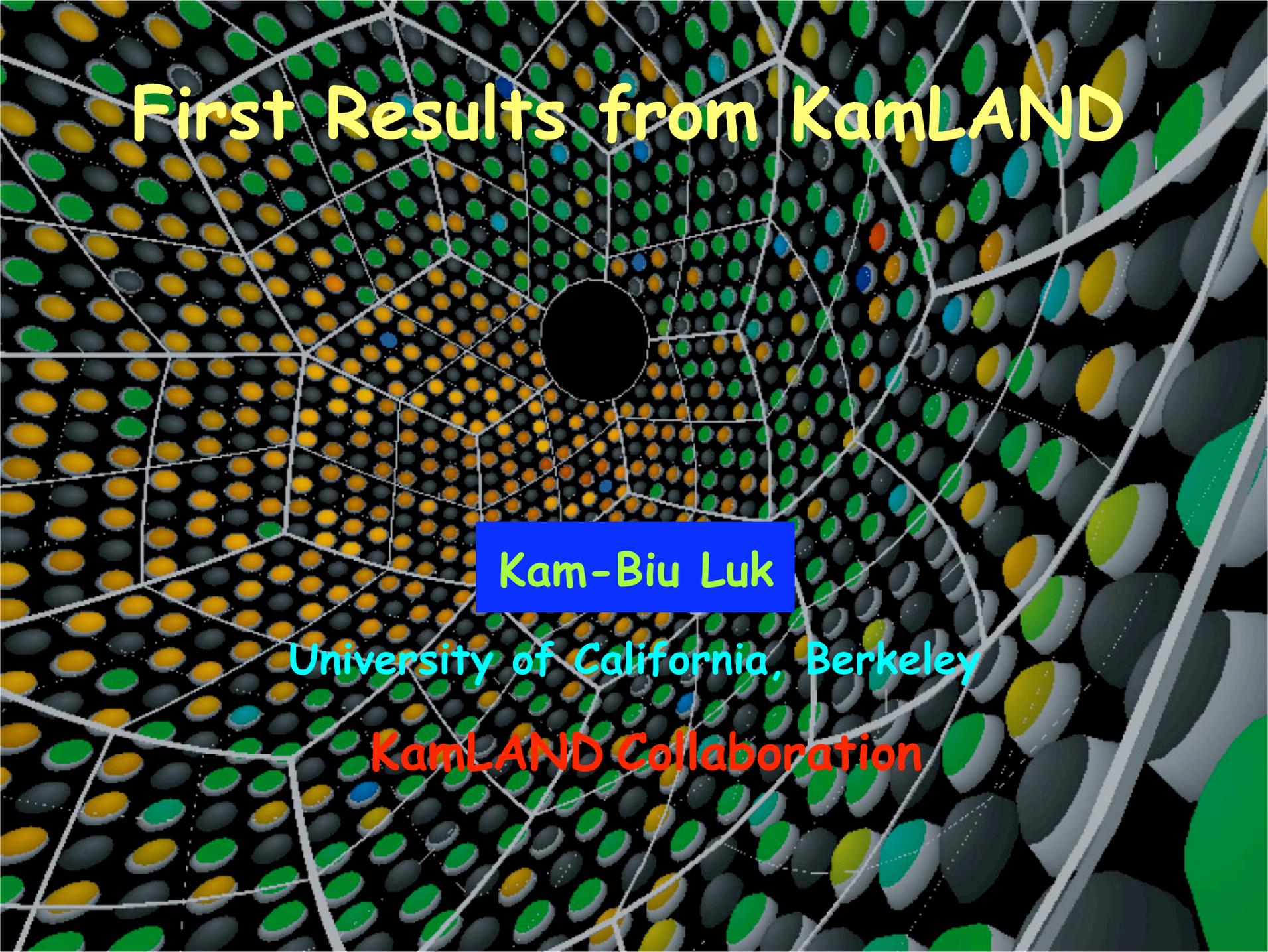


# First Results from KamLAND



Kam-Biu Luk

University of California, Berkeley

KamLAND Collaboration

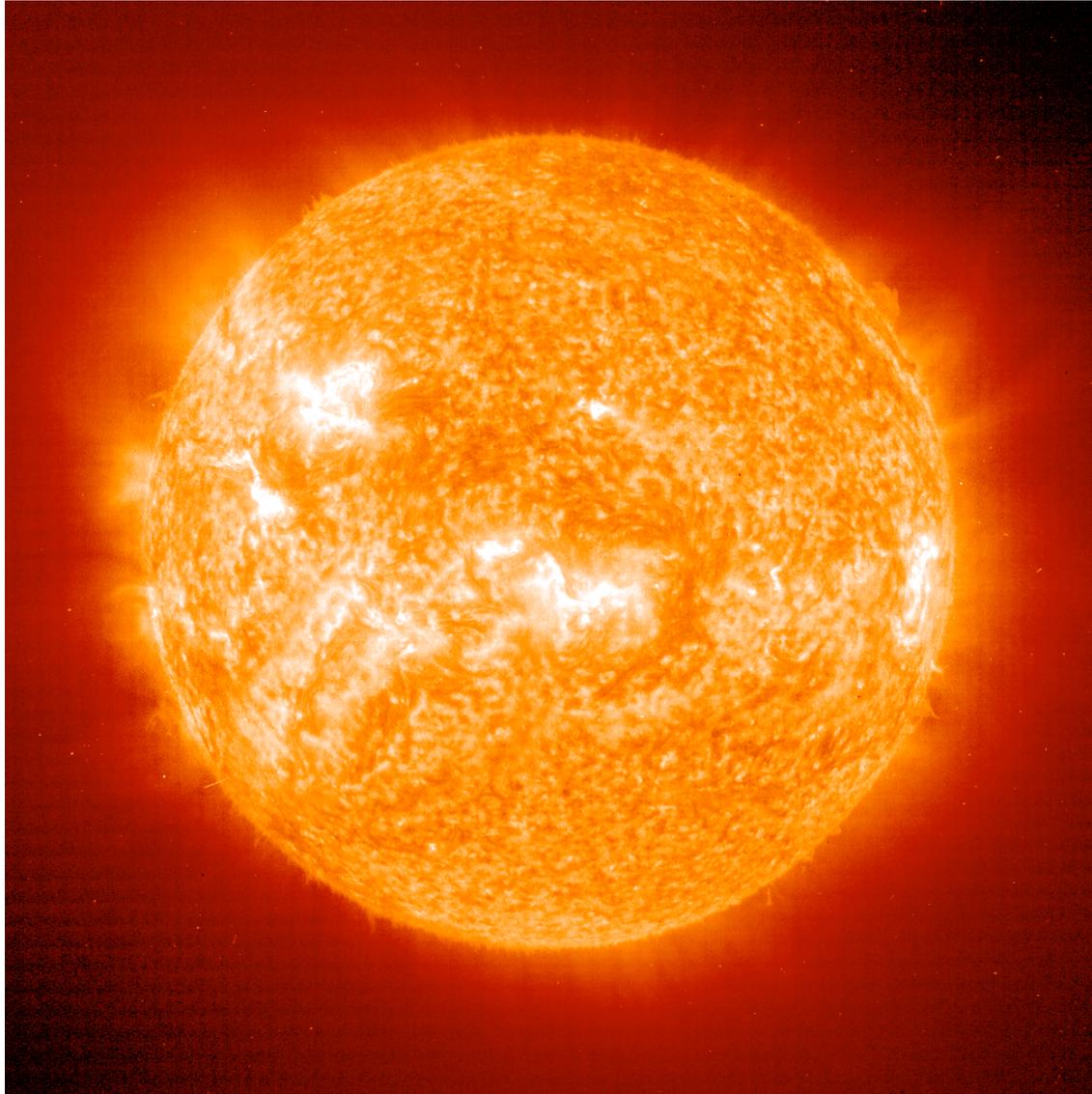


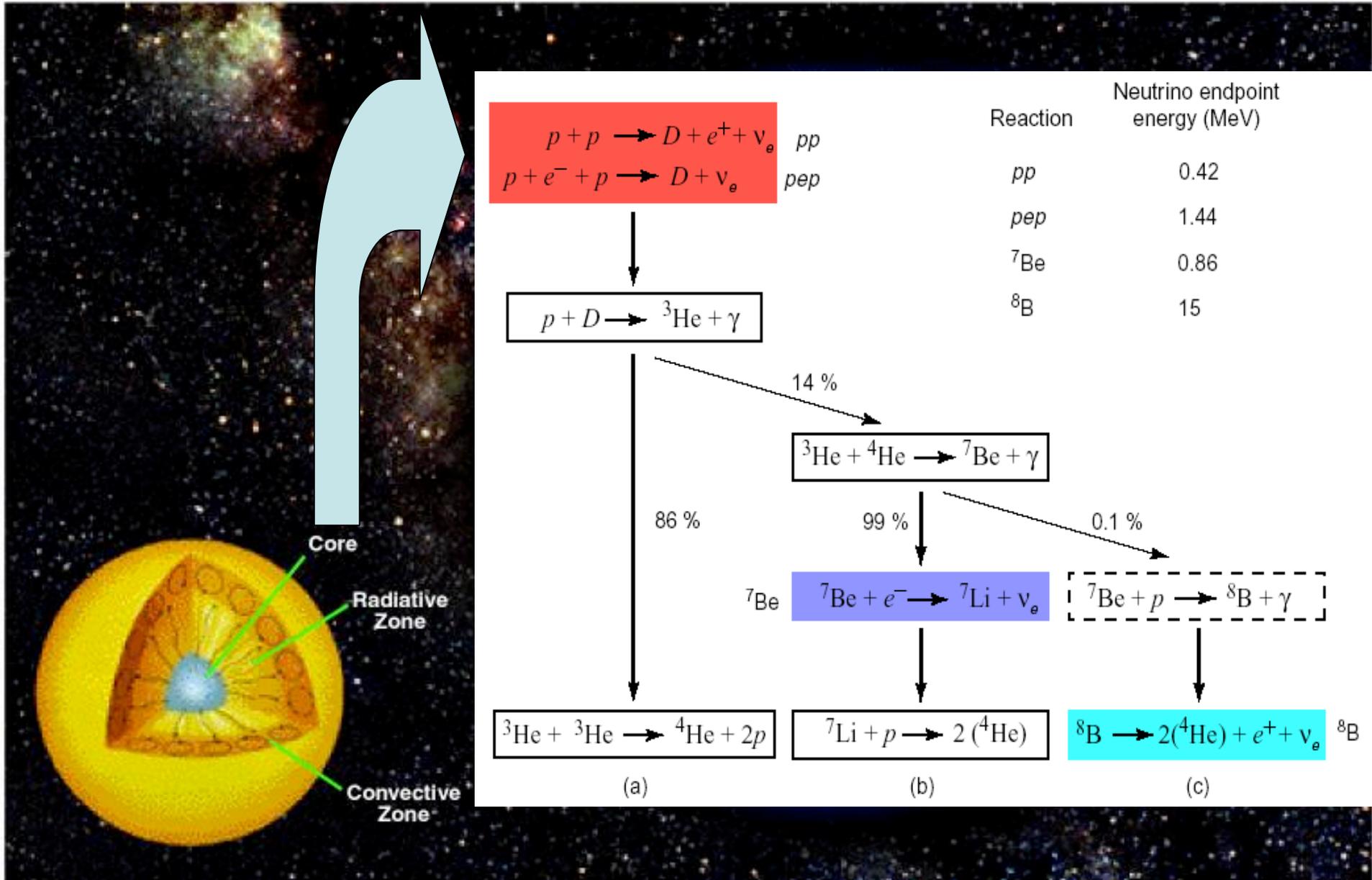
## Outline

1. Solar Neutrino 'Problem'
2. KamLAND Experiment
3. Detector Construction
4. Reactor Anti-neutrinos
5. Detector Performance
6. Analysis & Results
7. Conclusions



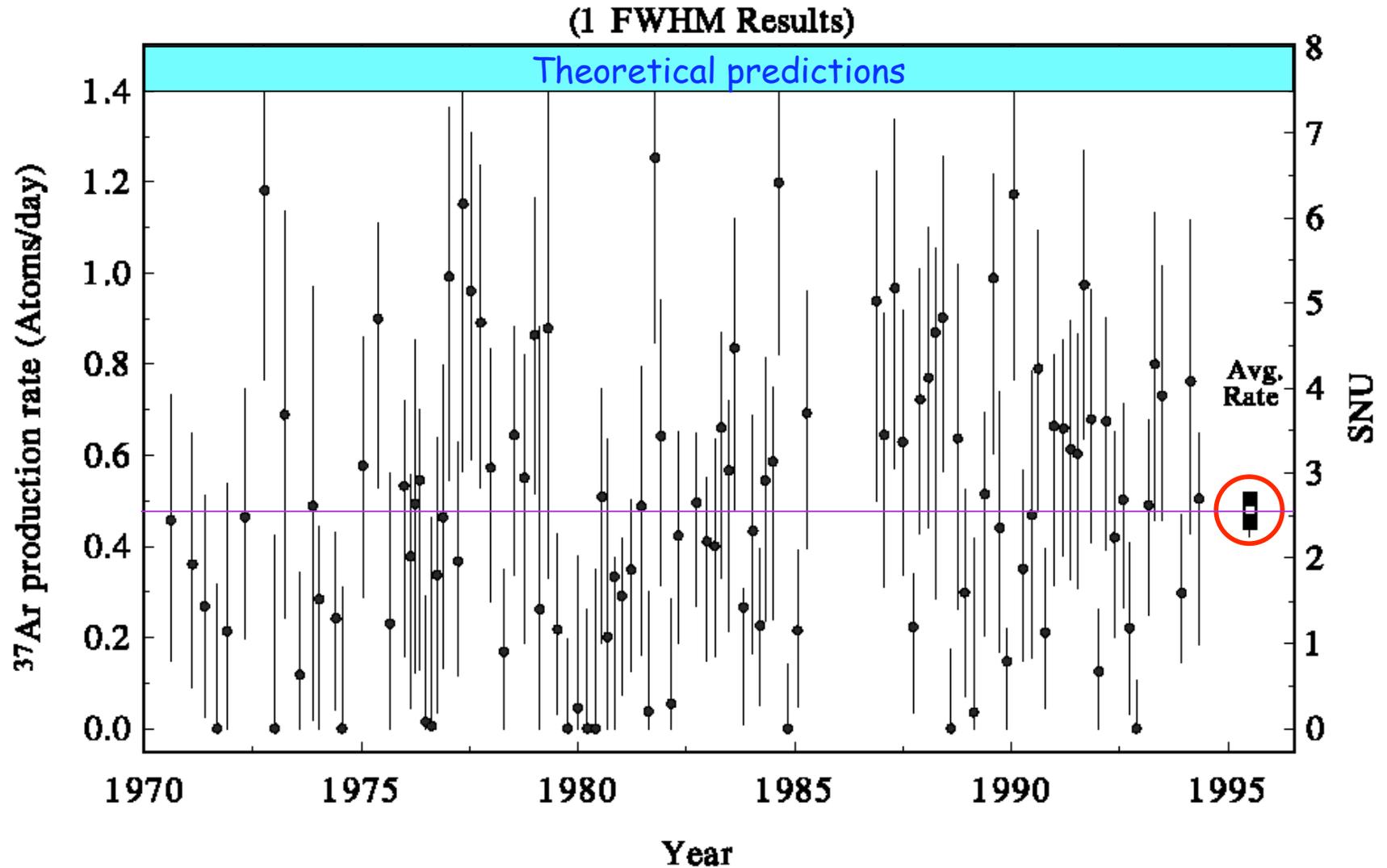
## How does the Sun shine?





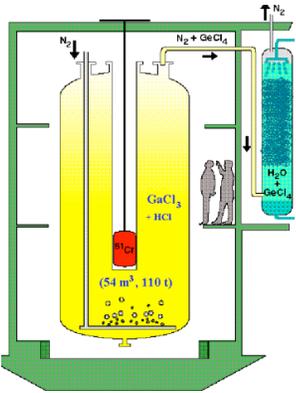


# Solar Neutrino Experiment at Homestake

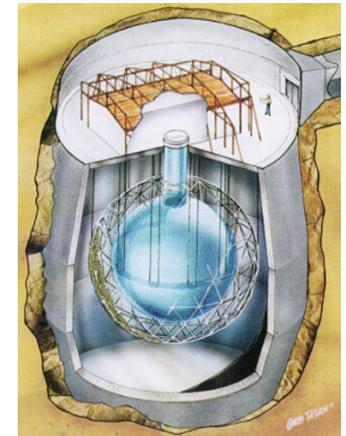
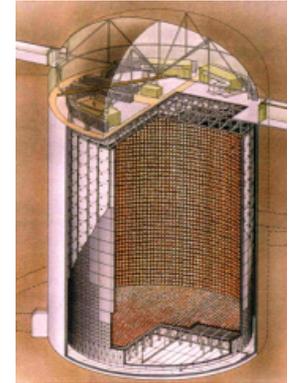
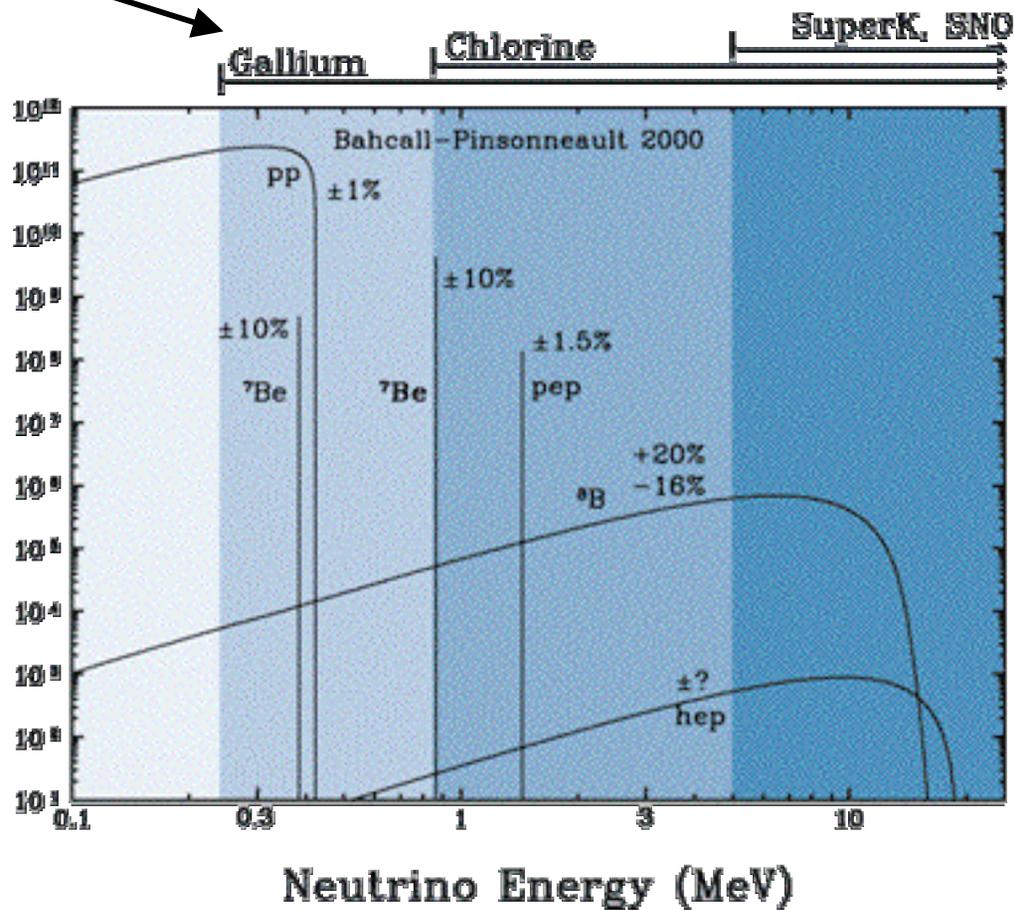




# Checking the Homestake Experiment

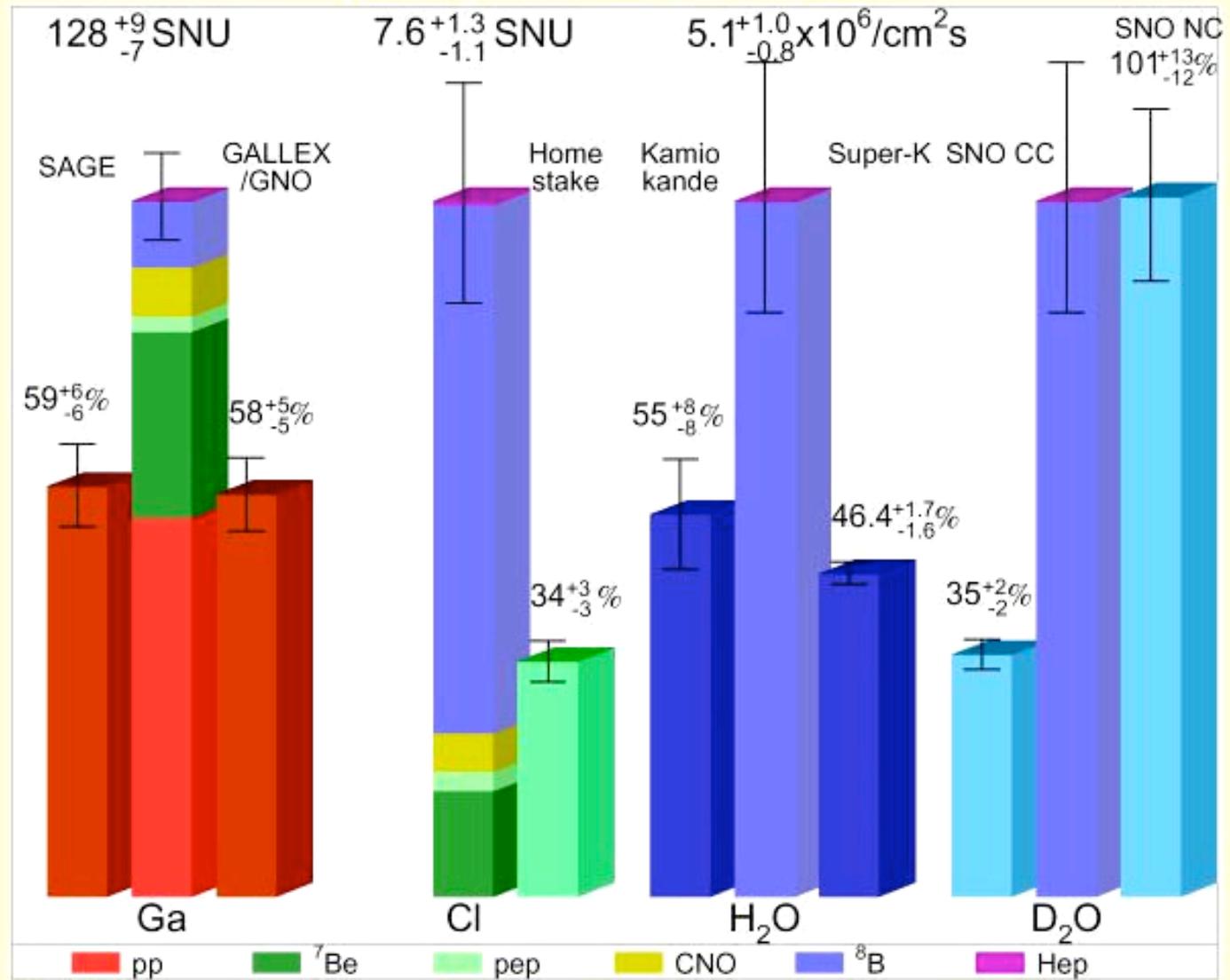


Neutrino Flux





# Solar v Problem



Michael Smy, UC Irvine



# Neutrino Mixing

- If neutrinos are massive, it is possible that the **weak eigenstates** are not the same as the **mass eigenstates**:

PMNS (Pontecorvo-Maki-Nakagawa-Sakata) matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

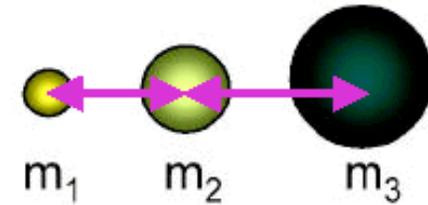
Weak eigenstates  
„flavor eigenstates“



3 independent parameters  
+ 1 complex phase

$$\theta_{12}, \theta_{23}, \theta_{13} \\ + \delta$$

Mass eigenstates



$$\Delta m^2_{12}, \Delta m^2_{23}$$



# Probability of Neutrino Mixing

- Parametrize the mixing matrix as:

$$\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}
 \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} e^{i\delta} \end{pmatrix}
 \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

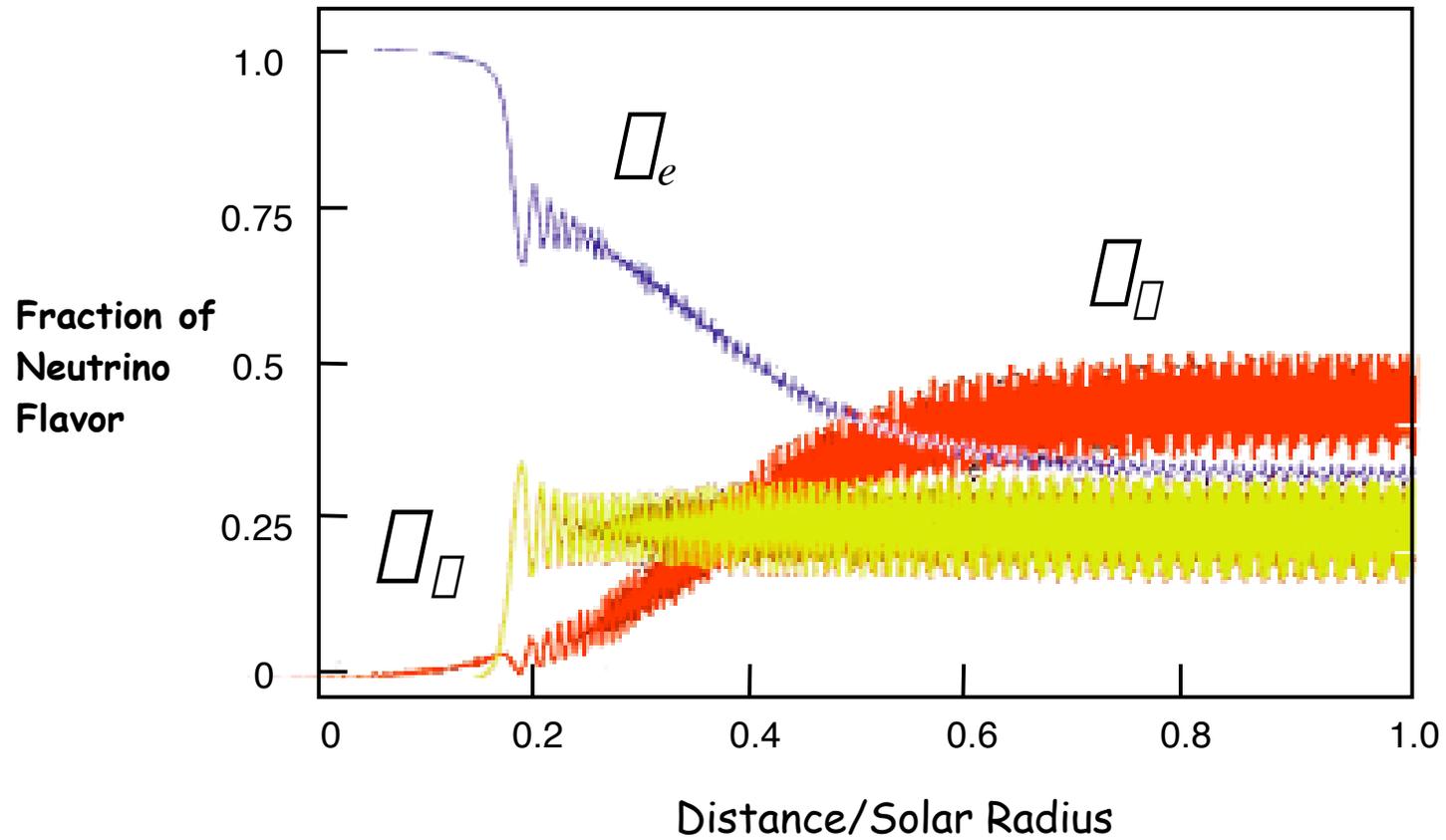
solar  $\square$ 
reactor  $\square$ 
atmospheric  $\square$

- The probability of  $\nu_e \rightarrow \nu_e$  is:

$$P(\nu_e \rightarrow \nu_e) = \sin^4 \theta_{13} + \cos^4 \theta_{13} \left[ 1 - \sin^2 (2\theta_{12}) \cdot \sin^2 \left( \frac{m_{12}^2 L}{4E_\nu} \right) \right]$$



# MSW Effect



$\nu_e$  NC and CC       $\nu_\mu$   $\nu_\tau$  NC only

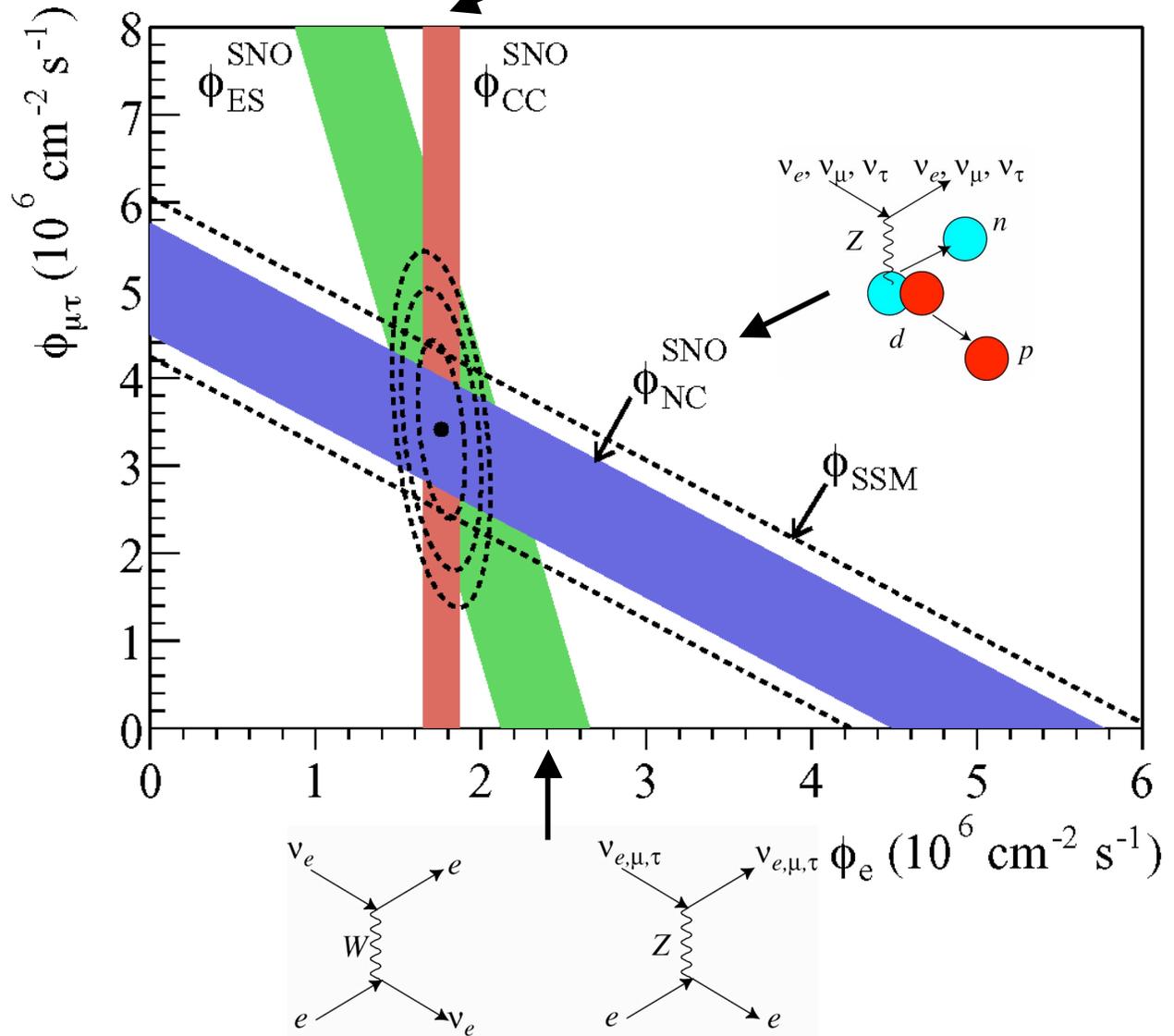


# SNO Results

## Fluxes

( $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )

- $\Phi_e$  : 1.76(11)
- $\Phi_{\mu\tau}$  : 3.41(66)
- $\Phi_{\text{total}}$  : 5.09(64)
- $\Phi_{\text{SSM}}$  : **5.05**





# The KamLAND Experiment

## 1st phase experiment

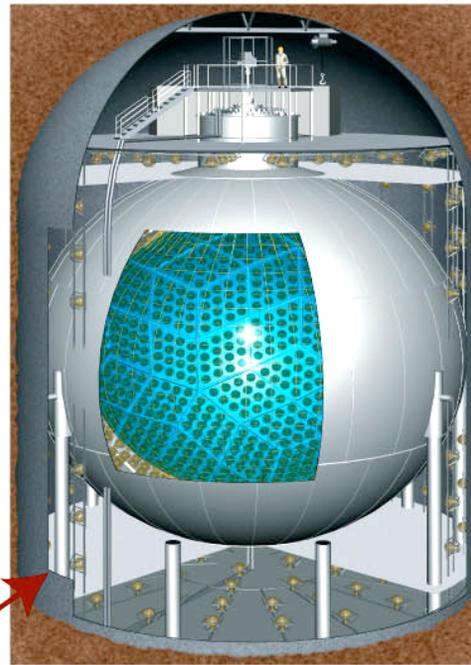
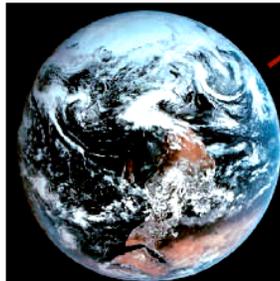
( $E_{th} = 1.8 \text{ MeV}$ )



- Neutrino Oscillation Search by Reactor Anti-neutrinos



- Terrestrial Anti-neutrino Detection

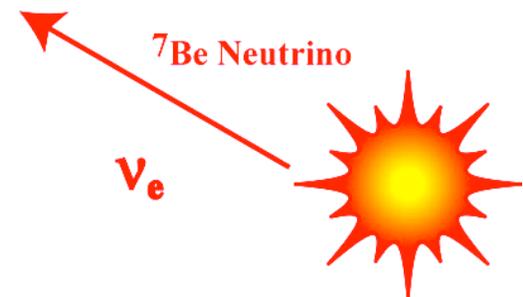


## 2nd phase experiment

( $E_{th} = 200 \text{ keV}$ )



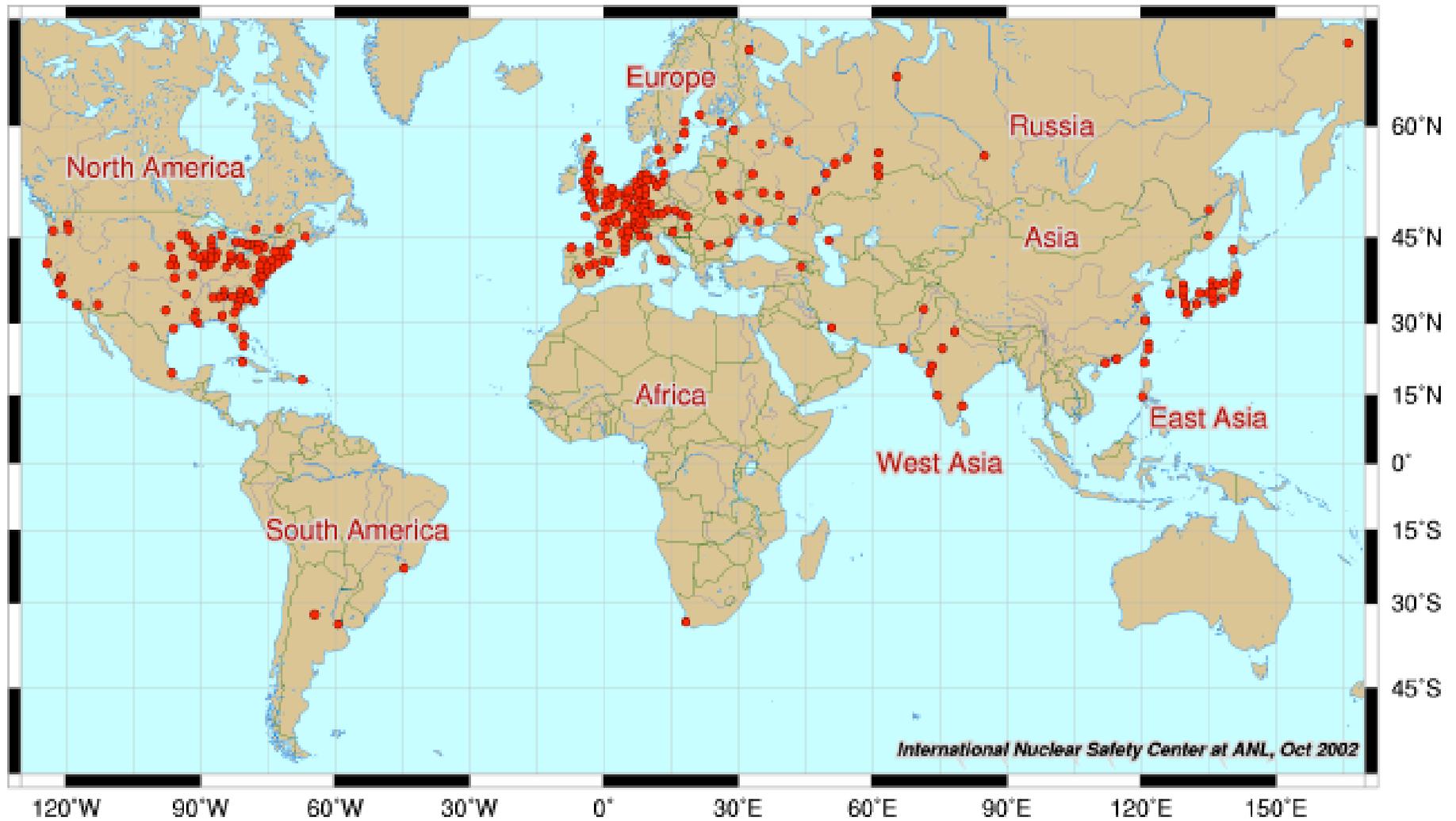
- Solar neutrino Detection



supernova-burst  $\nu$ , relic supernova  $\nu$ ,  
atmospheric  $\nu$ , Proton Decays, . . .



