

# Neutrino Physics

## Milind Diwan

4/25/2007

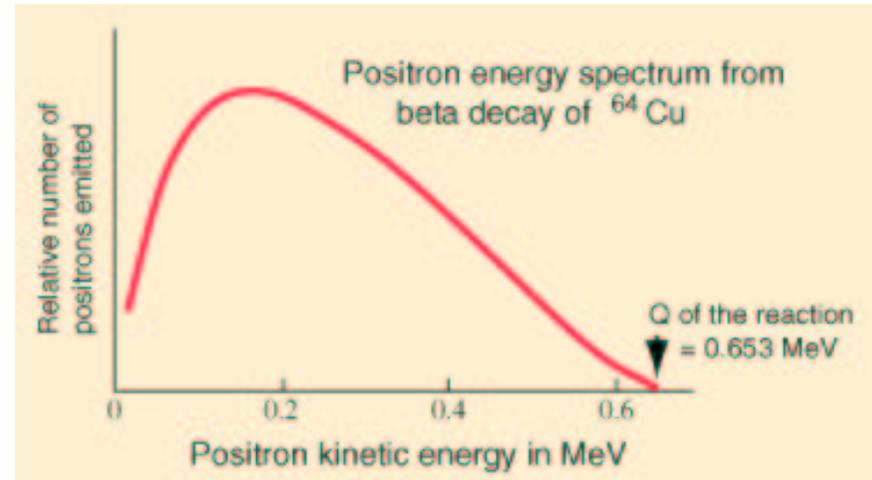
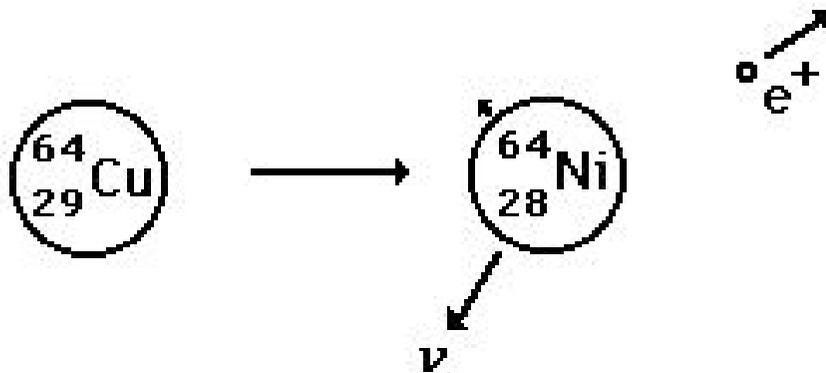
- What are neutrinos ?
- Why study them ?
- Properties
- Natural/man-made sources

Stony Brook has some of the best people in this field  
Yang, Shrock, Marx, Jung, ...

Thanks to many for slides.  
esp: SK, SNO, Kamland, Minos



- Neutrinos ( $\nu$ ) are electrically neutral almost massless particles emitted in decays of radioactive nuclei (beta decays).
- Every emission of Beta particle is accompanied by a neutrino.



- In 1930, W. Pauli proposed the neutrino because the observed beta decay appeared to violate energy conservation.
- At that time the proton, electron and the photon (light) were the only known elementary particles.
- The neutrino is now an essential building block of the universe.
- The neutrino is the cause or the consequence of extraordinary and deep principles of nature.

# Standard Model of FUNDAMENTAL PARTICLES AND INTERACTIONS

The Standard Model summarizes the current knowledge in Particle Physics. It is the quantum theory that includes the theory of strong interactions (quantum chromodynamics or QCD) and the unified theory of weak and electromagnetic interactions (electroweak). Gravity is included on this chart because it is one of the fundamental interactions even though not part of the "Standard Model."

## FERMIONS

**matter constituents**  
spin = 1/2, 3/2, 5/2, ...

Leptons spin = 1/2		
Flavor	Mass GeV/c <sup>2</sup>	Electric charge
$\nu_e$ electron neutrino	$<1 \times 10^{-8}$	0
e electron	0.000511	-1
$\nu_\mu$ muon neutrino	$<0.0002$	0
$\mu$ muon	0.106	-1
$\nu_\tau$ tau neutrino	$<0.02$	0
$\tau$ tau	1.7771	-1

Quarks spin = 1/2		
Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge
u up	0.003	2/3
d down	0.006	-1/3
c charm	1.3	2/3
s strange	0.1	-1/3
t top	175	2/3
b bottom	4.3	-1/3

**Spin** is the intrinsic angular momentum of particles. Spin is given in units of  $\hbar$ , which is the quantum unit of angular momentum, where  $\hbar = h/2\pi = 6.58 \times 10^{-25}$  GeV s =  $1.05 \times 10^{-34}$  J s.

**Electric charges** are given in units of the proton's charge. In SI units the electric charge of the proton is  $1.60 \times 10^{-19}$  coulombs.

The **energy** unit of particle physics is the electronvolt (eV), the energy gained by one electron in crossing a potential difference of one volt. **Masses** are given in GeV/c<sup>2</sup> (remember  $E = mc^2$ ), where 1 GeV =  $10^9$  eV =  $1.60 \times 10^{-10}$  joule. The mass of the proton is 0.938 GeV/c<sup>2</sup> =  $1.67 \times 10^{-27}$  kg.

## BOSONS

**force carriers**  
spin = 0, 1, 2, ...

Unified Electroweak spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0
$W^-$	80.4	-1
$W^+$	80.4	+1
$Z^0$	91.187	0

Strong (color) spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge
g gluon	0	0

### Color Charge

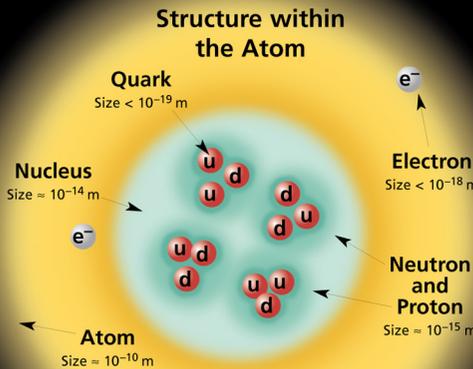
Each quark carries one of three types of "strong charge," also called "color charge." These charges have nothing to do with the colors of visible light. There are eight possible types of color charge for gluons. Just as electrically-charged particles interact by exchanging photons, in strong interactions color-charged particles interact by exchanging gluons. Leptons, photons, and  $W$  and  $Z$  bosons have no strong interactions and hence no color charge.

### Quarks Confined in Mesons and Baryons

One cannot isolate quarks and gluons; they are confined in color-neutral particles called **hadrons**. This confinement (binding) results from multiple exchanges of gluons among the color-charged constituents. As color-charged particles (quarks and gluons) move apart, the energy in the color-force field between them increases. This energy eventually is converted into additional quark-antiquark pairs (see figure below). The quarks and antiquarks then combine into hadrons; these are the particles seen to emerge. Two types of hadrons have been observed in nature: **mesons**  $q\bar{q}$  and **baryons**  $qqq$ .

### Residual Strong Interaction

The strong binding of color-neutral protons and neutrons to form nuclei is due to residual strong interactions between their color-neutral constituents. It is similar to the residual electrical interaction that binds electrically neutral atoms to form molecules. It can also be viewed as the exchange of mesons between the hadrons.



If the protons and neutrons in this picture were 10 cm across, then the quarks and electrons would be less than 0.1 mm in size and the entire atom would be about 10 km across.

## PROPERTIES OF THE INTERACTIONS

Baryons $qqq$ and Antibaryons $\bar{q}\bar{q}\bar{q}$					
Baryons are fermionic hadrons. There are about 120 types of baryons.					
Symbol	Name	Quark content	Electric charge	Mass GeV/c <sup>2</sup>	Spin
p	proton	uud	1	0.938	1/2
$\bar{p}$	anti-proton	$\bar{u}\bar{u}\bar{d}$	-1	0.938	1/2
n	neutron	udd	0	0.940	1/2
$\Lambda$	lambda	uds	0	1.116	1/2
$\Omega^-$	omega	sss	-1	1.672	3/2

Property	Interaction	Gravitational	Weak	Electromagnetic	Strong	
		Mass - Energy	(Electroweak)		Fundamental	Residual
Acts on:		All	Flavor	Electric Charge	Color Charge	See Residual Strong Interaction Note
Particles experiencing:		All	Quarks, Leptons	Electrically charged	Quarks, Gluons	Hadrons
Particles mediating:		Graviton (not yet observed)	$W^+ W^- Z^0$	$\gamma$	Gluons	Mesons
Strength relative to electromag for two u quarks at:	$10^{-18}$ m	$10^{-41}$	0.8	1	25	Not applicable to quarks
	$3 \times 10^{-17}$ m	$10^{-41}$	$10^{-4}$	1	60	
for two protons in nucleus		$10^{-36}$	$10^{-7}$	1	Not applicable to hadrons	20

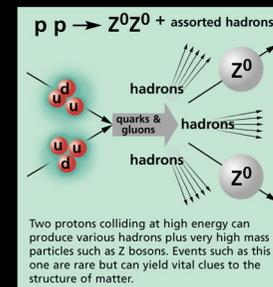
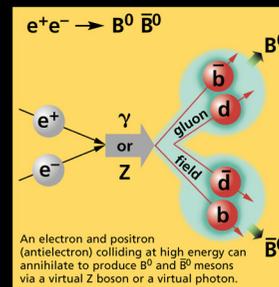
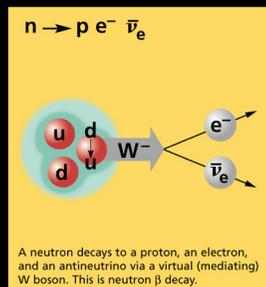
Mesons $q\bar{q}$					
Mesons are bosonic hadrons. There are about 140 types of mesons.					
Symbol	Name	Quark content	Electric charge	Mass GeV/c <sup>2</sup>	Spin
$\pi^+$	pion	$u\bar{d}$	+1	0.140	0
$K^-$	kaon	$s\bar{u}$	-1	0.494	0
$\rho^+$	rho	$u\bar{d}$	+1	0.770	1
$B^0$	B-zero	$d\bar{b}$	0	5.279	0
$\eta_c$	eta-c	$c\bar{c}$	0	2.980	0

### Matter and Antimatter

For every particle type there is a corresponding antiparticle type, denoted by a bar over the particle symbol (unless + or - charge is shown). Particle and antiparticle have identical mass and spin but opposite charges. Some electrically neutral bosons (e.g.,  $Z^0$ ,  $\gamma$ , and  $\eta_c = c\bar{c}$ , but not  $K^0 = d\bar{s}$ ) are their own antiparticles.

### Figures

These diagrams are an artist's conception of physical processes. They are **not** exact and have **no** meaningful scale. Green shaded areas represent the cloud of gluons or the gluon field, and red lines the quark paths.



### The Particle Adventure

Visit the award-winning web feature *The Particle Adventure* at <http://ParticleAdventure.org>

This chart has been made possible by the generous support of:

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# FERMIONS

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<b><math>\tau</math></b> tau	1.7771	-1	<b>b</b> bottom	4.3	-1/3

# BOSONS

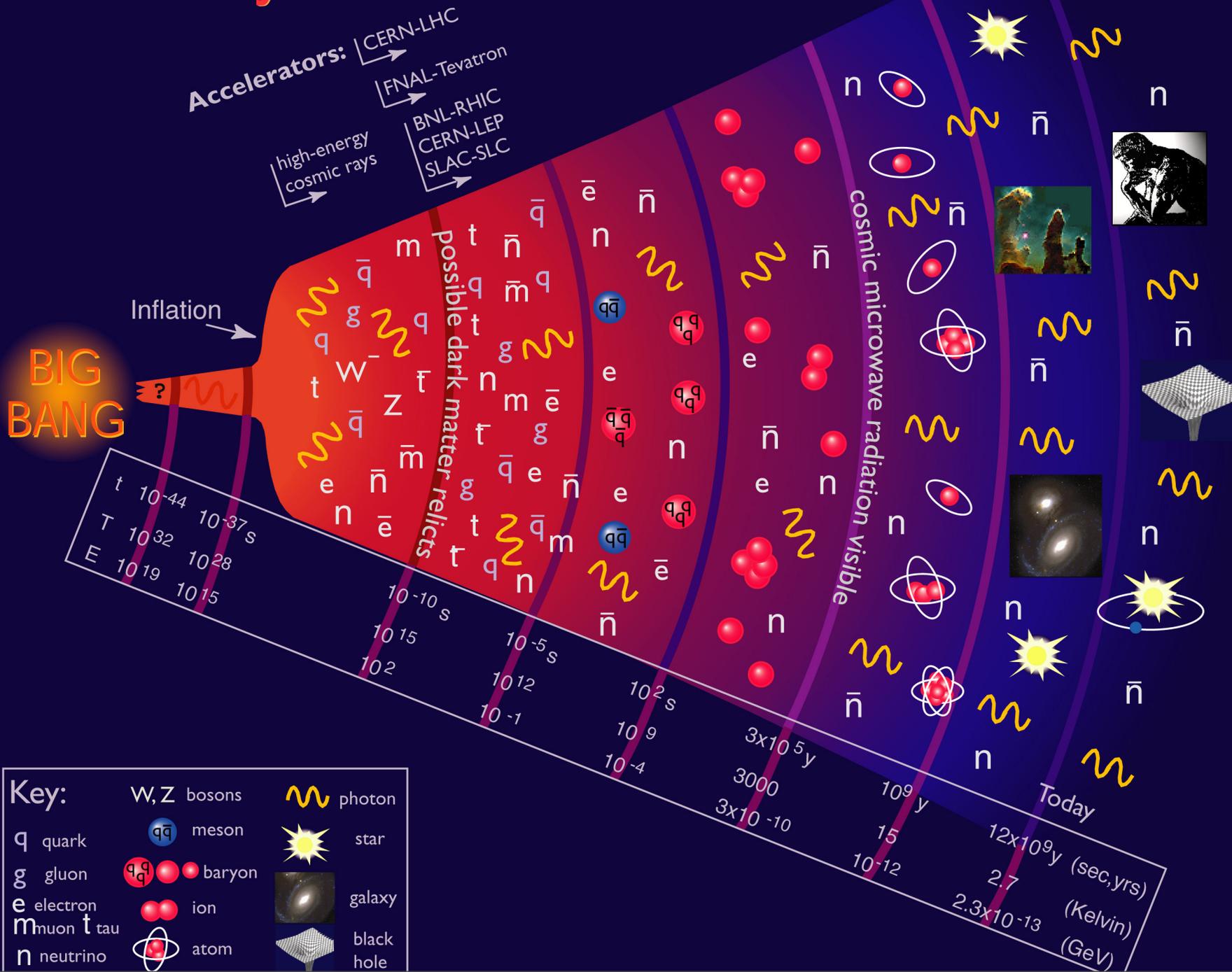
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<b>W<sup>+</sup></b>	80.4	+1			
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# PROPERTIES OF THE INTERACTIONS

