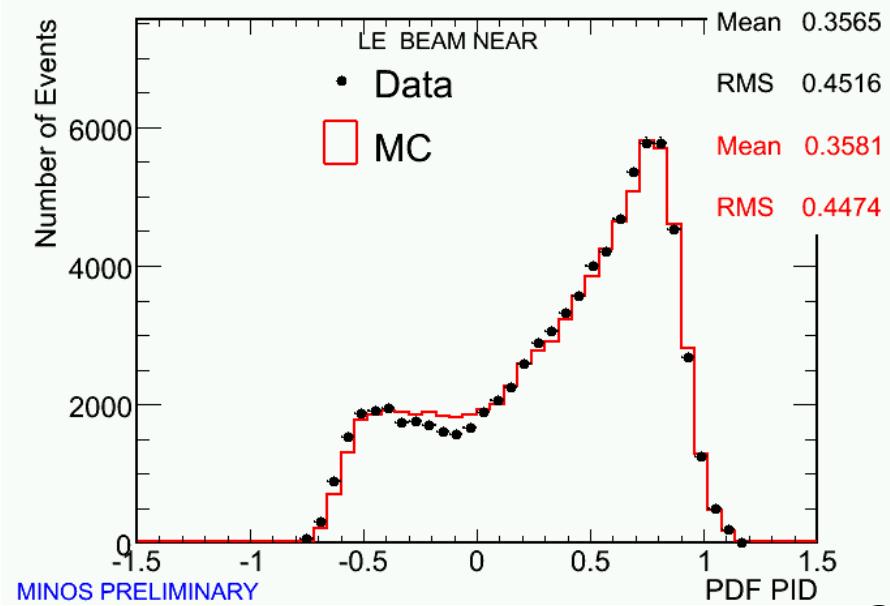
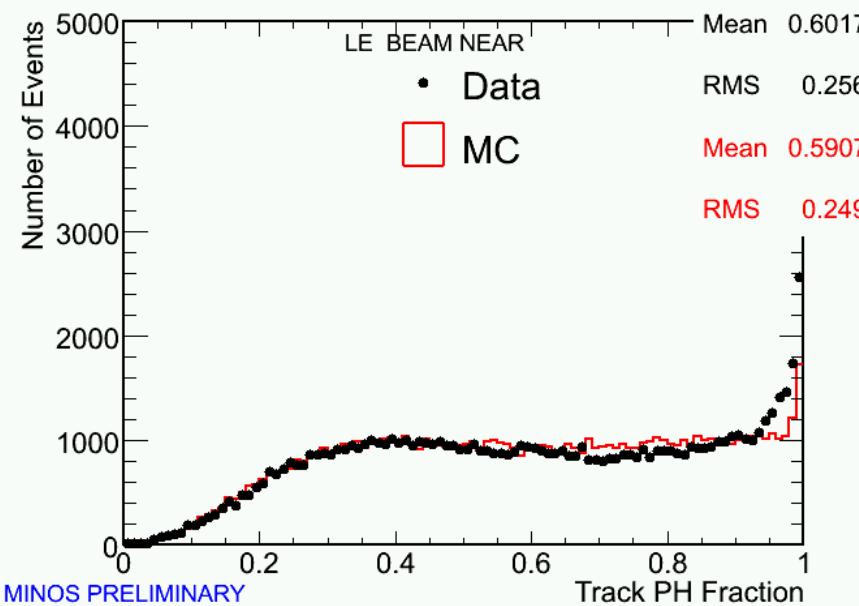
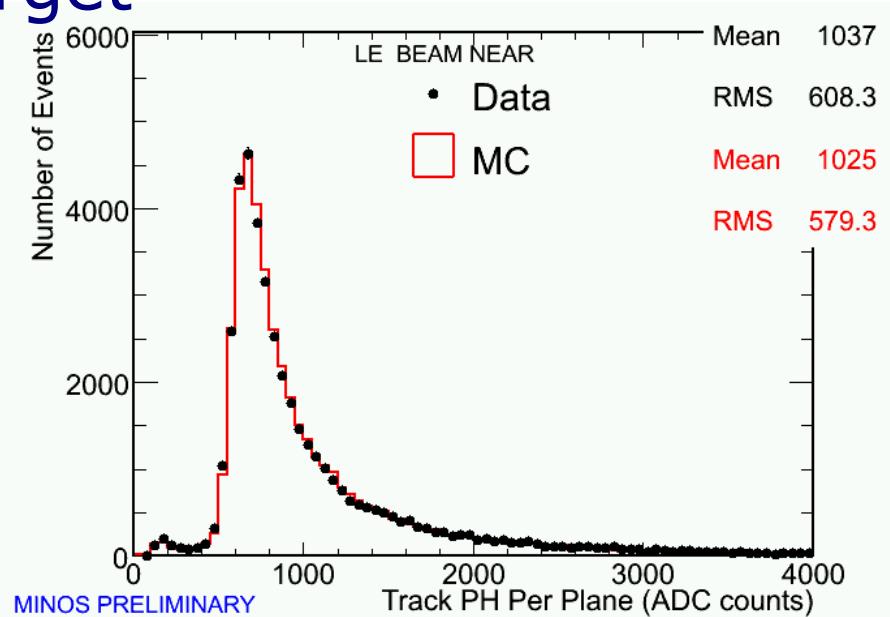
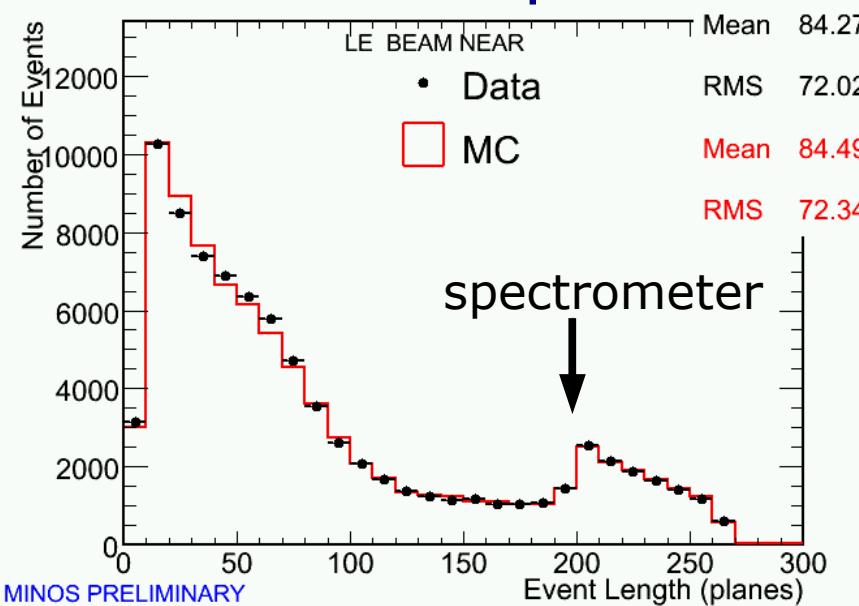






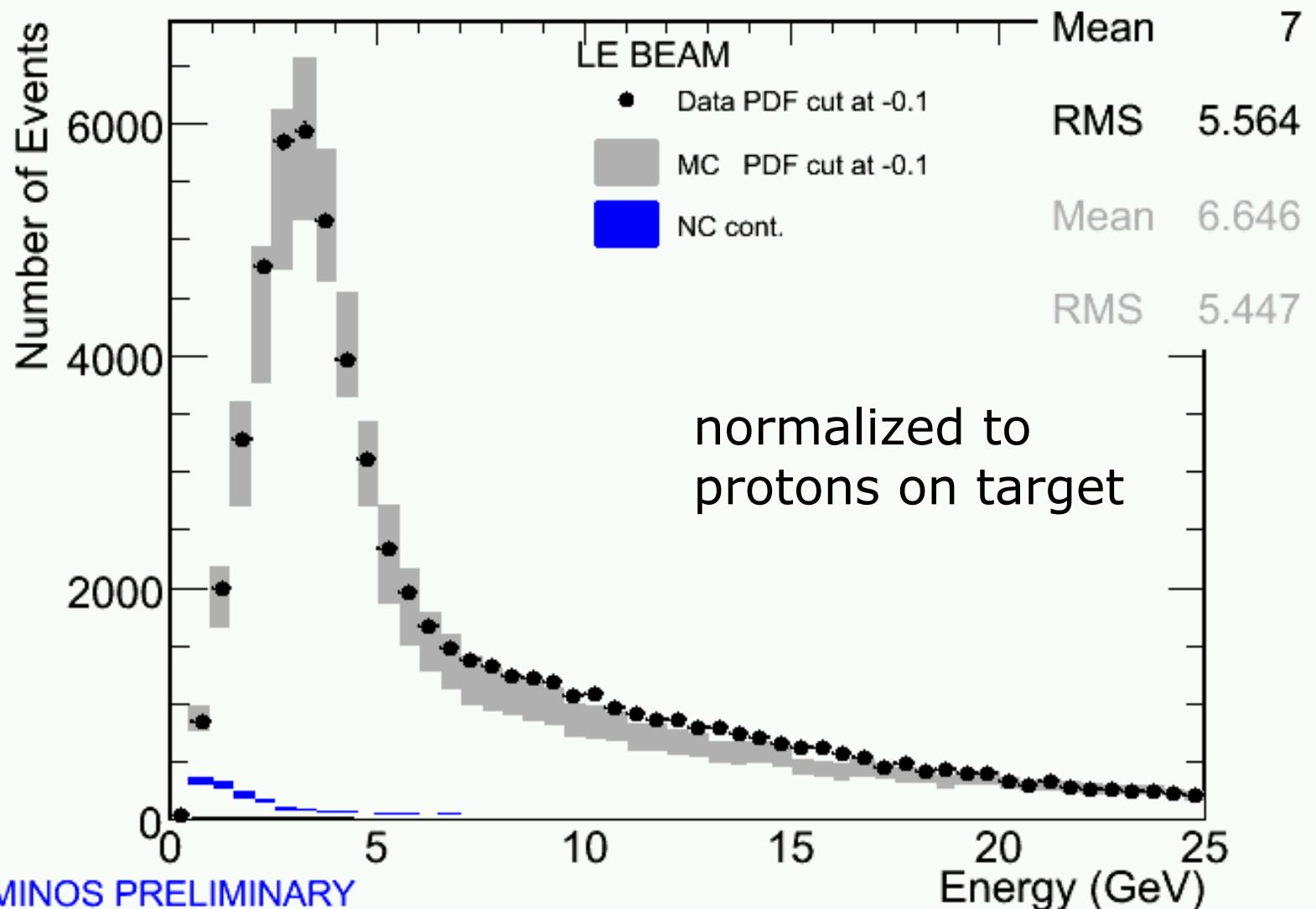
ND LE-10 data

► normalized to protons on target





ND LE-10 data

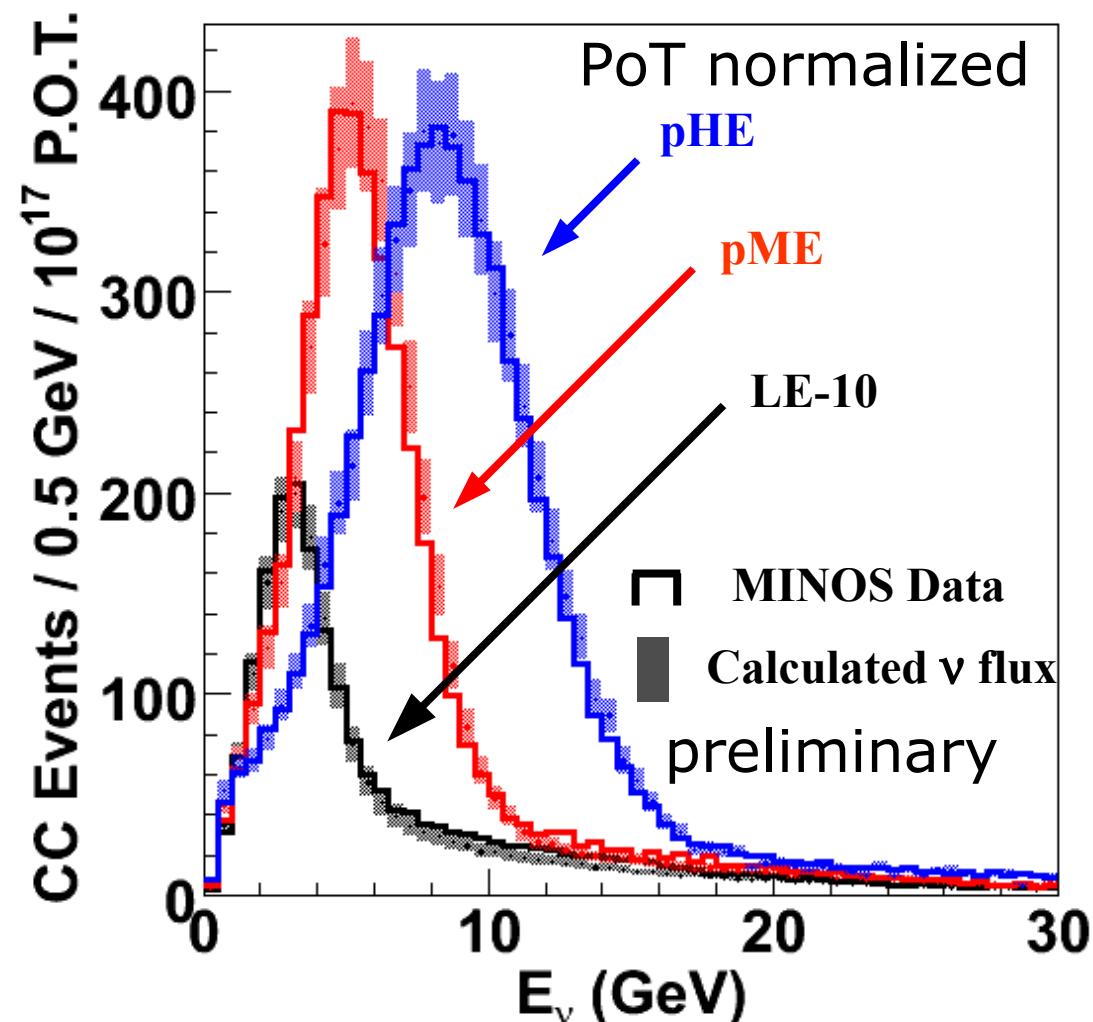


- ▶ MC error envelope: uncertainties due to cross section modeling, beam modeling and calibration



Other ND data

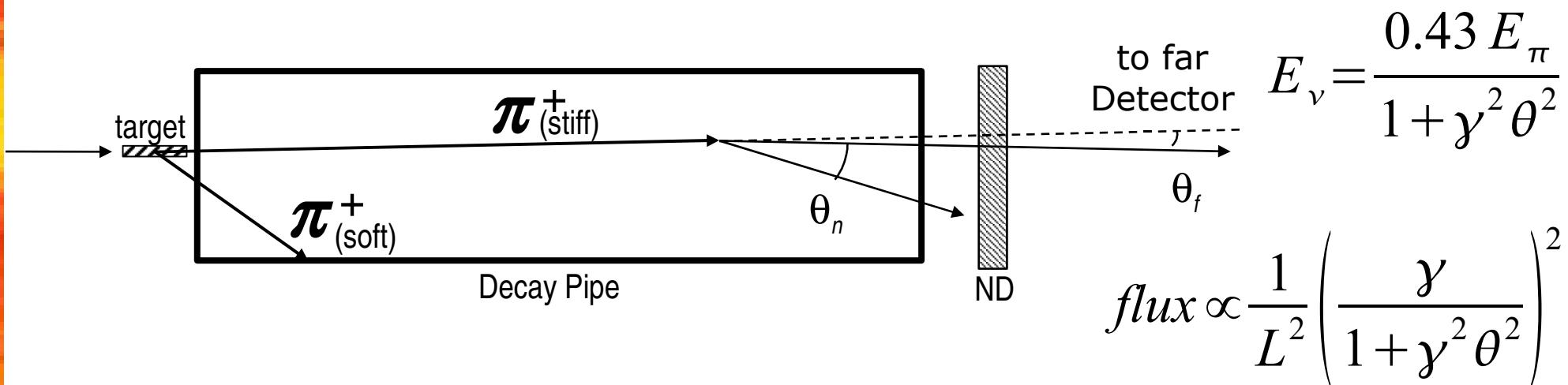
- ▶ Various test runs:
 - ✓ target in medium & high energy position
 - ✓ target scans
 - ✓ diff. horn currents
 - ✓ horn current scans
 - ✓ horn off
 - ✓ low intensity





Near/Far extrapolation

- ▶ FD spectrum is predicted from ND data:
 - ✓ ND sees extended neutrino source
 - ✓ extrapolation calculated from pion decay kinematics and knowledge of beamline geometry



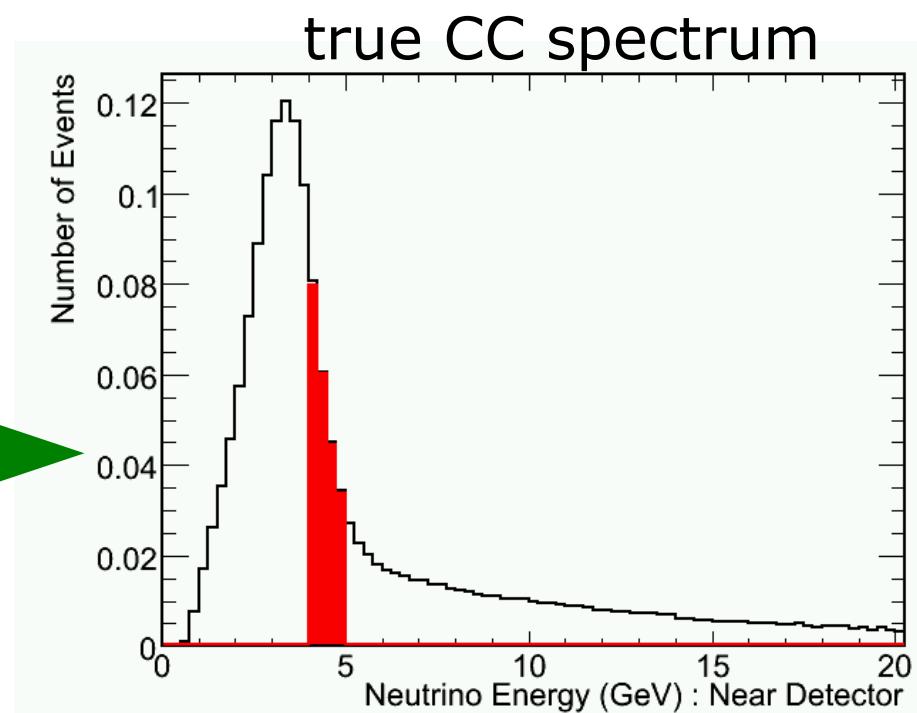
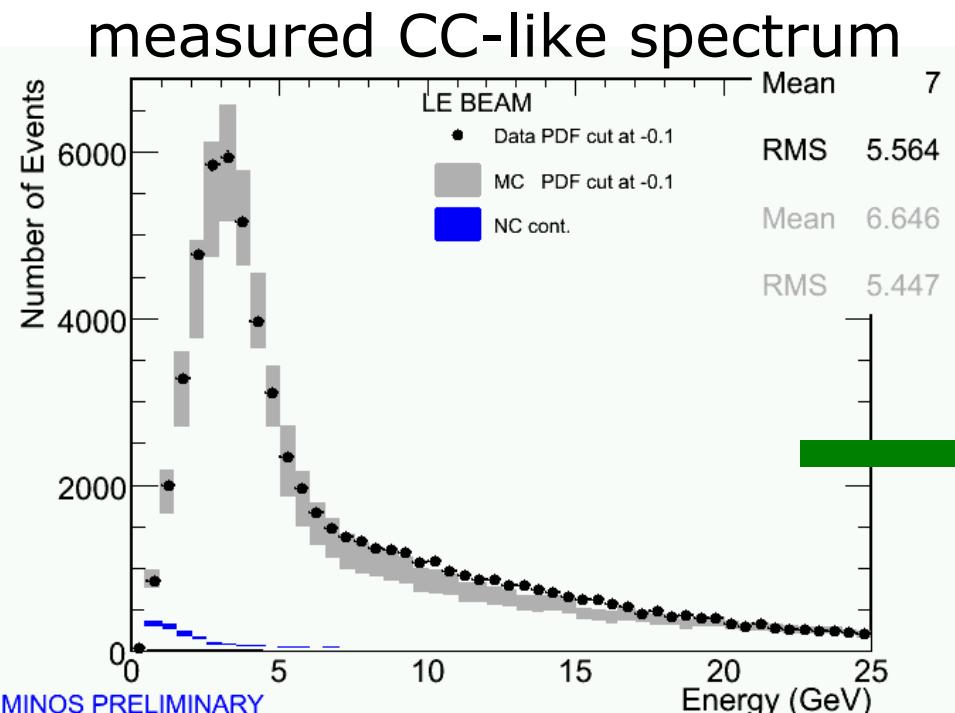
- ▶ Beam matrix method:
 - (A) obtain ND true CC spectrum
 - (B) transport matrix ND to FD
 - (C) predict FD spectrum without disappearance