## Potential Reactor Anti-Neutrino Sources



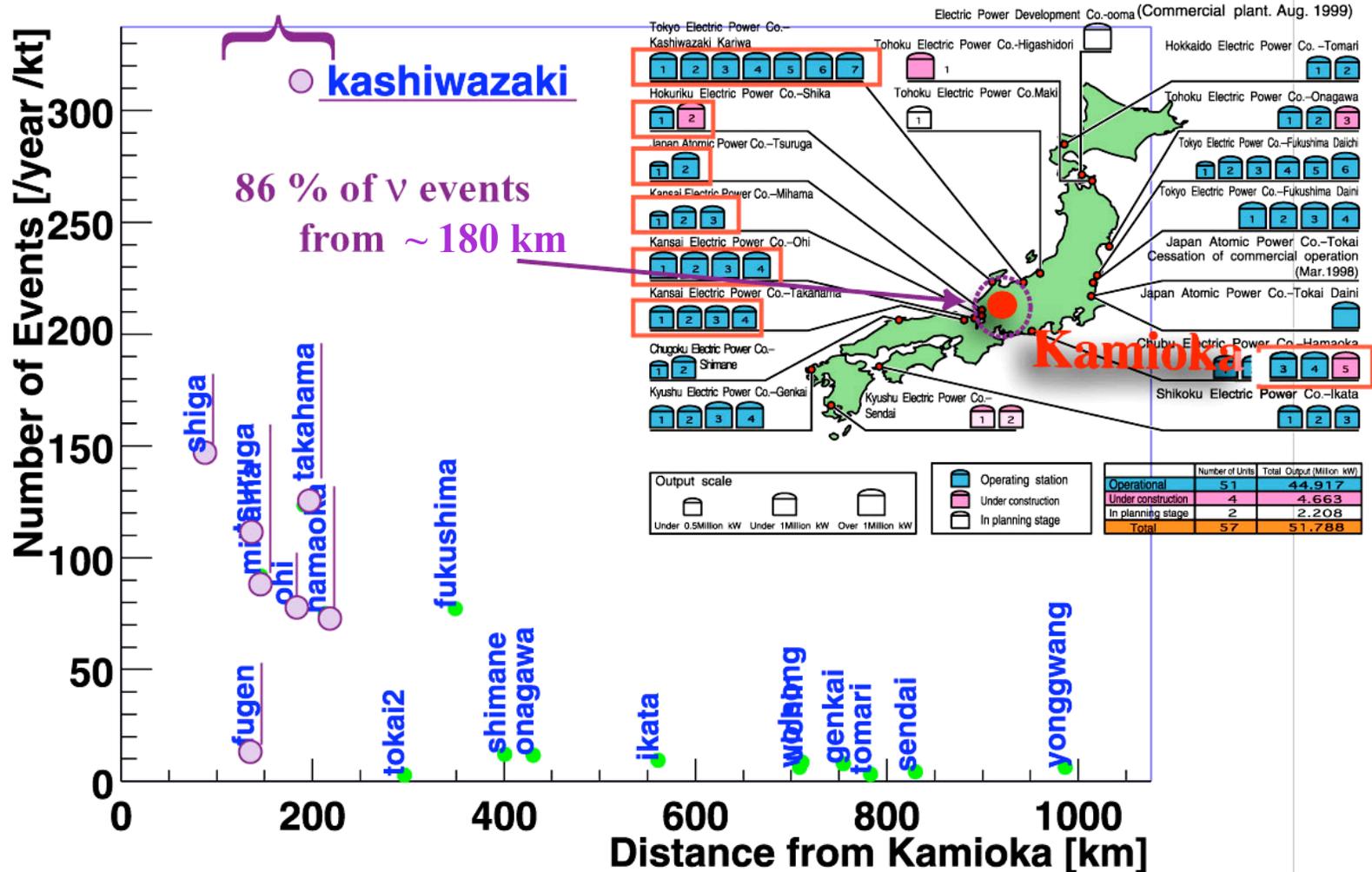


# Nuclear Reactors in Japan

20 % of world nuclear power

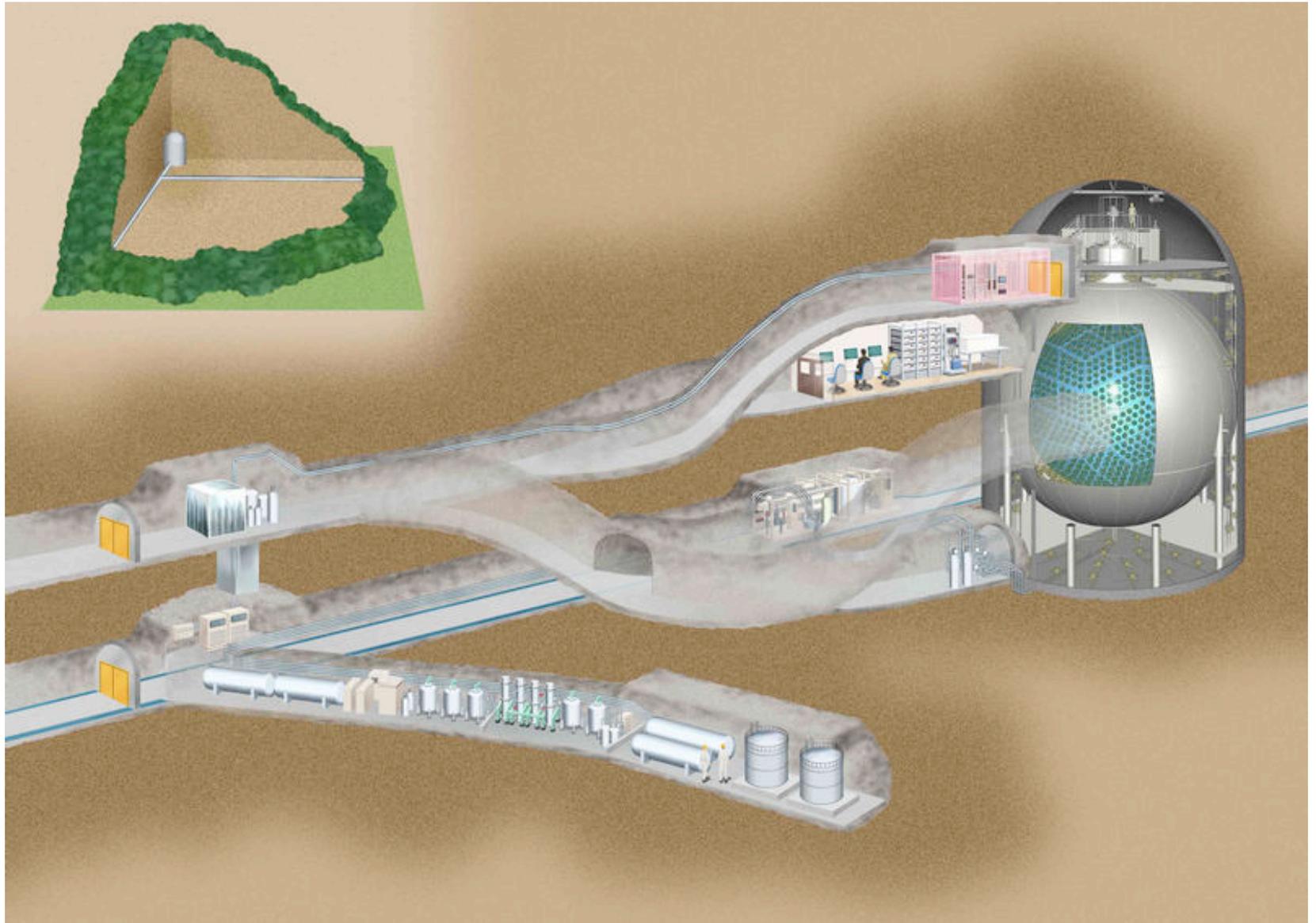
~80GW

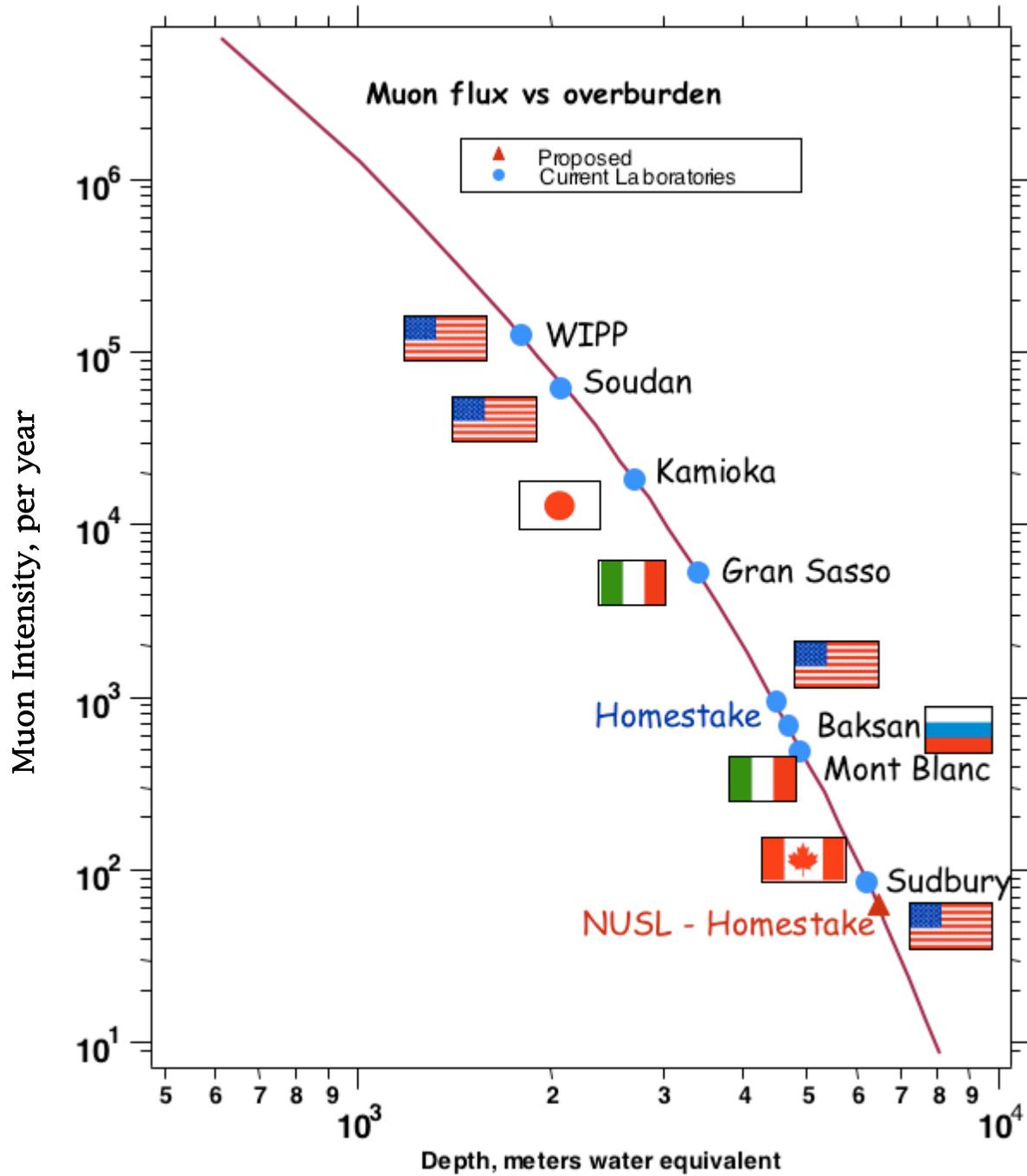
## Nuclear Power Stations in Japan





# KamLAND Is An Underground Experiment



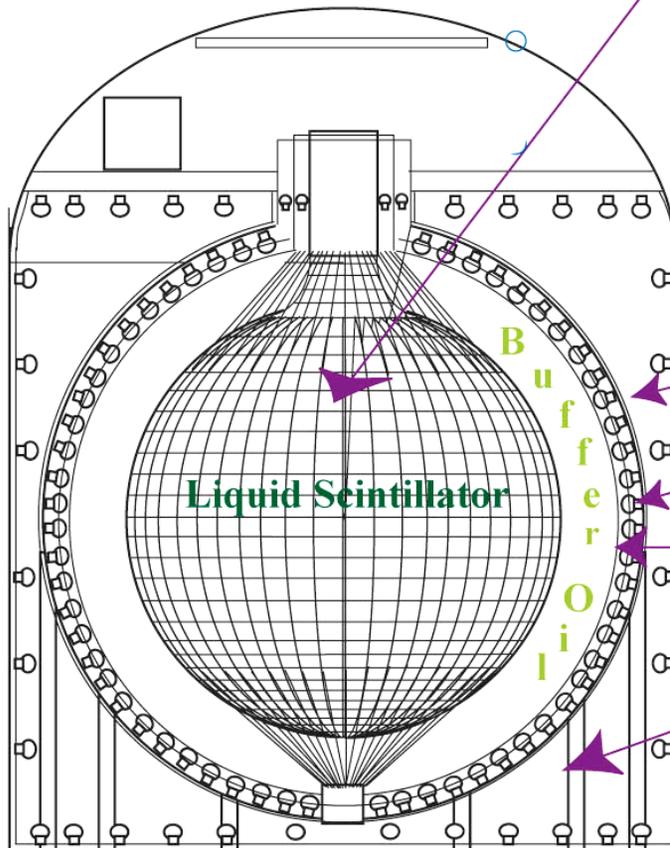




# The KamLAND Detector

○ Detector site : Old Kamiokande site (2700 m.w.e.)

○ 1,000 ton Liquid Scintillator  
80%: dodecane, 20%: pseudocumene, 1.5 g/liter: PPO  
( $\rho = 0.78$ )  
housed in spherical balloon (13m diameter)  
of transparent nylon/EVOH composite film (135 $\mu$ m)  
supported by cargo net structure



○ 3,000 m<sup>3</sup> Scintillation Light Detector

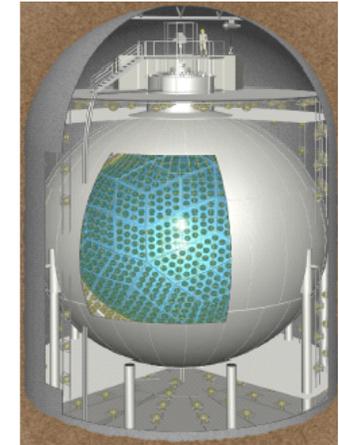
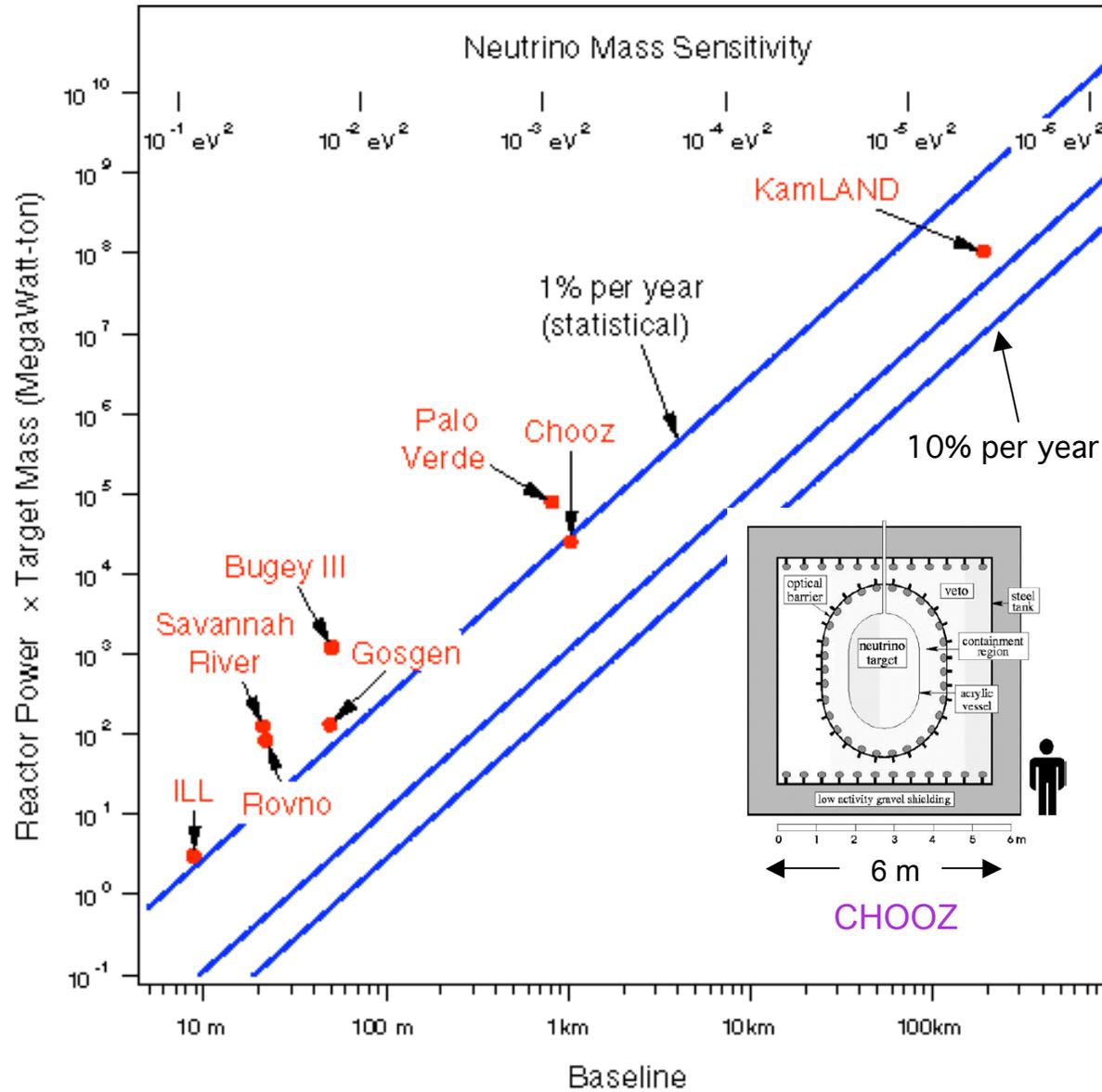
- 18m diameter stainless steel tank filled with paraffin oil ( $\rho = 0.04\%$ , lighter than LS)
- 1,325 17-inch+554 20-inch PMT's  
photosensitive coverage  $\sim 22\%$
- 3mm thick acrylic wall (120 plates)  
: Rn barrier

○ Water Cherenkov Outer Detector  
225 Kamiokande 20-inch PMT's

*Present analysis*

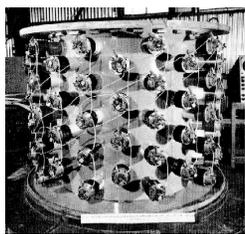


# Reactor Anti-Neutrino Experiments



20 m

KamLAND



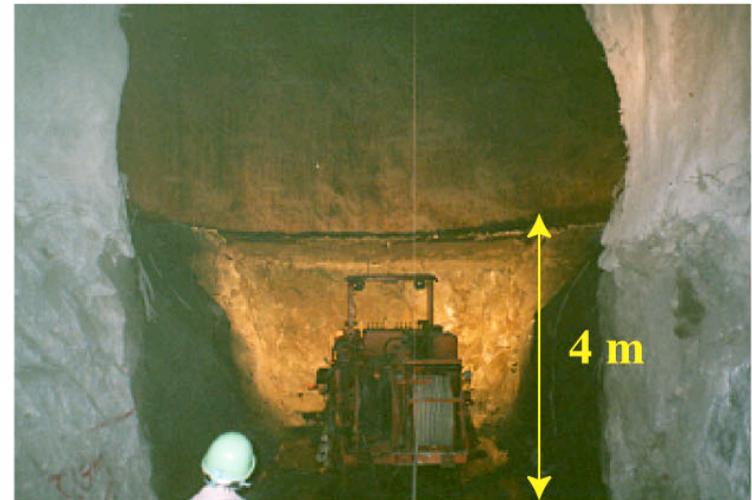
4 ft

Poltergeist





## Dismantling Kamiokande, 1998





*Finished in March, 2000*

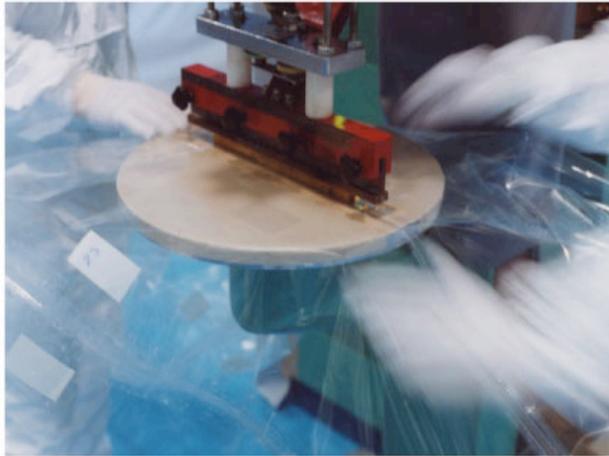


## PMT Installation Finished (Sep. 2000)





# Full-Size Balloon Construction ~ Oct. 2000





## Installation of Balloon, March 2001

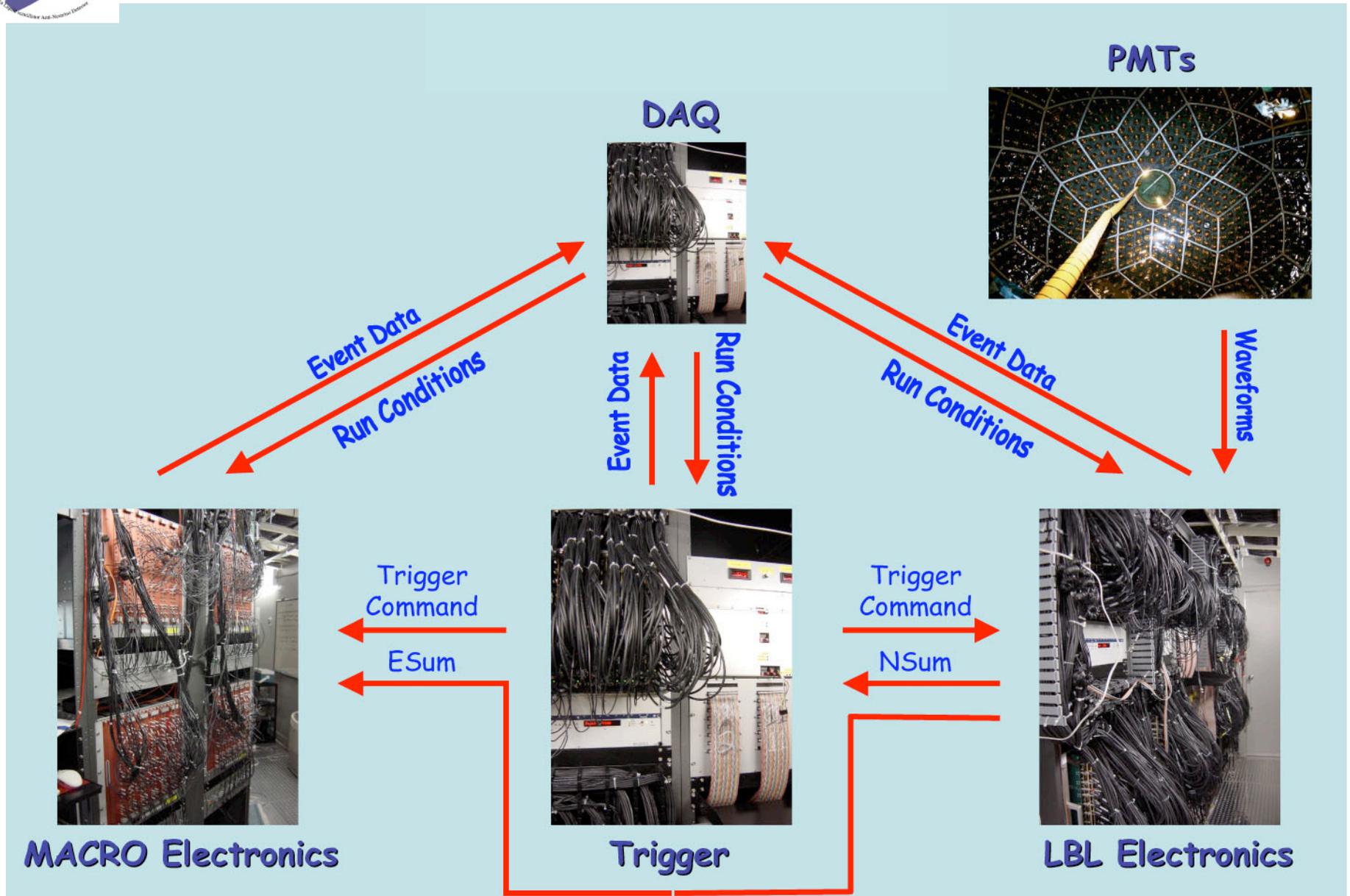


Oil Filling finished in Sept. 2001





# Data-Acquisition Electronics Ready in December 2001





# KamLAND Launch Jan. 22, 2002





# Anti-neutrinos

An aerial photograph of the Kashiwazaki Nuclear Power Station, showing the large containment domes and surrounding infrastructure. The station is situated near a body of water.

Kashiwazaki Nuclear Power Station : 25 GW



## Where Do The Anti-Neutrinos Come From?

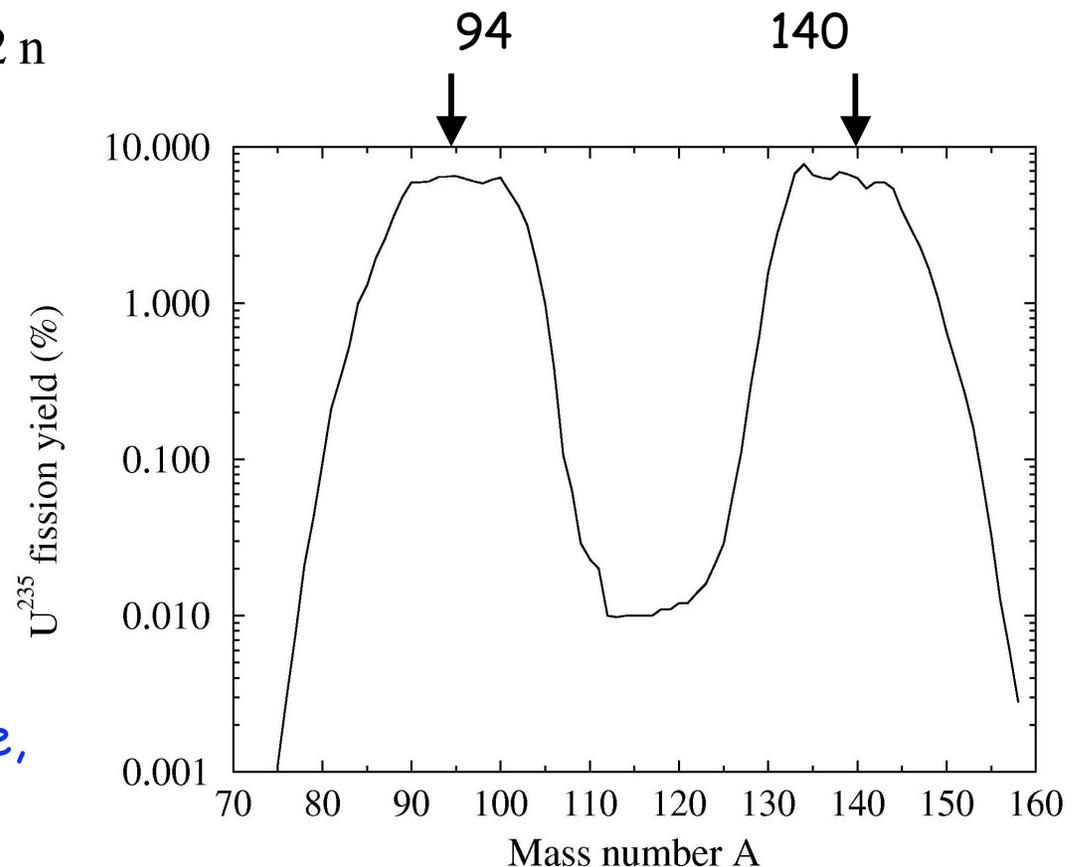
For  $^{235}\text{U}$  fission, for instance,



where  $X_1$  and  $X_2$  are stable nuclei e.g.



which have a total of 98 protons and 136 neutrons, whereas  $^{235}\text{U}$  has 143 neutrons. That is, on average, 6 neutrons must beta decay, giving 6  $\bar{\nu}_e$ .