Property \ Interaction	Gravitational	Weak	Electromagnetic	Strong	
		(Electroweak)			Fundamental
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# History of the Universe



# Some reading

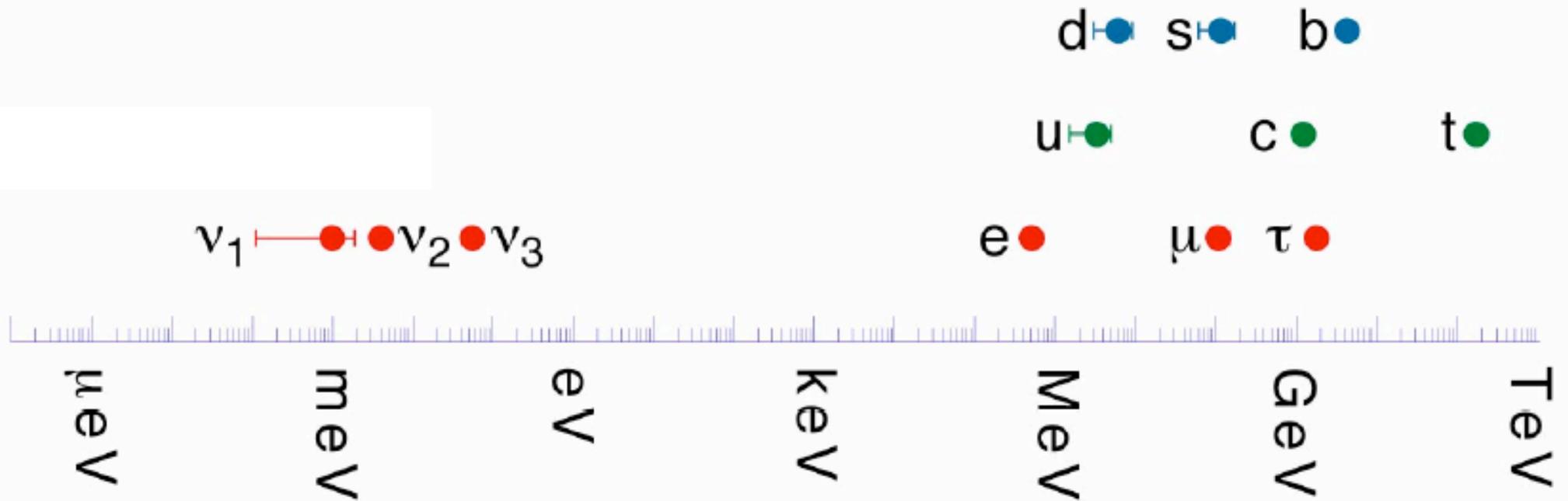
- BIG BANG by Simon Singh
- GREAT DESIGN by Robert Adair
- GOD PARTICLE by Leon Lederman

# Neutrino properties

- Nearly massless. (New evidence that they have tiny masses)
- No electric charge. Interactions are **Weak**.
- Paired with charged particles called **Leptons** (electron, muon, tau).
- When they interact they either convert to the lepton they are engaged to or they stay the same.
- They spin only counter-clockwise as they fly. (Anti-neutrinos spin clockwise as they fly)

Current picture of masses from oscillations puzzling.

fermion masses



hierarchy

# Interaction rates

$$N_{events} = F \times \sigma \times N_{targets} \times \epsilon$$

- Rate of events(events per sec) :  $N_{events}$
- Flux:  $F/cm^2/sec$
- Cross section:  $\sigma \text{ cm}^2$
- Number of targets: 1 ton of water has  $6 \times 10^{29}$  protons and neutrons.
- Efficiency for practical detectors:  $\epsilon$
- Flux, cross section, efficiency are functions of neutrinos energy (  $1eV : 1.6 \times 10^{-19} J$  )

# Examples of sources

- Energy and Flux of neutrinos from various sources.
  - The SUN ! below 0.5 MeV  $10^{11} cm^{-2} s^{-1}$   
at  $\sim 3-14$  MeV  $3 \times 10^6 cm^{-2} s^{-1}$
  - Cosmic rays hitting the atmosphere  
at 1 GeV  $\sim 5000 m^{-2} s^{-1}$
  - From radioactive decays in the Earth  
 $10^6 - 10^7 cm^{-2} s^{-1} < 3$  MeV from U/Th decays.
  - SuperNova neutrinos. 11 were seen in 1987 in two large detectors.
  - Microwave background neutrinos. Very cold  $2.7^\circ$  !  $300 cm^{-3}$   
Multiply by velocity to get flux.
  - Nuclear reactors.  $10^{13} - 10^{15} cm^{-2} s^{-1} \leq 5$  MeV. Falls as  $1/r^2$  with distance from reactor.
  - Accelerators.  $5 \times 10^{-5} / m^2$  per proton ( $E \sim 1$  GeV) at 1 km.

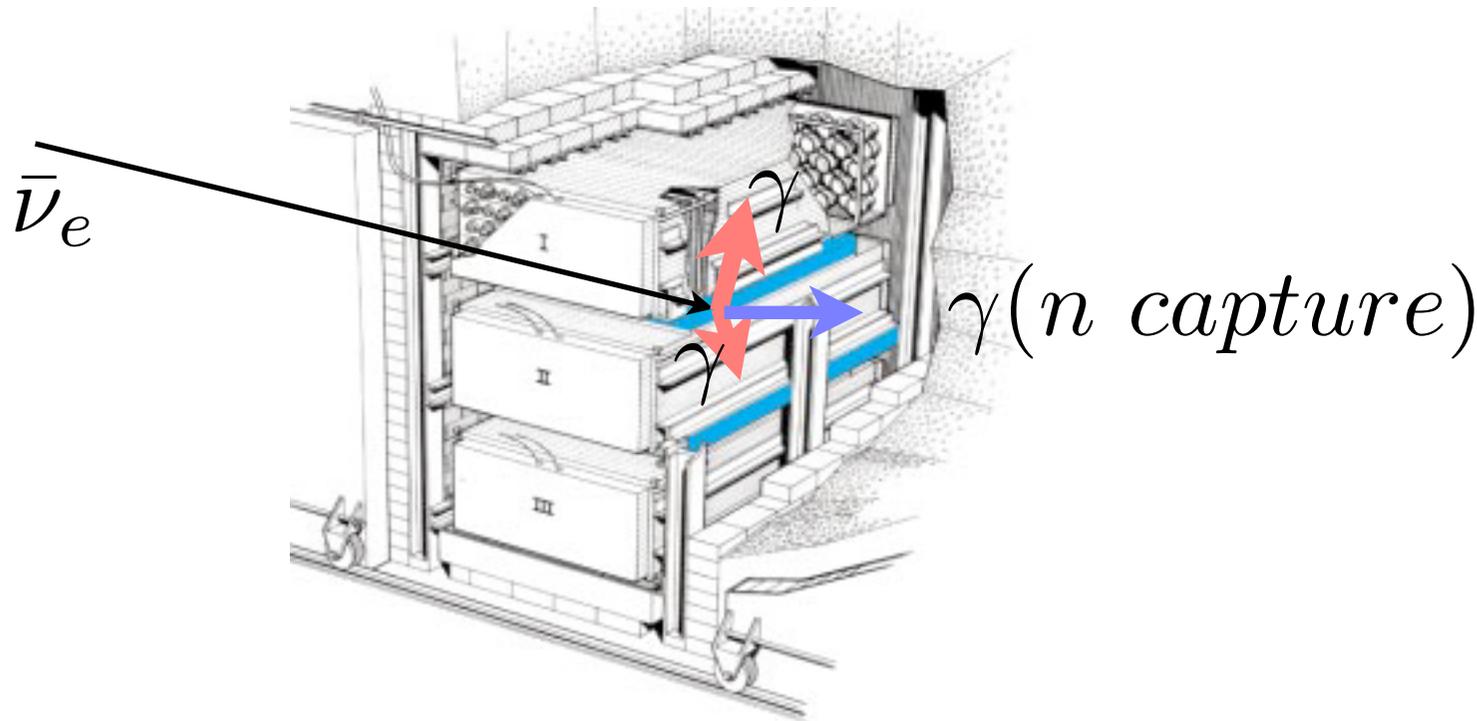
# Cross sections

- Cross section of neutrinos on various targets are small. Detectors must be very large to see enough events.
  - at  $\sim 1\text{MeV}$  on protons, neutrons, deuteron  
 $\sim 10^{-44}\text{cm}^2$
  - at  $\sim 10\text{MeV}$  on Chlorine, Carbon, etc.  
 $\sim 10^{-42} - 10^{-41}\text{cm}^2$
  - high energies (1 GeV) on protons and neutrons  
 $\sim 10^{-38} \times (E_\nu/\text{GeV})\text{cm}^2$
  - high energies (GeV) on electrons  
 $\sim 10^{-41} \times (E_\nu/\text{GeV})\text{cm}^2$

# Reines and Cowan experiment

Pauli bet a case of champagne that nobody would ever detect a neutrino. In 1956, Clyde Cowan and Fred Reines detected antineutrinos emitted from a nuclear reactor at Savannah River in South Carolina, USA. Pauli kept his promise.

”Detection of the Free Neutrino: A Confirmation”, C.L. Cowan, Jr., F. Reines, F.B. Harrison, H.W. Kruse and A.D. McGuire, Science 124, 103 (1956).



## Reines and Cowan experiment. Result

$\bar{\nu}_e + p \rightarrow n + e^+$ , wait few  $\mu s$ ,  $n + {}^{108}\text{Cd} \rightarrow {}^{109}\text{Cd} + \gamma$

Detect  $e^+$  and  $\gamma$  in sequence to reduce background.

There was 200 kg of water.  $1.3 \times 10^{28}$  free protons.

Detector was placed 11 m from the reactor and 12 m deep.

Observed  $\sim 3$  events per hour.

Confirmed by turning the reactor off.

$$10^{13} \text{cm}^{-2} \text{s}^{-1} \times 3600 \text{s} \times 6 \times 10^{-44} \text{cm}^2 \times 1.3 \times 10^{28} \text{protons} \times \sim 0.1$$

Nobel prize for Reines in 1995.

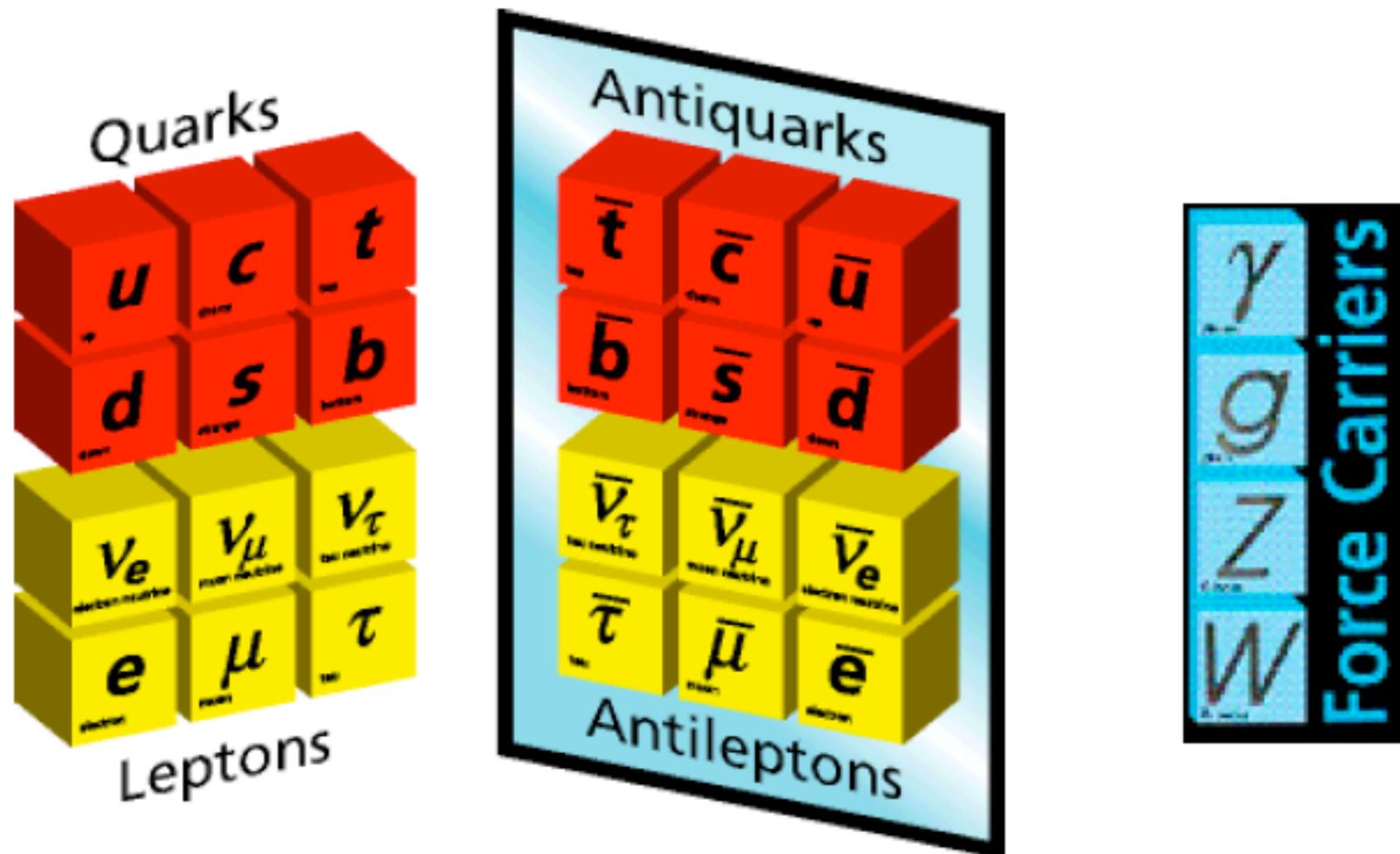
# Homework ?