Beam Matrix Method

► step (A):

- ✓ NC background subtraction
- ✓ deconvolve detector response
- ✓ efficiency correction

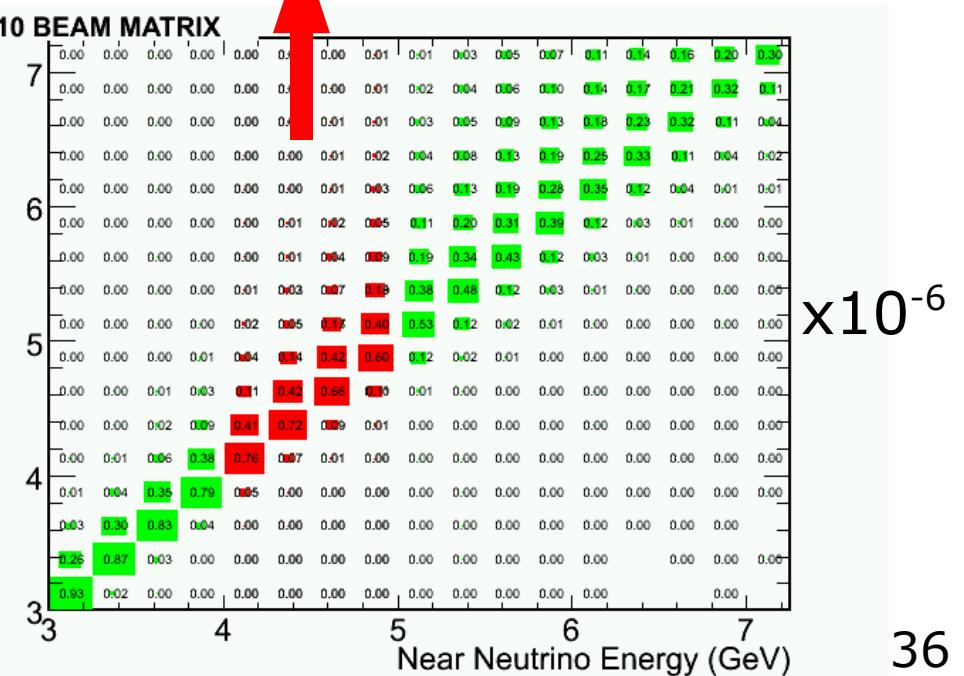
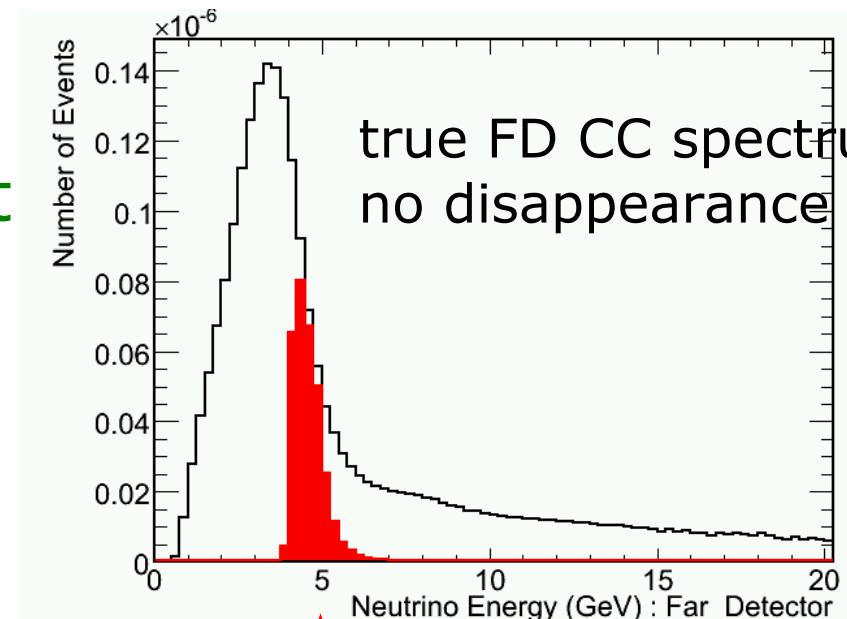
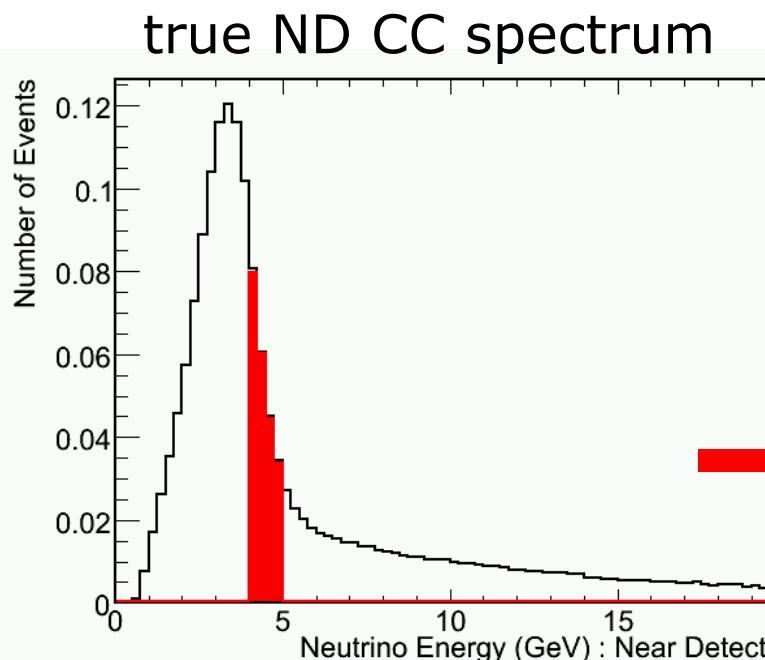




Beam Matrix Method

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- ▶ step (B):
 - ✓ construct beam transport matrix to map true near to far energy



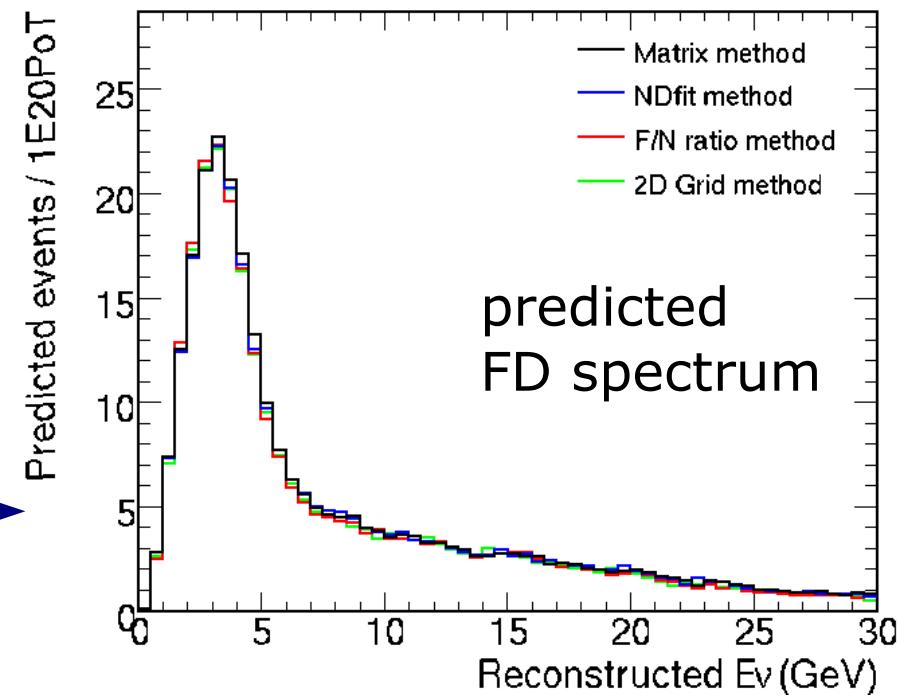
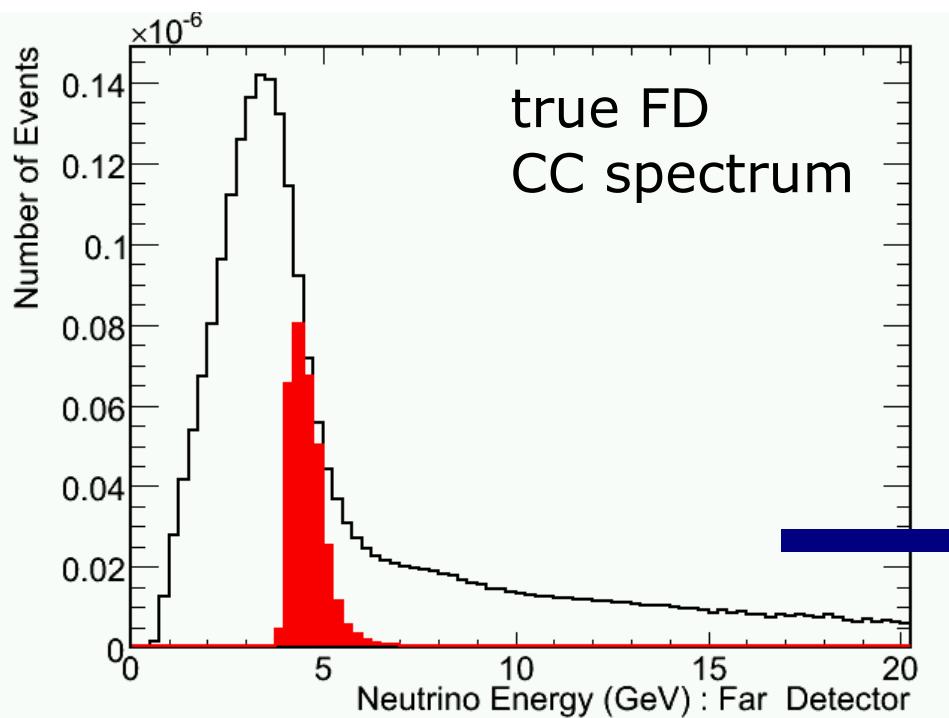


Beam Matrix Method

► step (C):

- ✓ add detector response
- ✓ efficiencies
- ✓ background
- ✓ oscillations

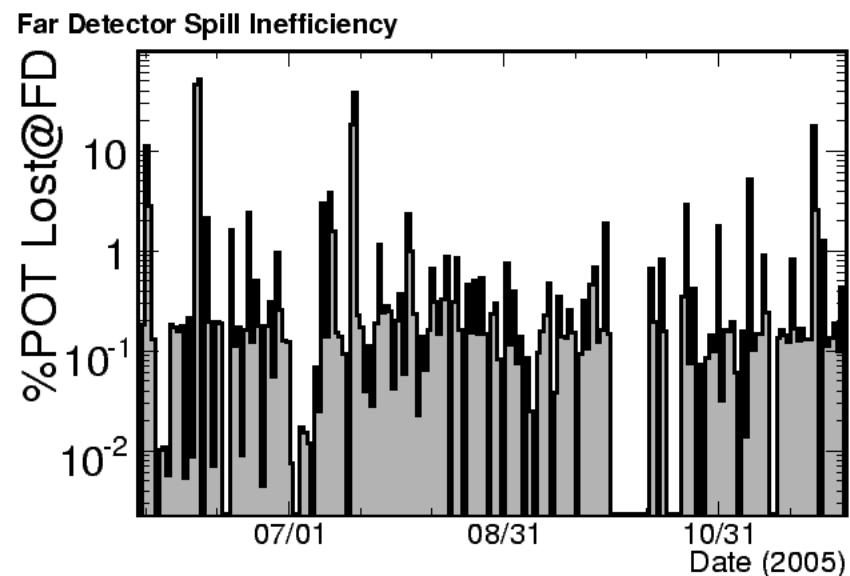
different extrapolation methods give consistent predictions





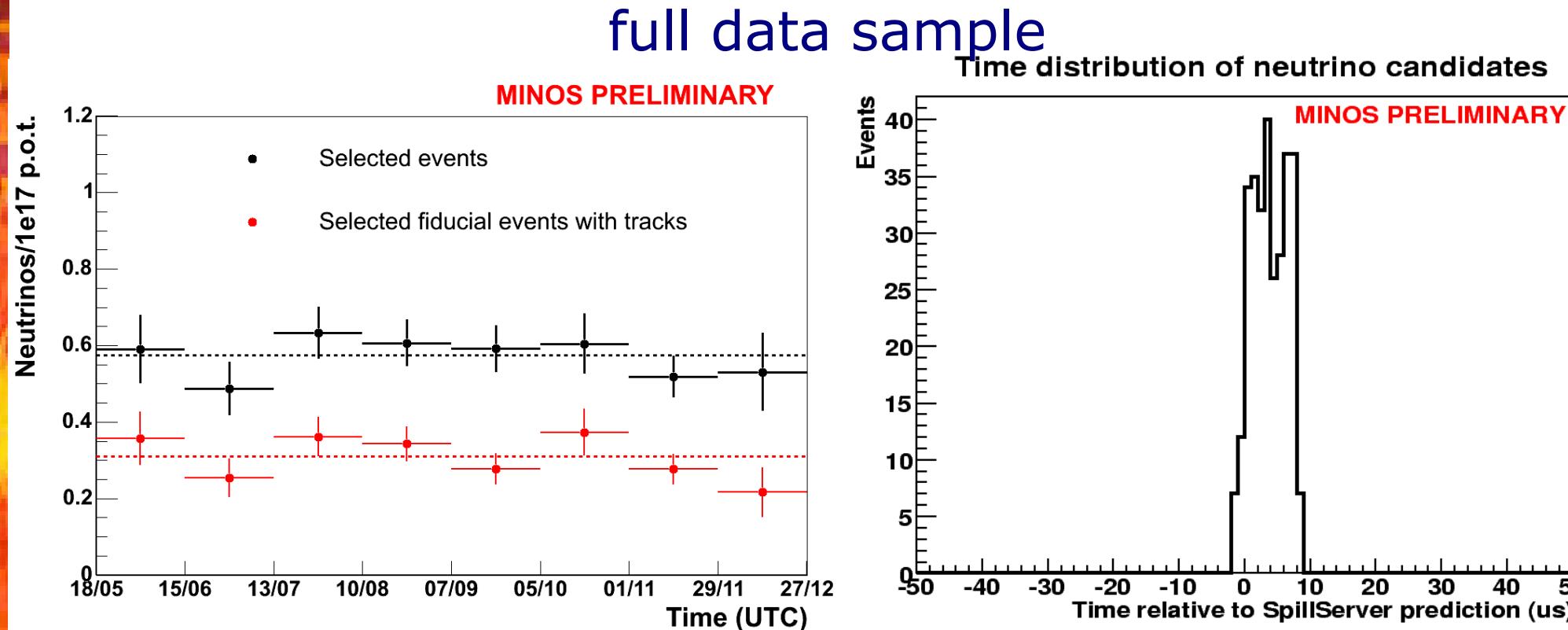
FD Data Sample

- ▶ Data sample: May 20th 2005 – Dec. 6th 2005
 - ✓ Integrated PoT: 0.93×10^{20}
 - ✓ Far detector livetime: 98.9% (PoT weighted)
- ▶ Blind analysis policy:
 - ✓ unknown fraction hidden, based on event length and total energy deposit
 - ✓ open set used to perform data quality checks
 - ✓ oscillation analysis defined and validated on MC
 - ✓ no changing of cuts after box opening