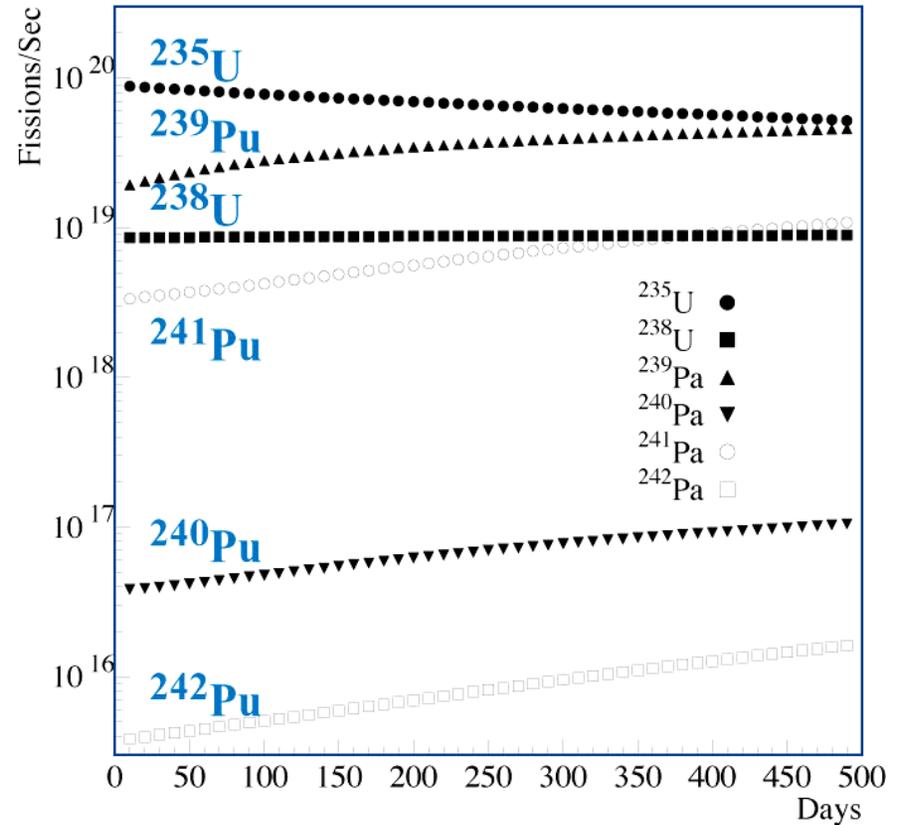


# Fission Rate: $\bar{\nu}_e$ Production

- Main Fuel Component :  
4 main isotopes  
 $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$  ( 99.9%)  
others ( $^{240}\text{Pu}$ ,  $^{242}\text{Pu}$ , , ) (< 0.1%)
- Time evolution of fission rate :  
Reactor thermal power  
calculation in one of the Palo Verde reactor core



Uncertainty < 1% (neutrino yield)  
: fuel-sampling & analyzing  
for isotopic components

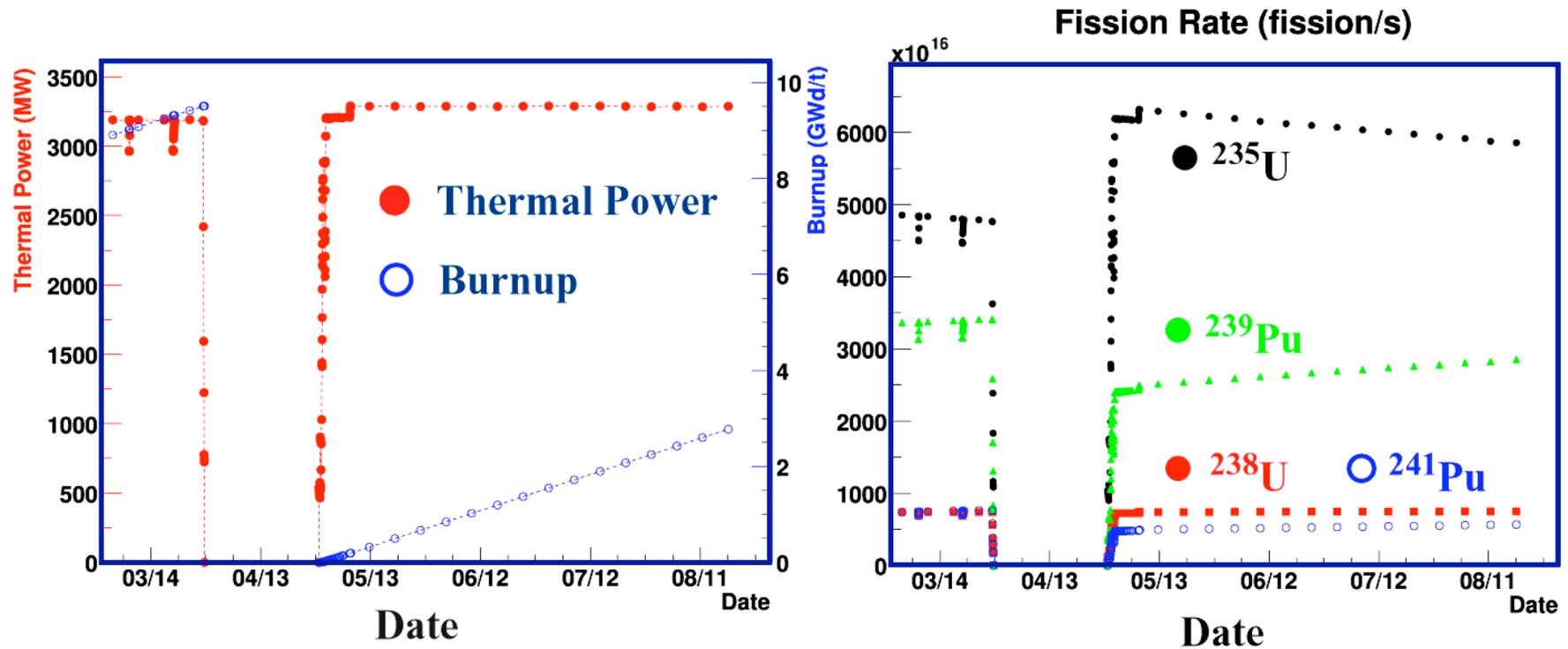


No need for near detector



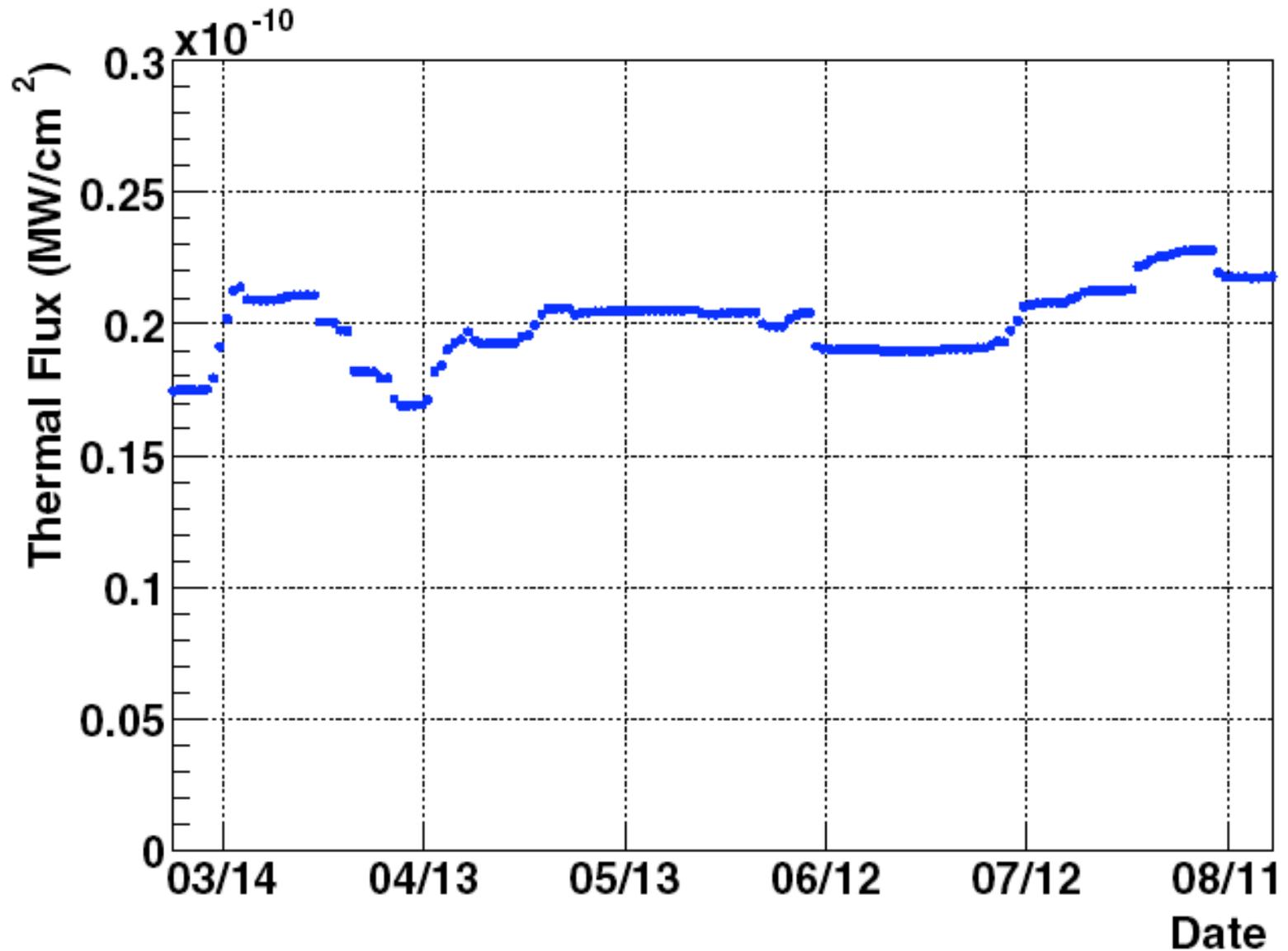
# Thermal Power Data & Calculated Fission Rate

## One of the Japanese Reactors



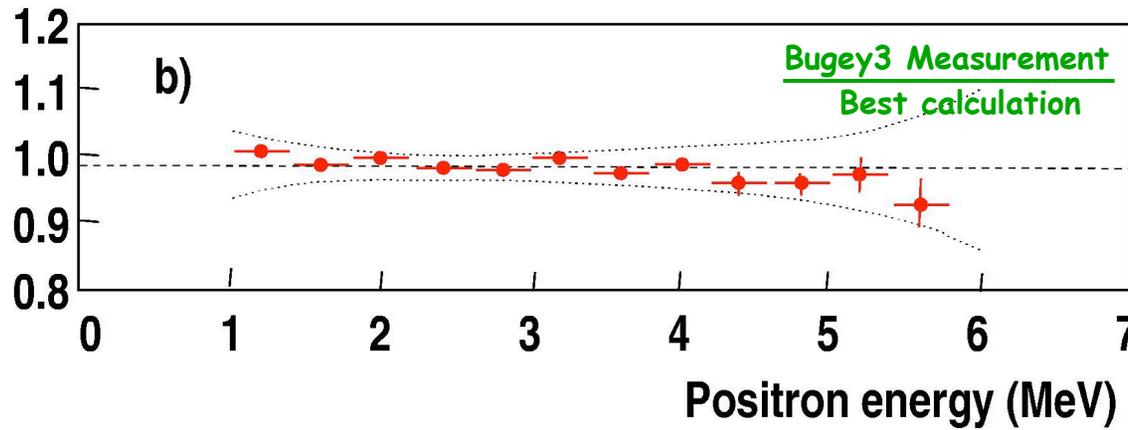
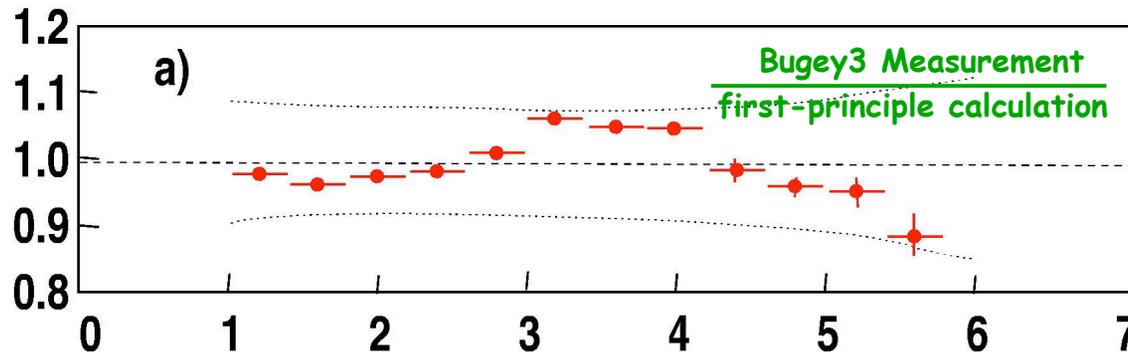


## Thermal Flux from Japanese and Korean Reactors



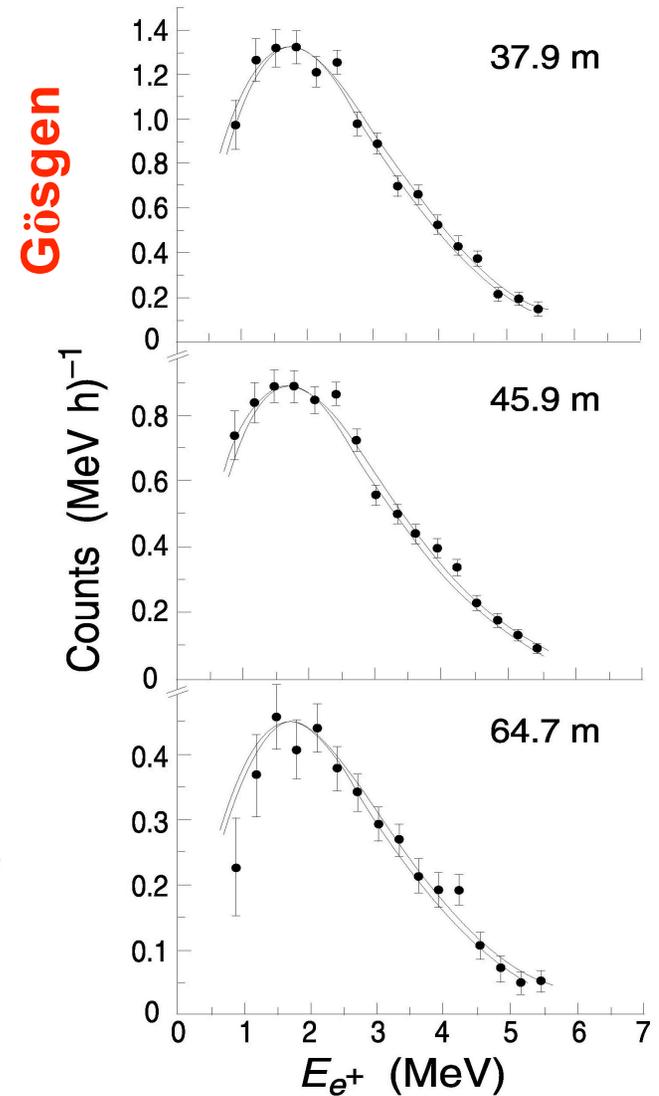


# Energy Spectrum of Reactor $\bar{\nu}_e$



- Measurements agree with expectations to ~2%:

**No near detector is needed !**





# Energy Spectrum of Reactor $\bar{\nu}_e$

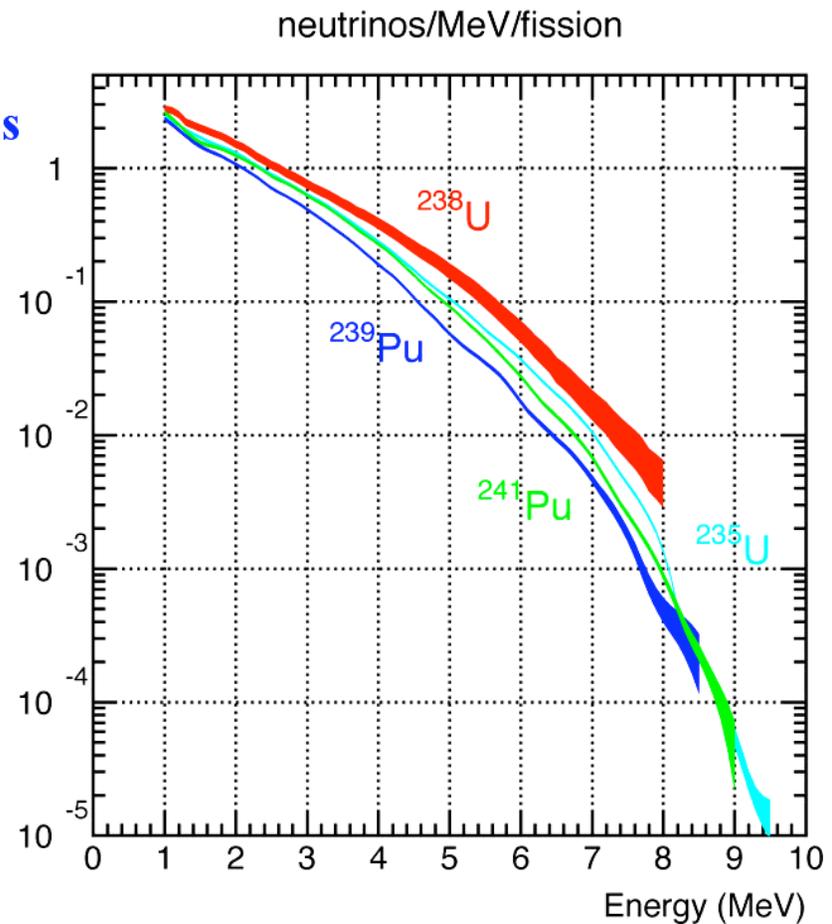
- $\bar{\nu}_e$  associated with  $^{235}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$

measured  $\beta$  – spectra  
from thermal neutron fissions

superposition of 30 hypothetical  
 $\beta$ -decay branches

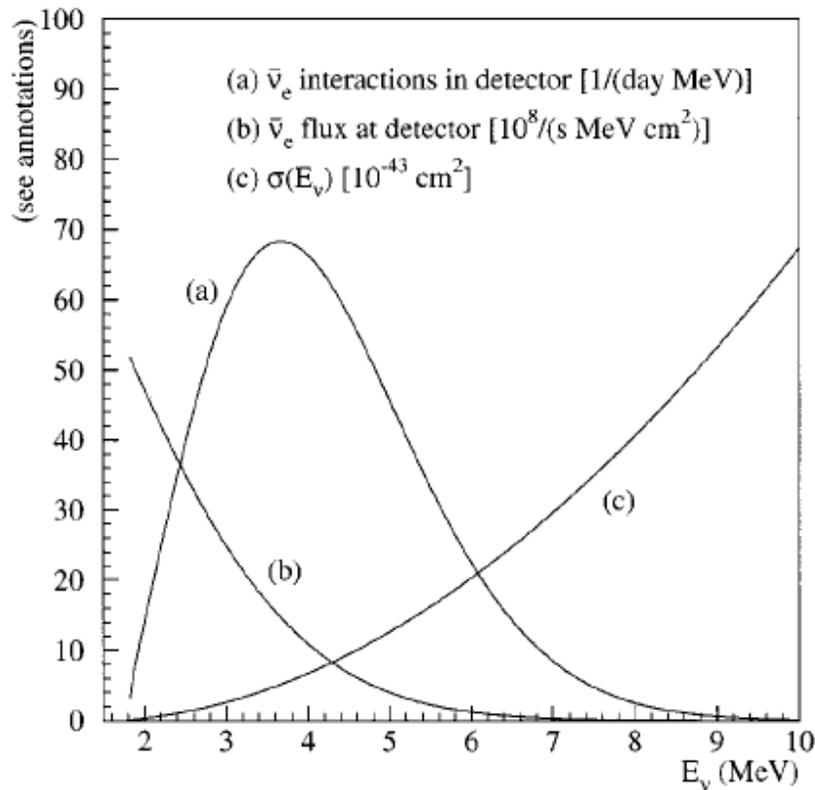
conversion  $E_e \rightarrow E_\nu$

- $\bar{\nu}_e$  associated with  $^{238}\text{U}$   
calculation based on  
744 unstable fission products





## Cross Section and Spectrum of Inverse Beta Decay



$$\begin{aligned}\sigma_{\text{tot}}^{(0)} &= \sigma_0(f^2 + 3g^2)E_e^{(0)}p_e^{(0)} \\ &= 0.0952 \left( \frac{E_e^{(0)}p_e^{(0)}}{1 \text{ MeV}^2} \right) \times 10^{-42} \text{ cm}^2\end{aligned}$$

$$\sigma_0 = \frac{G_F^2 \cos^2 \theta_C}{\pi} (1 + \Delta_{\text{inner}}^R)$$

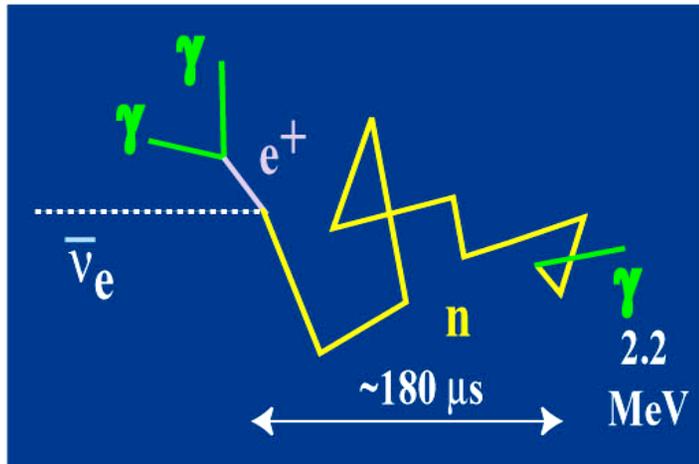
$$\sigma_{\text{tot}}^{(0)} = \frac{2\pi^2/m_e^5}{f_{p.s.}^R \tau_n} E_e^{(0)}p_e^{(0)}$$



# Detecting Reactor $\bar{\nu}_e$ in Liquid Scintillator

reaction process : inverse-  $\beta$  decay ( $\bar{\nu}_e + p \longrightarrow e^+ + n$ )  
 $\xrightarrow{\hspace{1.5cm}} + p \longrightarrow d + \gamma$

distinctive two-step signature



- prompt part :  $e^+$

$\bar{\nu}_e$  energy measurement

$$E_{\nu} \sim (E_e + \Delta) \left[ 1 + \frac{E_e}{M_p} \right] + \frac{\Delta^2 - m_e^2}{M_p}$$

$$\Delta = M_n - M_p$$

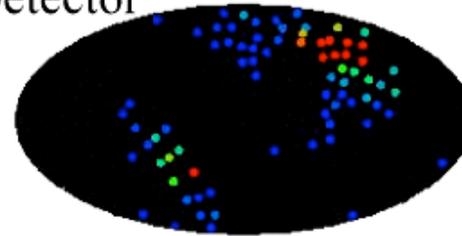
- delayed part :  $\gamma$  (2.2 MeV )