- Calculate the size of detector needed to see 100 events from the Sun, cosmic rays, reactors, accelerators, etc.

# Neutrino puzzles

- Do they have mass ? Why so small ?
- If they have mass what implications on left-right properties ?
- Can they turn into each other ?
- What implications for the structure of the universe ?
- What is the relationship to quarks ?

# The Standard Model



This picture needs revision

# The Growing Excitement of Neutrino Physics

Pauli  
Predicts  
the Neutrino

1930

Reines & Cowan  
discover  
(anti)neutrinos

1955

2 distinct flavors identified  
Davis discovers  
the solar deficit

1980

LEP shows 3 active flavors  
Kamioka II confirms solar deficit

2005

SAGE and Gallex see the solar deficit

Kamioka II and IMB see  
an atmospheric deficit

Kamioka II and IMB see  
supernova neutrinos

Nobel prize for discovery  
of distinct flavors!

LSND sees an  
oscillation signal

Nobel Prize for  $\bar{\nu}$  discovery!

Super K confirms  
the atmospheric deficit

Super K confirms solar  
deficit and "images" sun

SNO shows solar  
oscillation to active flavor

Nobel Prize for neutrino  
astroparticle physics!

KamLAND confirms  
solar oscillations

K2K confirms  
atmospheric  
oscillations

# Neutrino mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

ν<sub>e</sub> appearance  
ν<sub>μ</sub> disappearance

Pauli  
Predicts  
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1930

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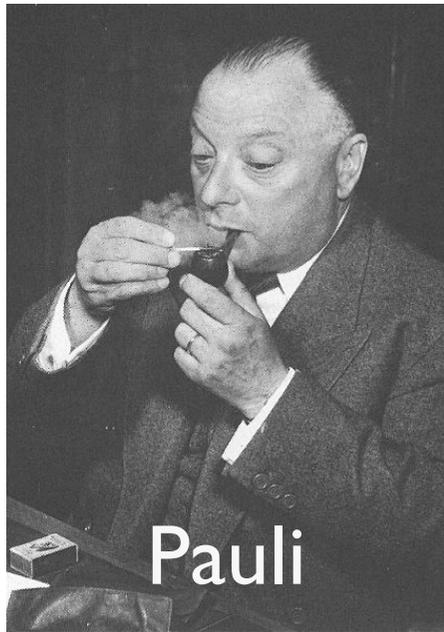
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Super K confirms solar  
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Inventor



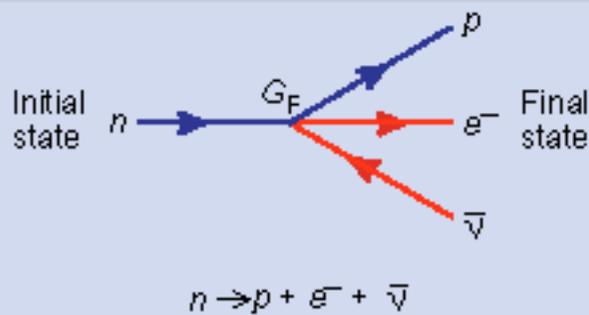
Developer



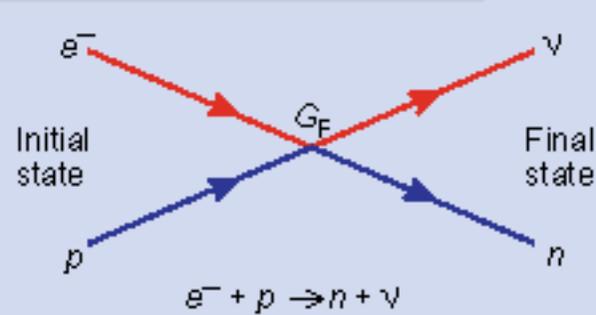
Бруно Понтекорво

Oscillator

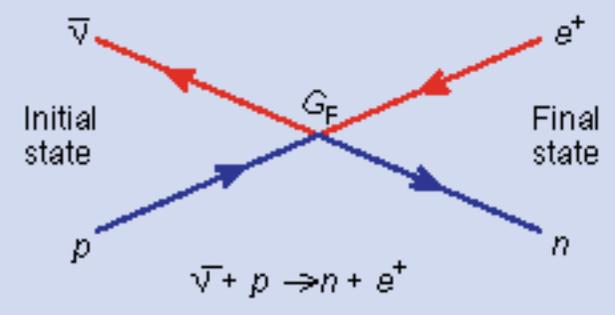
Neutron Beta Decay



Electron Capture



Inverse Beta Decay



## Brief review of oscillations

Assume a  $2 \times 2$  neutrino mixing matrix.

$$\begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$\nu_a(t) = \cos(\theta)\nu_1(t) + \sin(\theta)\nu_2(t)$$

$$\begin{aligned} P(\nu_a \rightarrow \nu_b) &= |\langle \nu_b | \nu_a(t) \rangle|^2 \\ &= \sin^2(\theta) \cos^2(\theta) |e^{-iE_2 t} - e^{-iE_1 t}|^2 \end{aligned}$$

Sufficient to understand most of the physics:

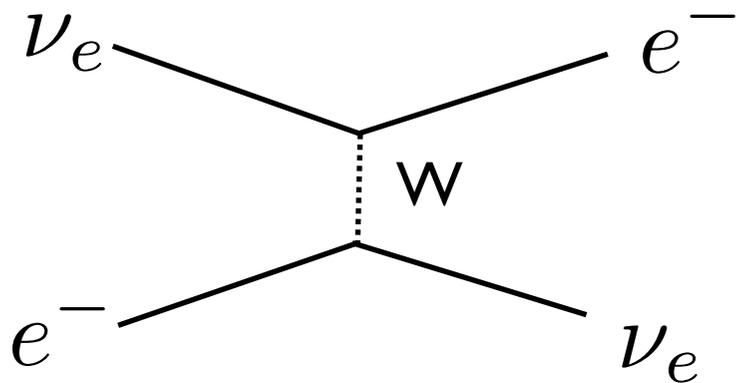
$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \frac{1.27((m_2^2 - m_1^2)/eV^2)(L/km)}{(E/GeV)}$$

$$P(\nu_a \rightarrow \nu_a) = 1 - \sin^2 2\theta \sin^2 \frac{1.27(\Delta m^2/eV^2)(L/km)}{(E/GeV)}$$

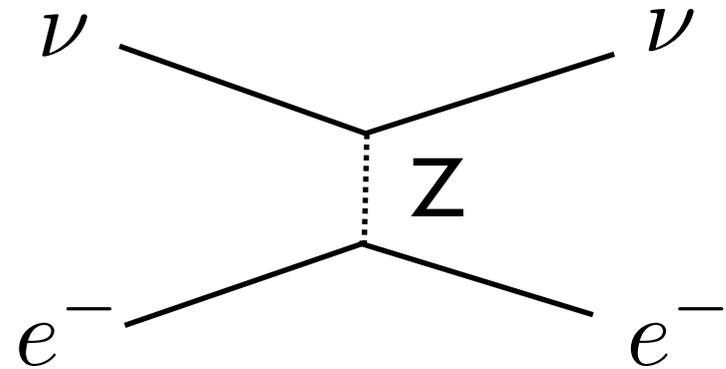
Oscillation nodes at  $\pi/2, 3\pi/2, 5\pi/2, \dots$  ( $\pi/2$ ):  $\Delta m^2 = 0.0025eV^2$ ,  
 $E = 1GeV$ ,  $L = 494km$ .

$$i \frac{d}{dx} \nu_f = H R_\theta \nu_m$$

L. Wolfenstein: Oscillations need to be modified in presence of matter.



Charged Current  
for electron type only



Neutral Current  
for all neutrino types

Additional potential for  $\nu_e$  ( $\bar{\nu}_e$ ):  $\pm \sqrt{2} G_F N_e$

$N_e$  is electron number density.

## Oscillations in presence of matter

$$i \frac{d}{dx} \nu_f = R_\theta H(\nu_m) + H_{mat}(\nu_f)$$

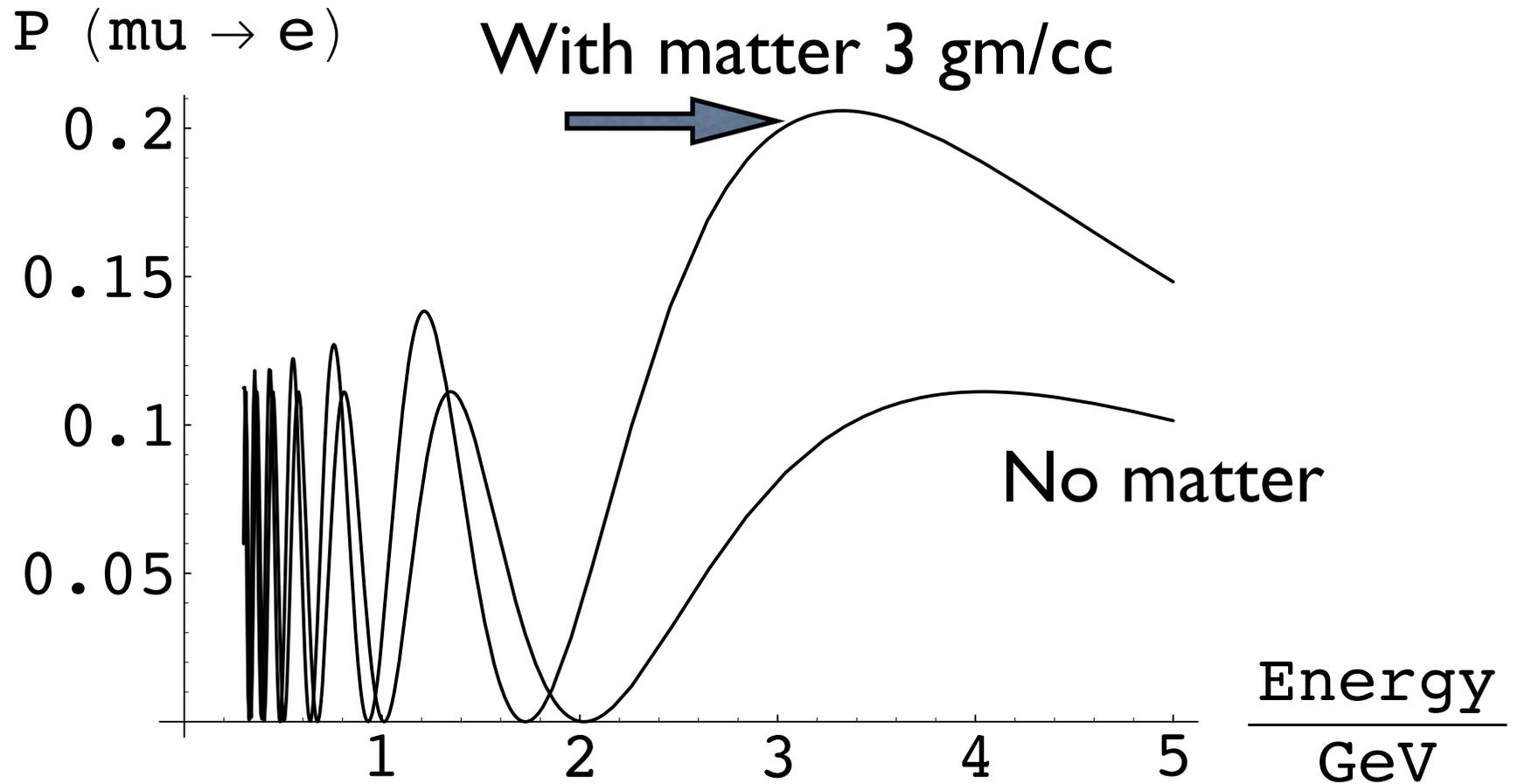
$$i \frac{d}{dx} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \frac{1}{4E} \left( R_\theta \begin{pmatrix} m_2^2 - m_1^2 & 0 \\ 0 & m_1^2 - m_2^2 \end{pmatrix} R_\theta^T + 2E \begin{pmatrix} \sqrt{2}G_F N_e & 0 \\ 0 & -\sqrt{2}G_F N_e \end{pmatrix} \right) \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} \quad (3)$$

$$P_{\mu \rightarrow e} = \frac{\sin^2 2\theta}{(\cos 2\theta - a)^2 + \sin^2 2\theta} \times \sin^2 \frac{L\Delta m^2}{4E} \sqrt{(a - \cos 2\theta)^2 + \sin^2 2\theta}$$

$$a = \frac{2\sqrt{2}EG_F N_e}{\Delta m^2} \approx 7.6 \times 10^{-5} \times D/(gm/cc) \times E_\nu/GeV/(\Delta m^2/eV^2) \quad (4)$$