FD data distributions



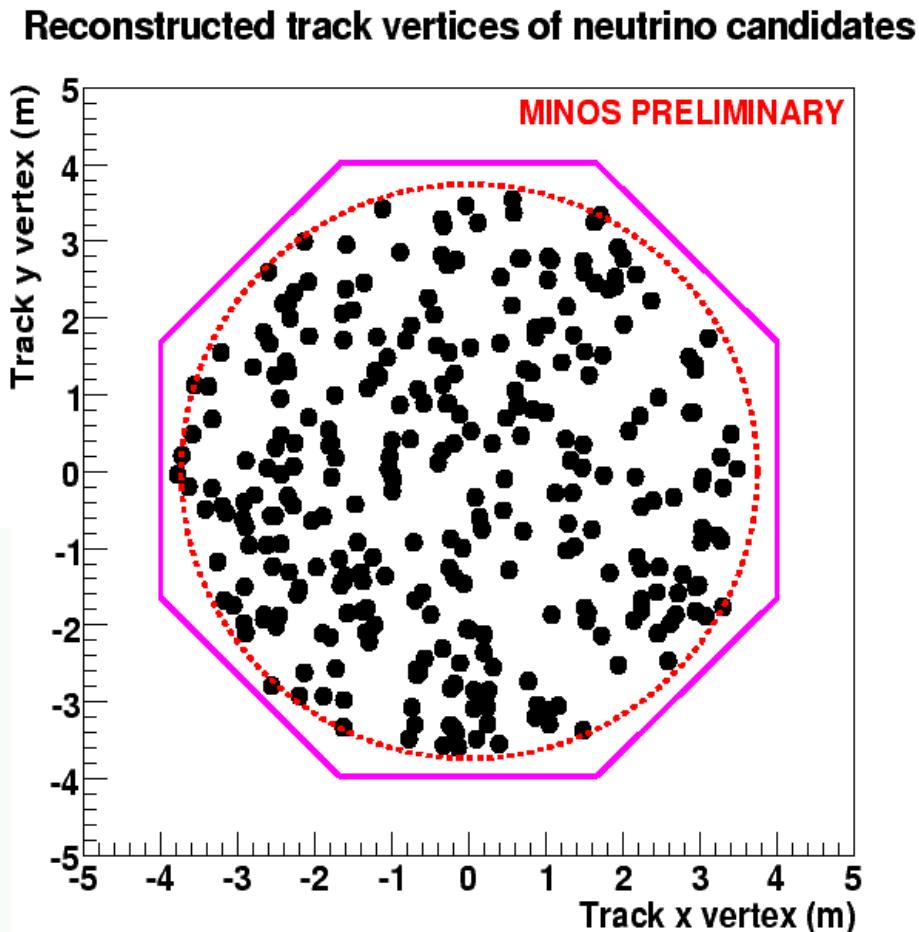
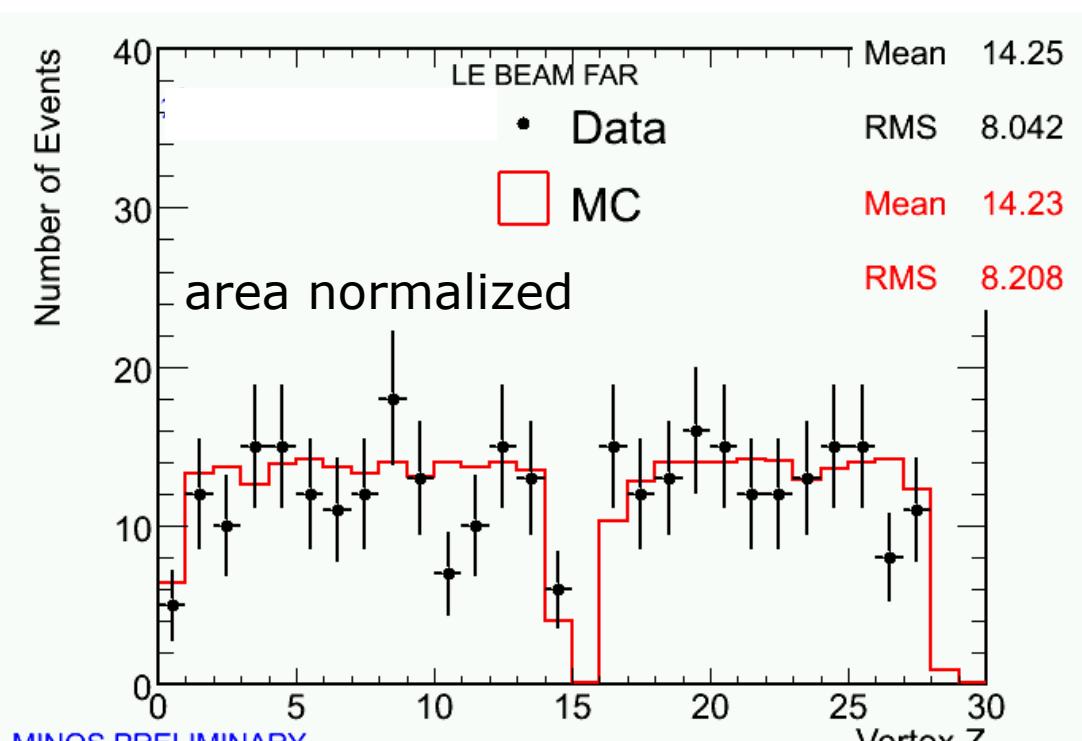
► event rate consistent over time

- event time consistent with spill time
- no evidence for cosmic muon background, estimate from “fake” spills < 1.7 at 90% C.L.



FD data distributions

- ▶ 296 events with track selected in fiducial volume
- ▶ uniformly distributed over detector





FD data selection



Cut	Events	Efficiency
all events in fid. vol.	331	-
at least one track	296	89.1%
track quality cuts	281	95.3%
PID cut (CC-like)	204	72.9%
negative track charge sign	186	91.2%
reconstr. energy < 30 GeV	166	89.2%



FD Observed vs. Expected

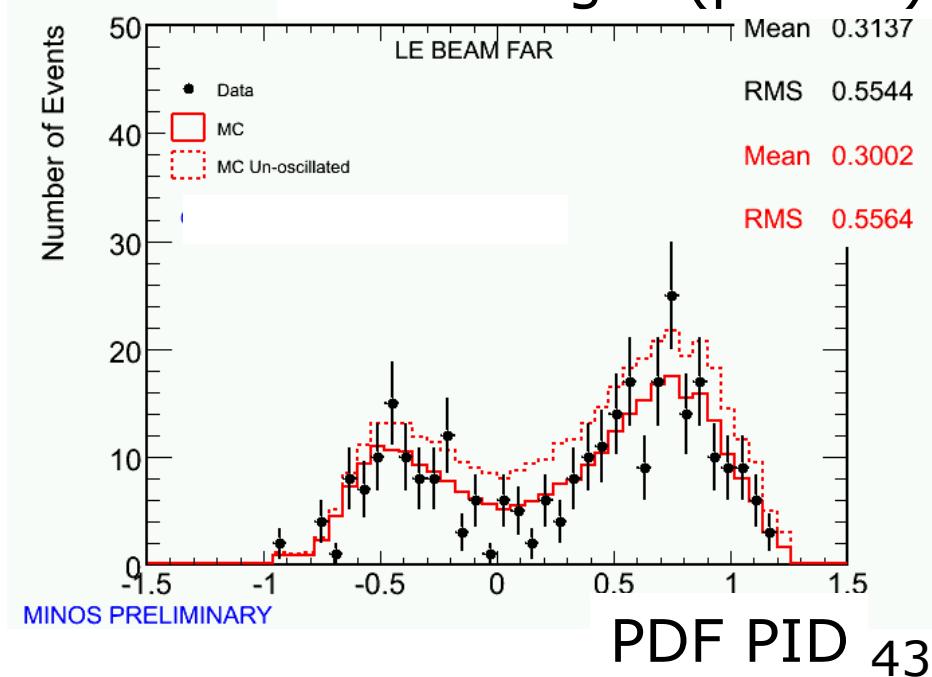
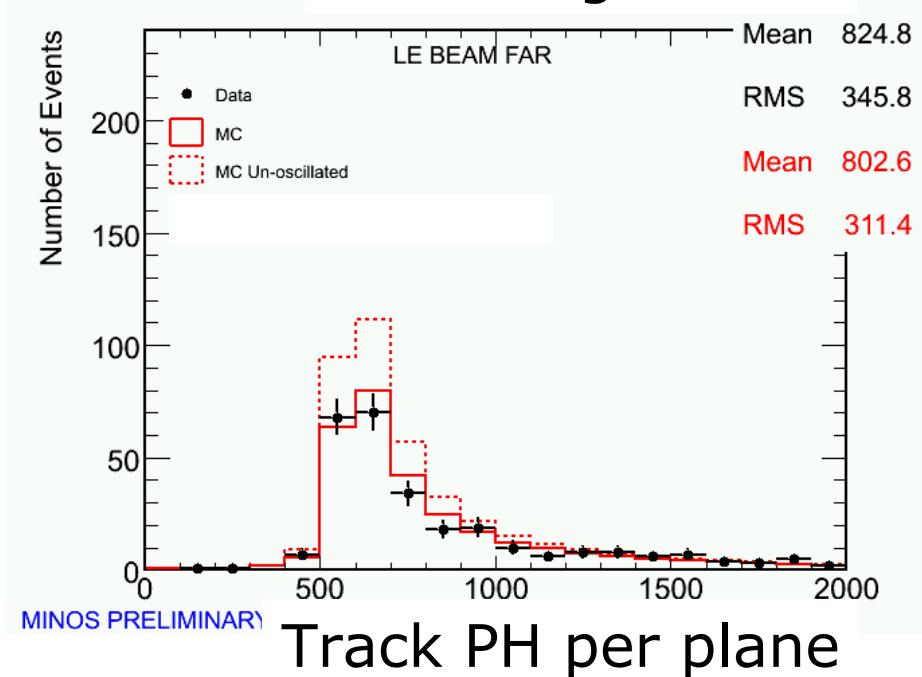
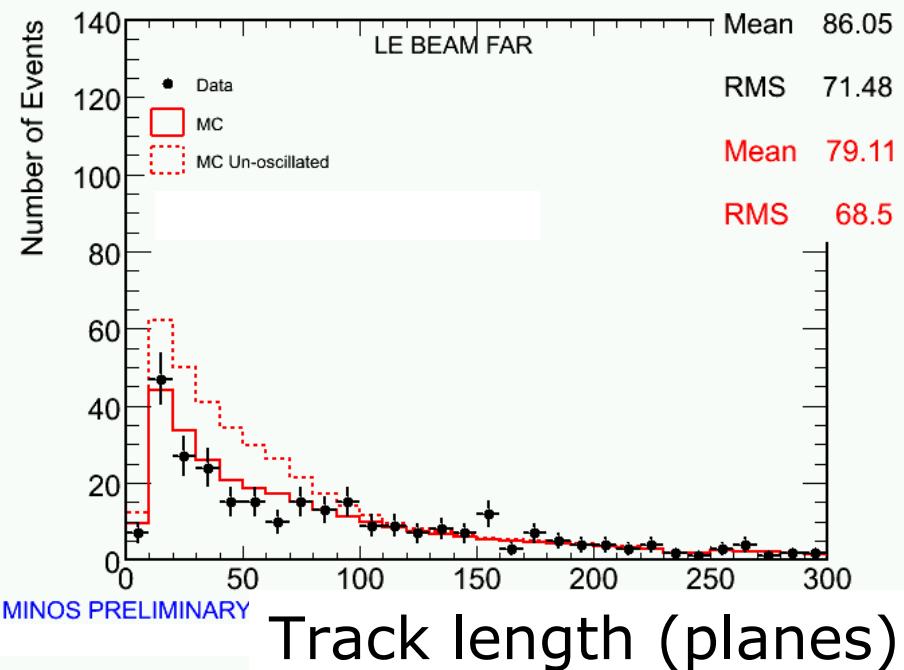
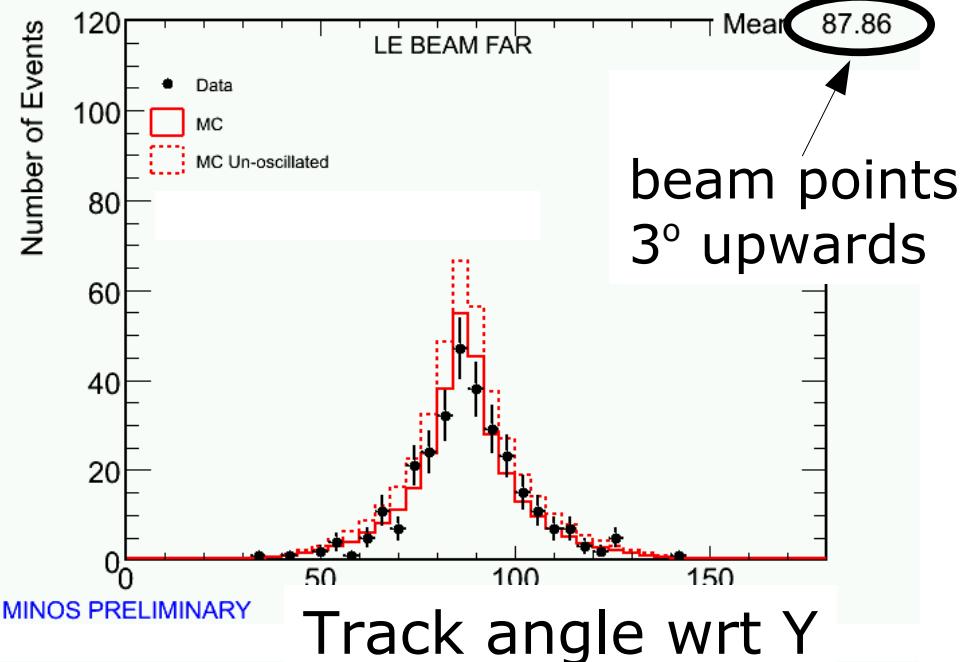
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Data sample	observed	expected	ratio	significance
all CC events ($\nu_\mu + \bar{\nu}_\mu$)	204	298±15	0.69	4.1σ
ν_μ only (<30 GeV)	166	249±14	0.67	4.0σ
ν_μ only (<10GeV)	92	177±11	0.52	5.0σ

- ◆ Observe a 33% deficit between 0 and 30 GeV with respect to no disappearance hypothesis
- ◆ Statistical significance is 5 standard deviations



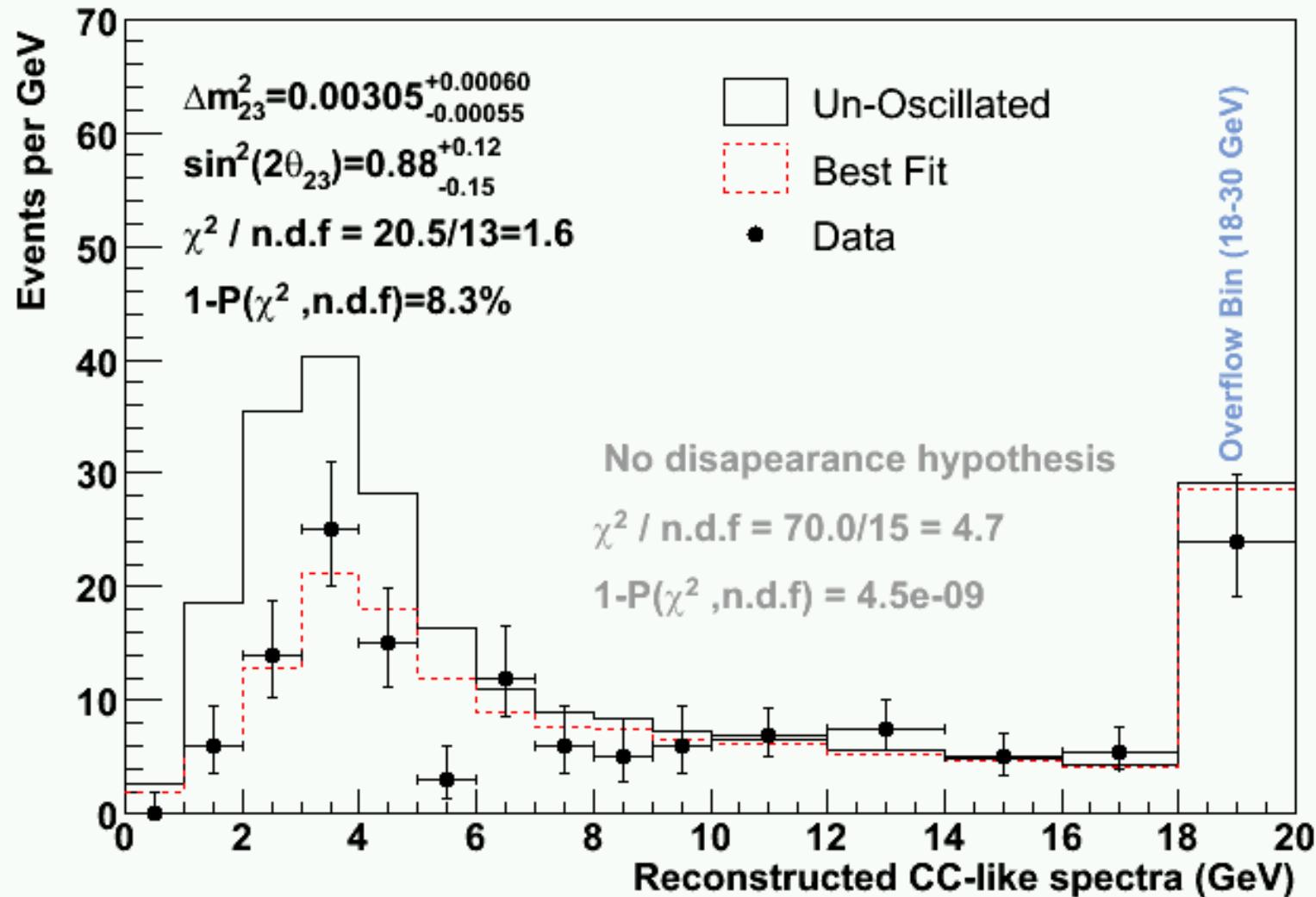
FD Data Distributions





FD spectrum & fit

Oscillation Results for 0.93E20 p.o.t

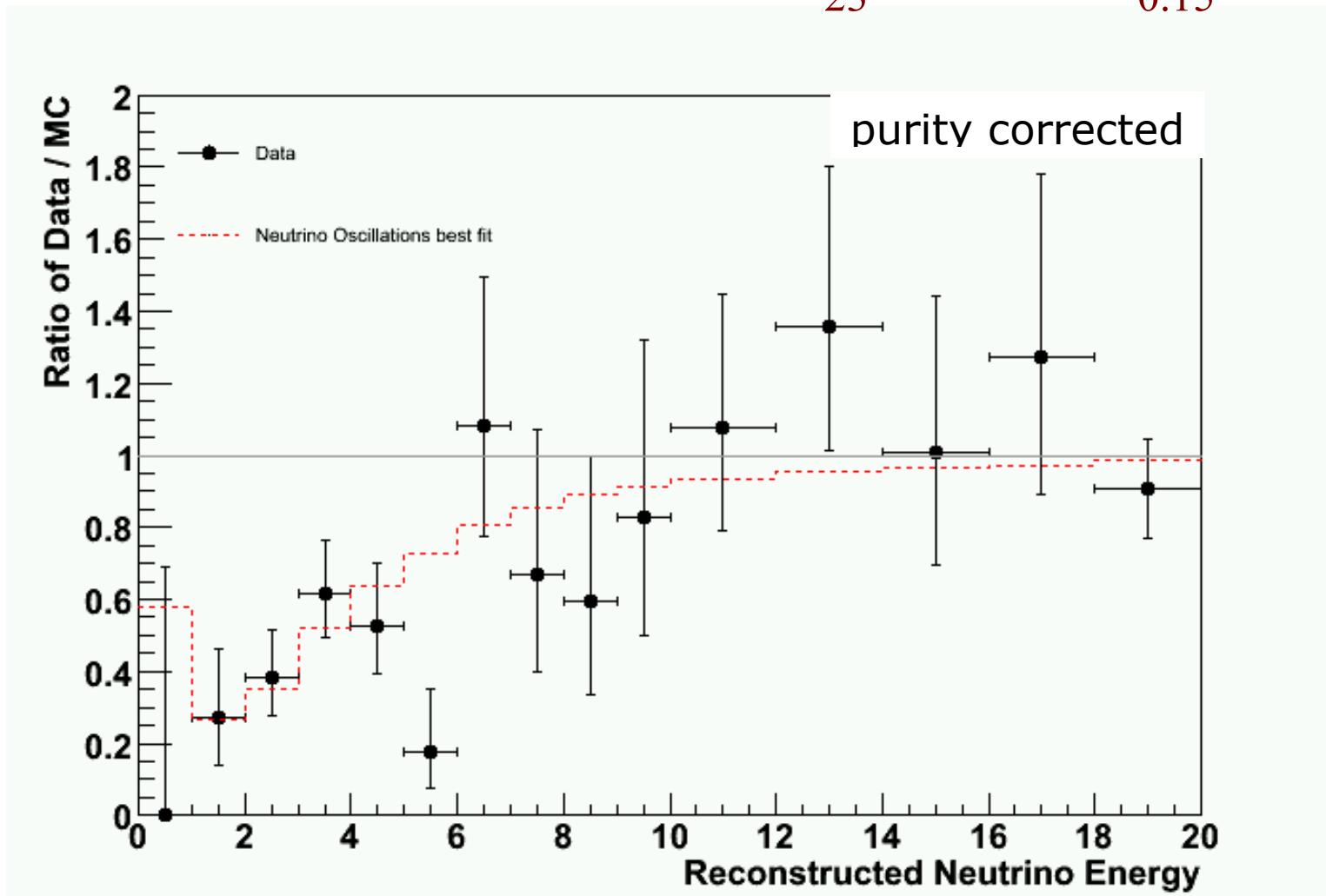


$$\chi^2(\Delta m^2, \sin^2 2\theta_{23}) = \sum_{i=1}^{nbins} 2(e_i - o_i) + 2o_i \ln(o_i/e_i)$$