- tagging : correlation of time, position and energy between prompt and delayed signal

$$E_{th} = \frac{(M_n + m_e)^2 - M_p^2}{2M_p} = 1.806 \text{ MeV}$$



Outer Detector

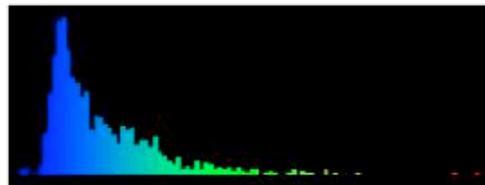


Inner Detector

# Detector Performance



Charge

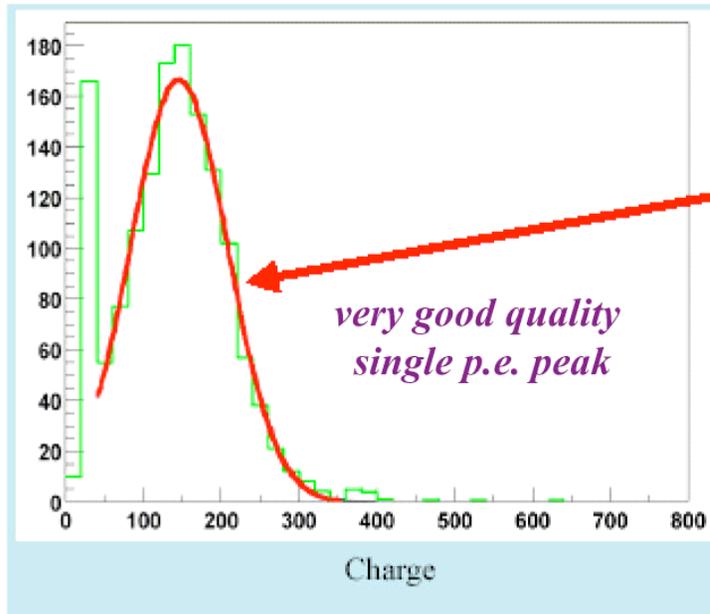


Time



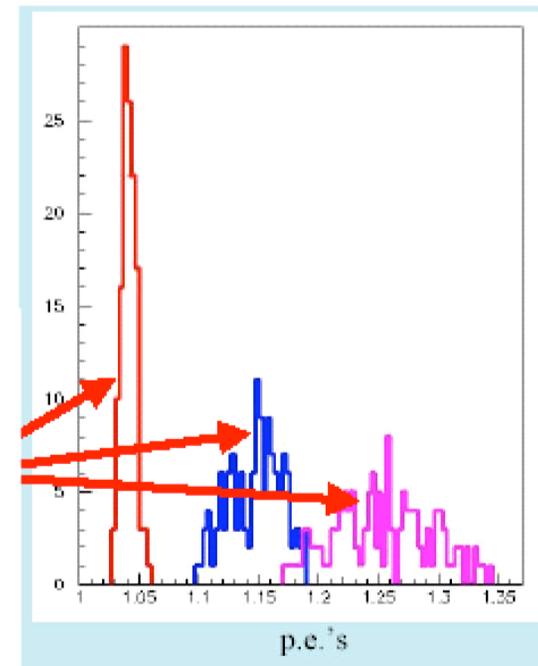


# Performance of KamLAND Photodetectors



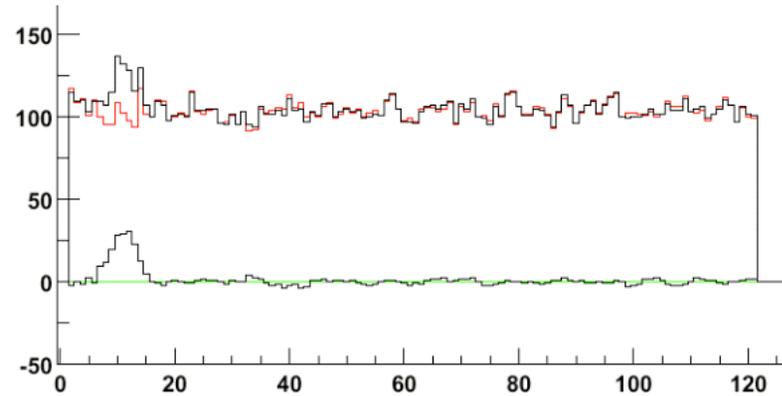
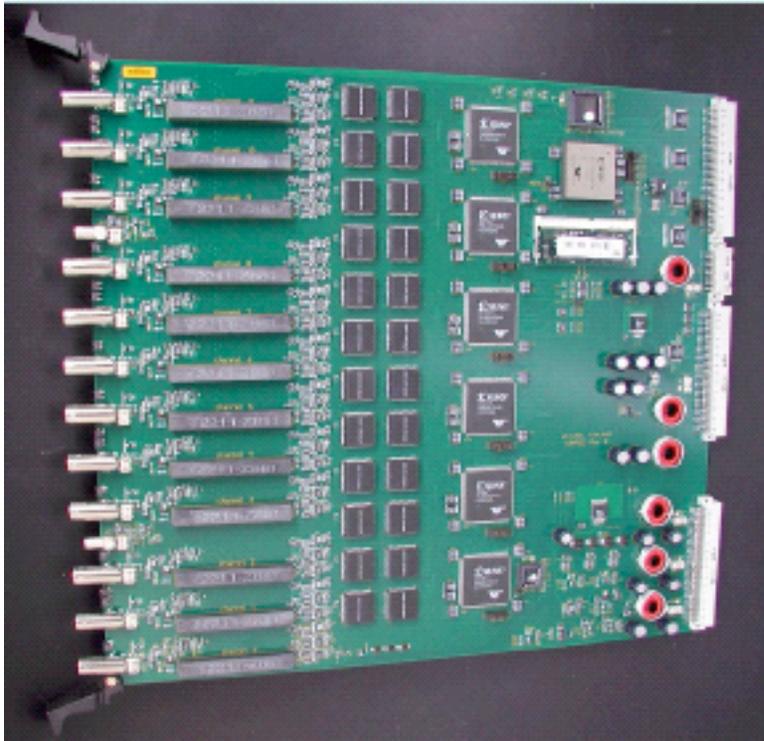
gain calibration :  
single photoelectron peak  
for each PMT

gain calibration at higher p.e.'s  
: nitrogen laser  
15 % intensity change with different filters

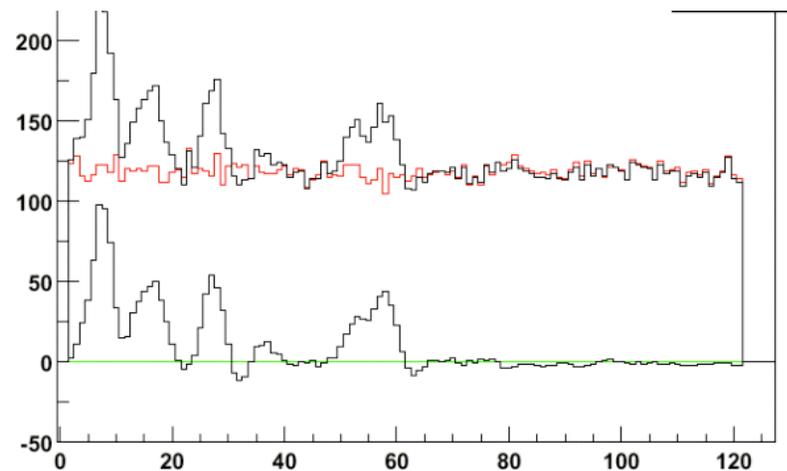




# Waveform Capture Electronics



Typical single photon



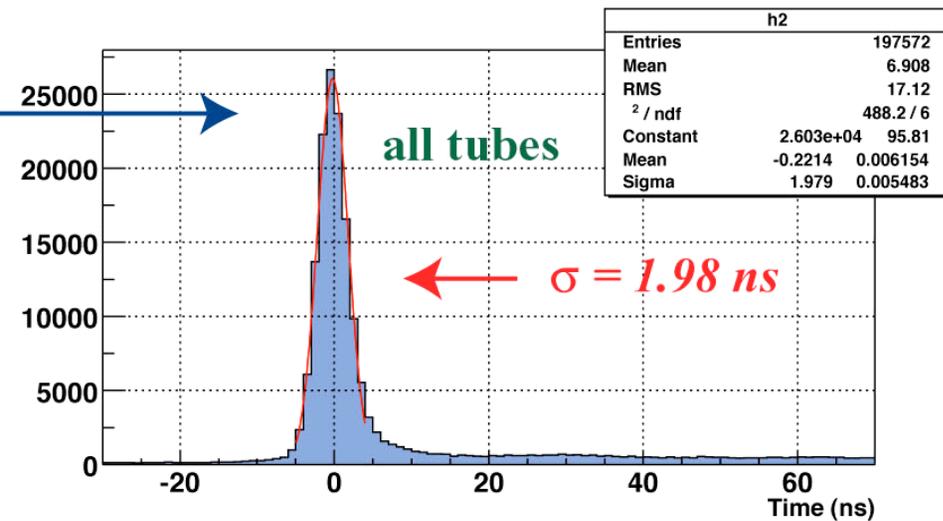
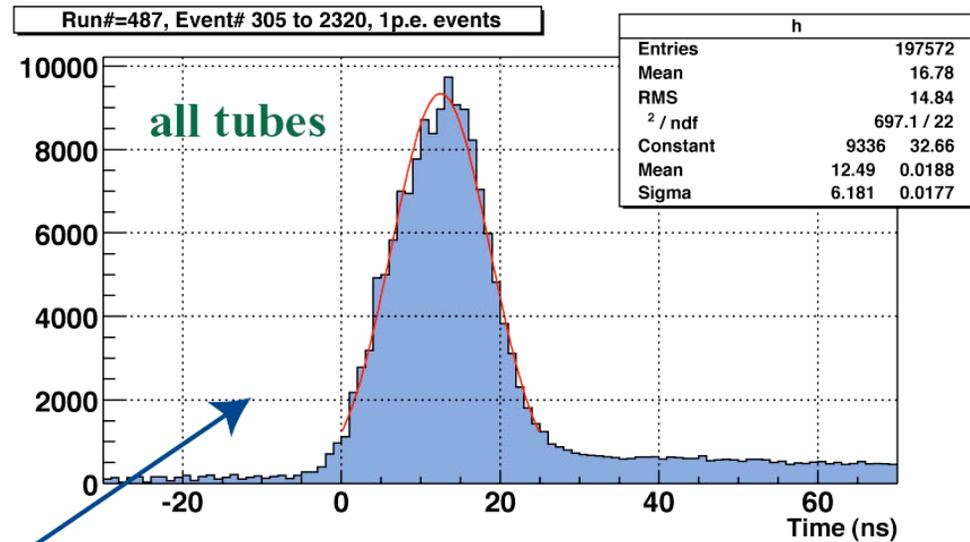
Atypical multi-pulse train



# Timing Calibration of PMTs

Timing calibration was done using a dye laser ( $\lambda = 500$  nm)

single p.e. events  
before  
and  
after  
correction





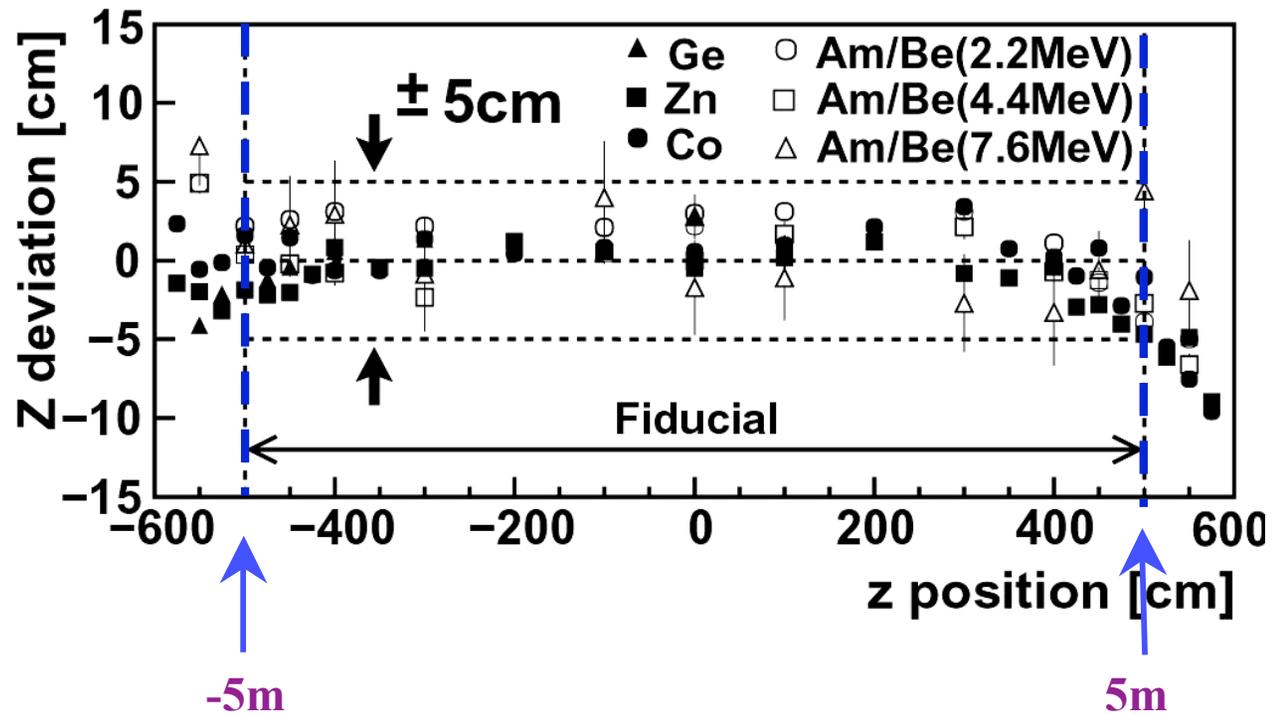
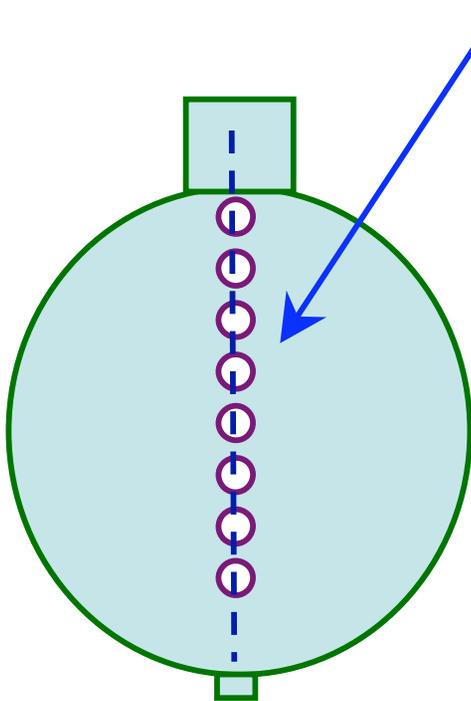
# Position Reconstruction Uncertainty

$^{68}\text{Ge}$  : 1.012 MeV (□+□)

$^{65}\text{Zn}$  : 1.116 MeV (□)

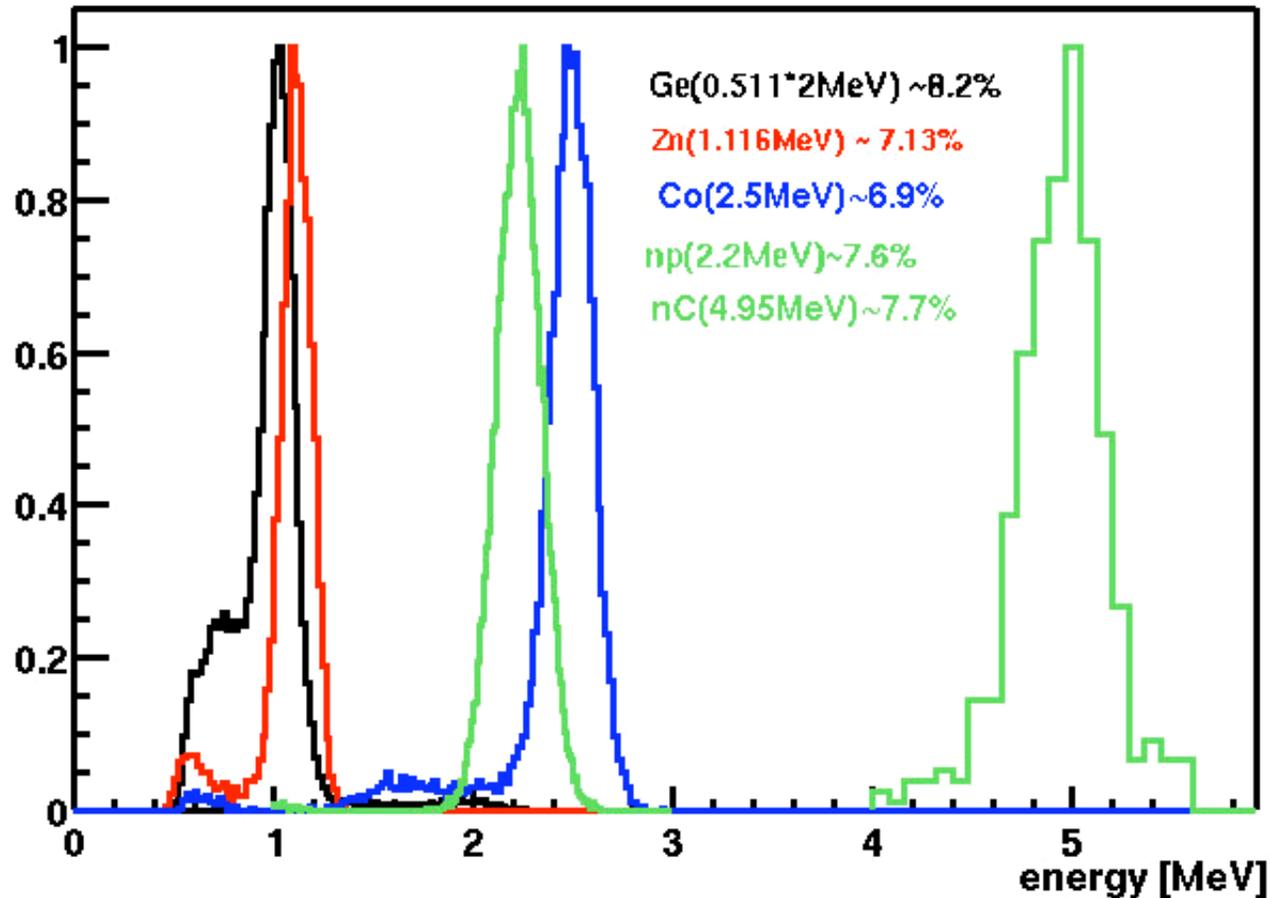
$^{60}\text{Co}$  : 2.506 MeV (□+□)

AmBe : 2.20 , 4.40, 7.6 MeV (□)





## Energy Determination & Resolution



$\sigma_{E_{\text{syst}}} = 1.91\%$  at 2.6 MeV  $\rightarrow$  2.13% for  $\tau_e$

$\sigma_{E/E} \sim 7.5\% / \sqrt{E}$  , Light Yield  $\sim 300$  p.e./MeV

Energy scale stable to 0.6% through out the period

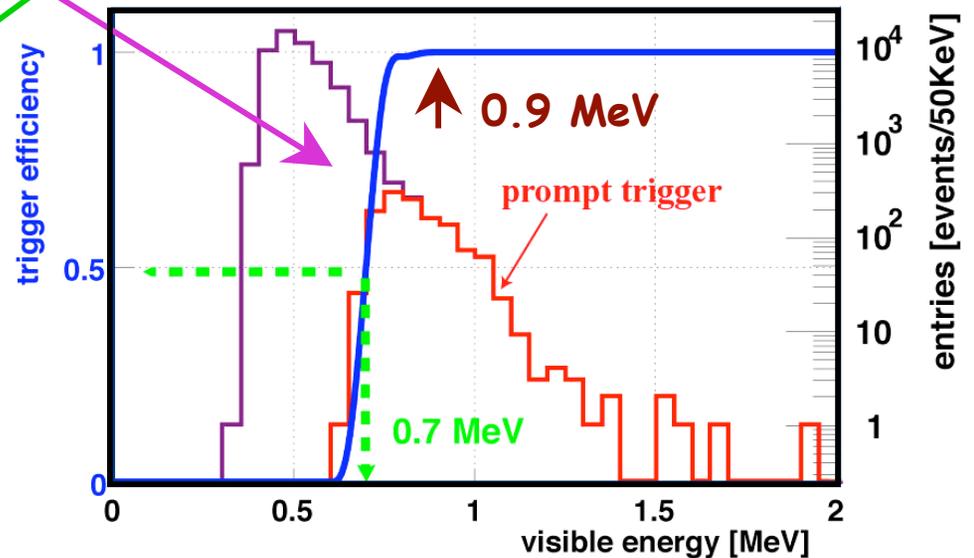
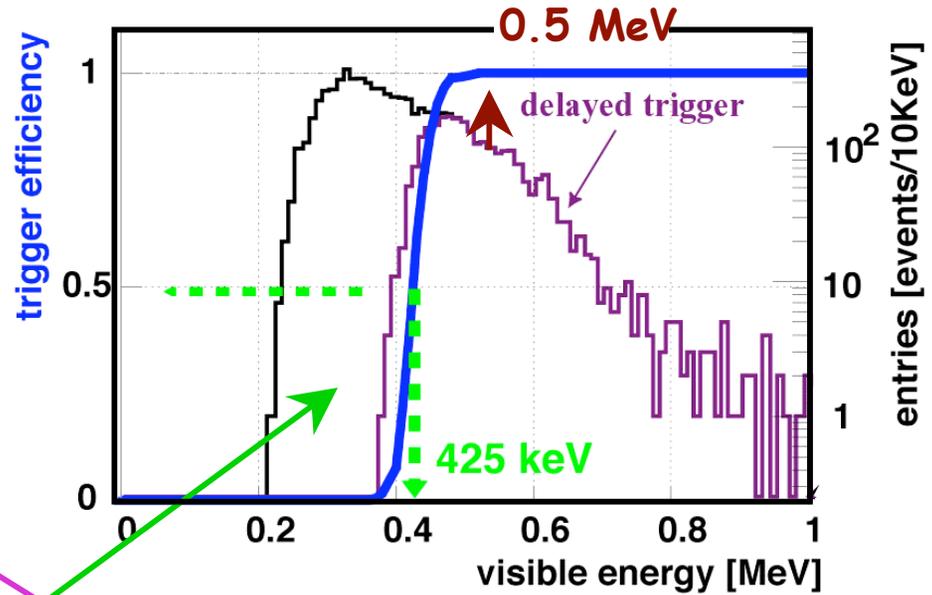
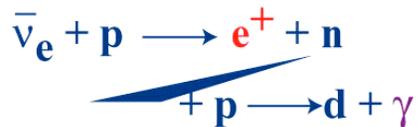


# Trigger Efficiency

## KamLAND Trigger Scheme

**prompt trigger:**  
200 PMT hits (0.7 MeV)

**delayed trigger:**  
120 hits for 1 msec. after  
primary trigger

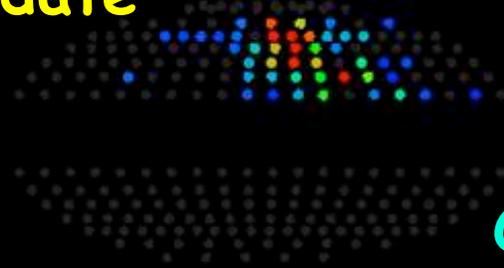




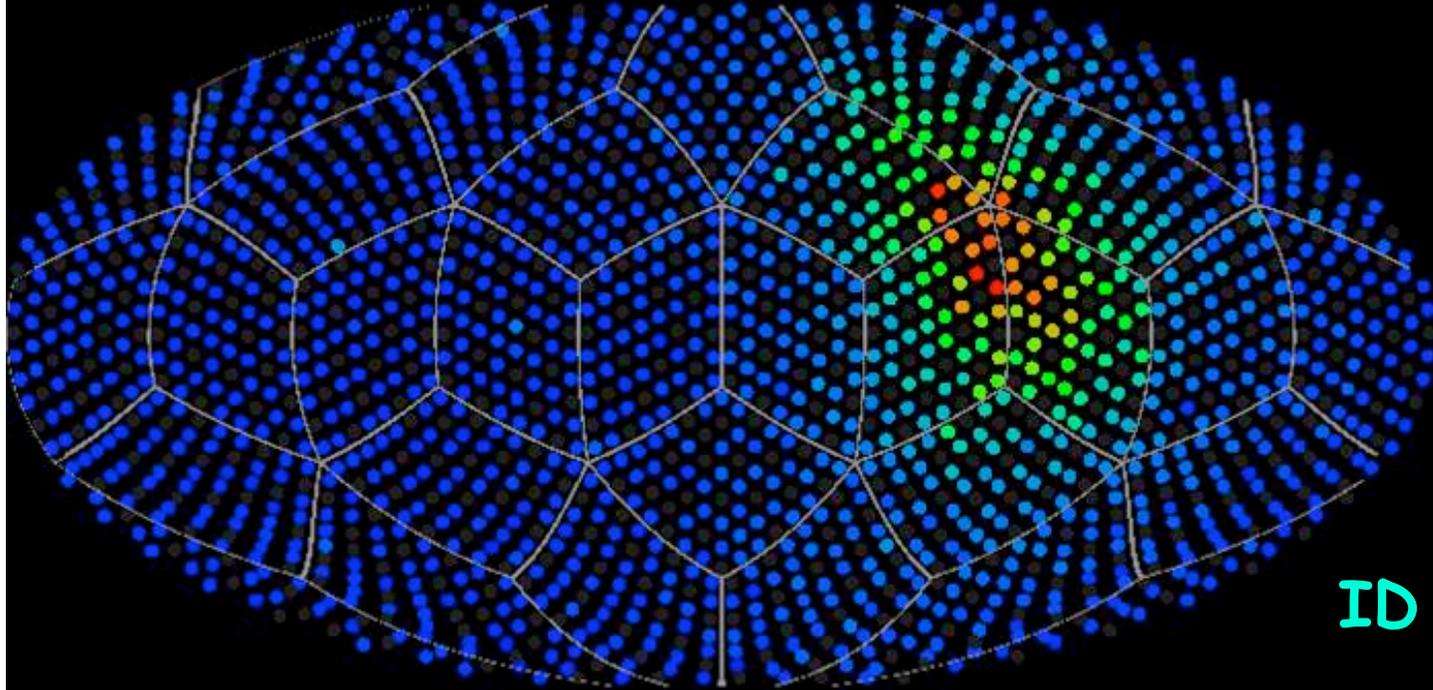
# KamLAND Event Display

## A Muon Candidate

Run/Subrun/Event : 110/0/192  
UT: Sat Feb 23 15:25:11 2002  
TimeStamp : 13052924536  
TriggerType : 0x3a10 / 0x2  
Time Difference 28.3 msec  
NumHit/Nsum/Nsum2/NumHitA : 1317/264/1322/46  
Total Charge : 3.21e+05 (465)  
Max Charge (ch): 2.22e+03 (640)