Important only if electron neutrinos in the mix

# 2-neutrino picture



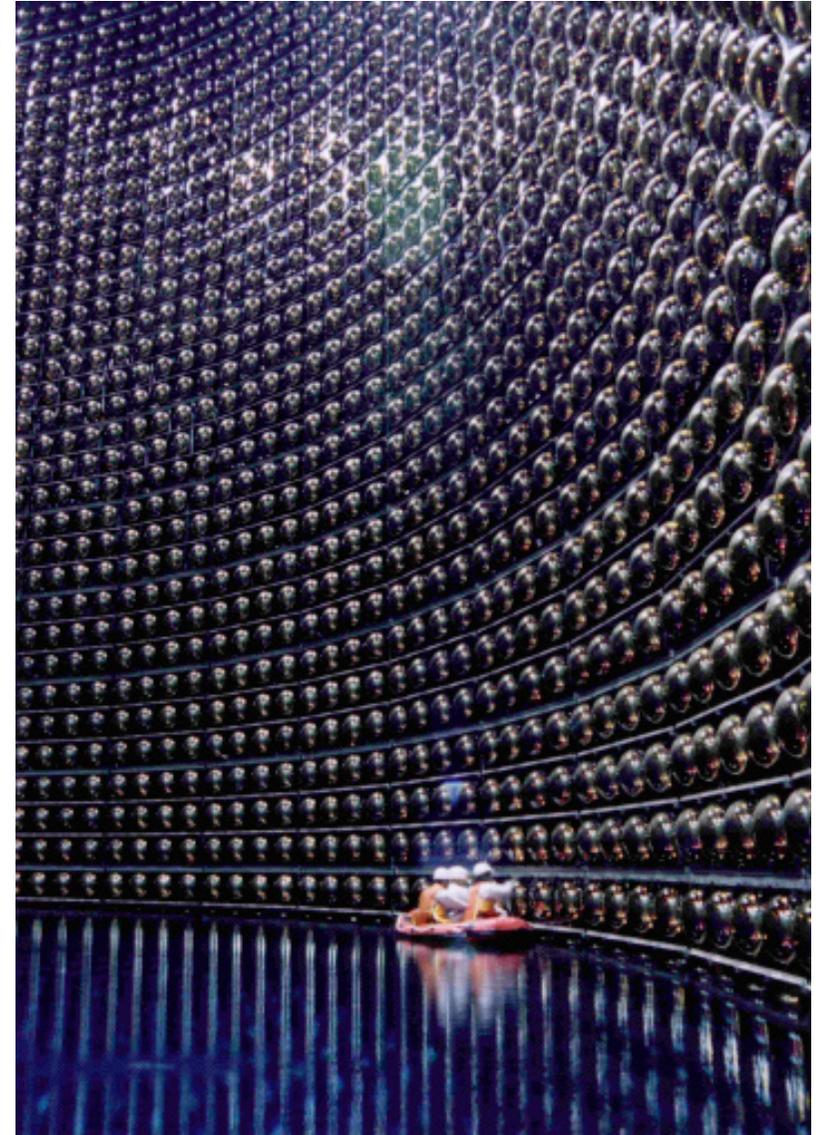
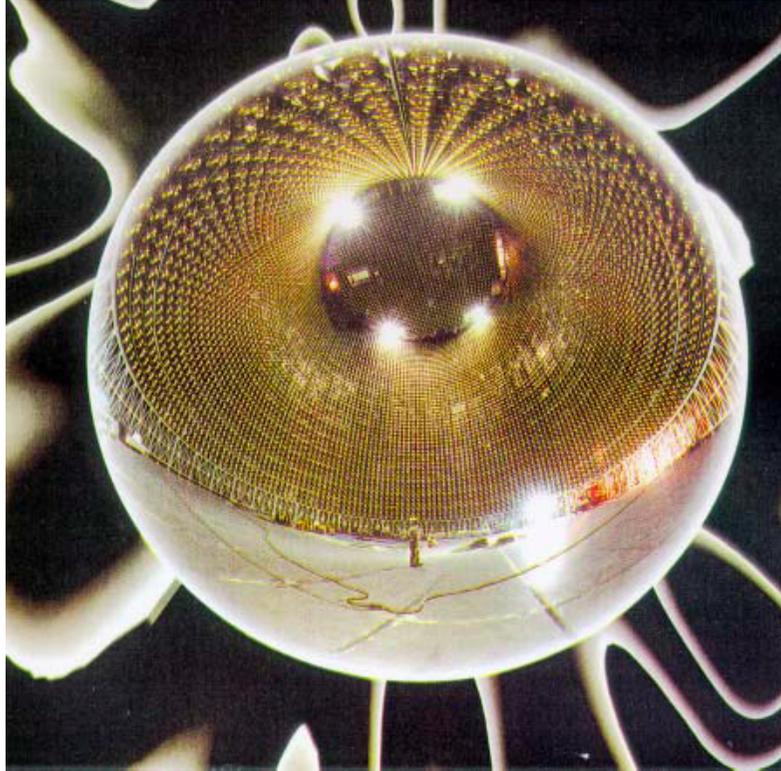
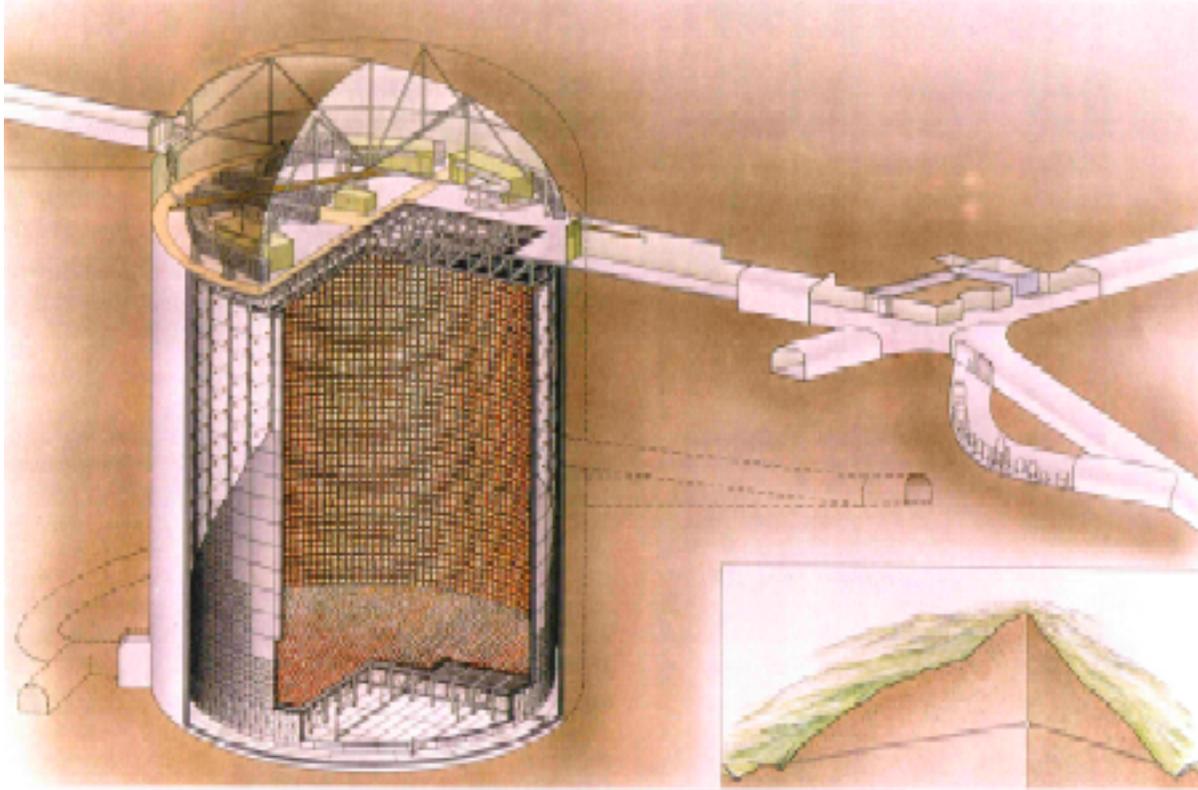
Osc. probability:  $0.0025 \text{ eV}^2$ ,  $L = 2000 \text{ km}$ ,  $\Theta = 10^\circ$

# Key new evidence

- Super KamiokaNDE (SK): observe atmospheric neutrinos.
- Sudbury Neutrino Observatory (SNO): observed solar neutrinos.
- KAMLAND reactor experiment
- MINOS neutrino accelerator beam experiment

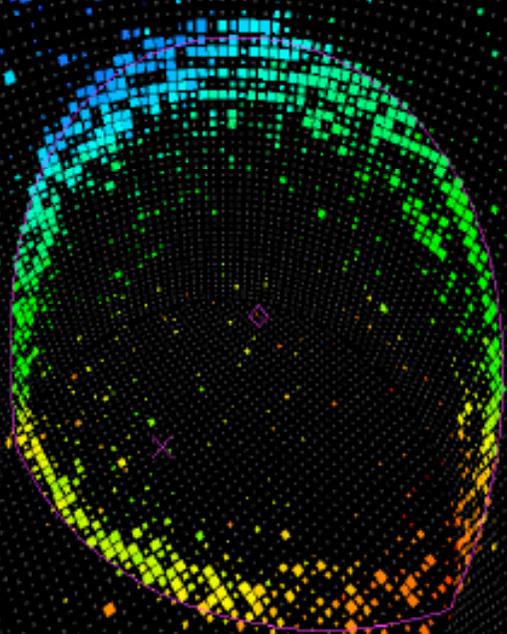
Apologies to many other pioneering experiments

# SuperKamiokaNDE

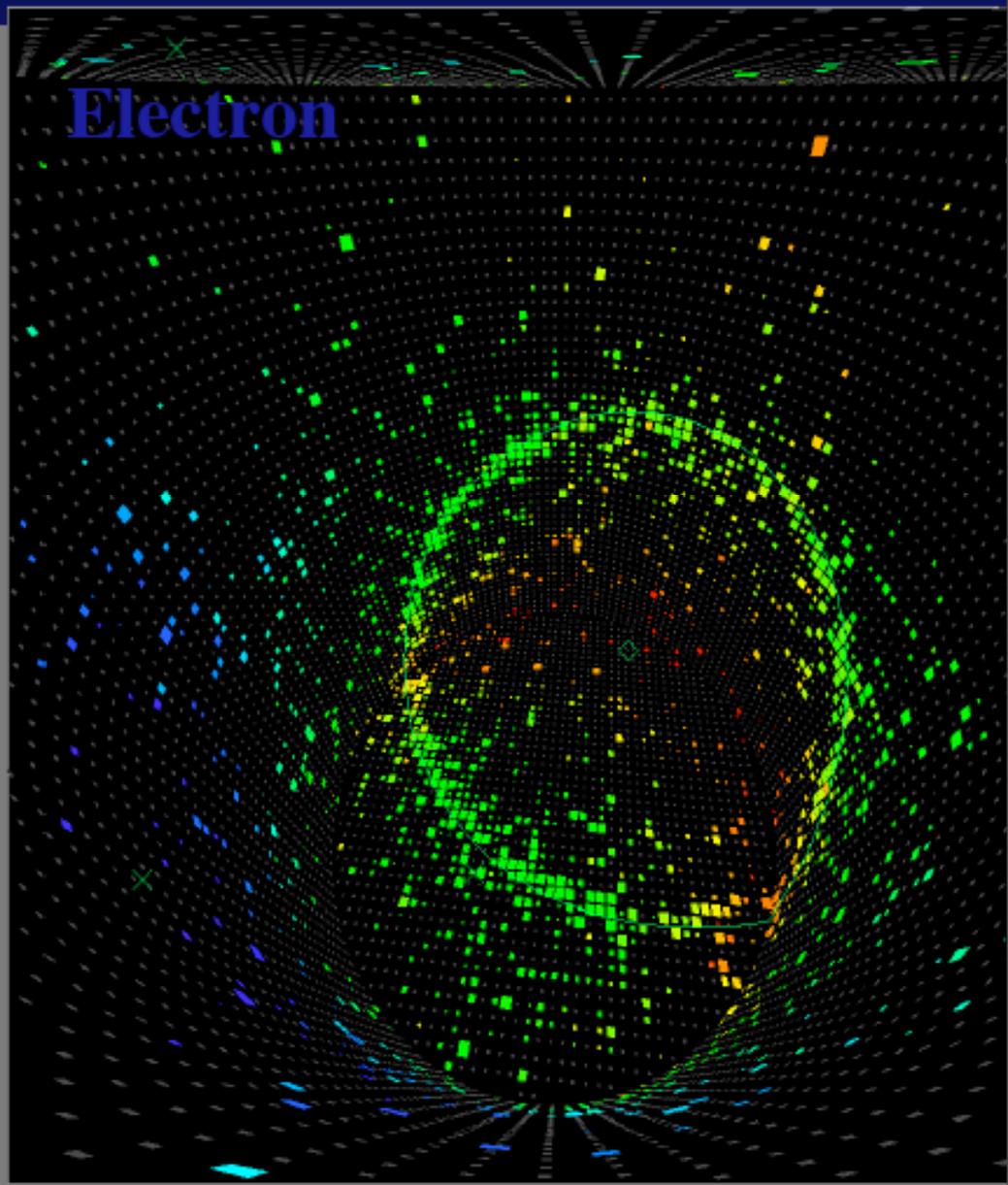


# Particle Identification

**Muon**

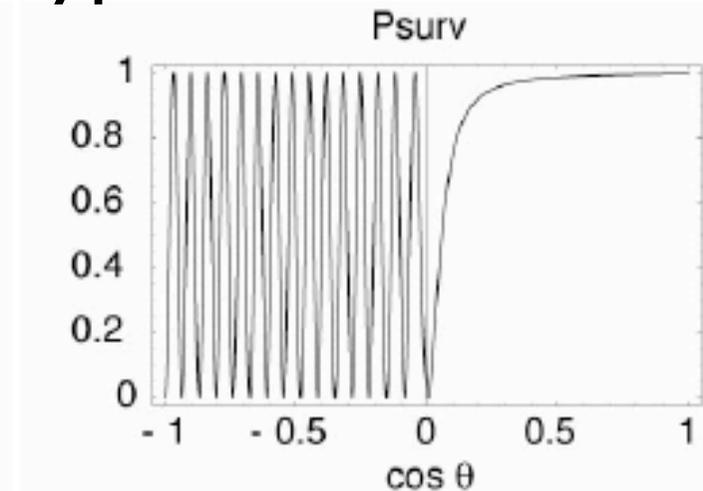
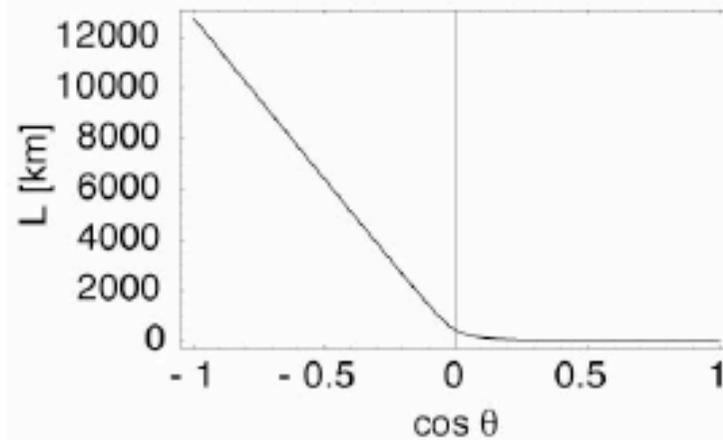
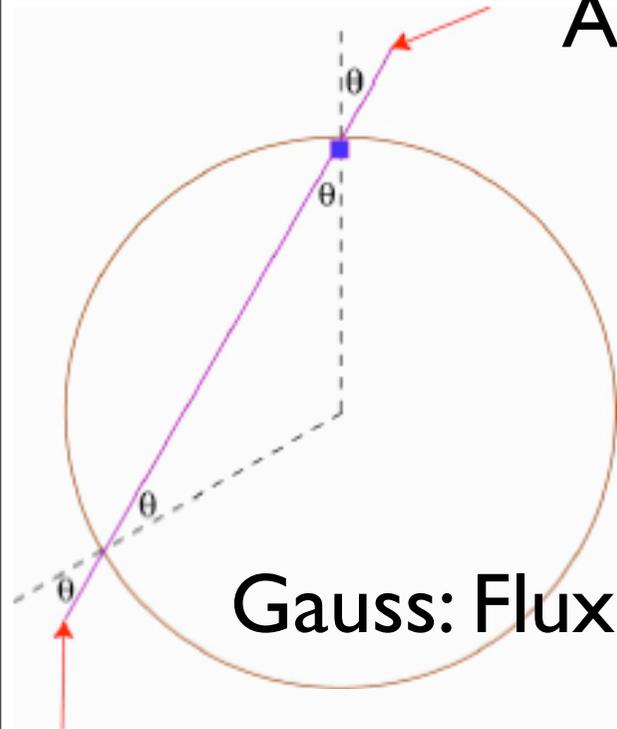