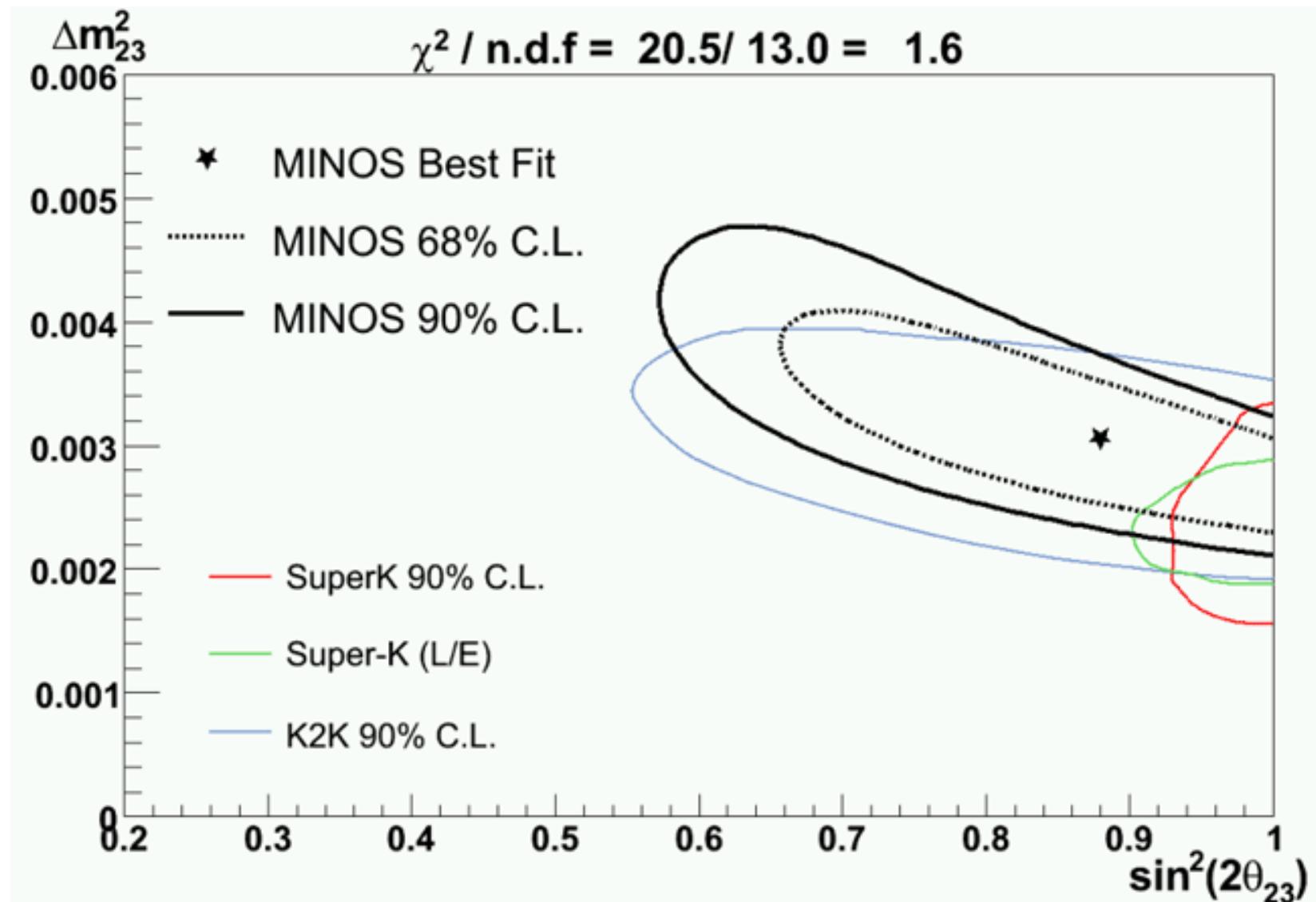
Ratio Data/MC

Fit result (68% C.L.): $\Delta m_{32}^2 = 3.05^{+0.60}_{-0.55} \cdot 10^{-3} eV^2$
 $\sin^2 2\theta_{23} = 0.88^{+0.12}_{-0.15}$





Comparison of fit results





Systematic Uncertainties

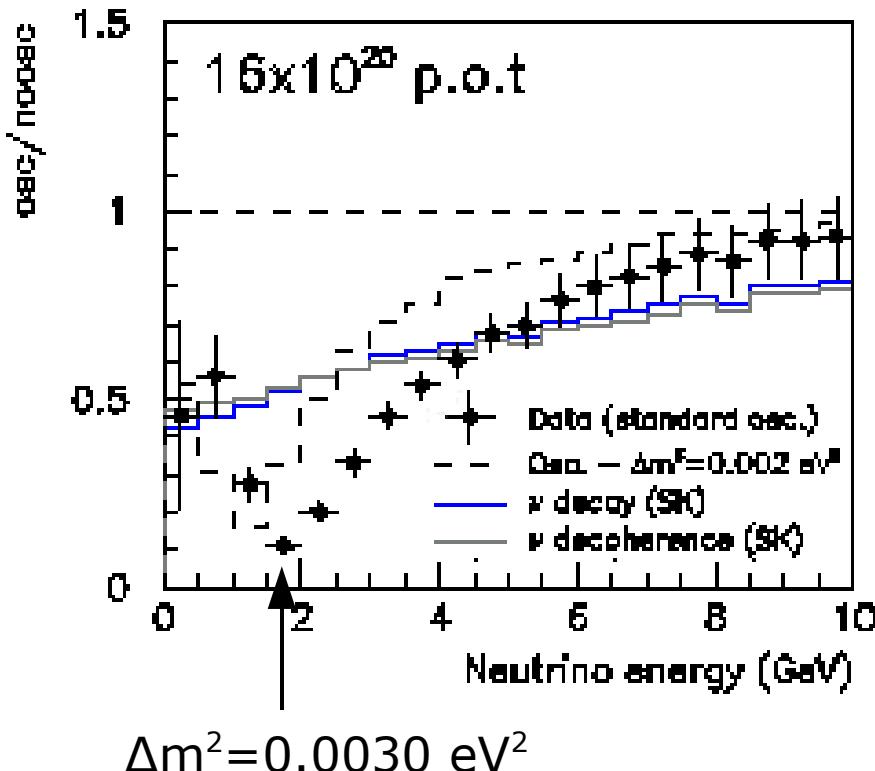


uncertainty	Δm_{32}^2 (10^{-4} eV 2)	$\sin^2 2\theta_{23}$
normalization $\pm 4\%$	0.63	0.025
muon energy scale $\pm 2\%$	0.14	0.020
rel. shower energy scale $\pm 3\%$	0.27	0.020
NC contamination $\pm 30\%$	0.77	0.035
CC cross section uncertainty	0.50	0.016
beam uncertainties	0.13	0.012
intra-nuclear rescattering	0.27	0.030
total systematic uncertainty	1.19	0.063
statistical uncertainty	5.80	0.150

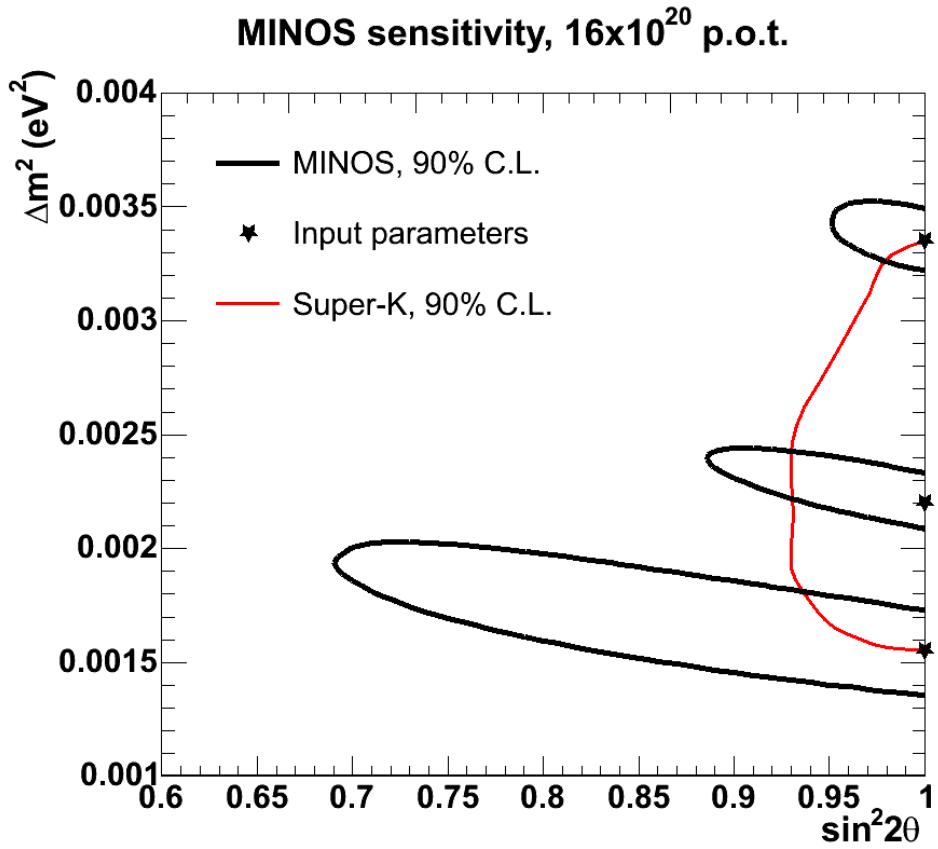


ν_μ disappearance

► Projected spectrum and sensitivity
for 16×10^{10} PoT (~ 5 years)



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Physics Reach

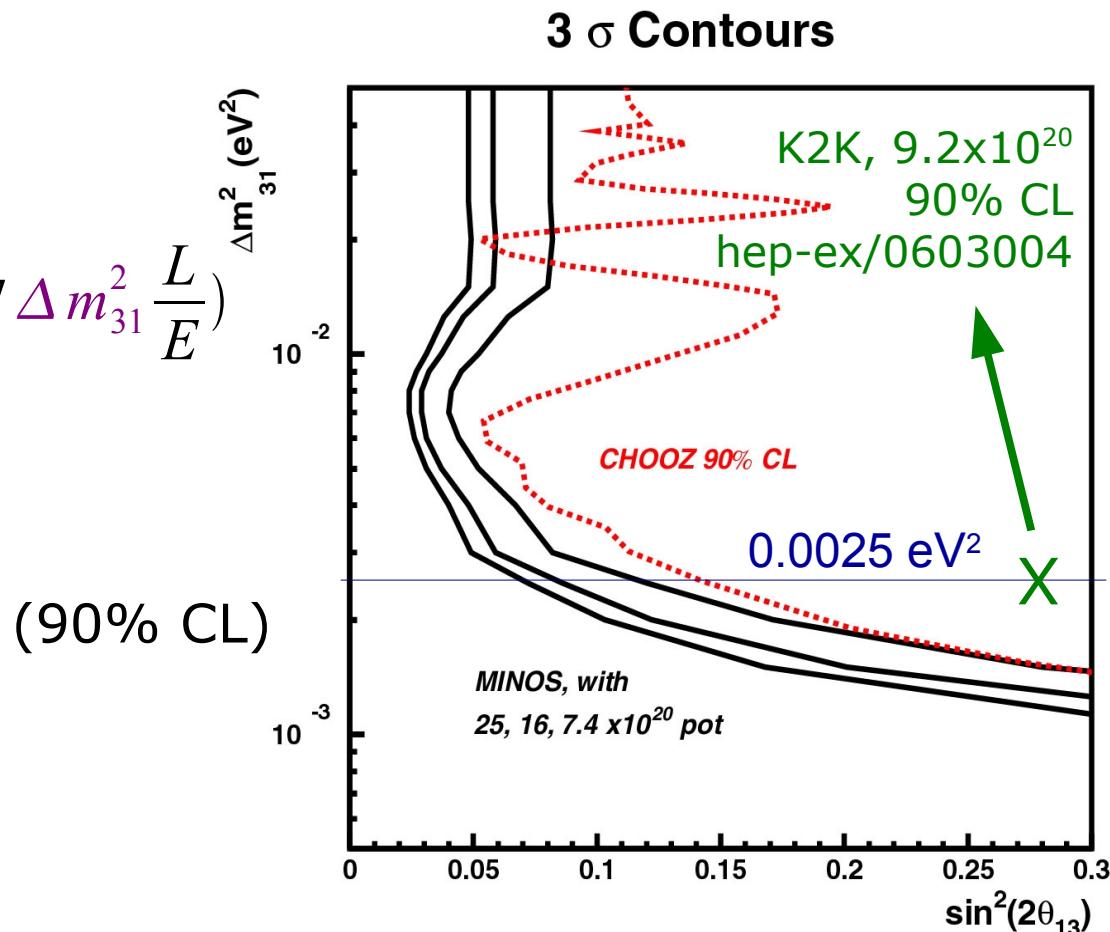
Search for $\nu_\mu \rightarrow \nu_e$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(1.27 \Delta m_{31}^2 \frac{L}{E})$$

$(\Delta m_{21}^2 \ll \Delta m_{32}^2 \simeq \Delta m_{31}^2)$

3 years: $\sin^2 2\theta_{13} < 0.12$

5 years: $\sin^2 2\theta_{13} < 0.09$



Other physics

- ▶ atmospheric neutrinos: $\nu \leftrightarrow \text{anti-}\nu$
hep-ex/0512036, accepted Phys. Rev. D
- ▶ neutrino cross sections, neutral current (sterile), anti-neutrinos, cosmic muons,...



Conclusions

- ▶ MINOS has performed a preliminary oscillation analysis using 0.93×10^{20} protons-on-target
- ▶ No-disappearance hypothesis excluded at 5σ level
- ▶ Oscillation fit results consistent with SuperK and K2K:

$$\Delta m_{32}^2 = 3.05_{-0.55}^{+0.60} (\text{stat}) \pm 0.12 (\text{syst}) \cdot 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta_{23} = 0.88_{-0.15}^{+0.12} (\text{stat}) \pm 0.06 (\text{syst})$$

- ▶ We have 40% more data waiting to be analyzed and we will start taking data again in June



Backup slides



Event Generator

Neutrino-nucleus interactions were generated using the NEUGEN3 neutrino event generator (H. Gallagher, Nucl.Phys.Proc.Suppl. **112**: 188-194, 2002)

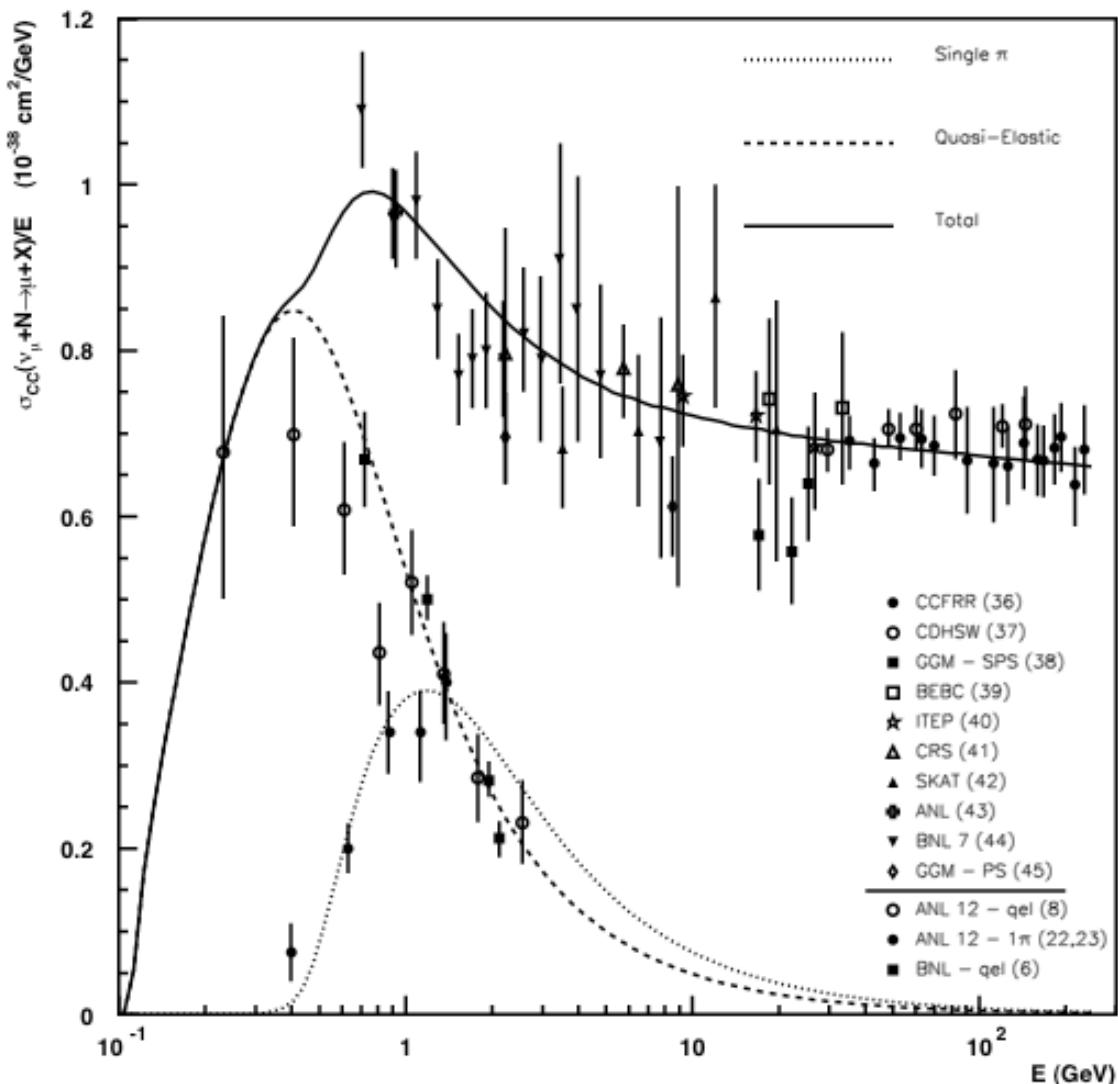
Quasi-Elastic: dipole param. of form factors with $m_a = 1.032 \text{ GeV}/c^2$.