OD



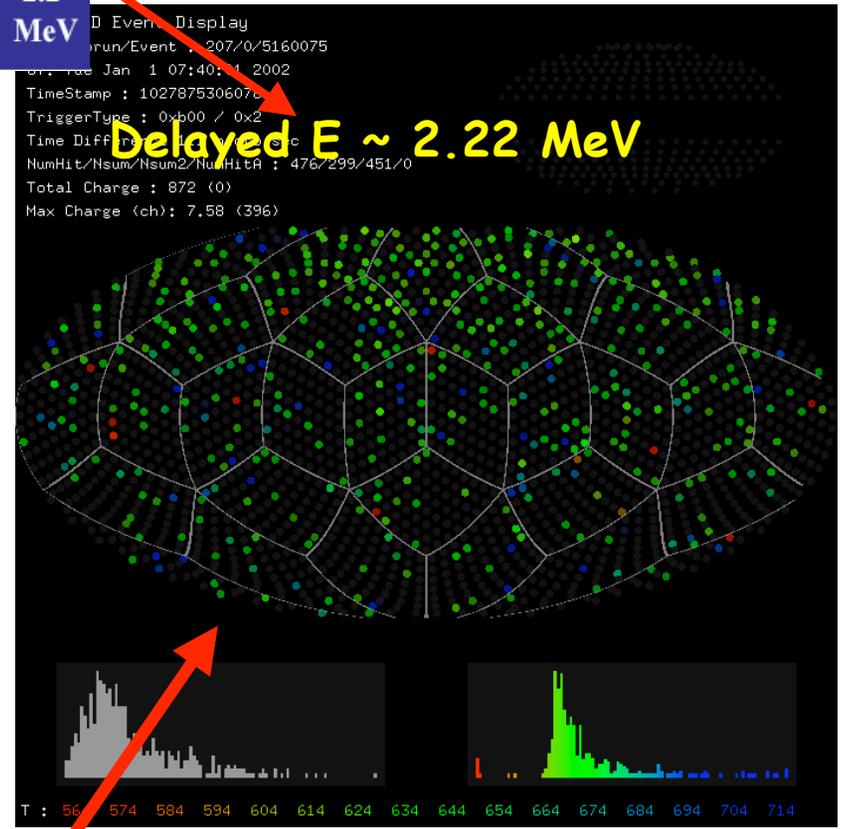
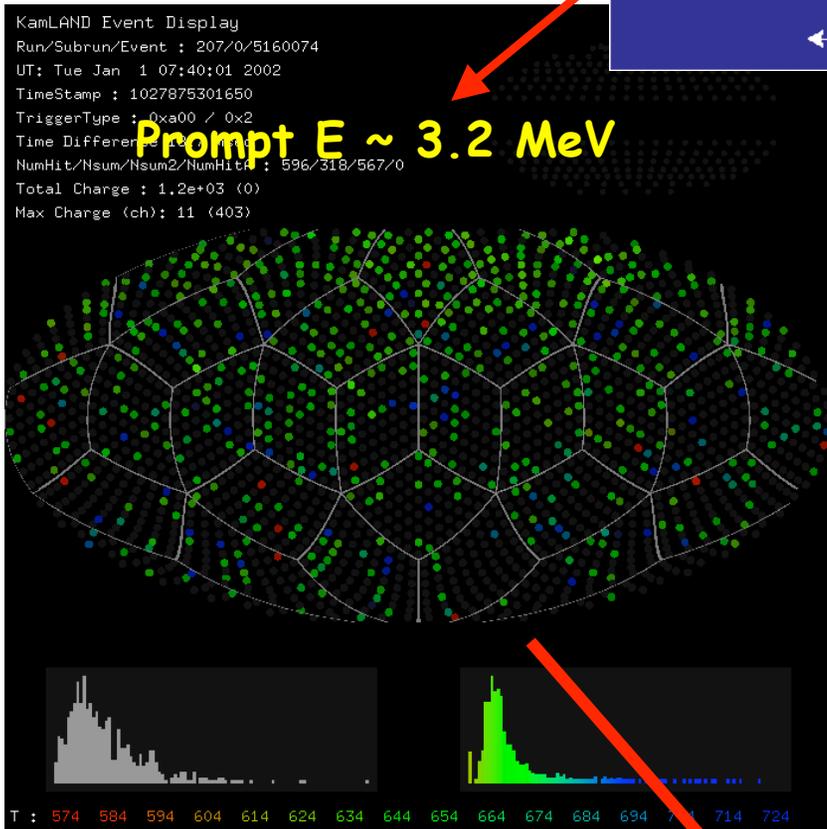
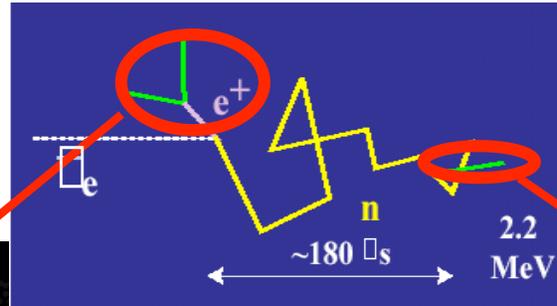
ID



Q : 0.4 222.3 444.1 665.9 887.7 1109.5 1331.3 1553.2 1775 1996.8 2218.6



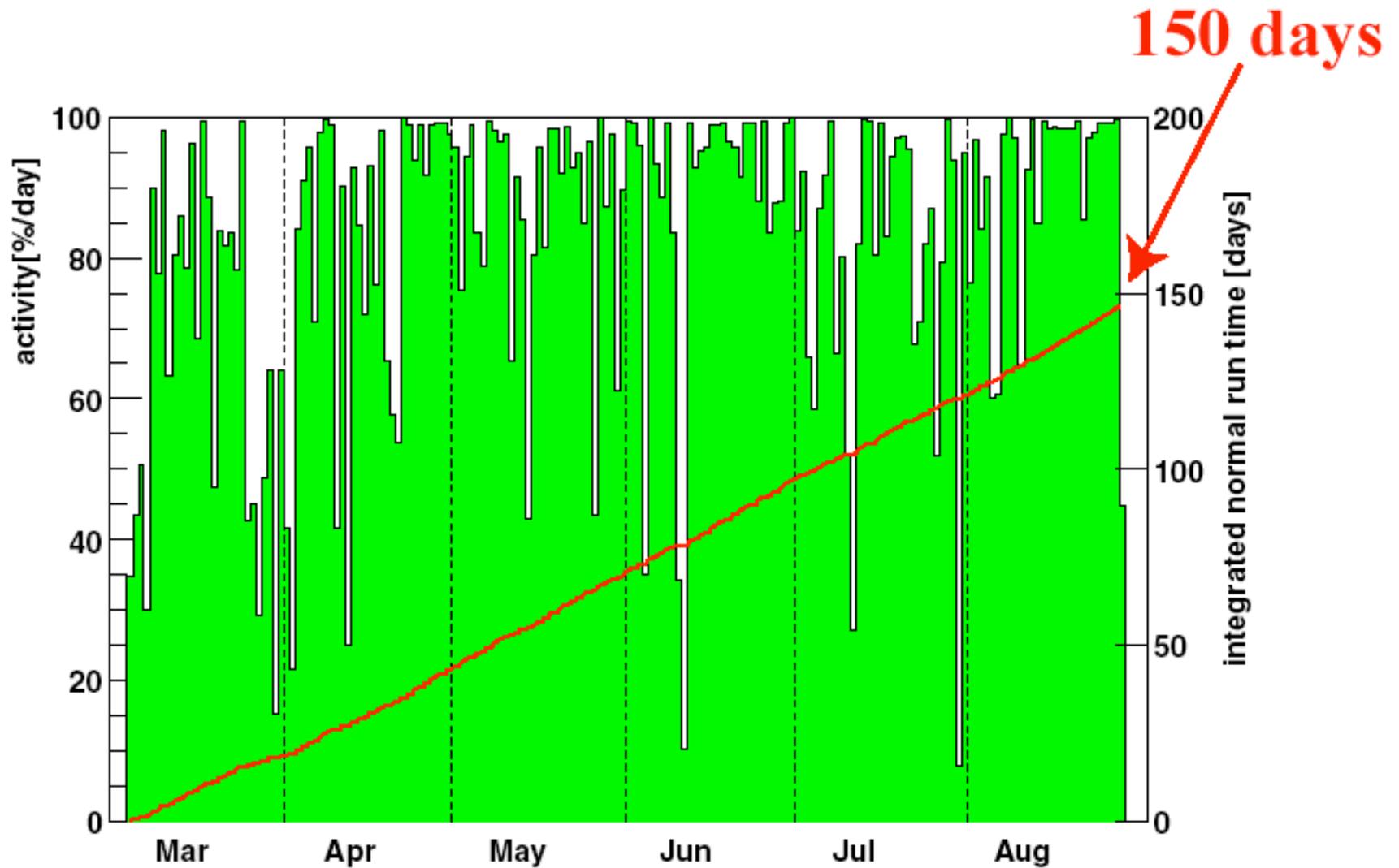
# An Anti-neutrino Candidate



$\Delta t \sim 110 \text{ ns}$   
 $R \sim 0.35 \text{ m}$



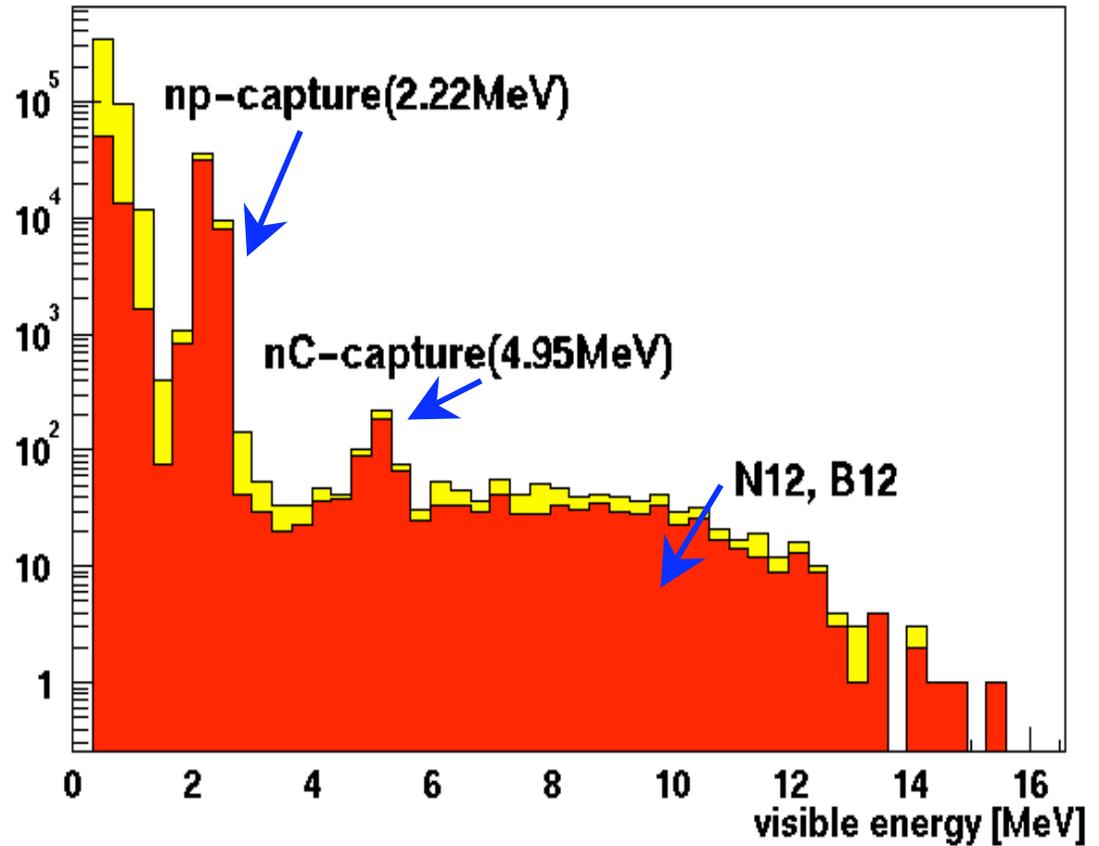
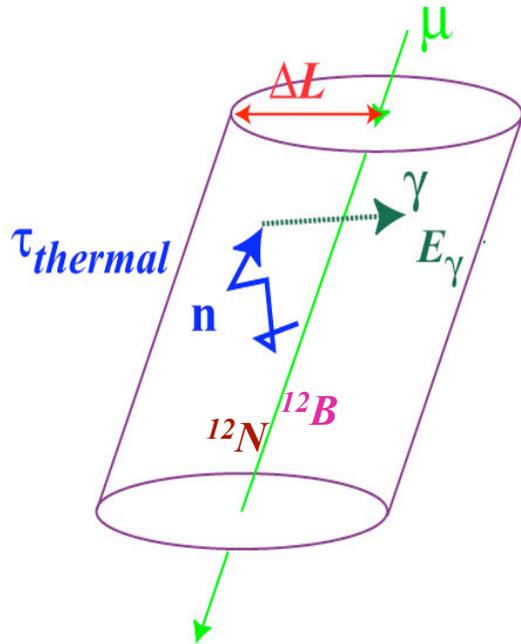
## Data-Collection Up Time





# $\mu$ -Induced Neutrons & Spallation- $^{12}\text{B}/^{12}\text{N}$

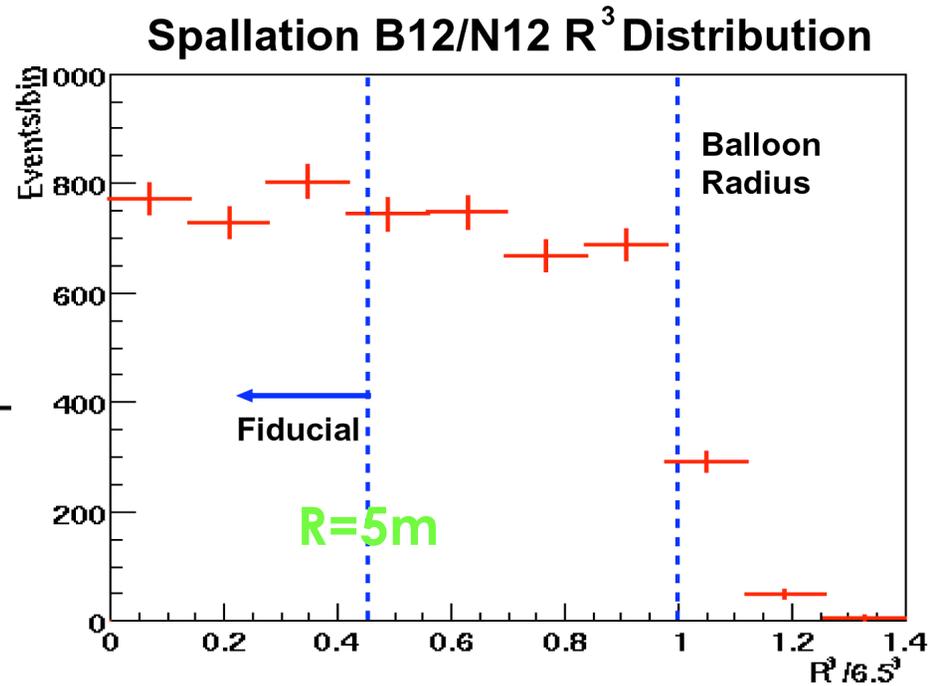
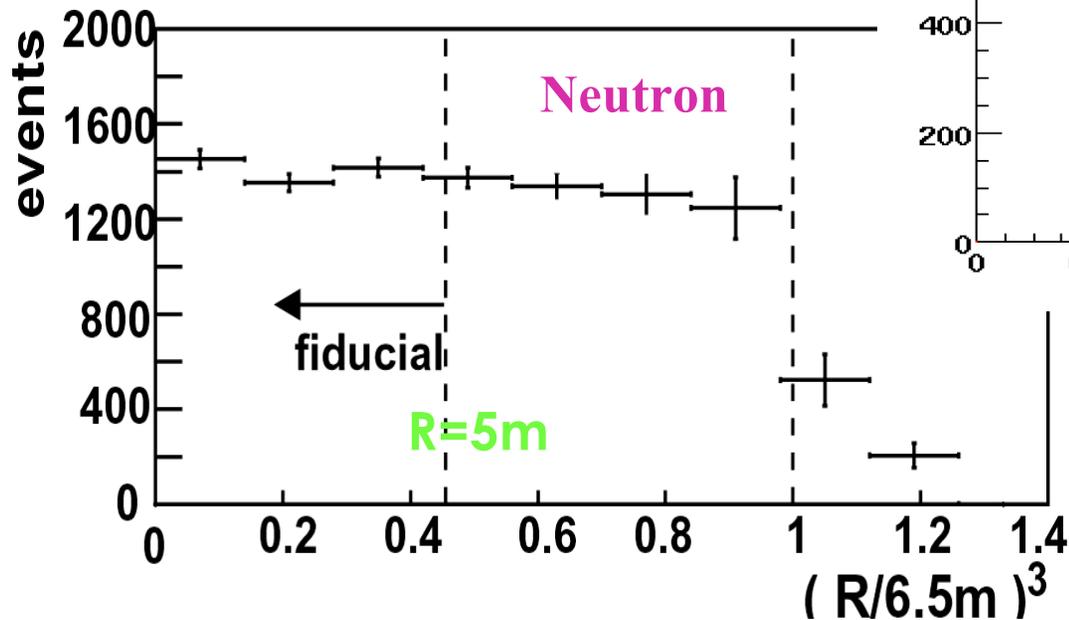
yellow: after muon 150usec~10msec  
 red: apply  $\Delta L < 3\text{m}$





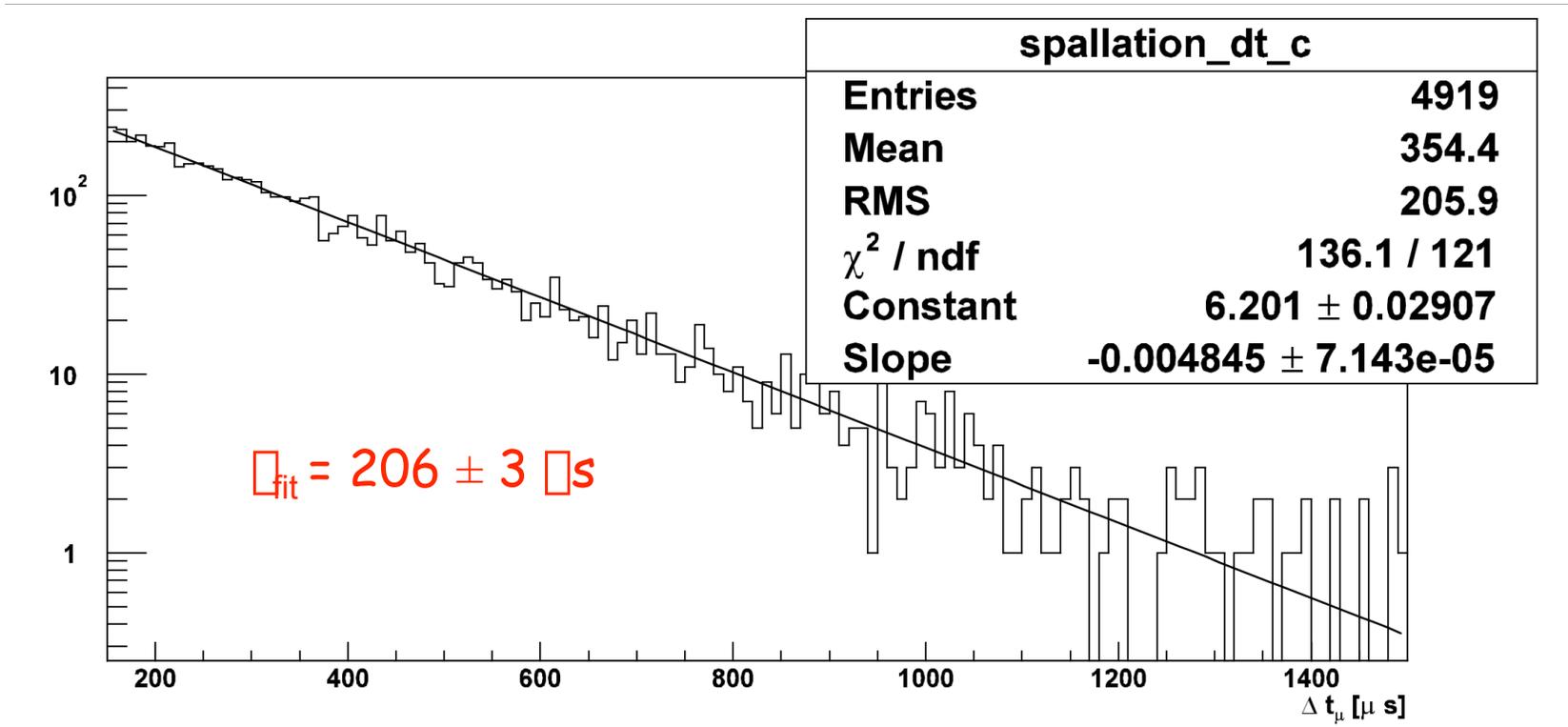
# $R^3$ Vertex Distributions of Neutrons & $^{12}\text{B}/^{12}\text{N}$

$$\square V_{\text{fid}}/V_{\text{fid}} = 4.6 \%$$





# Test of n-Capture Time With Cosmic-ray Muon-Induced Neutrons

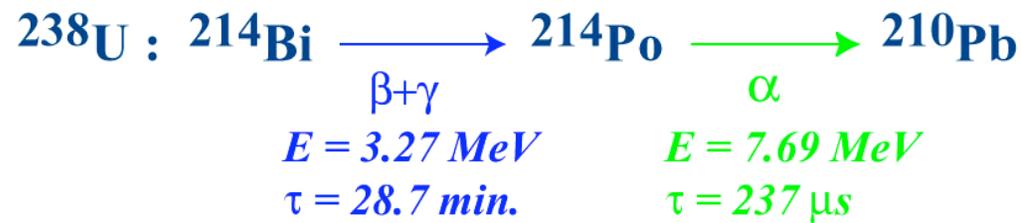


Requirements:

$E_p > 10 \text{ MeV}$ ,  $E_d = 1.8\text{-}2.6 \text{ MeV}$ ,  $\tau_{\text{pd}} = 150\text{-}1500 \mu\text{s}$ ,  $r_{\text{pd}} < 5 \text{ m}$



## Radioactivity inside Liquid Scintillator



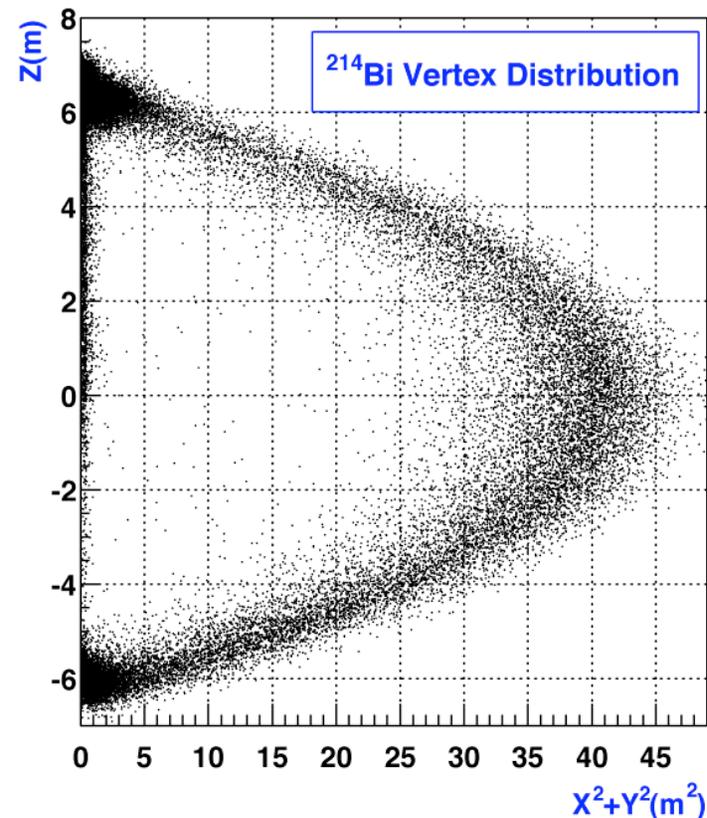
### event selection

$$\Delta R < 100 \text{ cm}$$

$$5 \mu\text{s} < \Delta t < 1000 \mu\text{s}$$

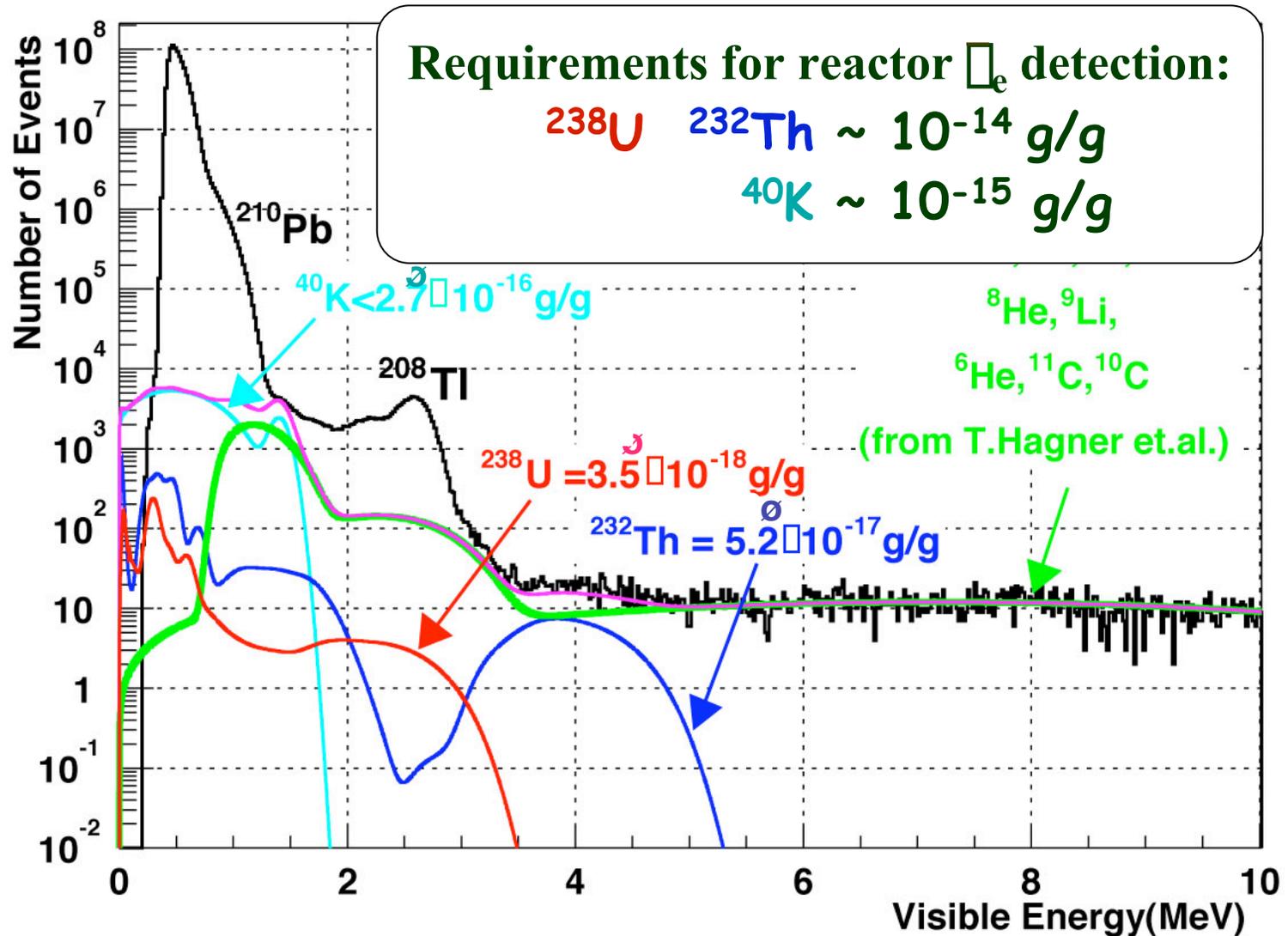
$$E_{\text{prompt}} > 1.3 \text{ MeV}$$

$$0.3 < E_{\text{delayed}} < 1 \text{ MeV}$$





# Energy Spectrum of Radioactivity inside Liquid Scintillator

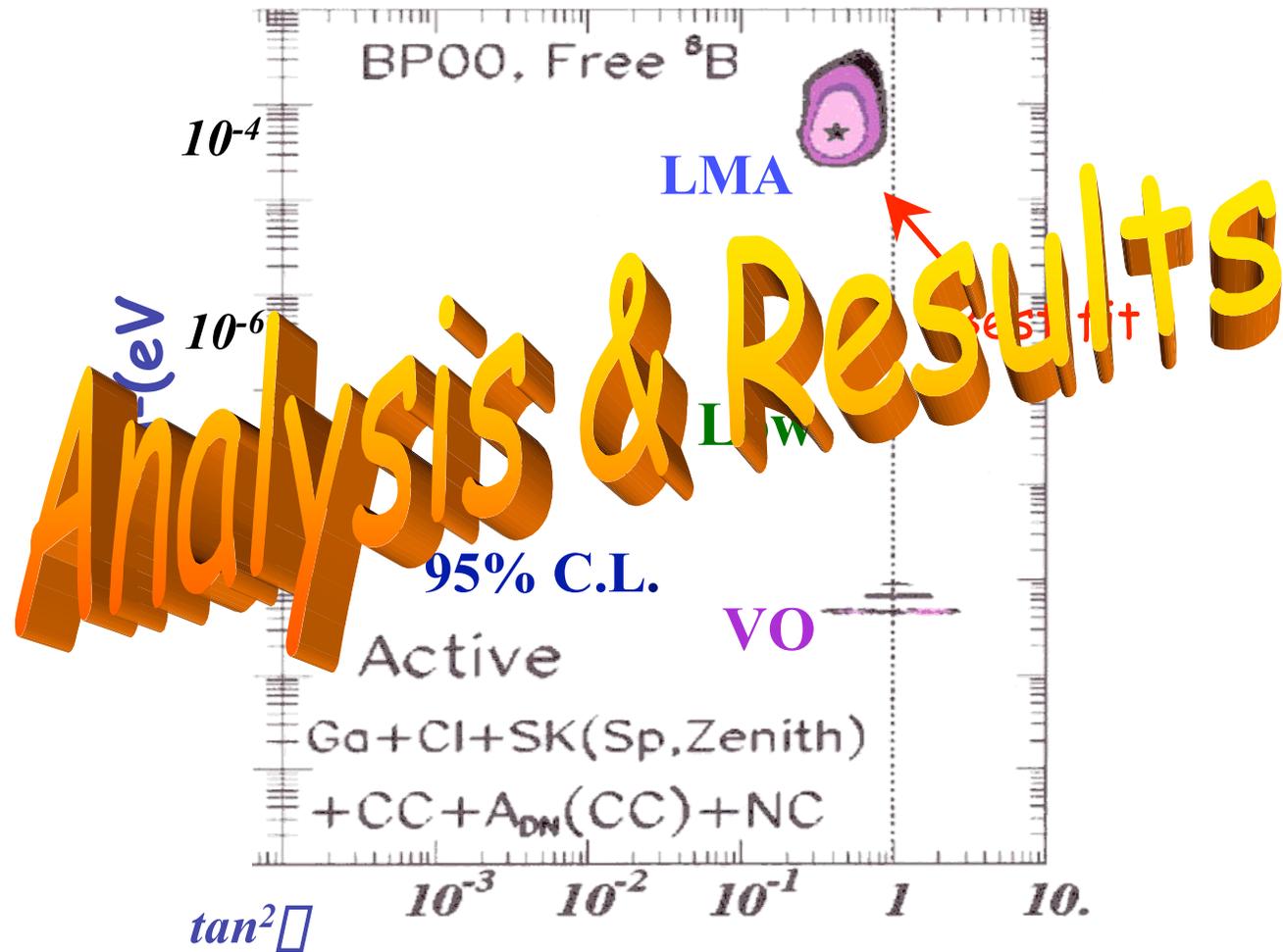




## Estimated Systematic Uncertainties

$E > 2.6 \text{ MeV}$

|                          | %             |        |
|--------------------------|---------------|--------|
| Total LS mass            | 2.13          | ) 4.60 |
| Fiducial mass ratio      | 4.06          |        |
| Energy threshold         | 2.13          |        |
| Tagging efficiency       | 2.06          |        |
| Live time                | 0.07          |        |
| Reactor power            | 2.05          |        |
| Fuel composition         | 1.00          |        |
| Time lag                 | 0.28          |        |
| $\bar{\nu}_e$ spectra    | 2.48          |        |
| Cross section            | 0.2           |        |
| <b>Total Uncertainty</b> | <b>6.42 %</b> |        |





## $\bar{\nu}_e$ Event Selection

### Data Sample

Mar. 4 - Oct. 6, 2002    162 ton·yr (145.1 days)

#### ➤ Inverse $\beta$ -decay selection

$$E_{\text{prompt}} > 2.6 \text{ MeV}$$

no OD signals

$$0.5 < \tau_T < 660 \text{ } \mu\text{sec}$$

$$R < 1.6\text{m}, 1.8 < E_{\text{delay}} < 2.6 \text{ MeV}$$

tagging efficiency 78.3%

(AmBe, LED)

#### ➤ Spallation event cut

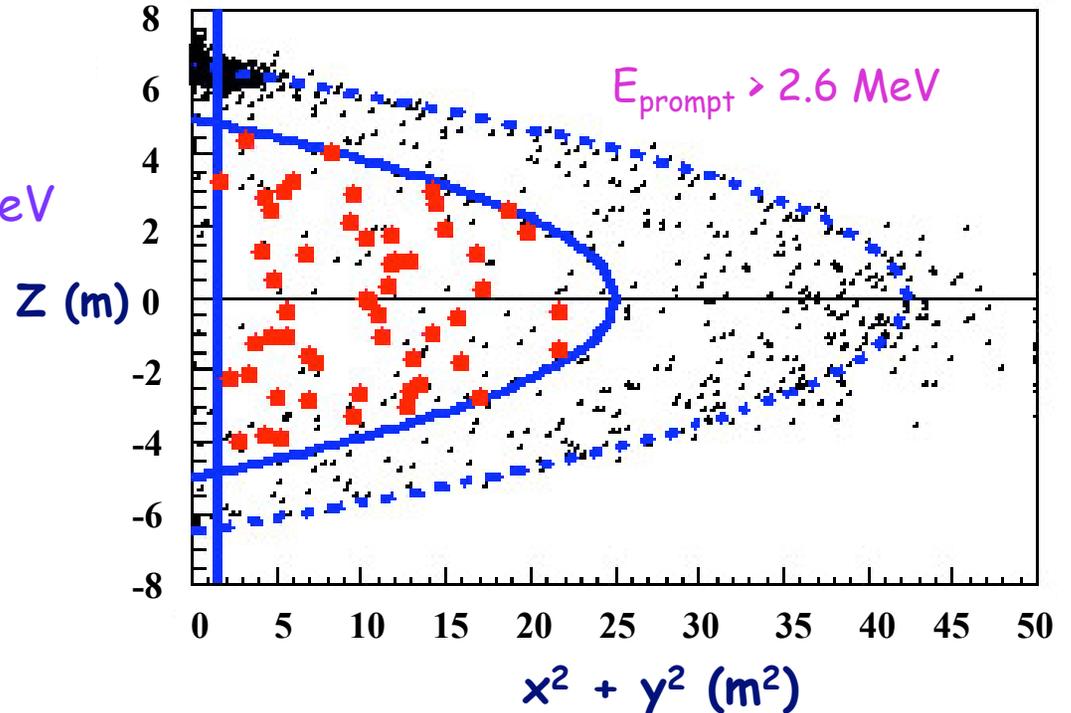
$$\tau_{\bar{\nu}} < 2\text{sec}, E_{\bar{\nu}} > 3 \text{ GeV}$$

$$\text{or } R_{\bar{\nu}} < 3\text{m}$$

#### ➤ Fiducial selection

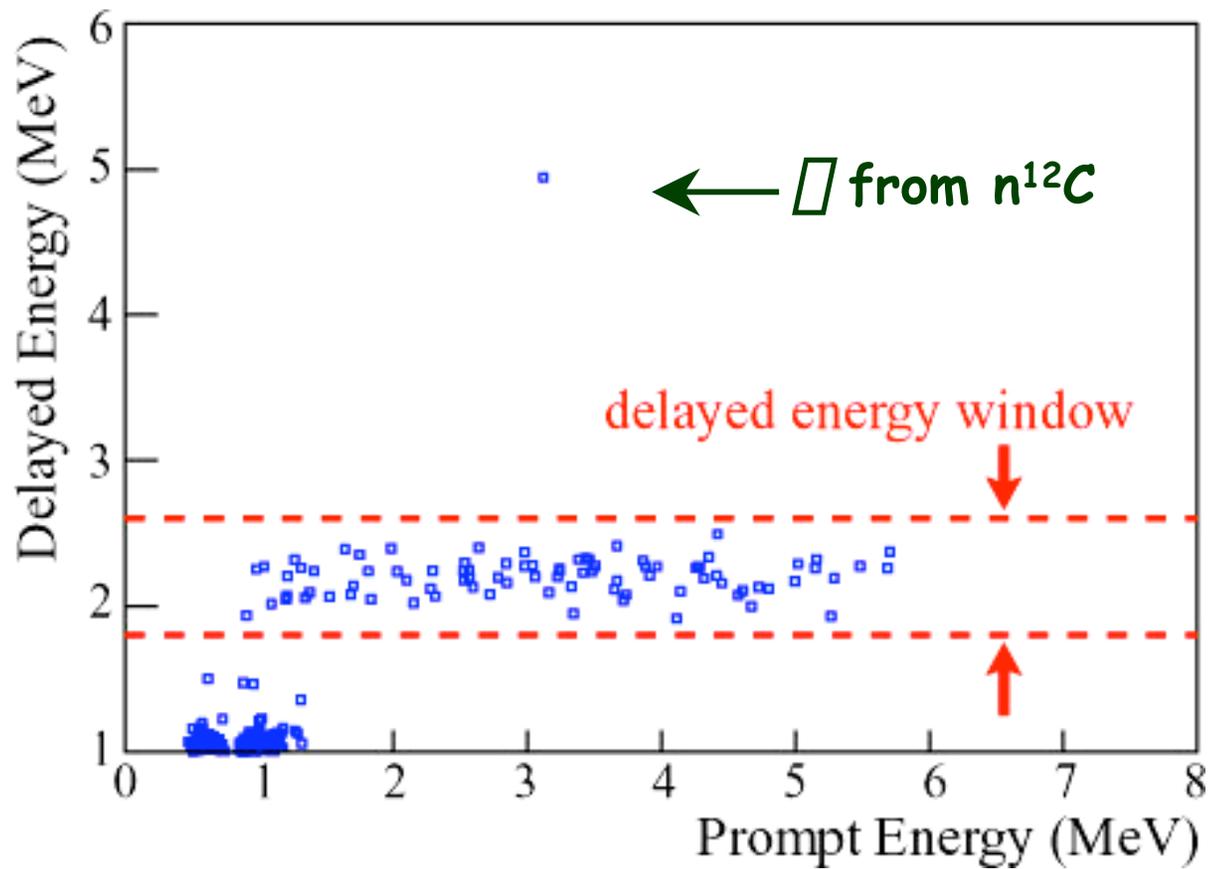
$$R < 5\text{m} : 408 \text{ ton,}$$

$$3.46 \times 10^{31} \text{ free protons}$$



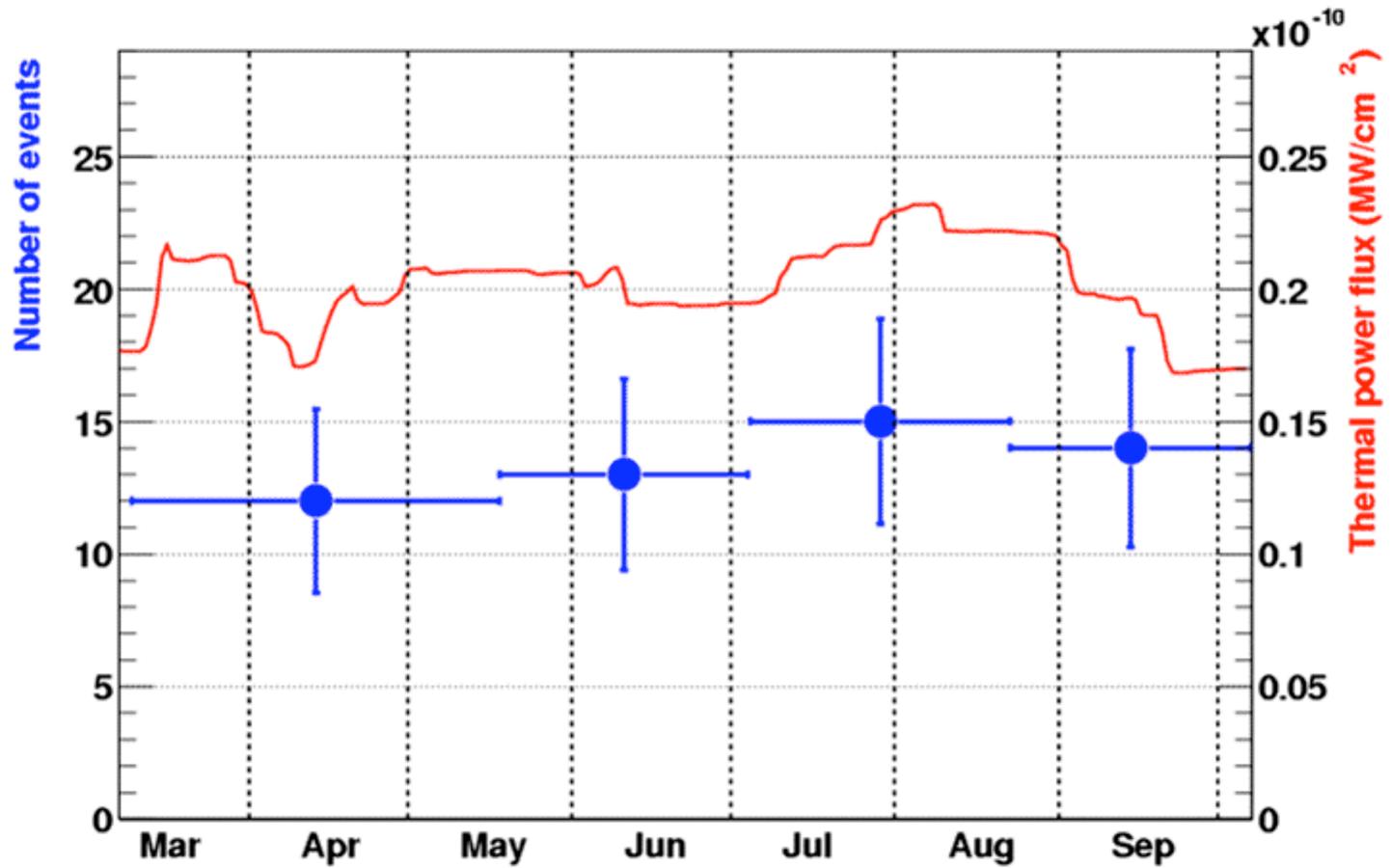


## Correlation Between Prompt and Delayed Energies





## Time Variation of Reactor Power and Signal



2002



## Observed Event Statistics

Based on 162 ton·yr, with  $E_{\text{prompt}} > 2.6 \text{ MeV}$

|  |                            |
|--|----------------------------|
| Final sample                           | 54                         |
| Expected                               | $86.8 \pm 5.6(\text{sys})$ |
| Background                             | $0.95 \pm 0.99$            |
| Accidental                             | $0.0086 \pm 0.0005$        |
| ${}^9\text{Li}/{}^8\text{He} (\pi, n)$ | $0.94 \pm 0.85$            |
| fast neutron                           | $< 0.5$                    |