**Electron**

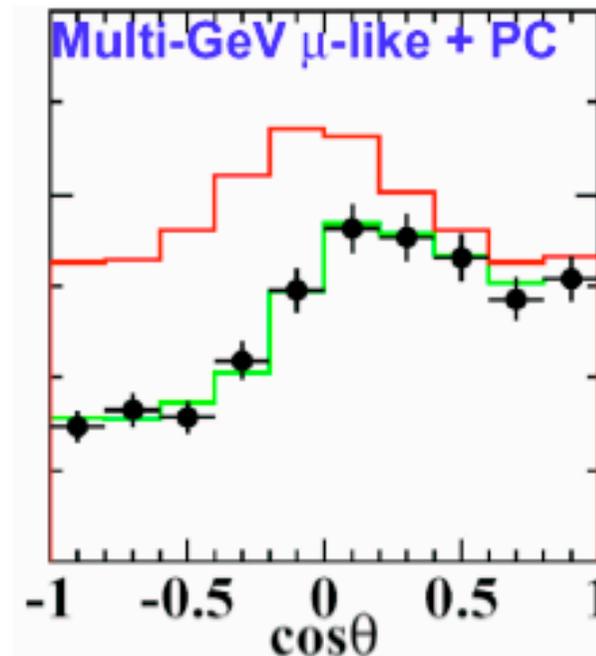
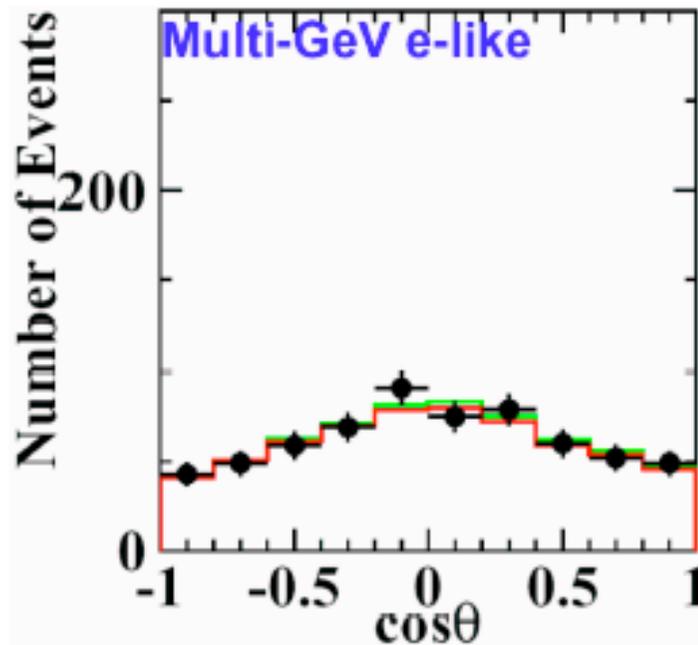


# Atmospheric neutrinos as a source for oscillation experiments

## Atm. neutrinos 2: I mu:e type

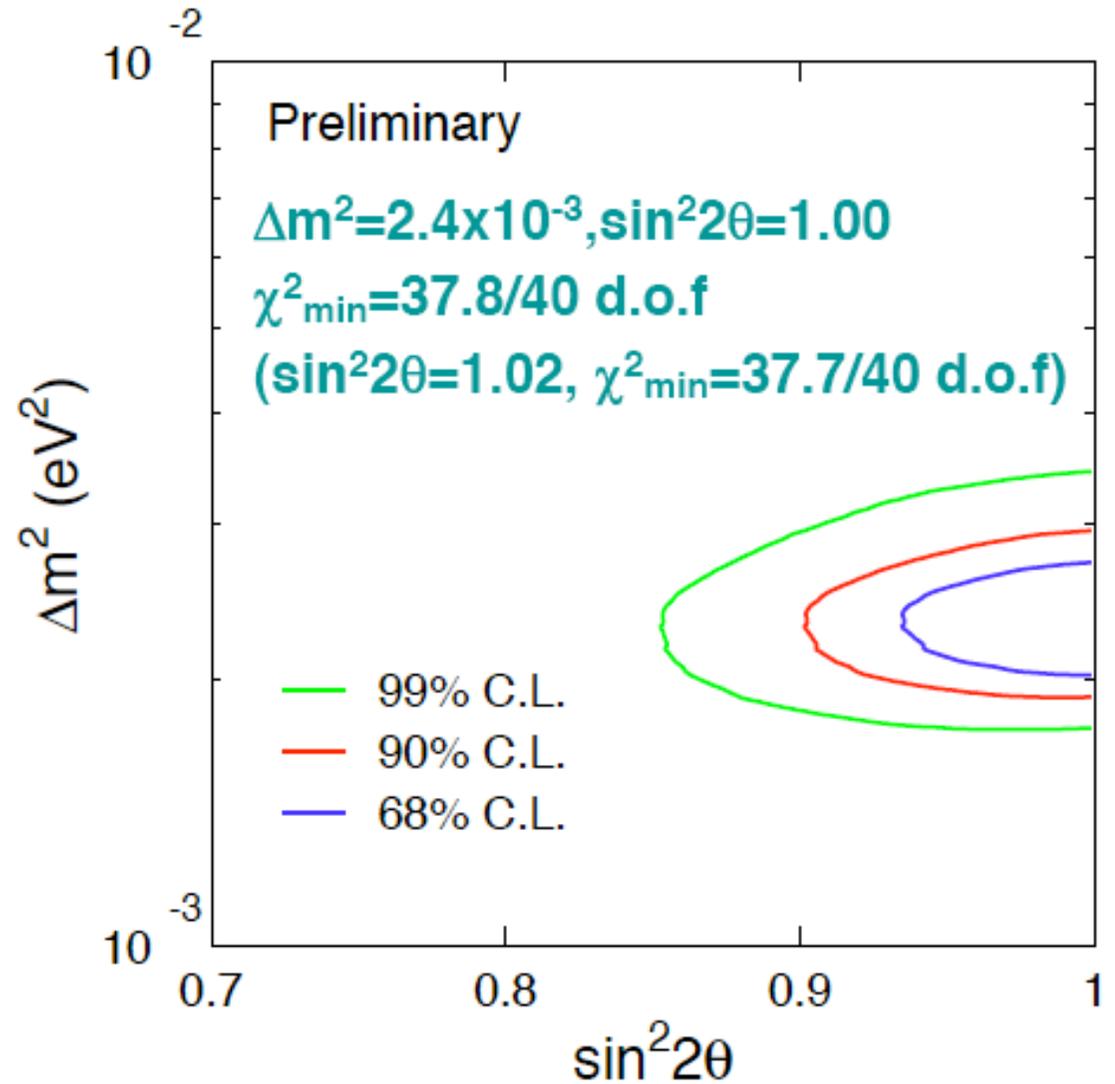
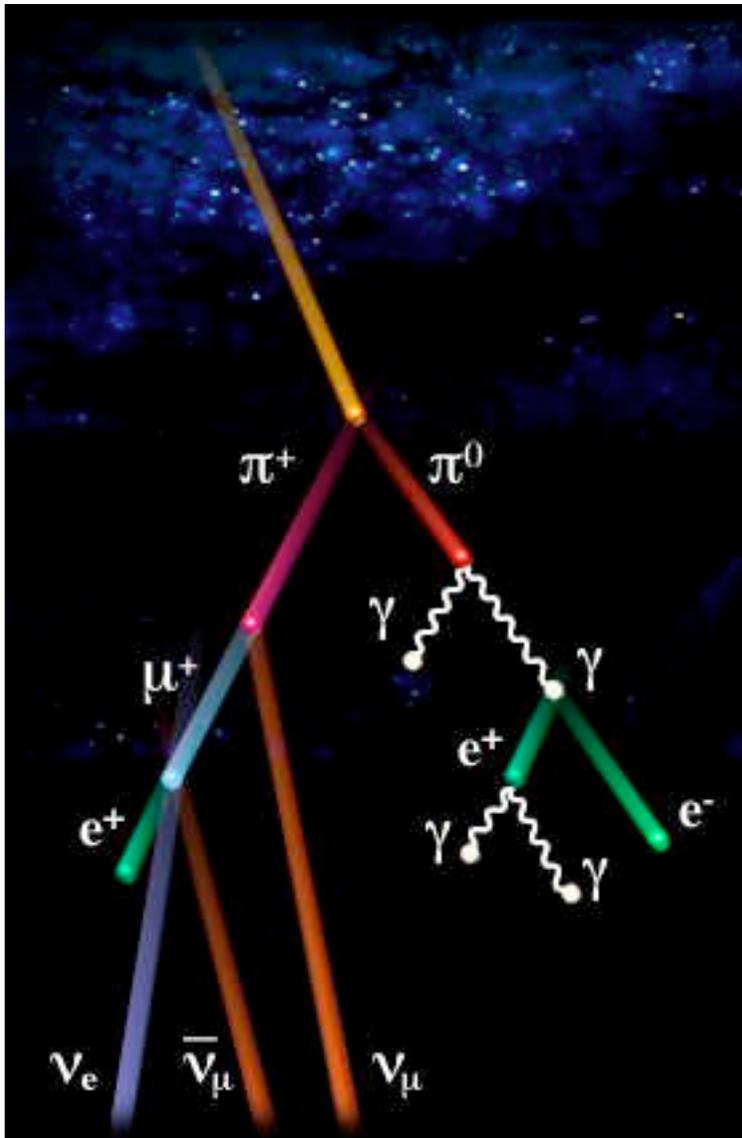