Resonance Production: Rein-Seghal model for $W < 1.7 \text{ GeV}/c^2$.
(Annals Phys. **133**: 79, 1981)

DIS: Bodek-Yang modified LO model.

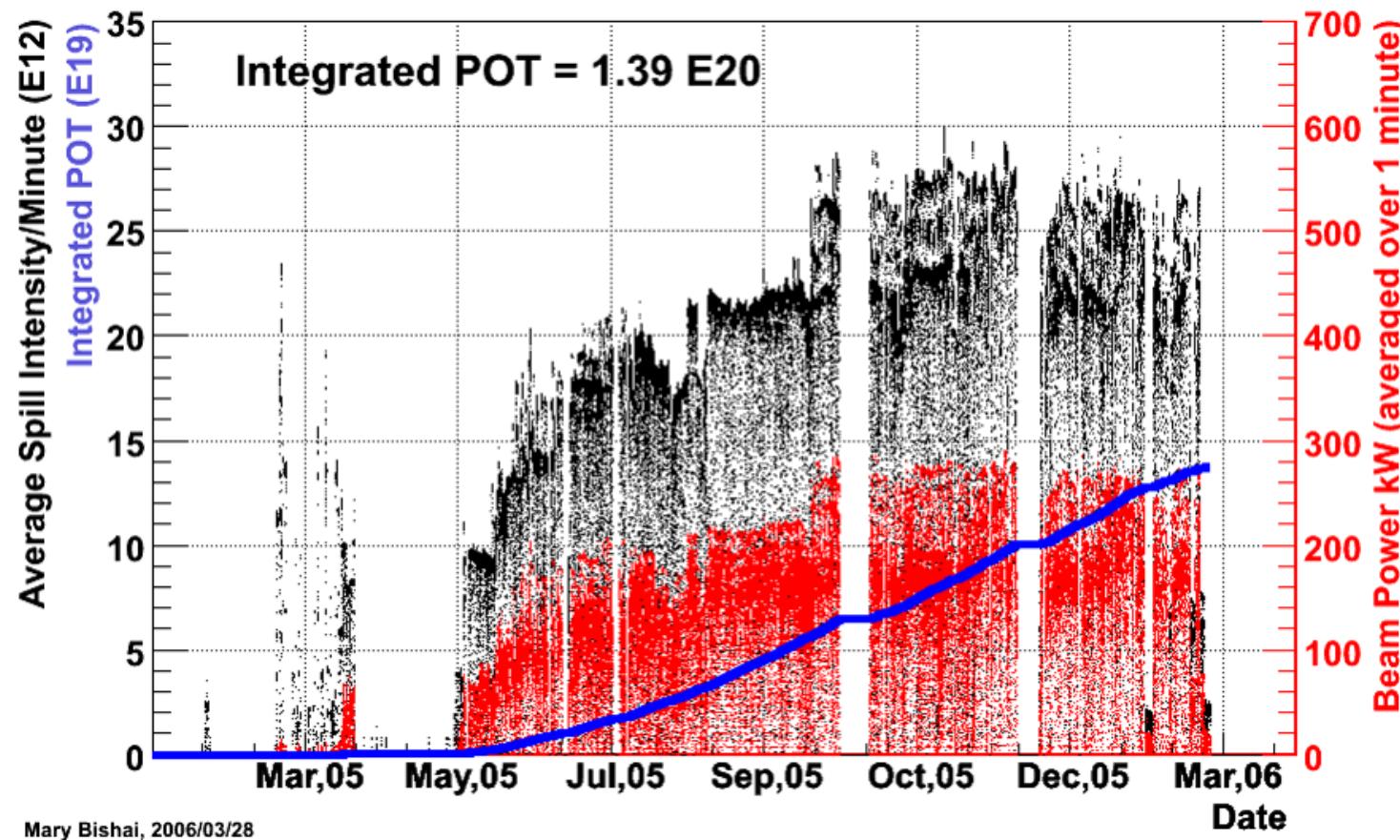
For $W < 1.7 \text{ GeV}$ tuned to electron and neutrino data in the resonance / DIS overlap region.
(Bodek-Yang, Nucl. Phys. Proc. Suppl. **139**: 113-118, 2005 and H. Gallagher, NuINT05 Proceedings)

Coherent Production: Rein-Seghal (Nucl. Phys. B **223**: 29, 1983)





First year NuMi running



Averages from Oct 15- Jan 31:

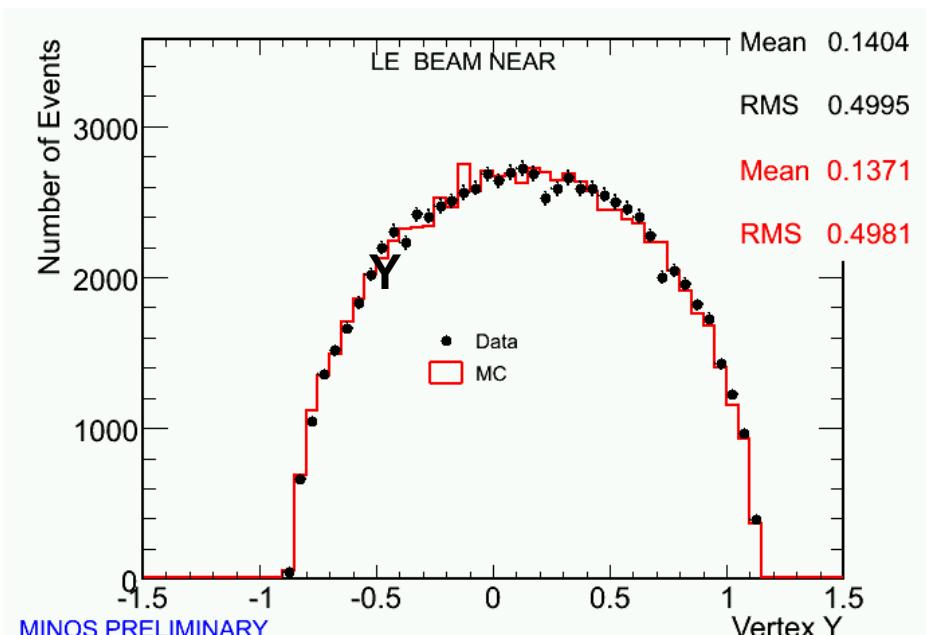
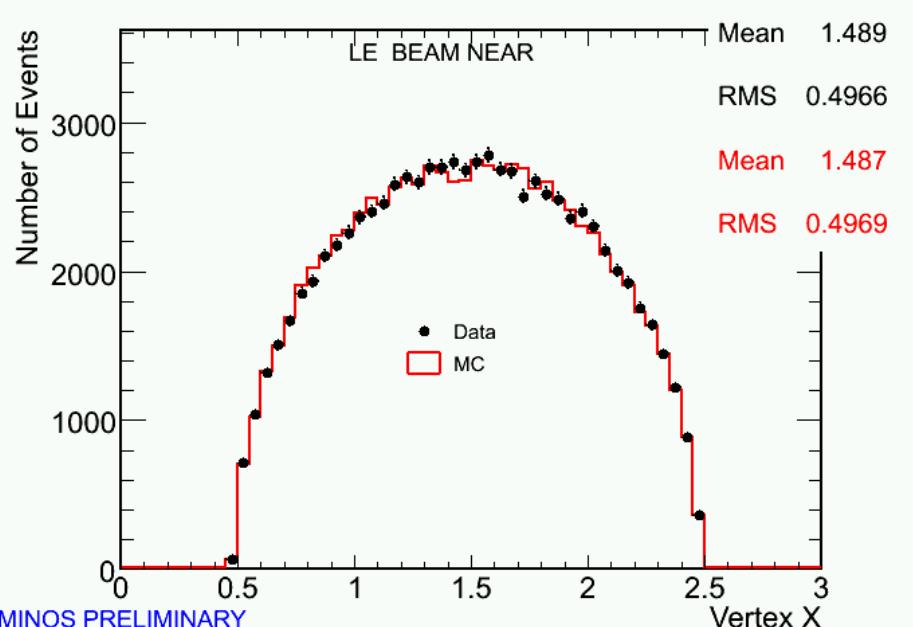
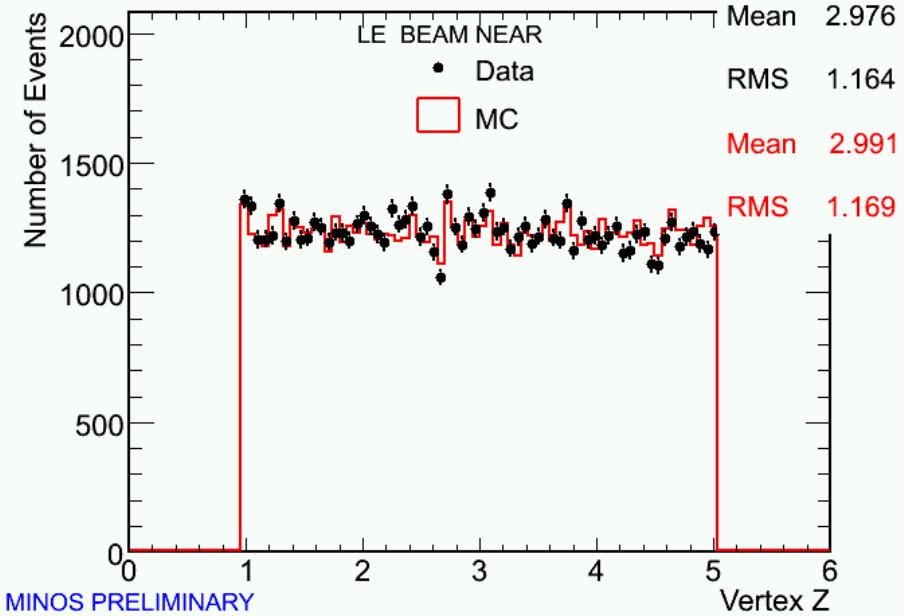
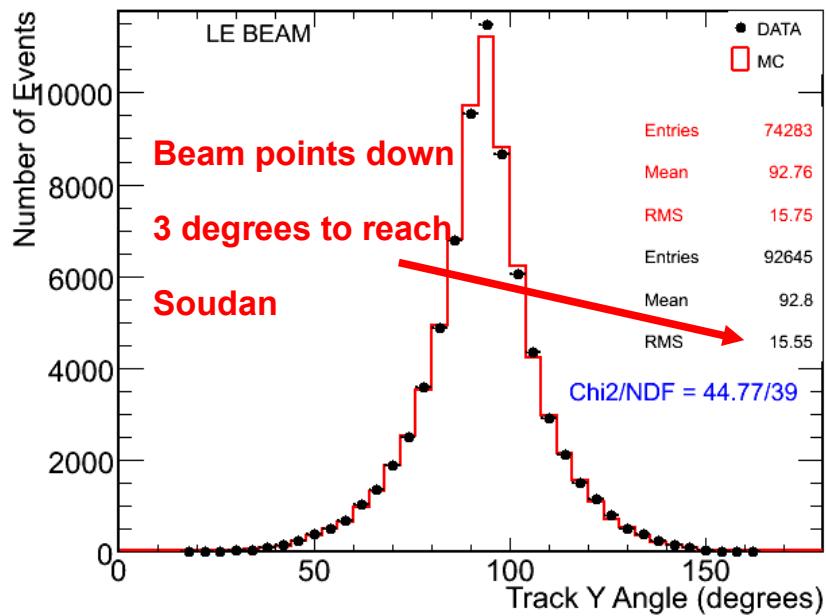
- ✓ power: 170 kW
- ✓ intensity: 2.3×10^{13} PoT/spill
- ✓ rep rate: 2.2s

Records:

- ✓ power: 270 kW (30 min)
- ✓ intensity: 3.0×10^{13} PoT

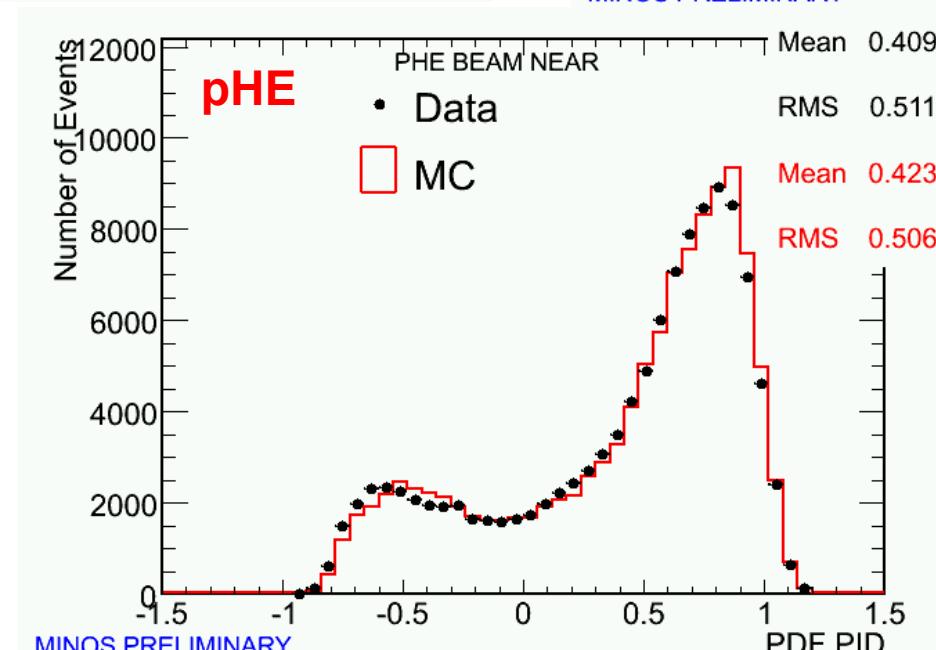
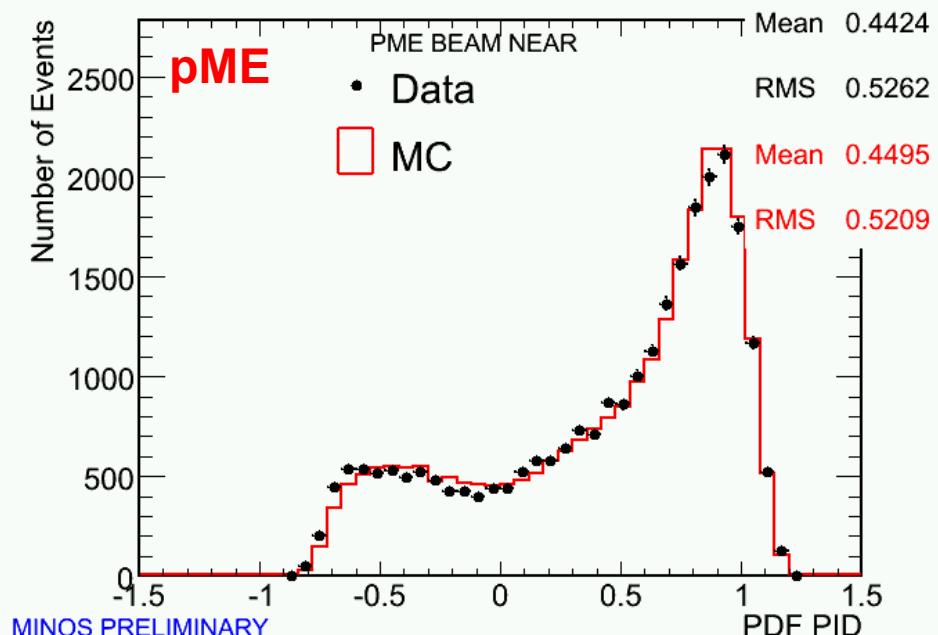
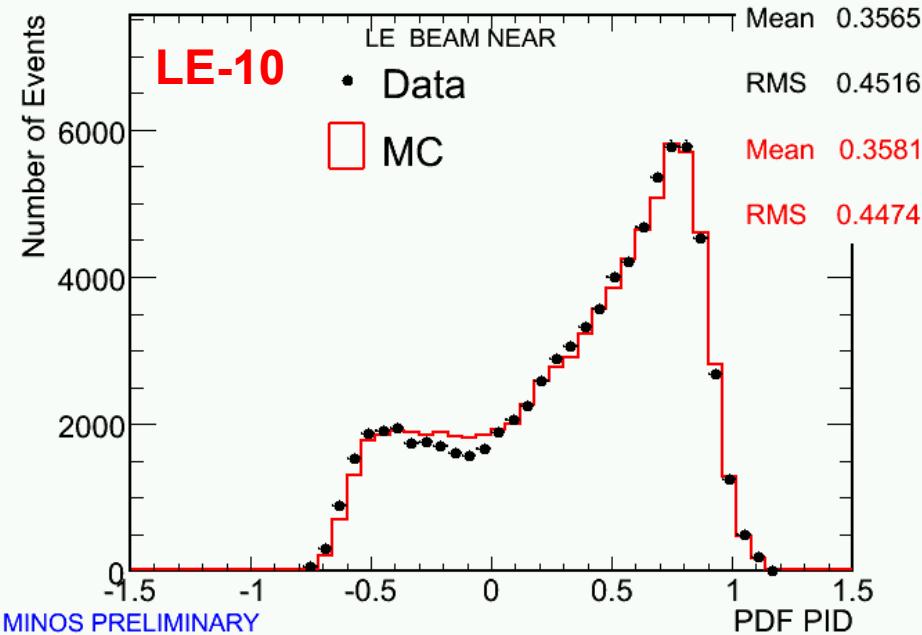


ND distributions





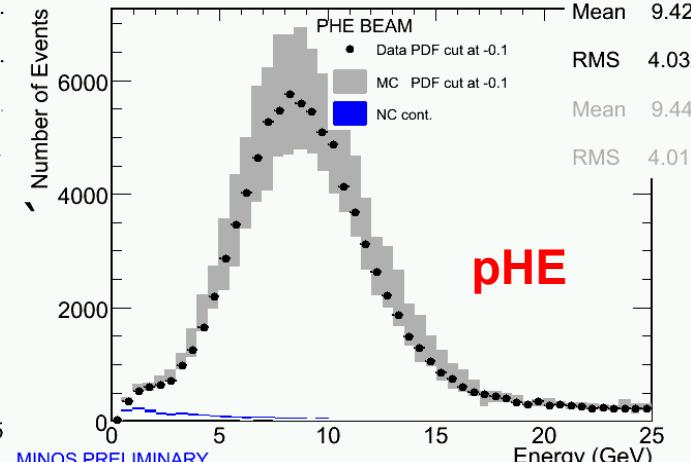
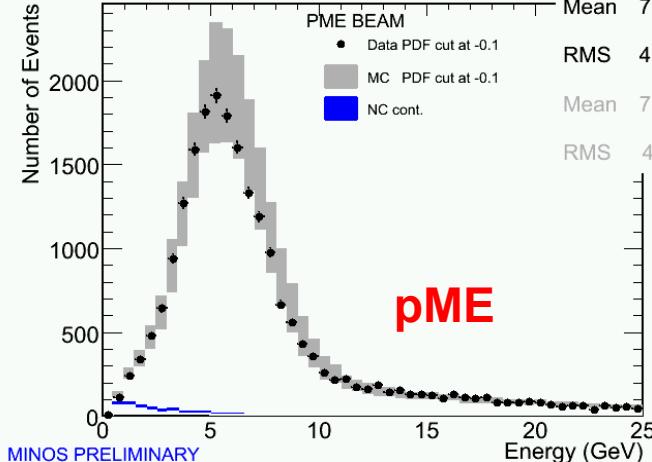
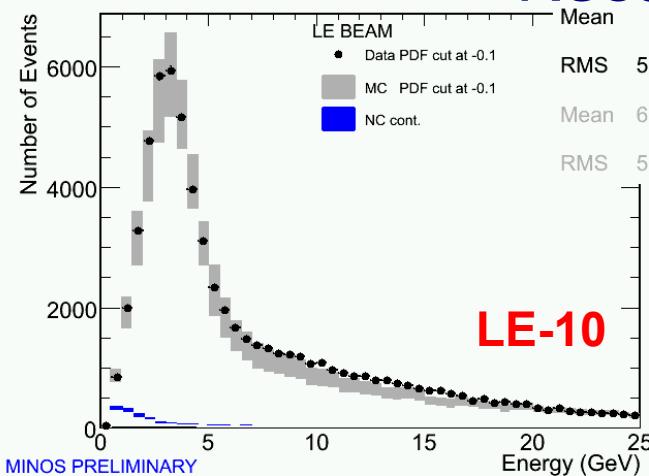
ND PID Distributions