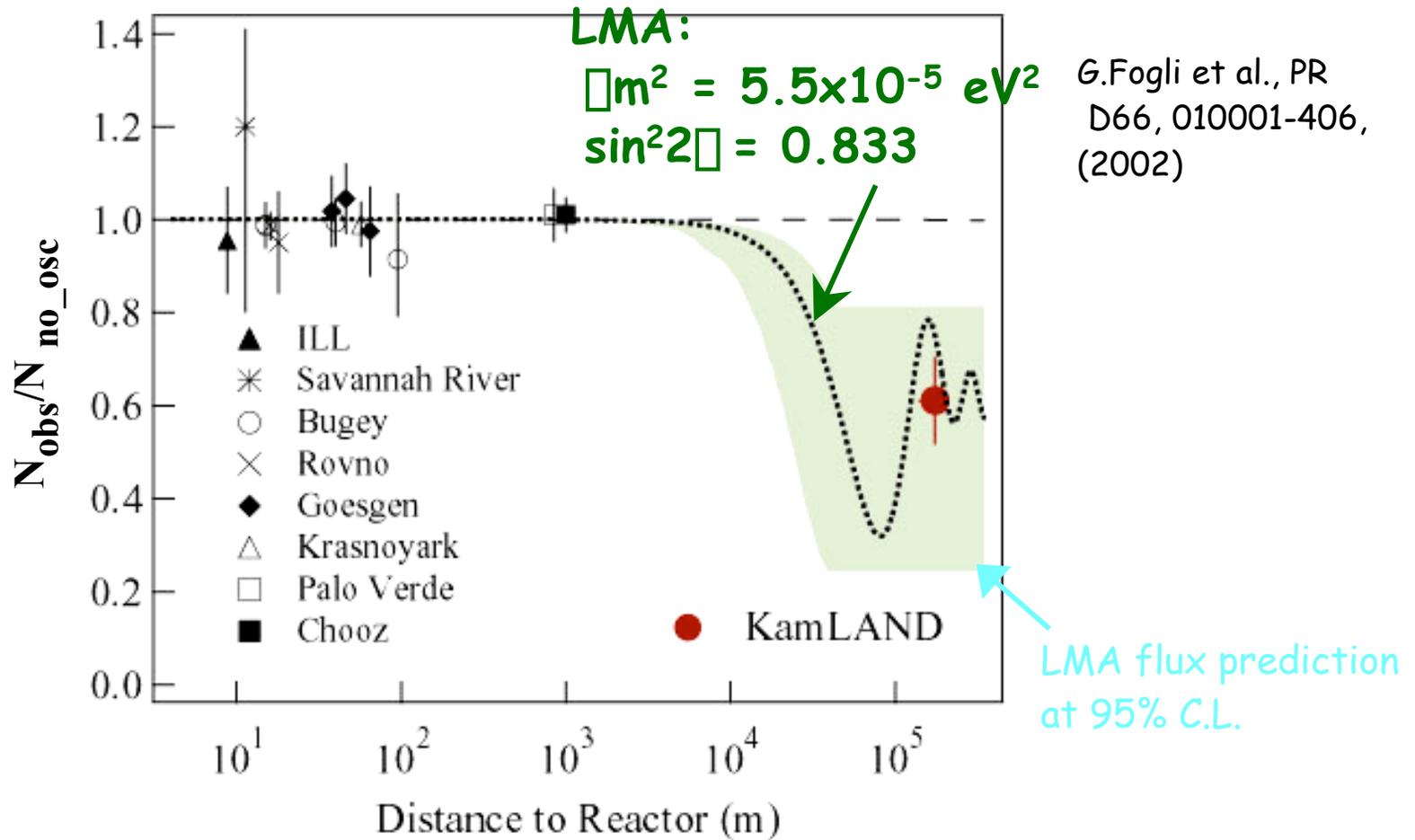
## Evidence for Reactor $\bar{\nu}_e$ Disappearance

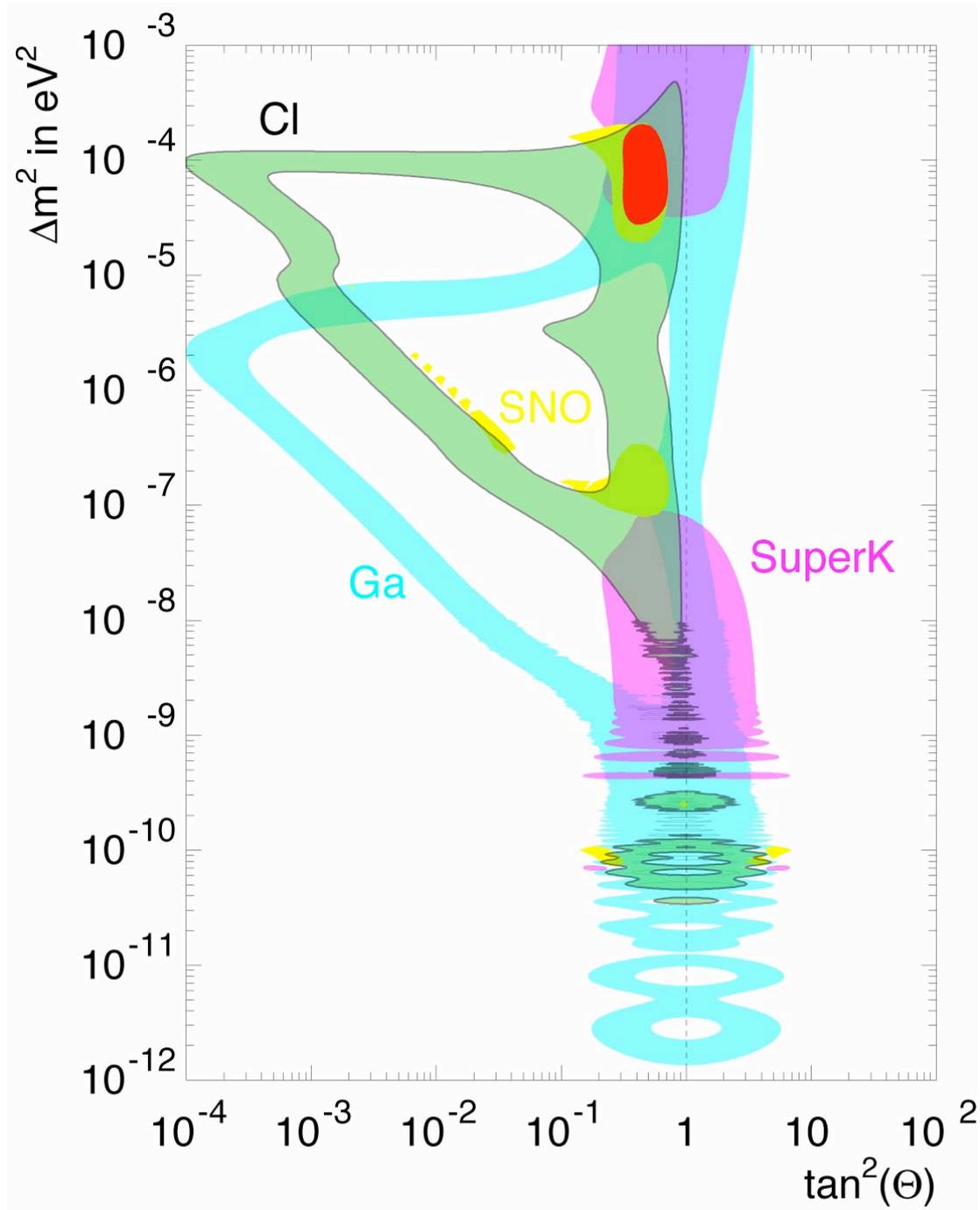
$$\frac{N_{\text{obs}} - N_{\text{BG}}}{N_{\text{expected}}} = 0.611 \pm 0.085 \text{ (stat)} \pm 0.041 \text{ (sys)}$$

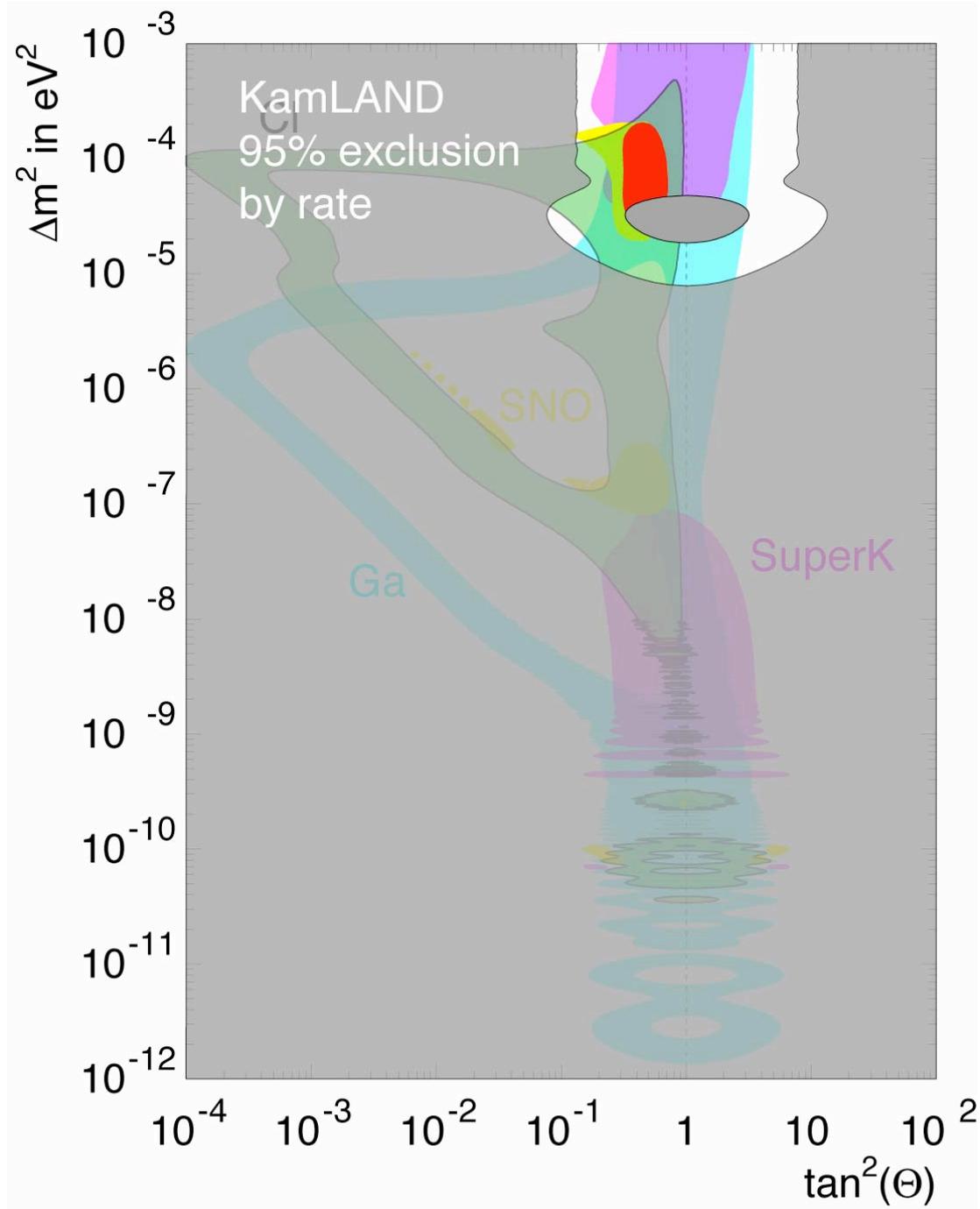
Probability of getting 54 events from 86.8 events due to a statistical fluctuation is 0.05% with Poisson statistics



# Ratio of Measured and Expected $\bar{\nu}_e$ Flux from Reactor Anti-Neutrino Experiments

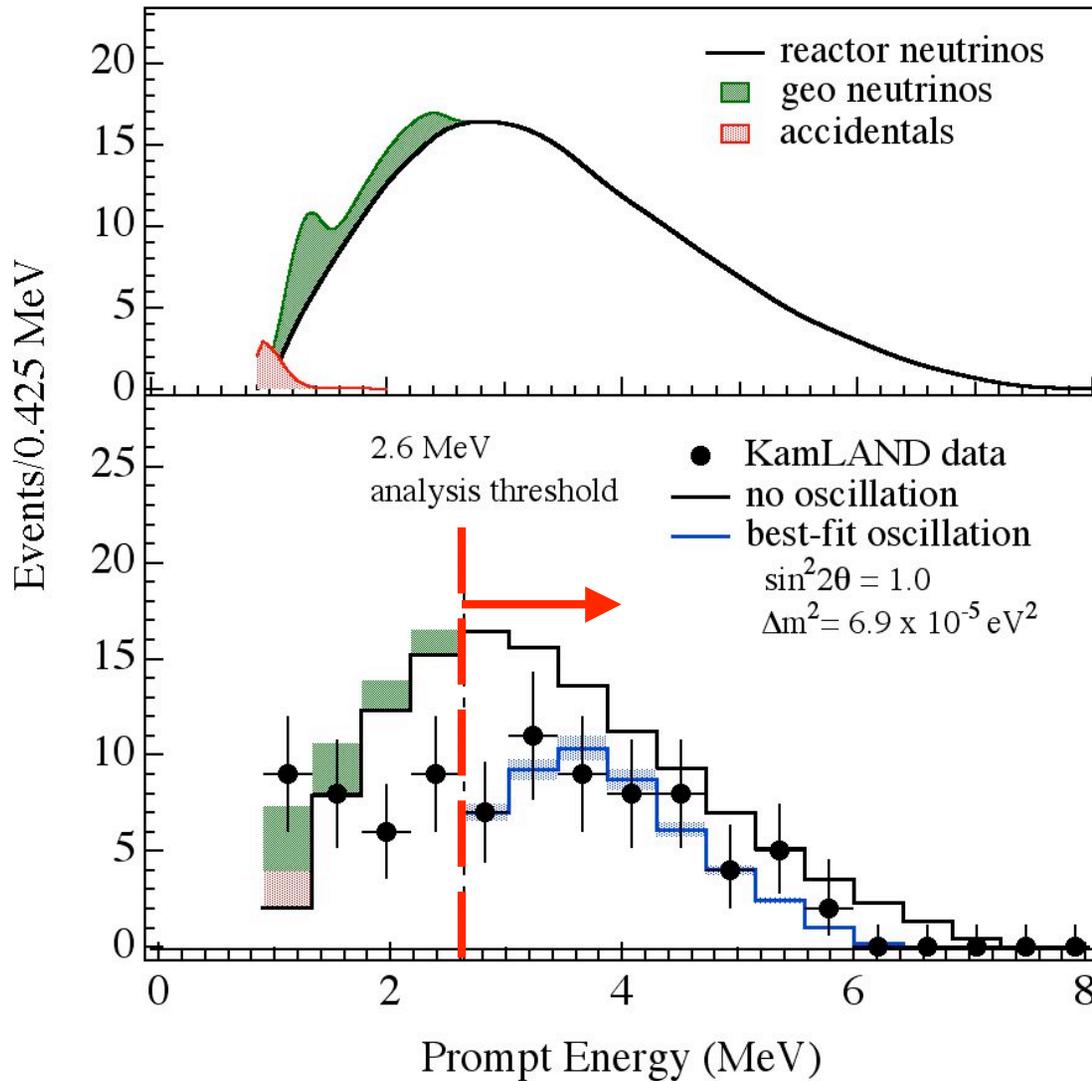








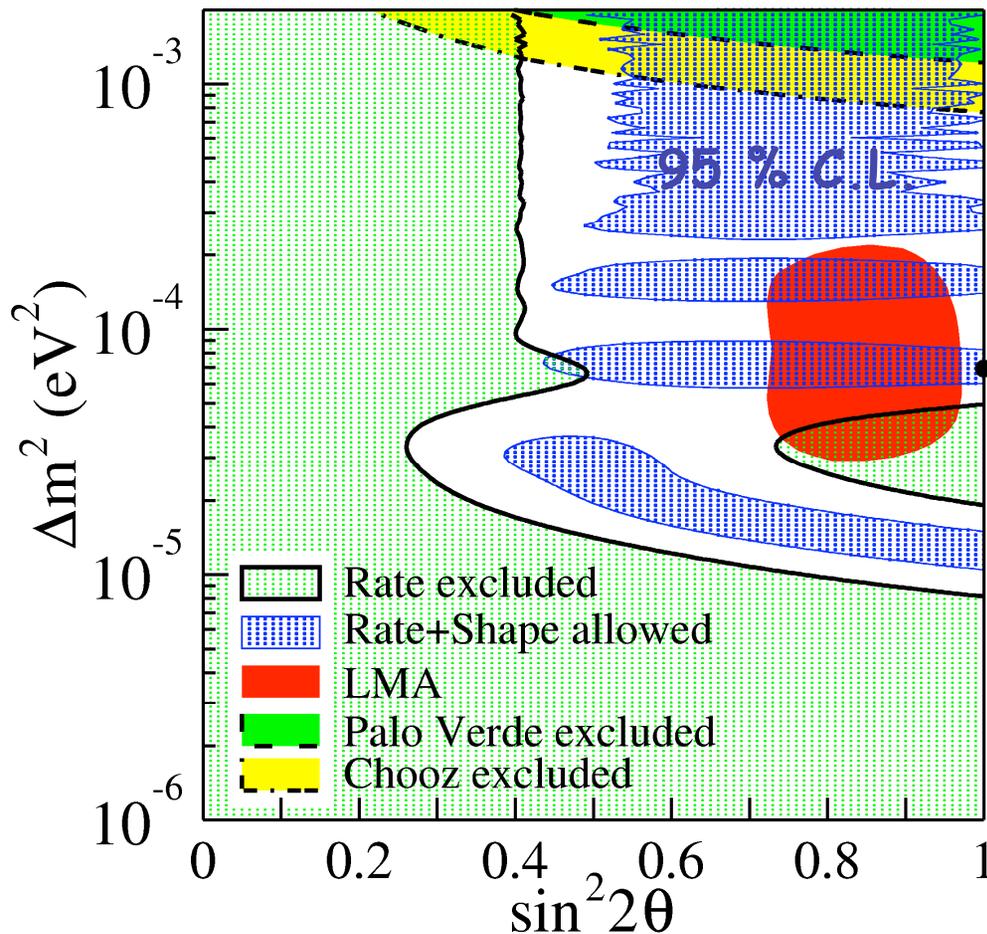
# Energy Spectrum ( $E_{\text{prompt}} > 2.6 \text{ MeV}$ )





# Neutrino Oscillation Study for $E_{\text{prompt}} > 2.6 \text{ MeV}$

$\bar{\nu}_e \rightarrow \bar{\nu}_x$

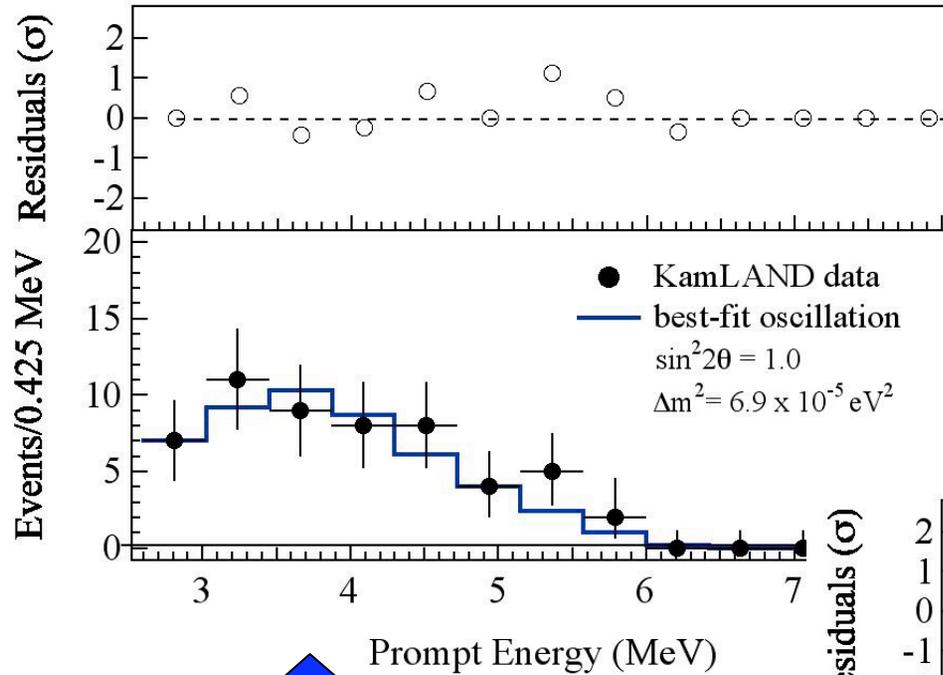


Best fit :

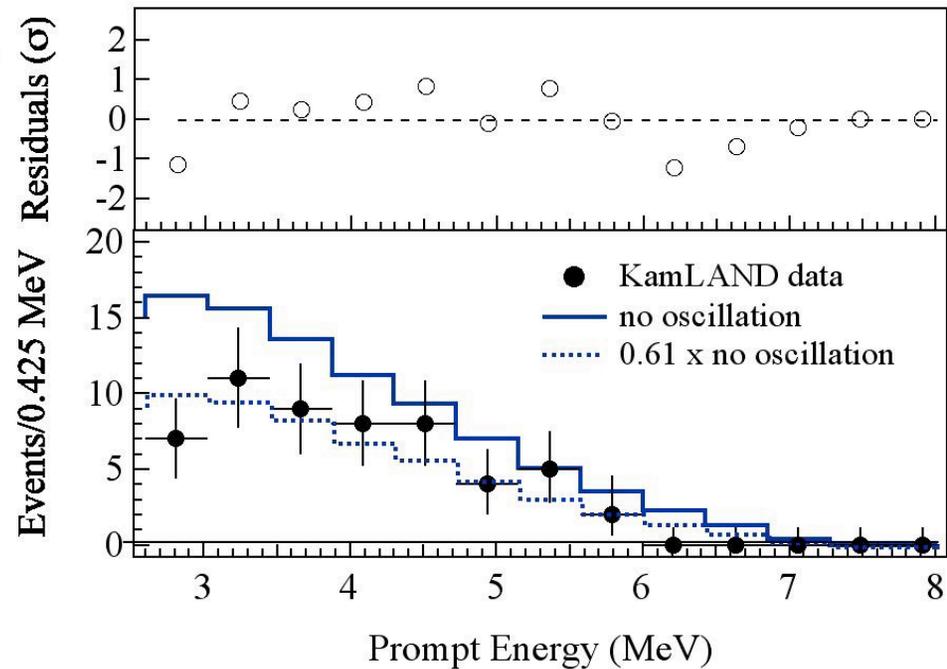
$\Delta m^2 = 6.9 \times 10^{-5} \text{ eV}^2$   
 $\sin^2 2\theta = 1.0$



# Spectrum of Prompt Energy



53% C.L.



$\chi^2 = 0.3/8 \text{ d.o.f.}$   
93% C.L.



# Conclusions

- KamLAND is routinely taking data since January 2002.
- Background and energy resolution are better than expected.
- Analysis of first 145 days of data shows clear deficit of  $\bar{\nu}_e$  events. This finding is consistent with the LMA oscillation solution of the solar neutrino problem. The other solutions are strongly disfavored.
- Data taking continues. Higher statistics should allow us to probe spectral distortion and perform precise measurement of neutrino mixing parameters.