Gauss: Flux inside spherical shell isotropic

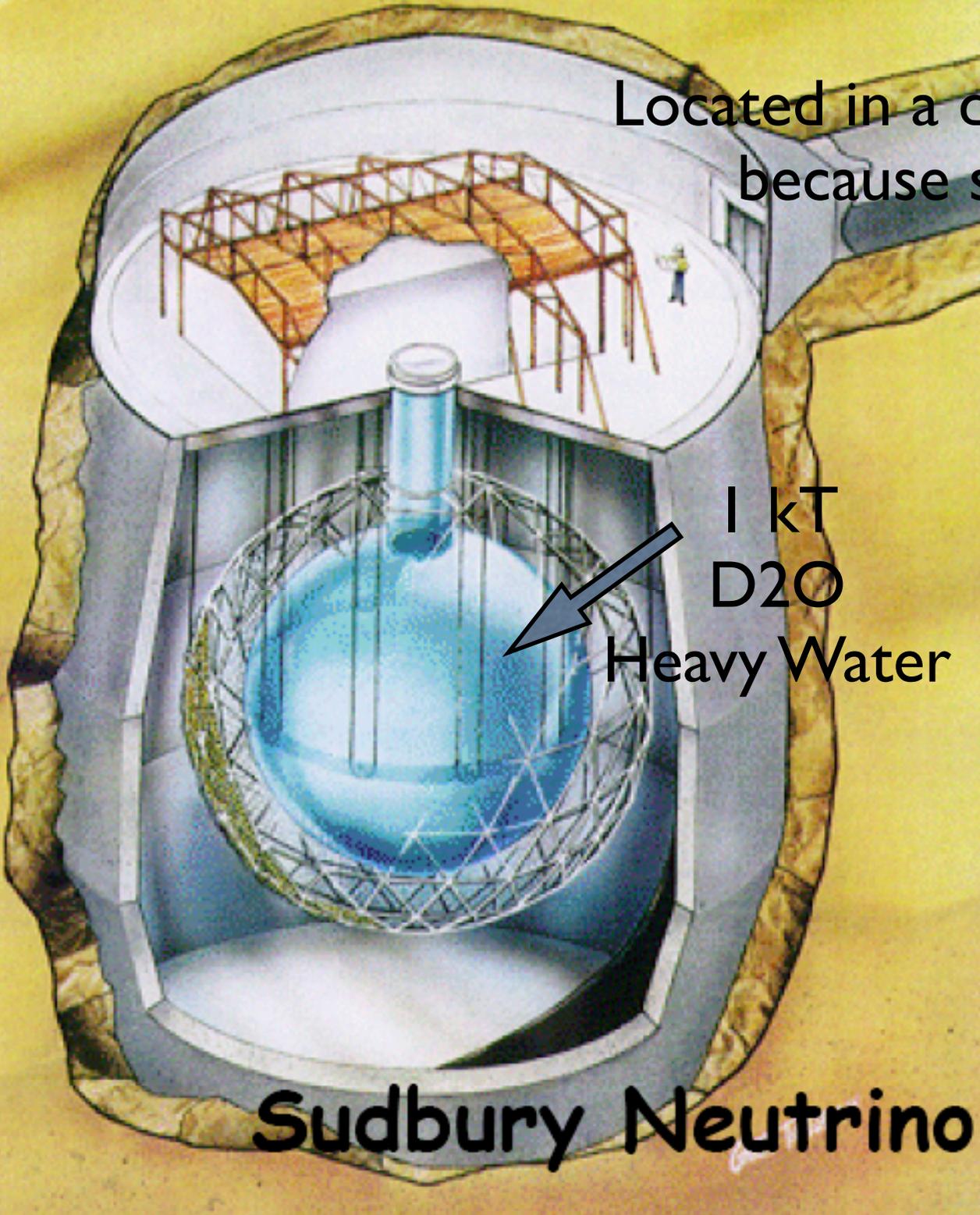


Evidence for neutrino oscillations from SuperK

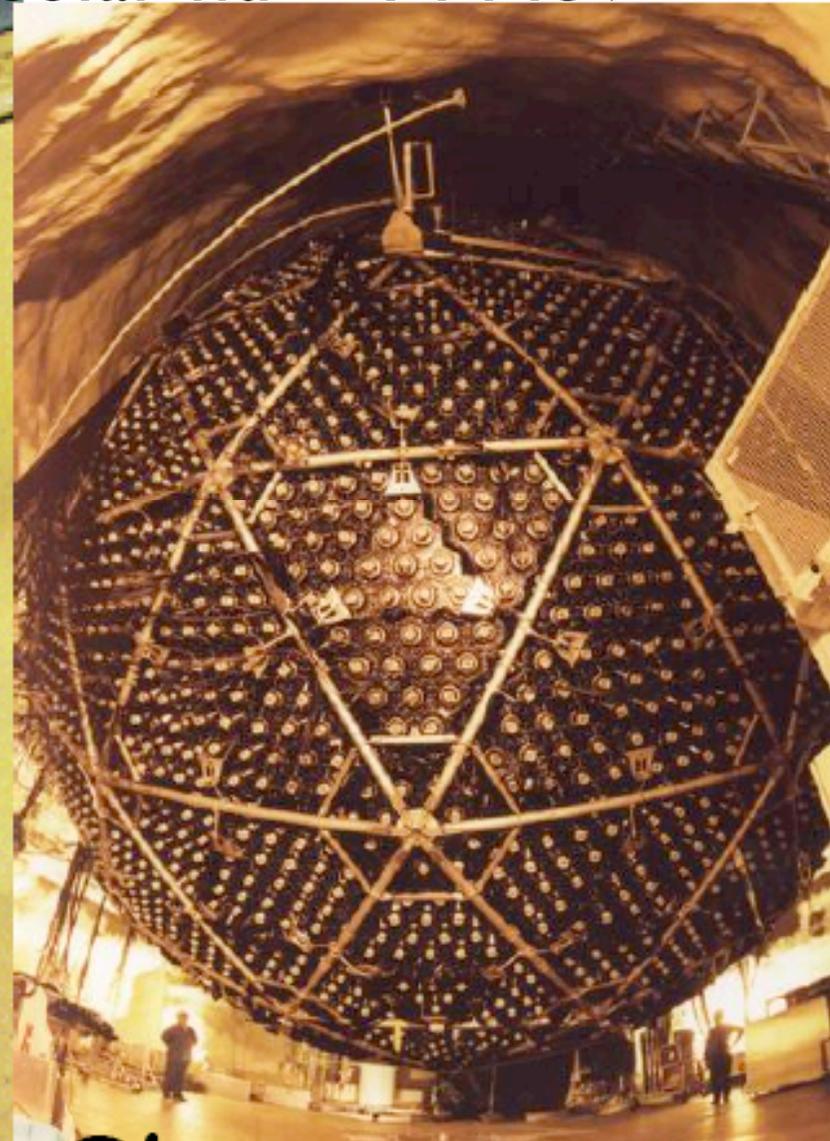
# SuperK result



Located in a deep mine ~ 6000 mwe  
because solar  $\nu < 14 \text{ MeV}$

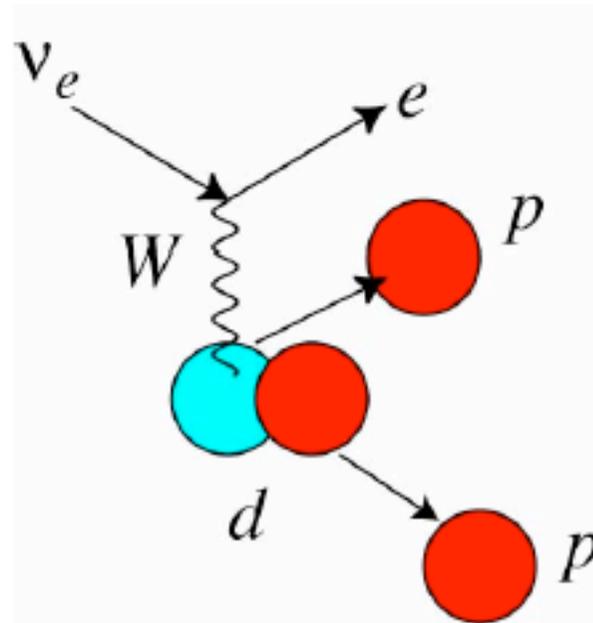


1 kT  
D<sub>2</sub>O  
Heavy Water

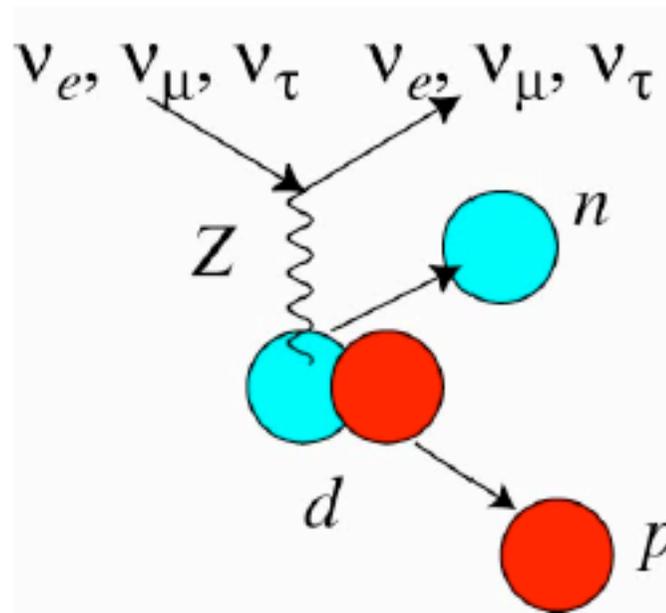


**Sudbury Neutrino Observatory**

# Why does SNO use \$300M worth of heavy water?



**Charged Current**



**Neutral Current**

# Fluxes

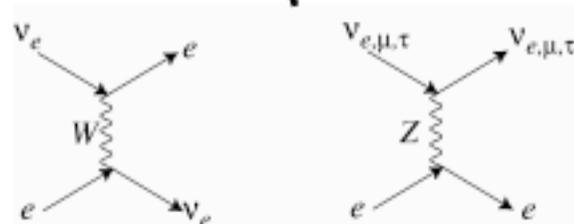
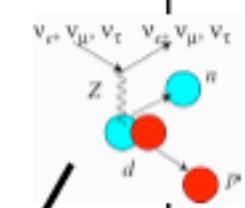
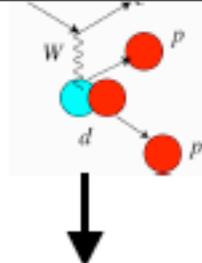
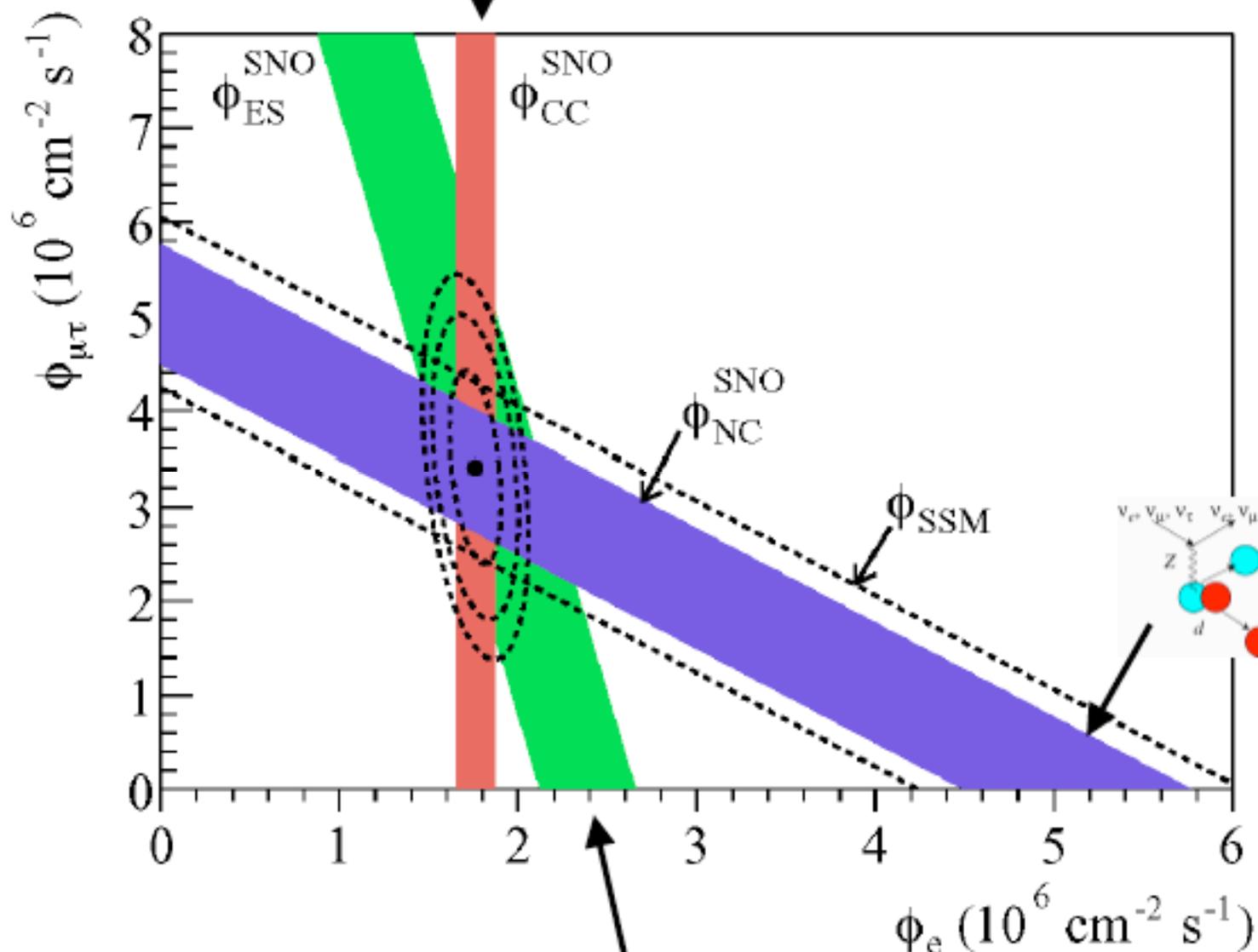
( $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )

$\nu_e$ : 1.76(11)

$\nu_{\mu\tau}$ : 3.41(66)

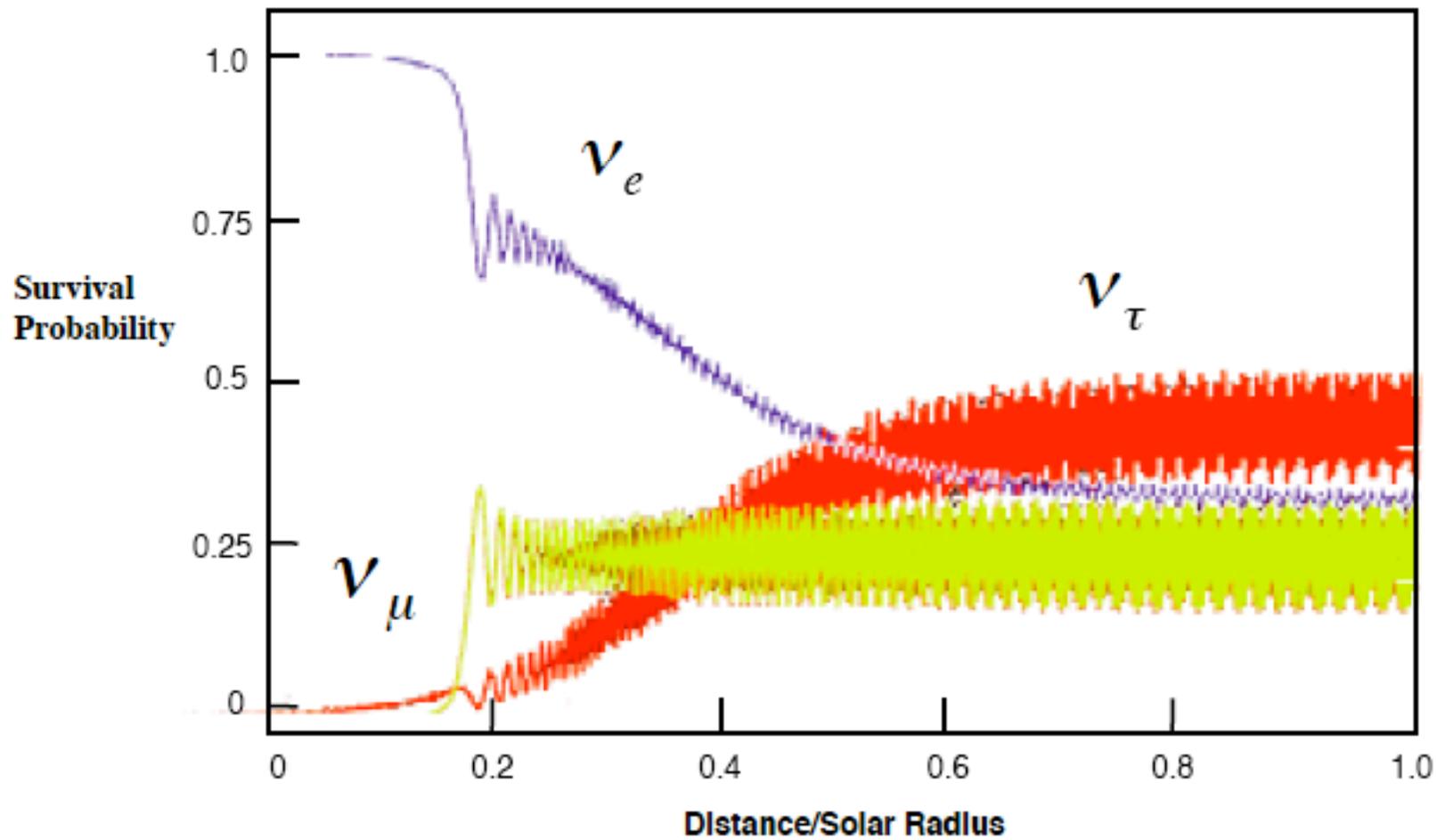
$\nu_{\text{total}}$ : 5.09(64)