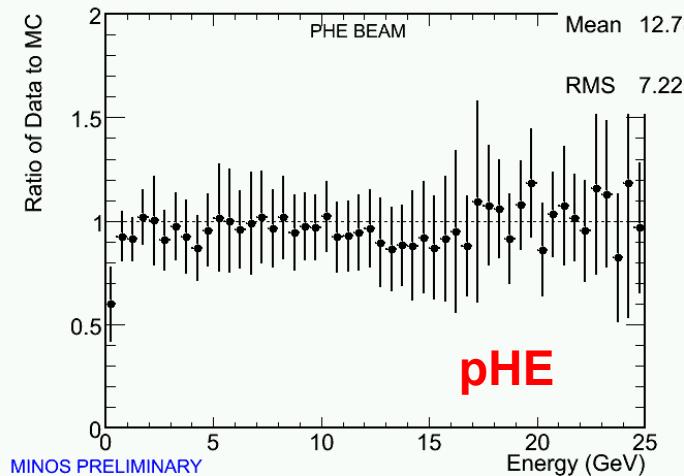
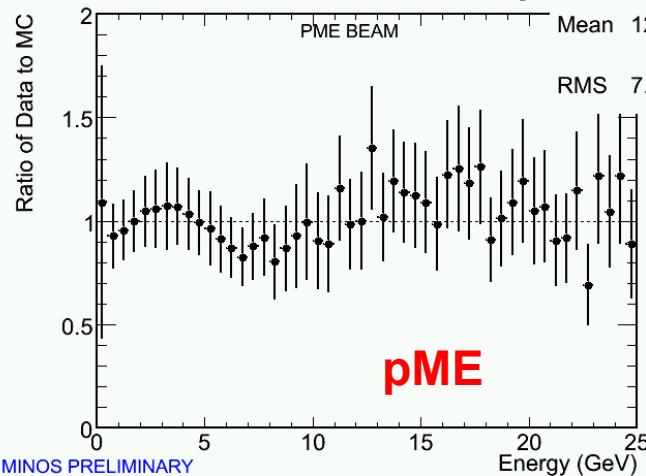
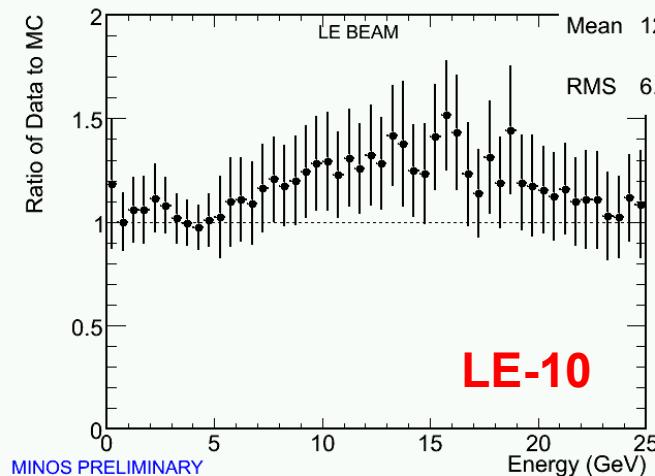
PID cut to select
CC-like events is
at -0.1



Reconstructed Event Energy (GeV)



Ratios of Data/MC

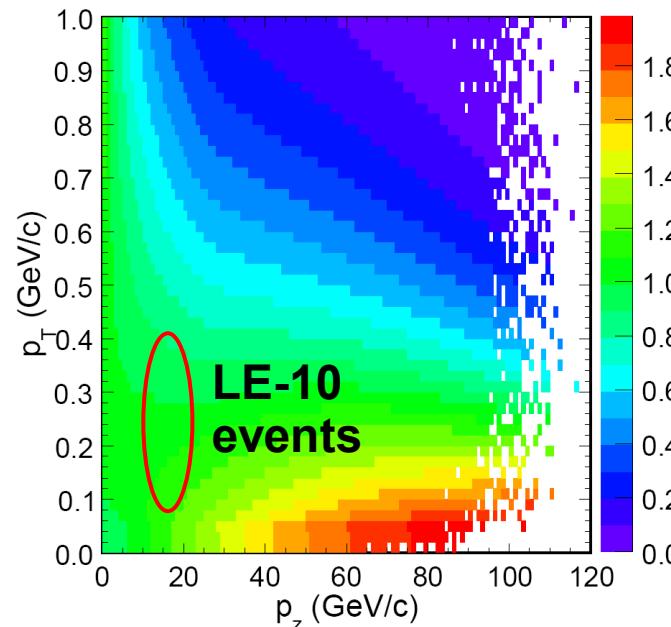
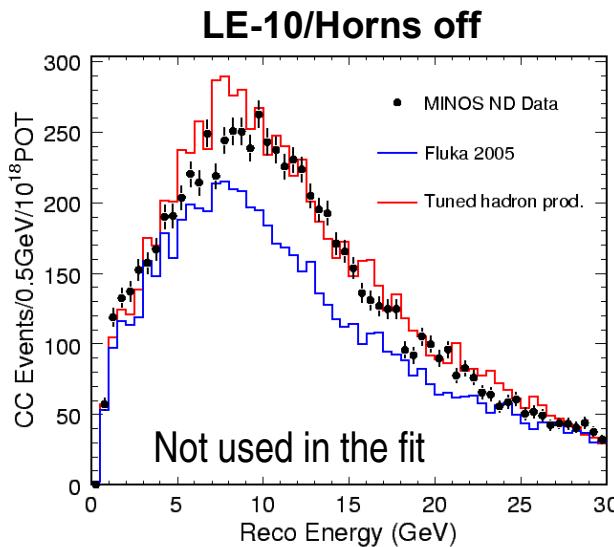
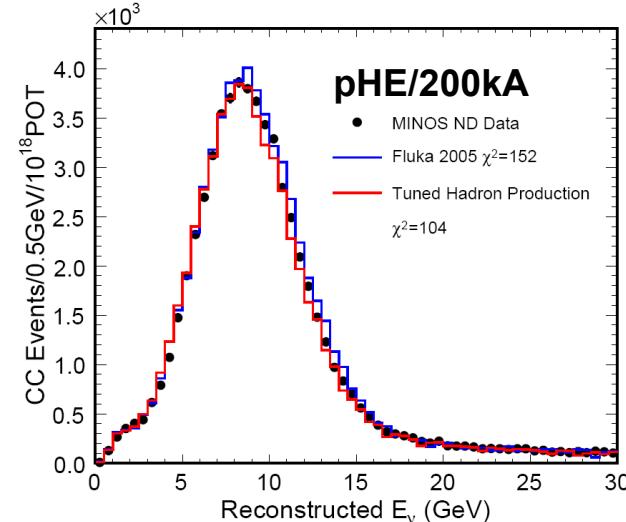
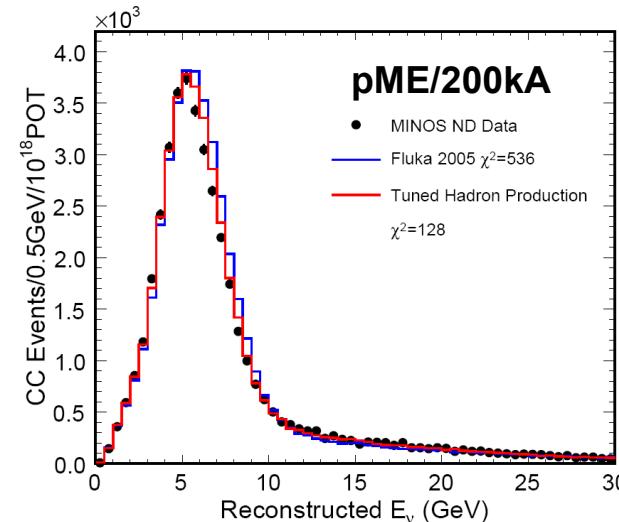
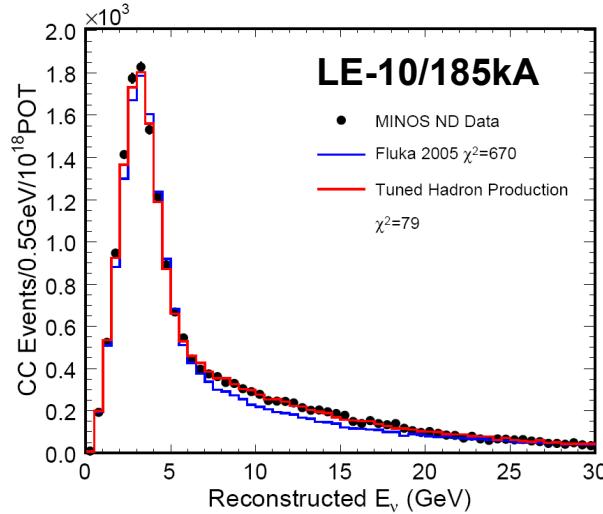




Hadron production tuning

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Good agreement data - Fluka05 Beam MC is, tuning MC by fitting to hadronic x_F and p_T , improves agreement.

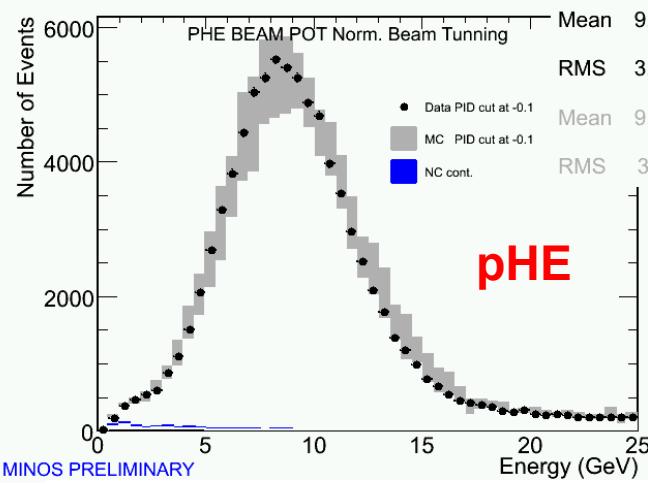
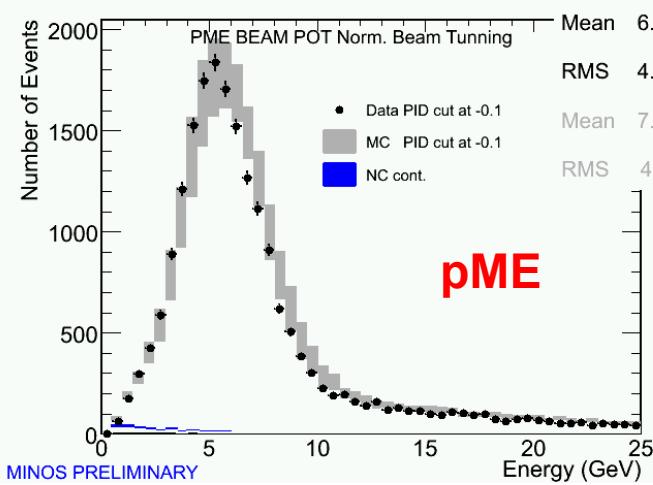
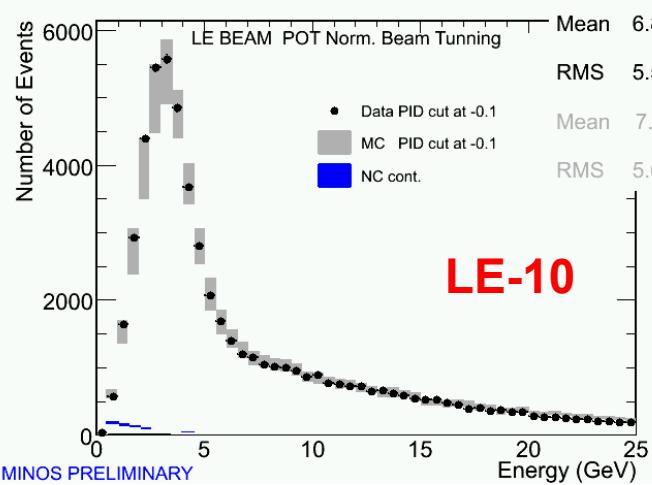


Weights applied as a function of hadronic x_F and p_T .

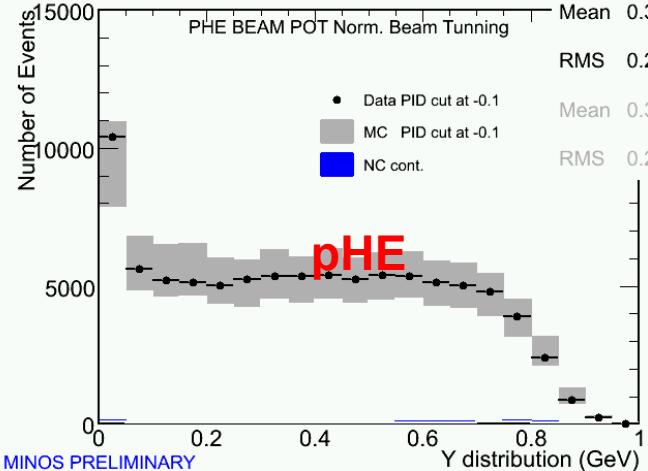
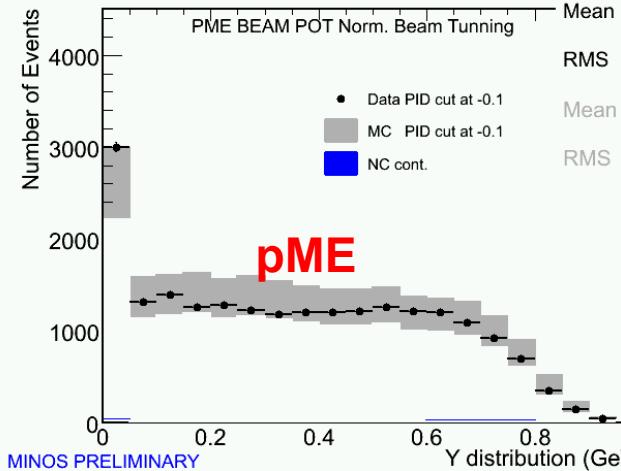
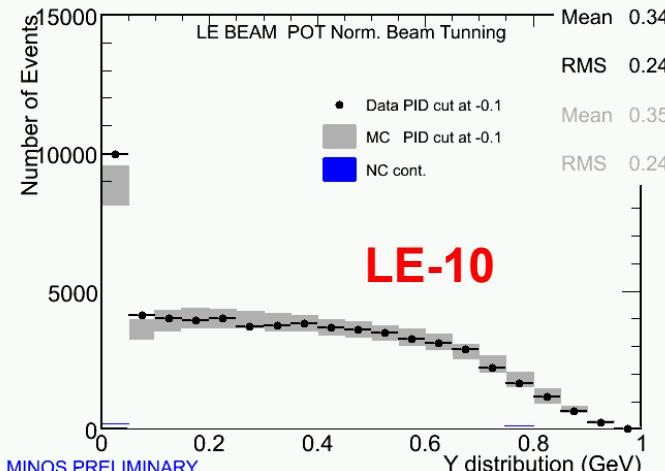


ND spectra & Y

Reconstructed Neutrino Energy (GeV)



Reconstructed $\Upsilon = E_{\text{shw}}/(E_{\text{shw}} + E_{\mu})$



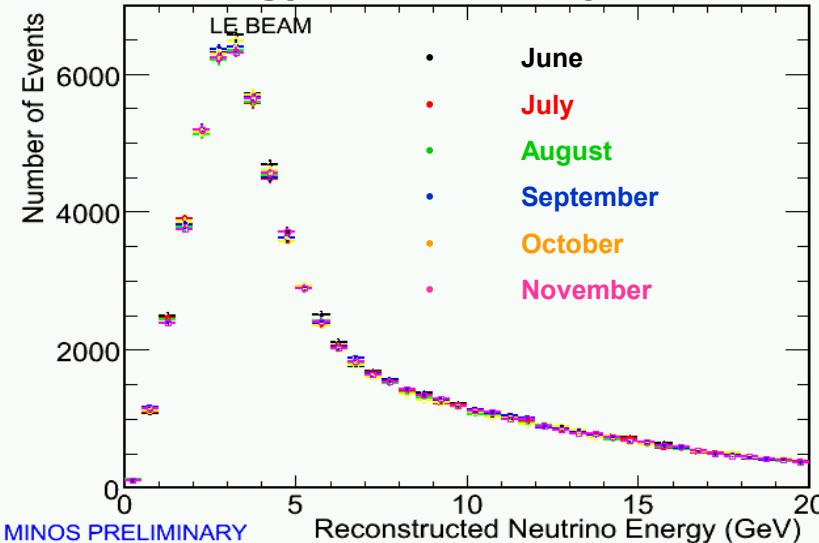
These distributions shown after x_F , p_T reweighting