$\nu_{\text{SSM}}$ : **5.05**

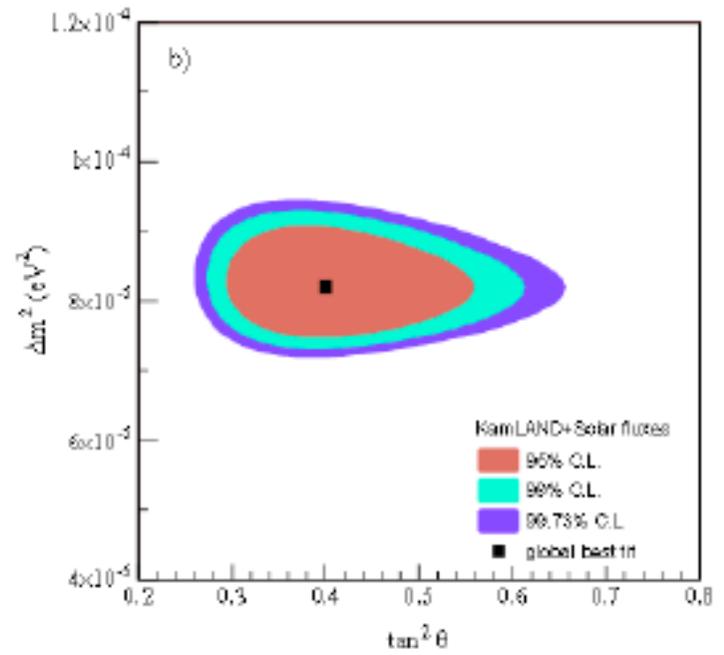
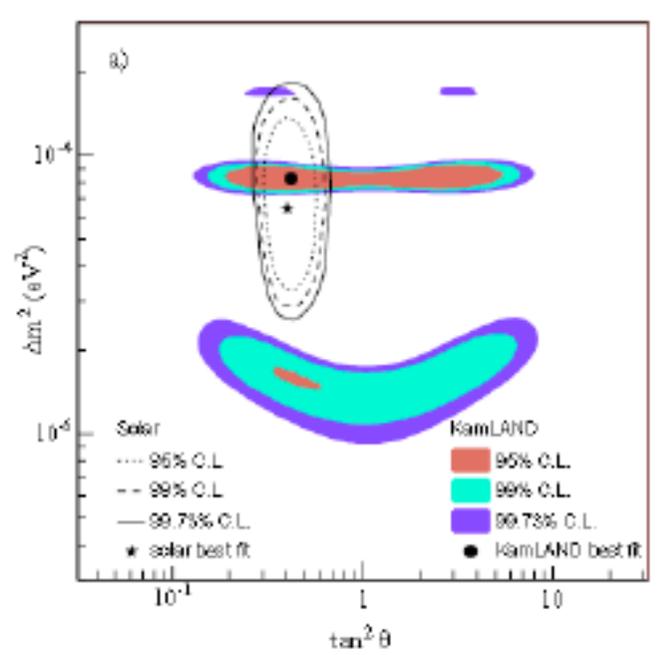
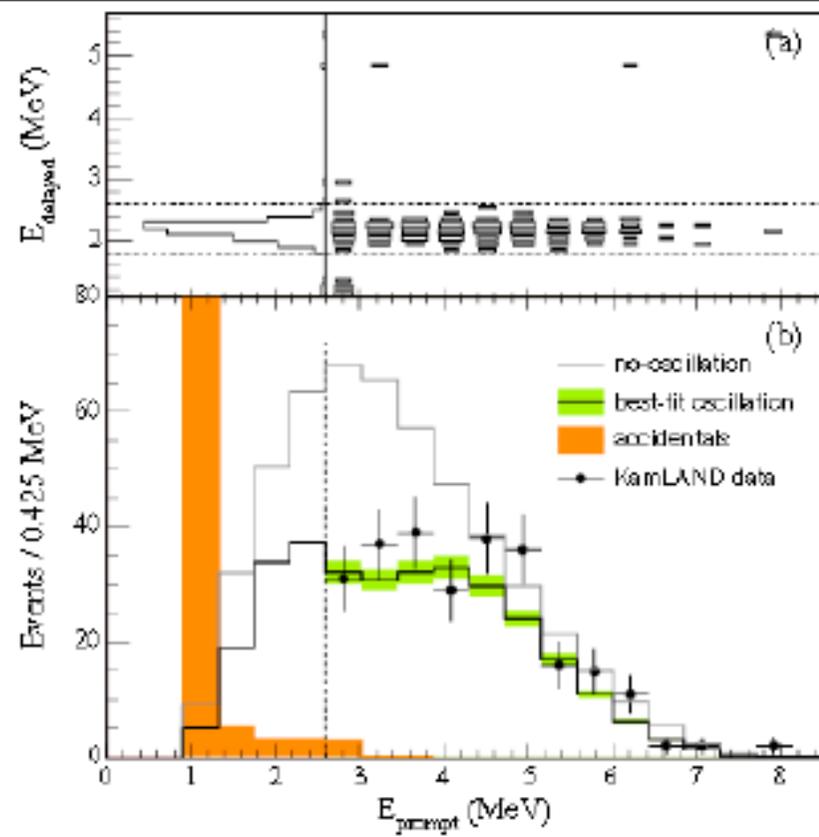


# MSW Effect

$\nu_e$  NC and CC       $\nu_\tau$   $\nu_\mu$  NC only



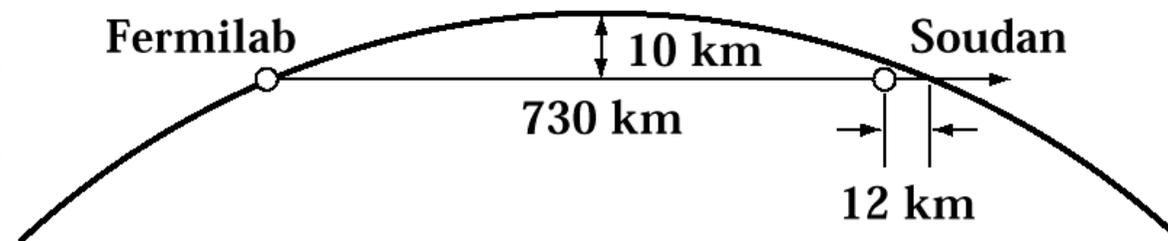




# (Fermilab) Main Injector Neutrino Oscillation (running)



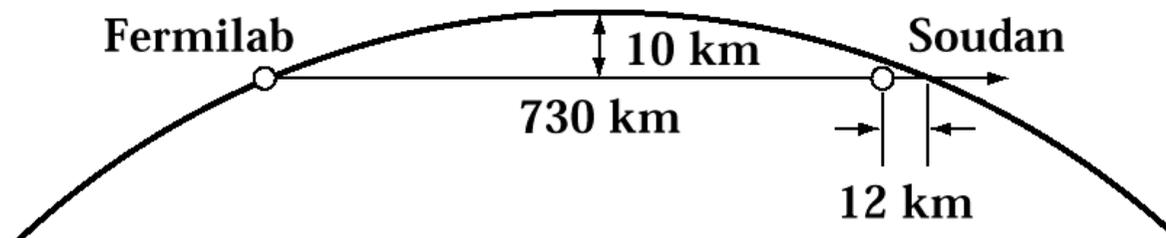
- ★ 120 GeV protons extracted from the MAIN INJECTOR in a single turn ( $8.7\mu\text{s}$ )
- ★ 1.9 s cycle time
- ★ *i.e.*  $\nu$  beam 'on' for  $8.7\mu\text{s}$  every 1.9 s
- ★  $2.5 \times 10^{13}$  protons/pulse
- ★ 0.3 MW on target !
- ★ Initial intensity  
 **$2.5 \times 10^{20}$  protons/year**