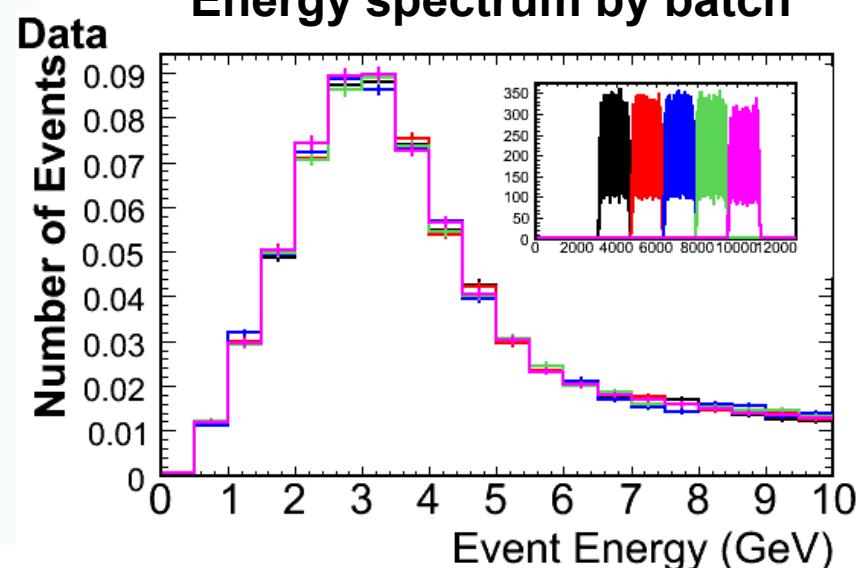
ND Spectrum Stability

► proton intensity ranges from 10^{13} ppp – 2.8×10^{13} ppp

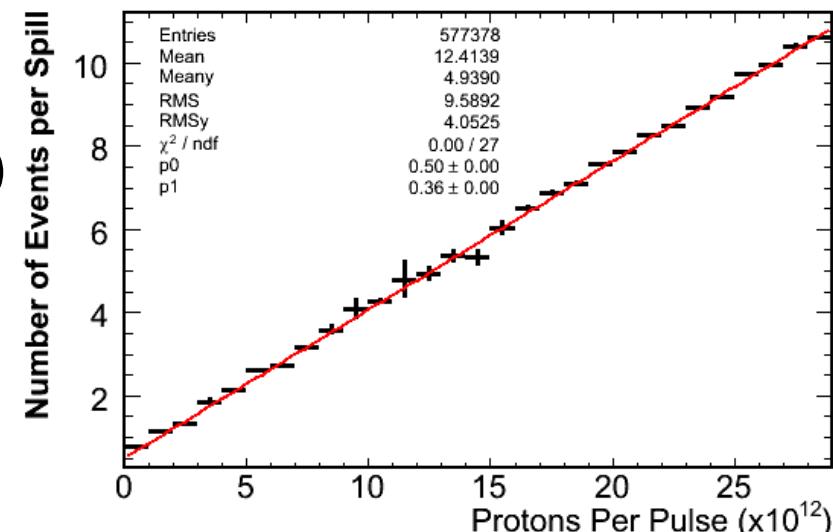
Energy spectrum by Month



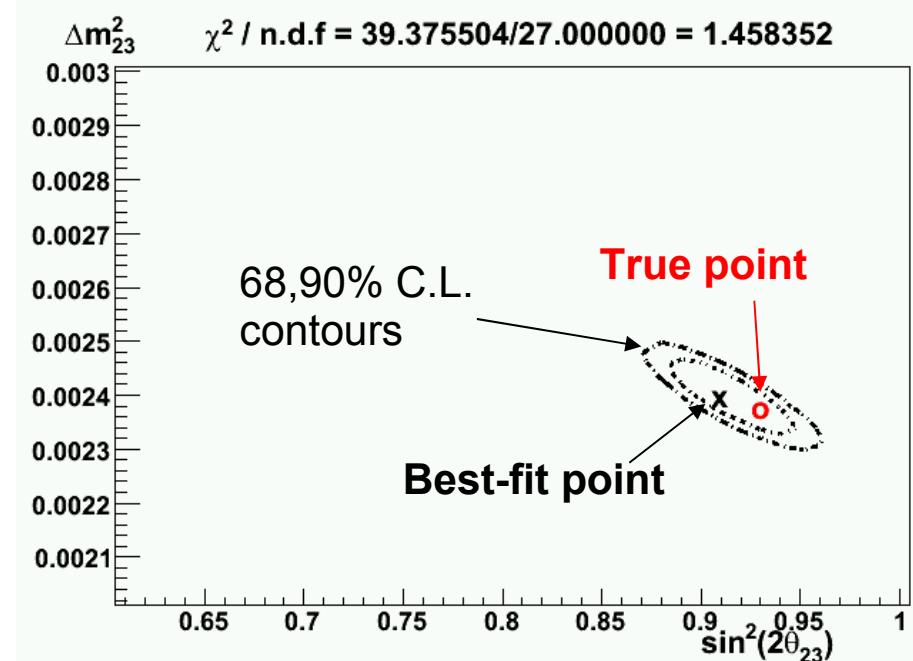
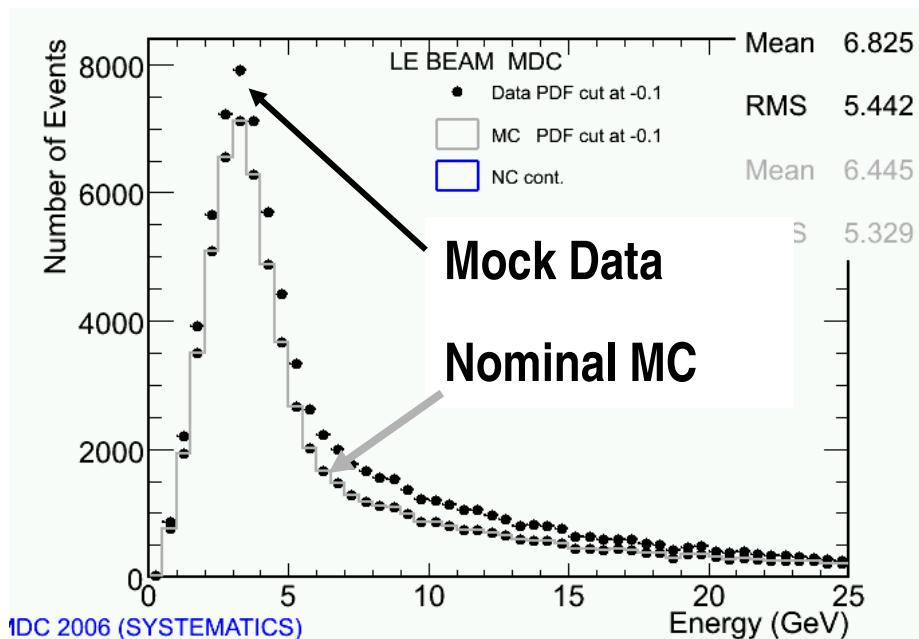
Energy spectrum by batch



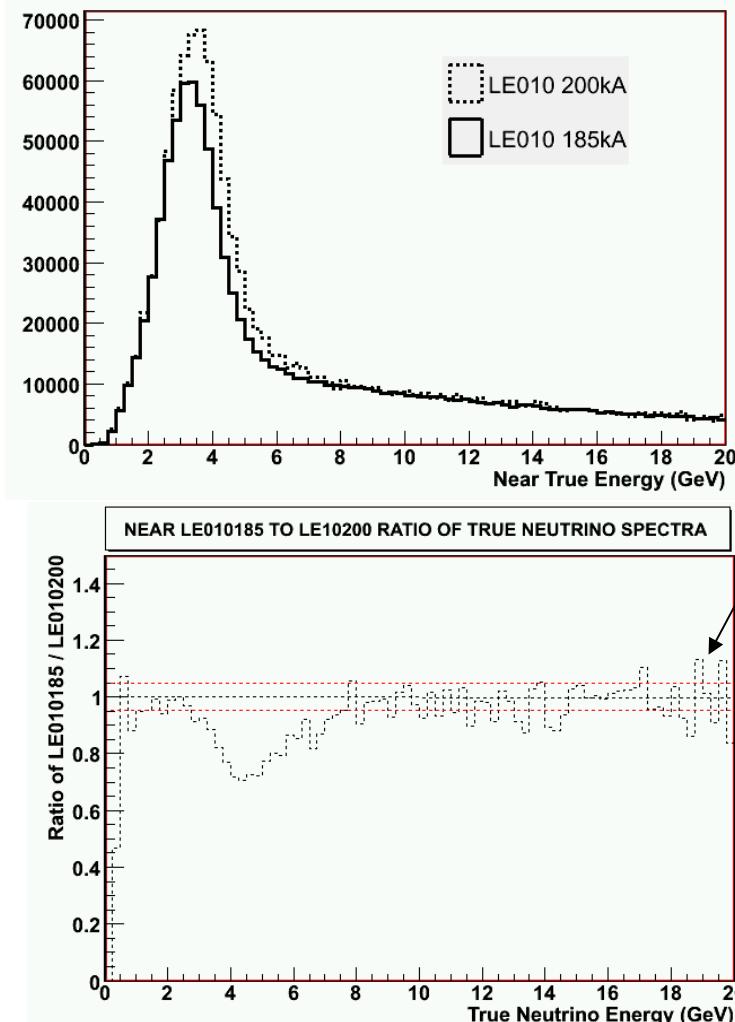
- Reconstructed energy distributions agree to within statistical uncertainties ($\sim 1\text{-}3\%$)
- Beam is very stable and there are no significant intensity-dependent biases in event reconstruction.



- ▶ test on 10^{22} PoT “Mock Data Chalange Set”
- ▶ fake dataset generated with tweaked beam/generator and unknown oscillation parameters

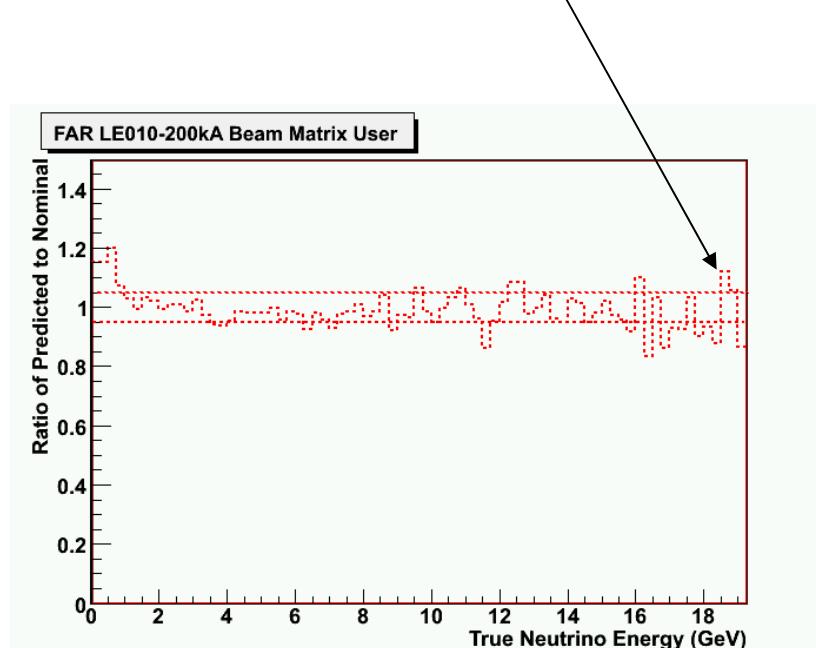


Beam Matrix Method yields to an accurate estimation of the oscillation parameters despite the large differences between “Mock Data” and Monte Carlo (even for $1E22$ protons on target!)



► Use LE010 200kA matrix instead of LE10 185kA

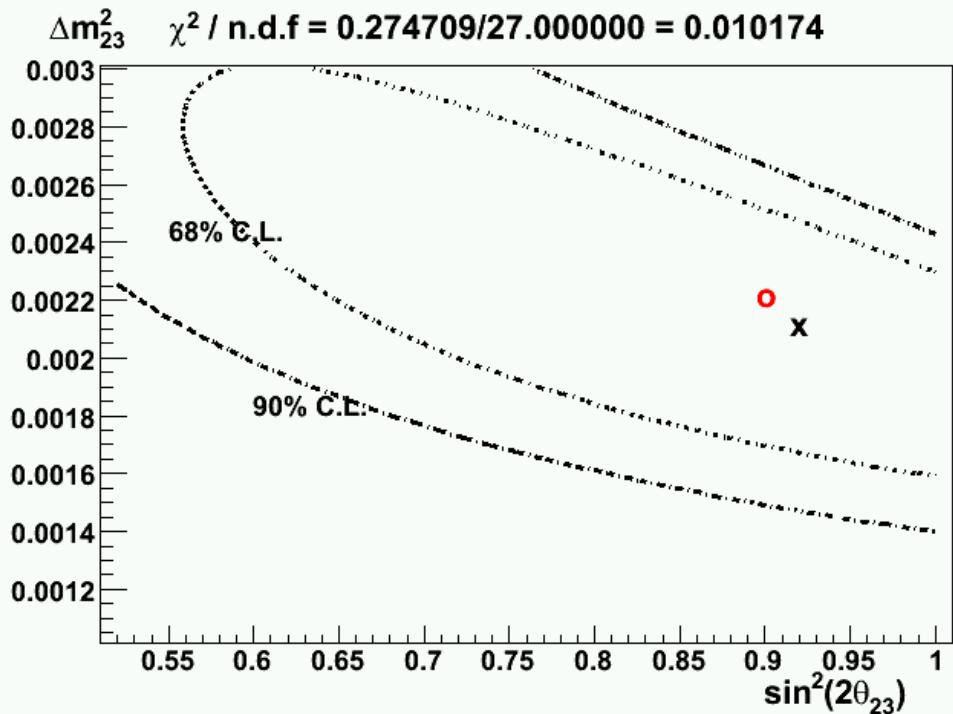
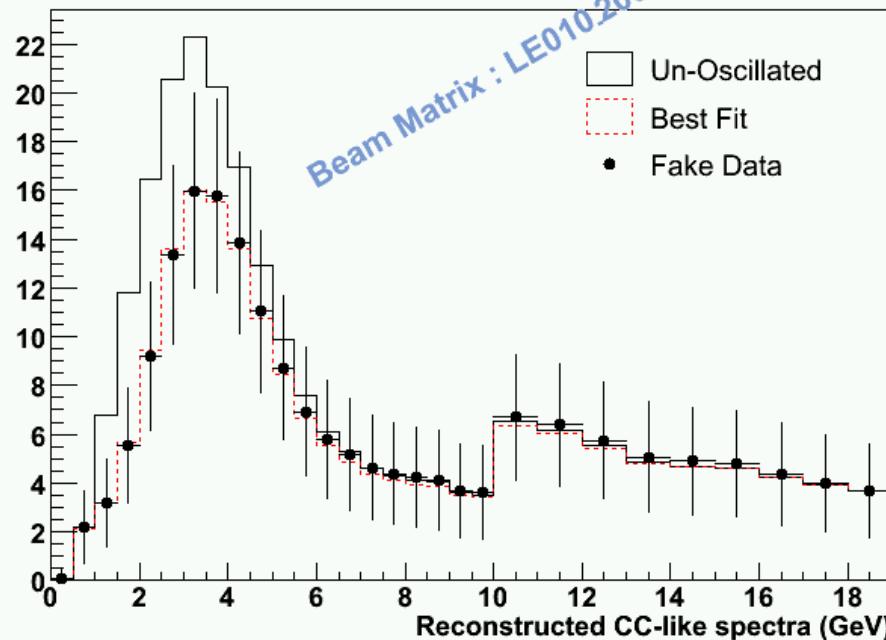
NOTE :Red dotted bands are $\pm 5\%$.



- The predicted FD spectrum is within 5% to the “actual” one.
- Beam Matrix Method quite robust to beam related uncertainties

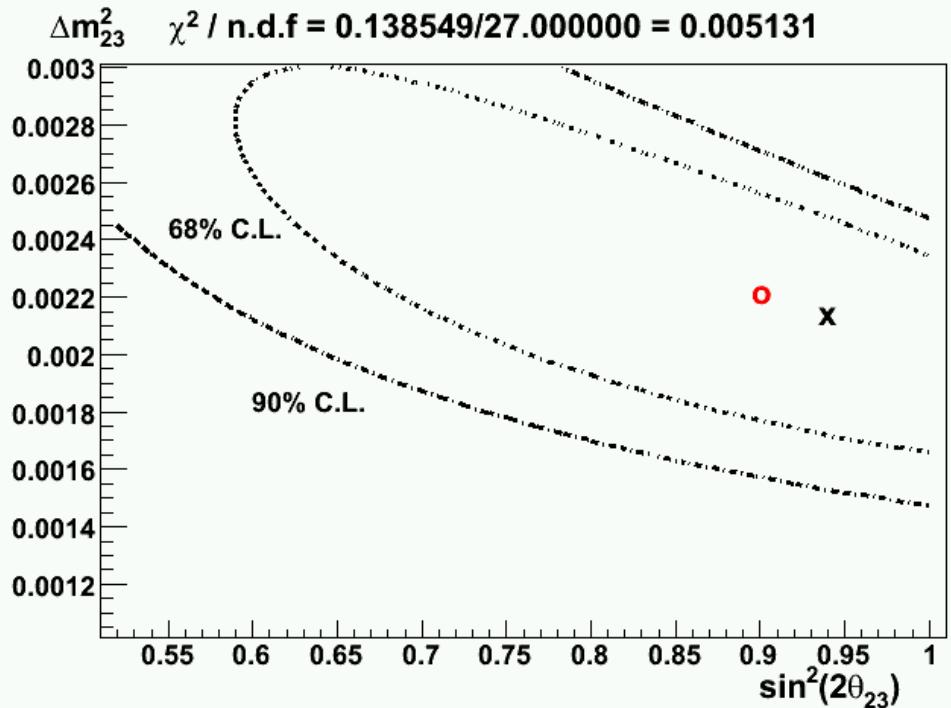
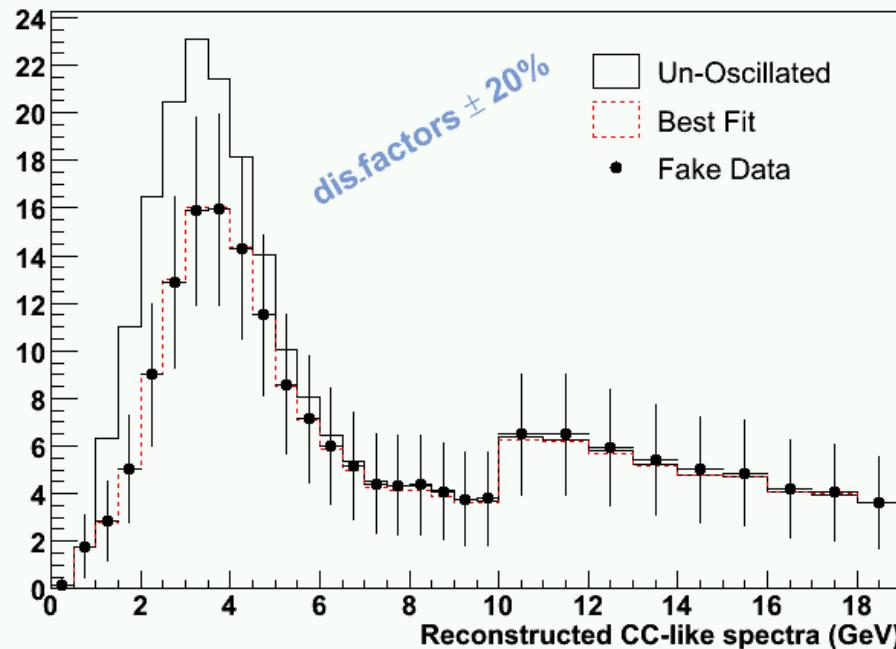
► Different beam matrix used for fit to fake data

Fake data Result : Extrapolated Spectrum Beam Matrix



► DIS cross section changed by 20% in fake data sample

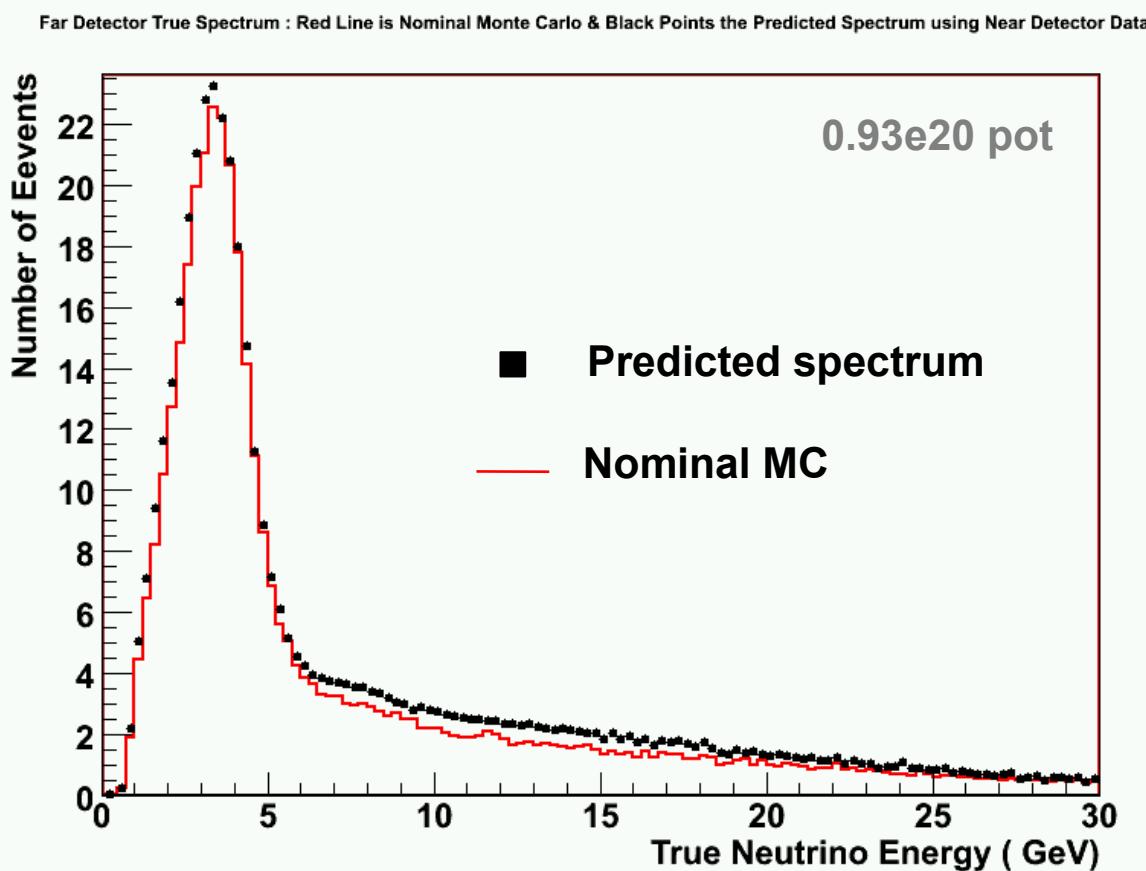
Fake data Result : Extrapolated Spectrum



- Normalisation: $\pm 4\%$
 - POT counting, Near/Far selection efficiency
- Relative shower energy scale: $\pm 3\%$
 - Inter-Detector calibration uncertainty
- Muon energy scale: $\pm 2\%$
 - Uncertainty in dE/dX in MC
- NC contamination of CC-like sample: $\pm 30\%$
 - From shape and normalisation of ND PID distribution
- CC cross-section uncertainties:
 - M_A (qel) and M_A (res) - $\pm 5\%$
 - KNO RES-DIS scaling factors - $\pm 20\%$
- Intranuclear rescattering: $\pm 10\%$ shower energy scale uncertainty
- Beam uncertainty: difference between fits with weighted/unweighted MC



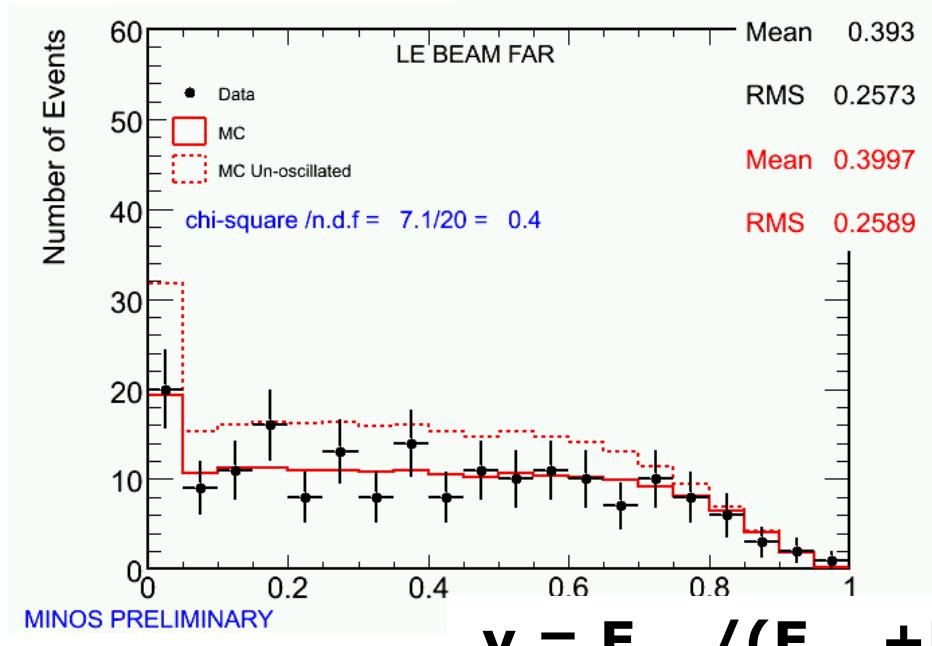
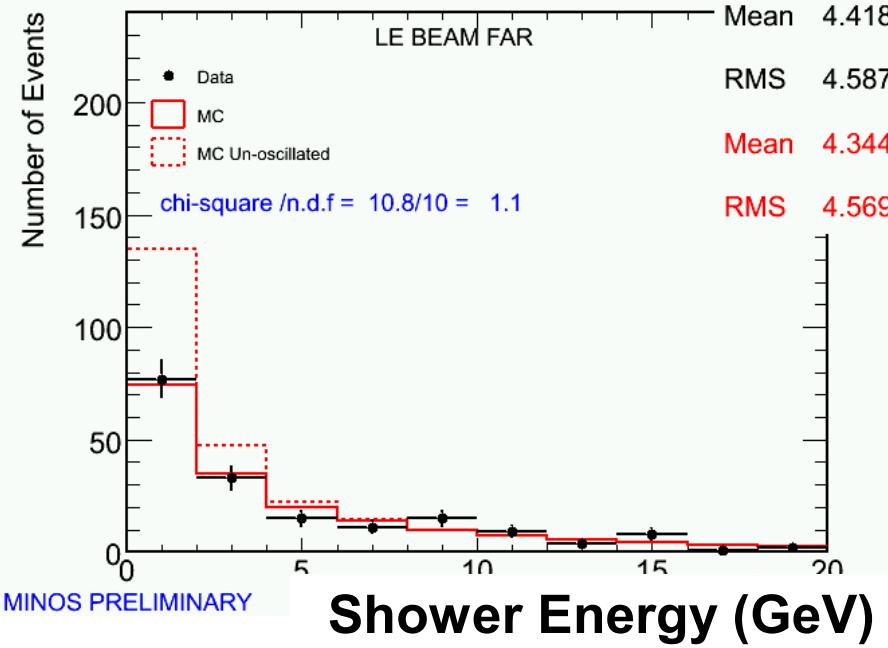
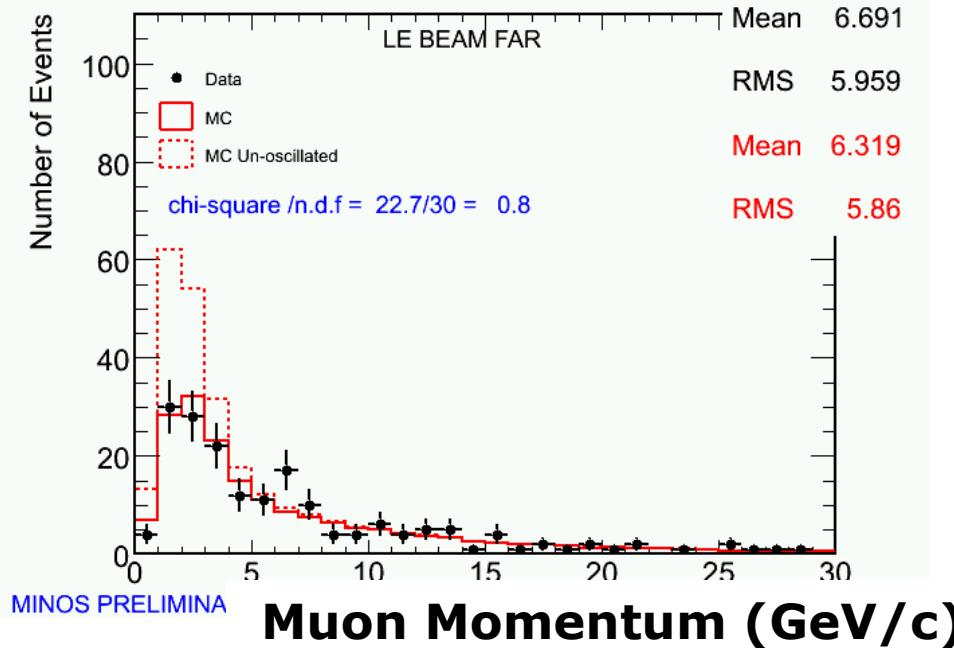
Predicted FD spectrum



- The predicted FD true spectrum from the Matrix Method is shown on the left.
- **The spectrum is higher than the nominal FD MC in the high energy tail. This is as expected, given that the ND Data visible energy distribution is also higher than the nominal MC in this region.**

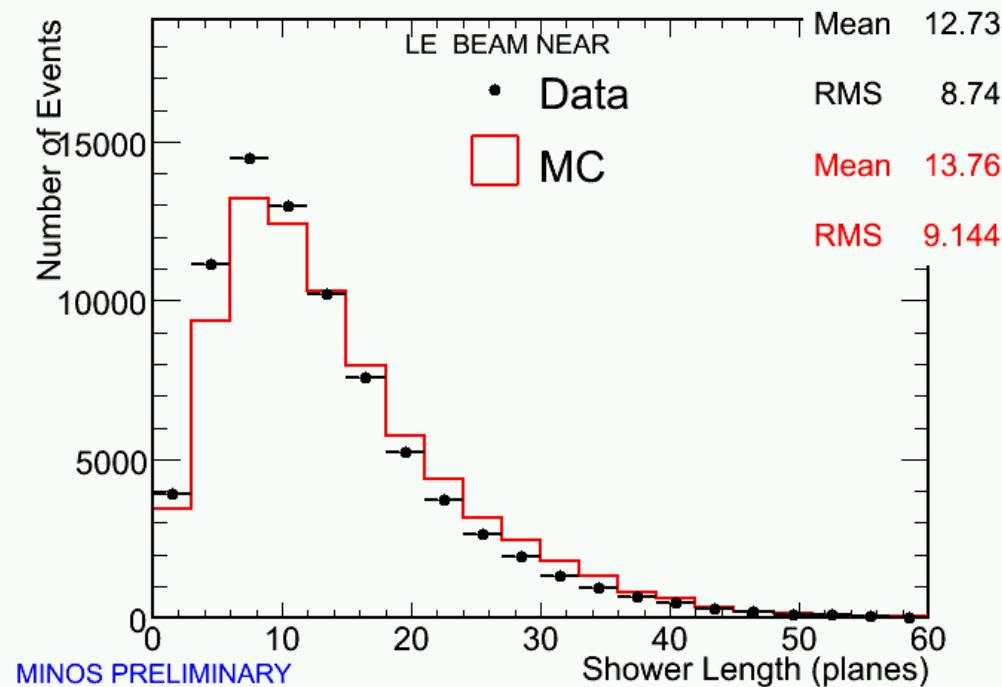
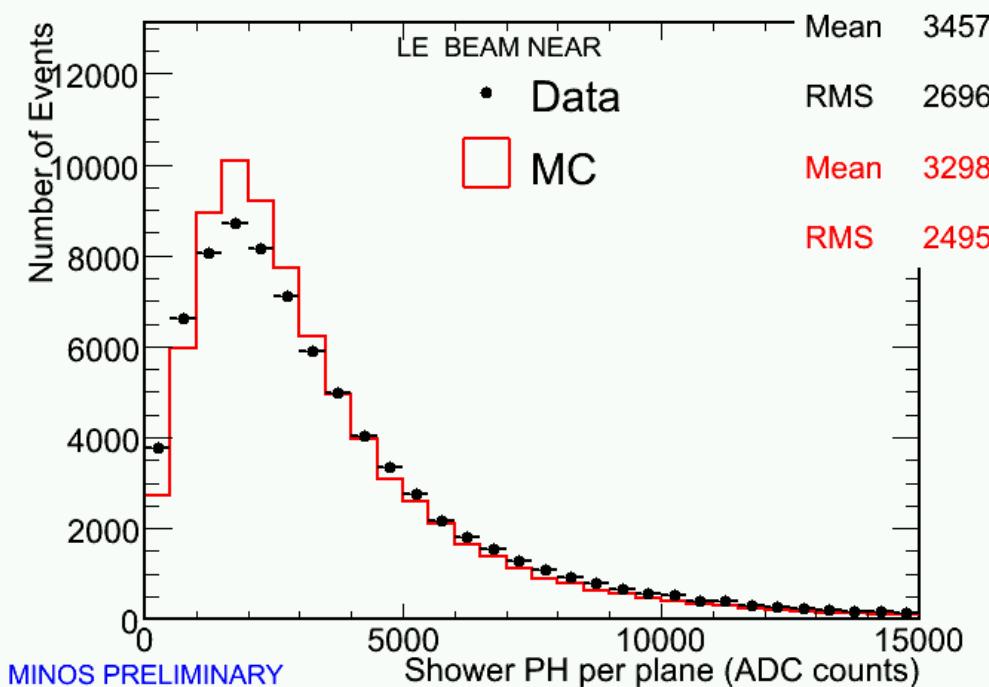


FD data distributions





Shower profiles



- Data showers tend to be slightly shorter and more “dense” than MC showers



ν_e appearance

- ▶ Expected events for 1.4×10^{20} PoT:

NC	2.80	(66%)	$\sin^2 2\theta_{23} = 1.0$
CC	0.62	(15%)	$\Delta m^2_{32} = 0.0025 \text{ eV}^2$
Beam ν_e	0.58	(14%)	$\sin^2 2\theta_{13} = 0.12$
ν_τ ($\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$)	0.23	(5%)	
total background	4.23		2.8 signal events



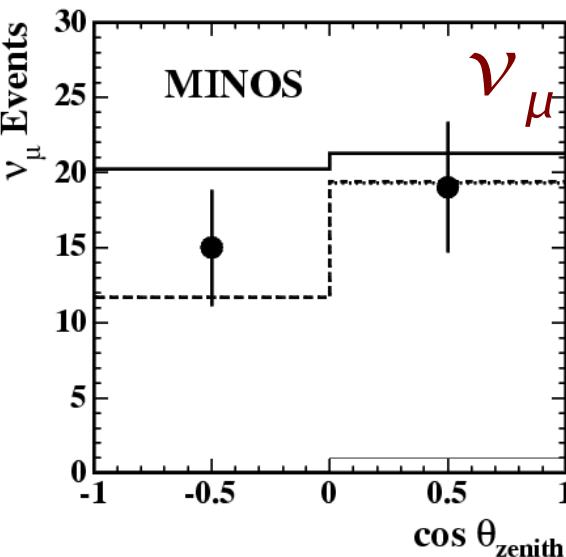
- ▶ Backgrounds will be estimated from data:
 - Horn off data in ND: disentangle NC – CC
 - Beam ν_e : measure $\bar{\nu}_\mu$ from $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$ in ND
 - muon removal in CC events



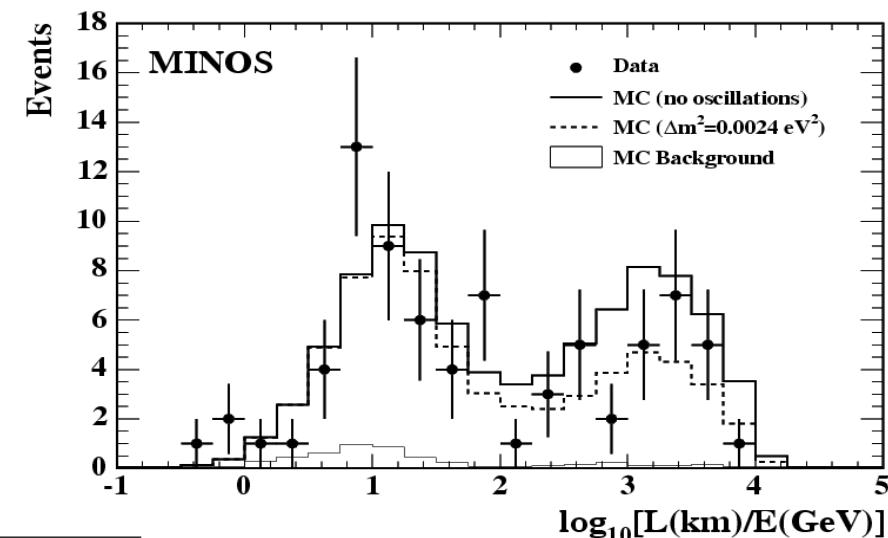
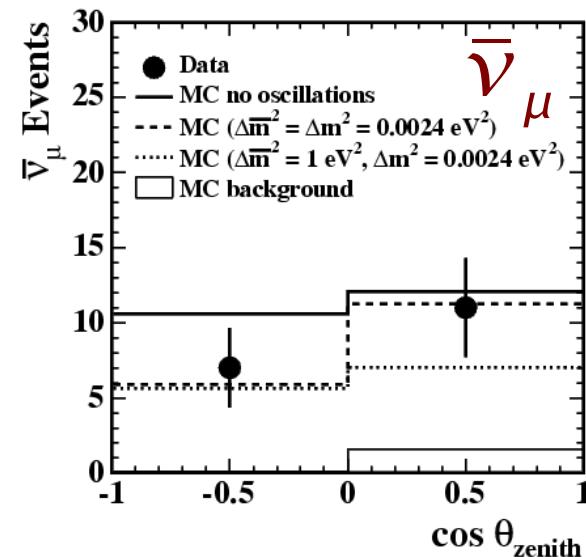
Atmospheric Neutrinos

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- ▶ FD taken cosmic data since July 2003
- ▶ Fully and partially contained neutrino interactions
- ▶ oscillation analysis
- ▶ $\nu \leftrightarrow \text{anti-}\nu$ separation
- ▶ hep-ex/0512036
accepted Phys. Rev. D



Mark Dierckxsens



$$\frac{R_{\bar{\nu}/\nu}^{\text{data}}}{R_{\bar{\nu}/\nu}^{\text{MC}}} = 0.96^{+0.41}_{-0.31}$$

assume equal
oscillations for $\nu, \bar{\nu}$