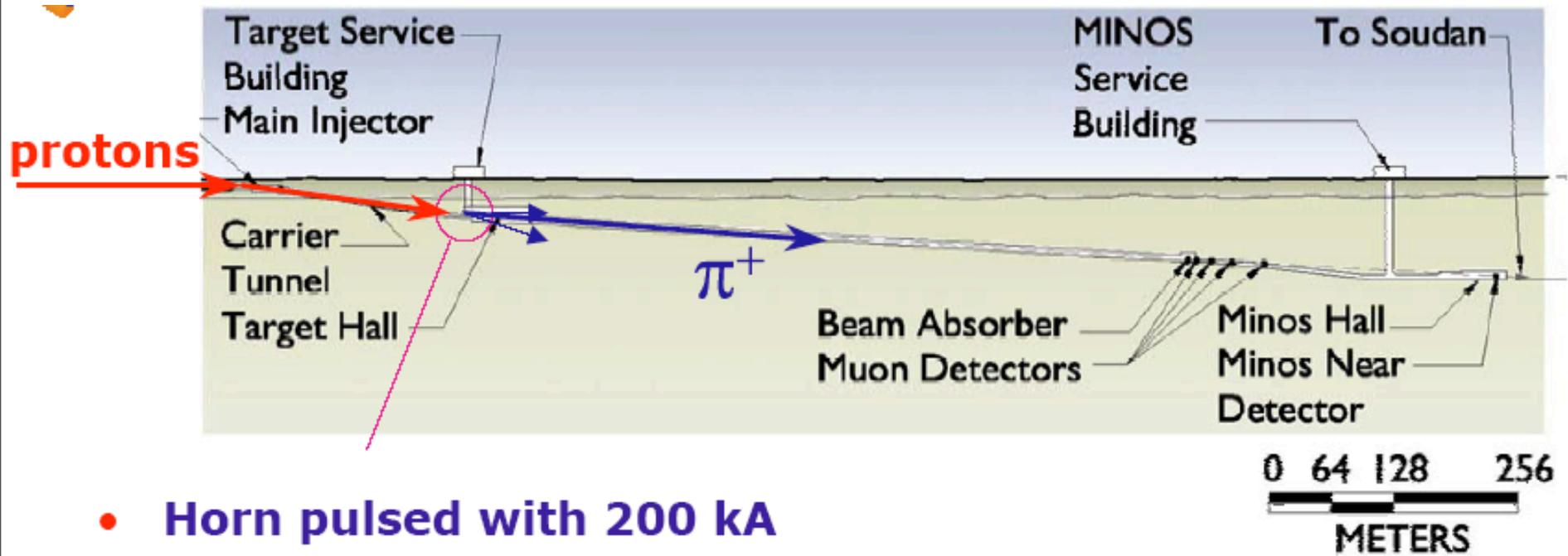


# (Fermilab) Main Injector Neutrino Oscillation (running)

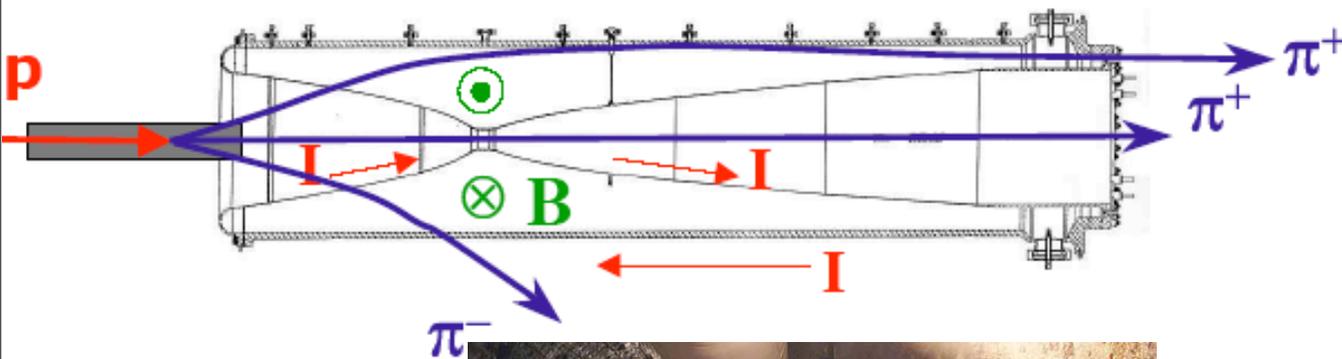


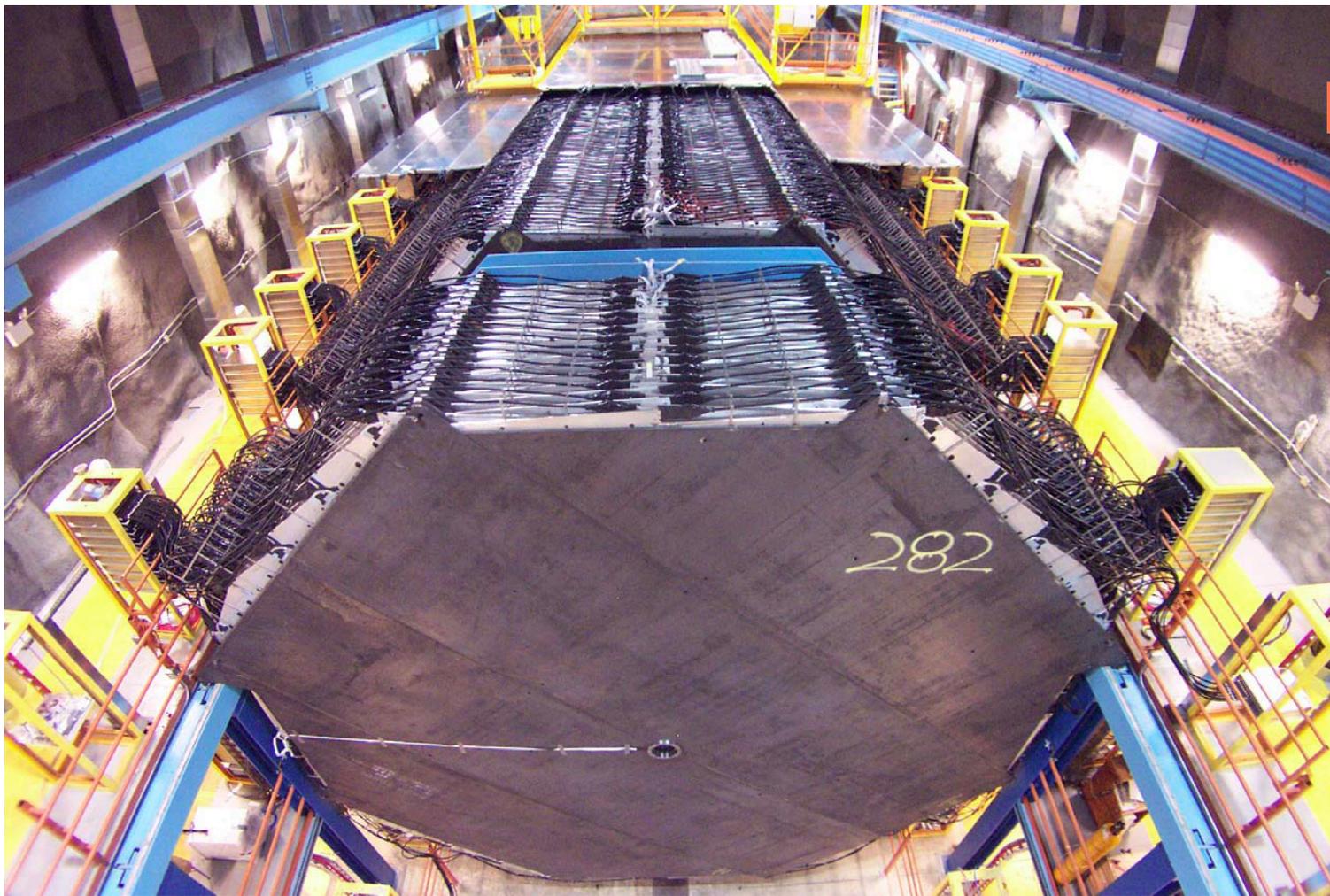
- ★ **120 GeV protons extracted from the MAIN INJECTOR in a single turn ( $8.7\mu\text{s}$ )**
- ★ **1.9 s cycle time**
- ★ ***i.e.*  $\nu$  beam 'on' for  $8.7\mu\text{s}$  every 1.9 s**
- ★  **$2.5 \times 10^{13}$  protons/pulse**
- ★ **0.3 MW on target !**
- ★ **Initial intensity**  
 **$2.5 \times 10^{20}$  protons/year**





- Horn pulsed with 200 kA
- Toroidal Magnetic field  $B \sim I/r$  between inner and outer conductors

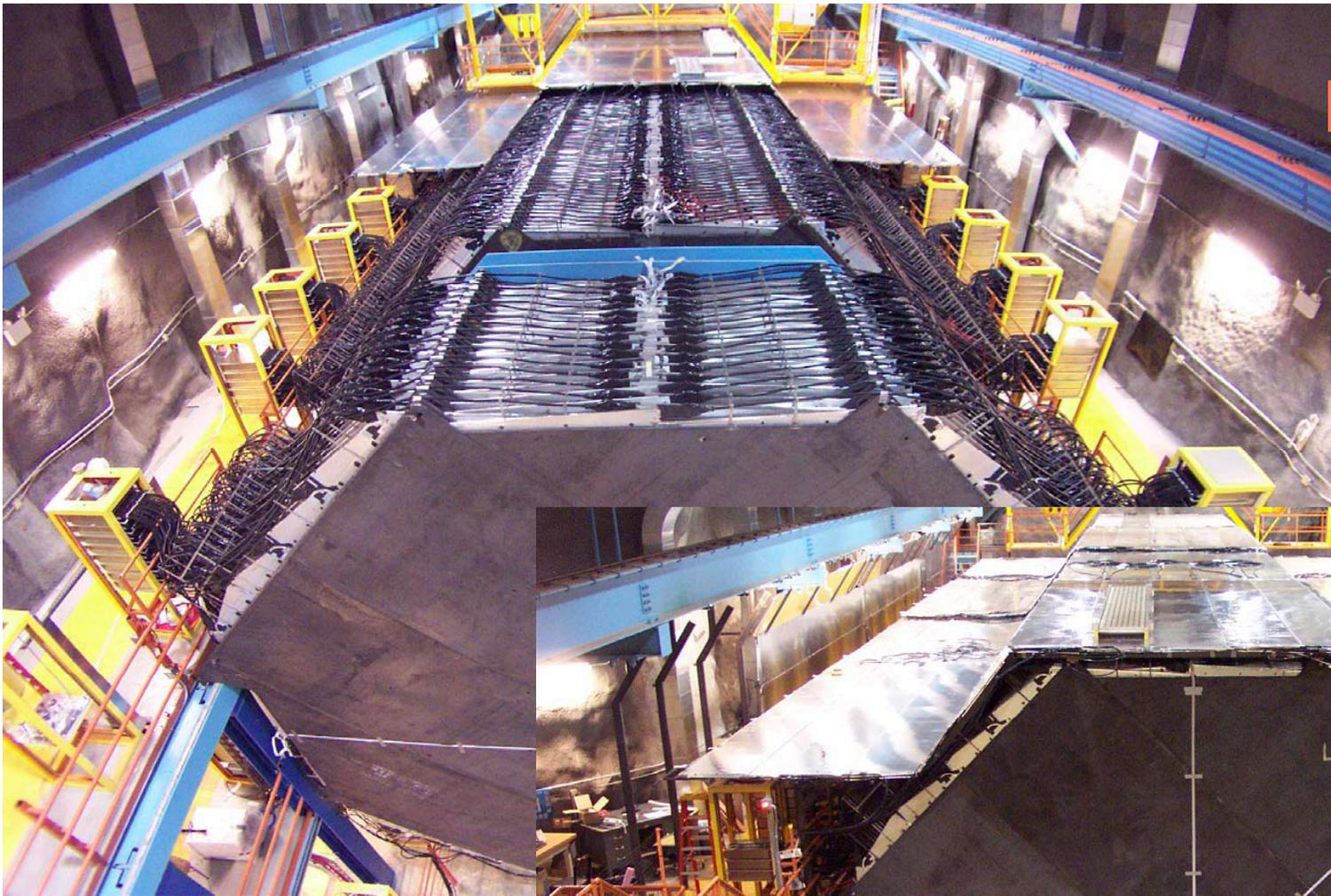




Fully operational  
in Soudan mine  
at 2341 ft  
730 km from  
FNAL

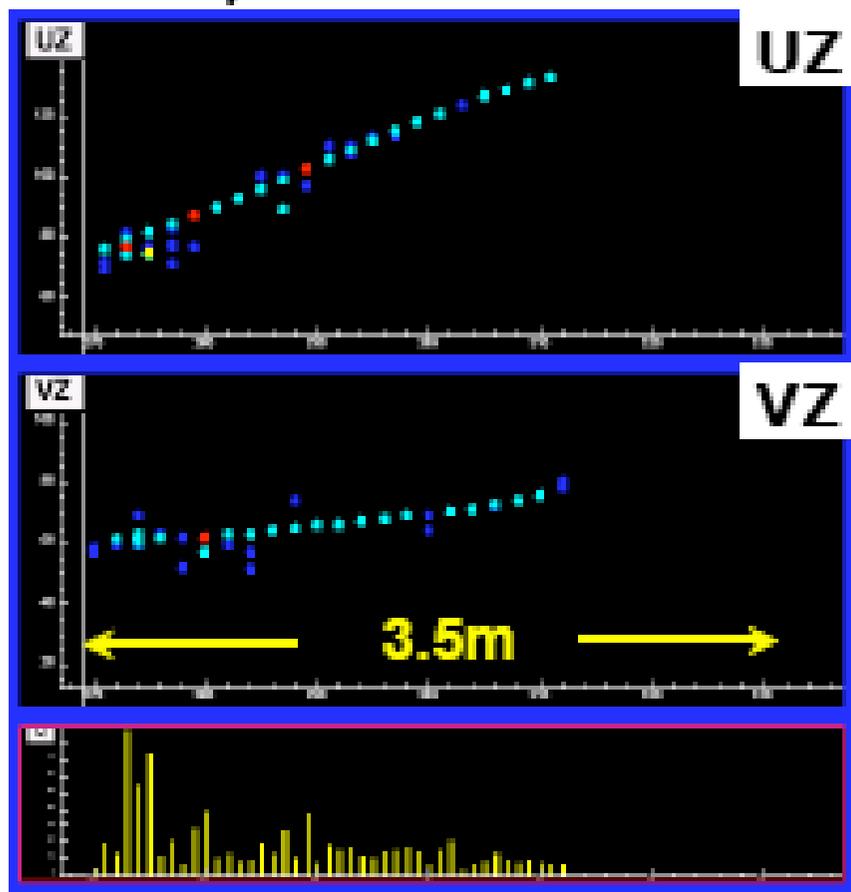
Minos  
detector:  
Iron/  
scintillator  
5kT

Fully operational  
in Soudan mine  
at 2341 ft  
730 km from  
FNAL



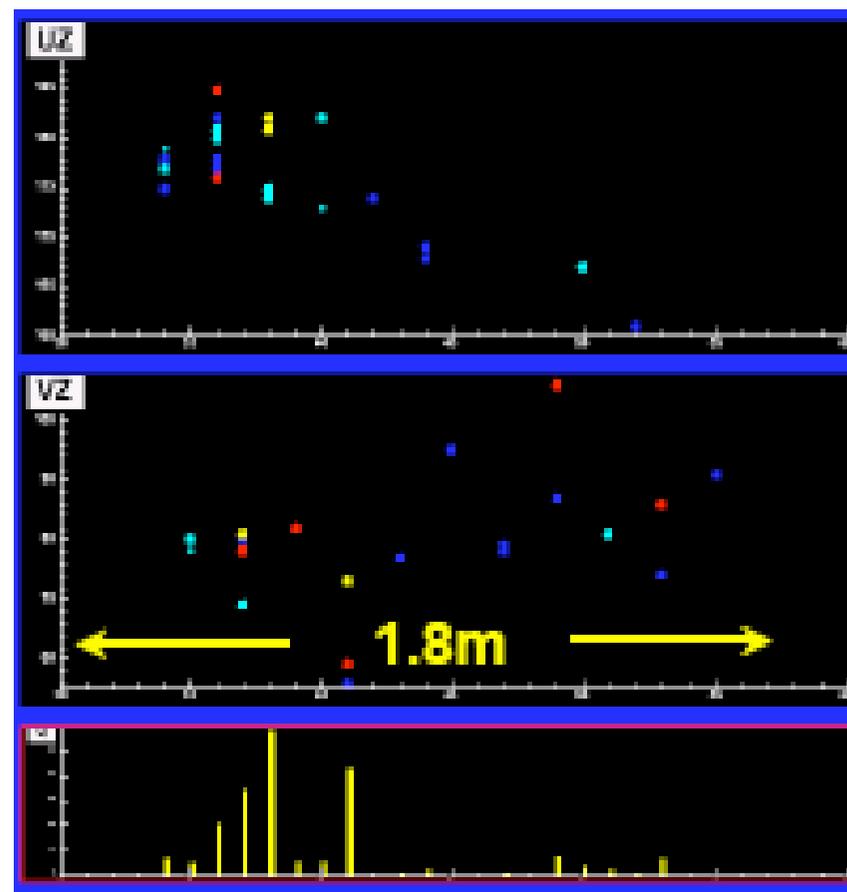
Minos  
detector:  
Iron/  
scintillator  
5kT

## $\nu_\mu$ CC Event



- long  $\mu$  track + hadronic activity at vertex

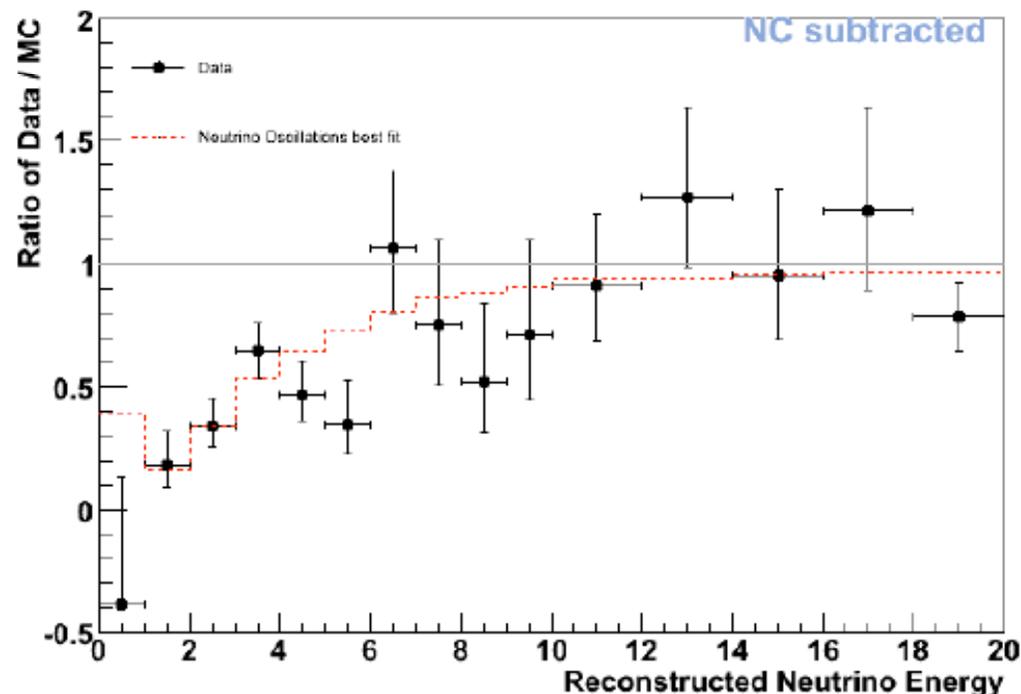
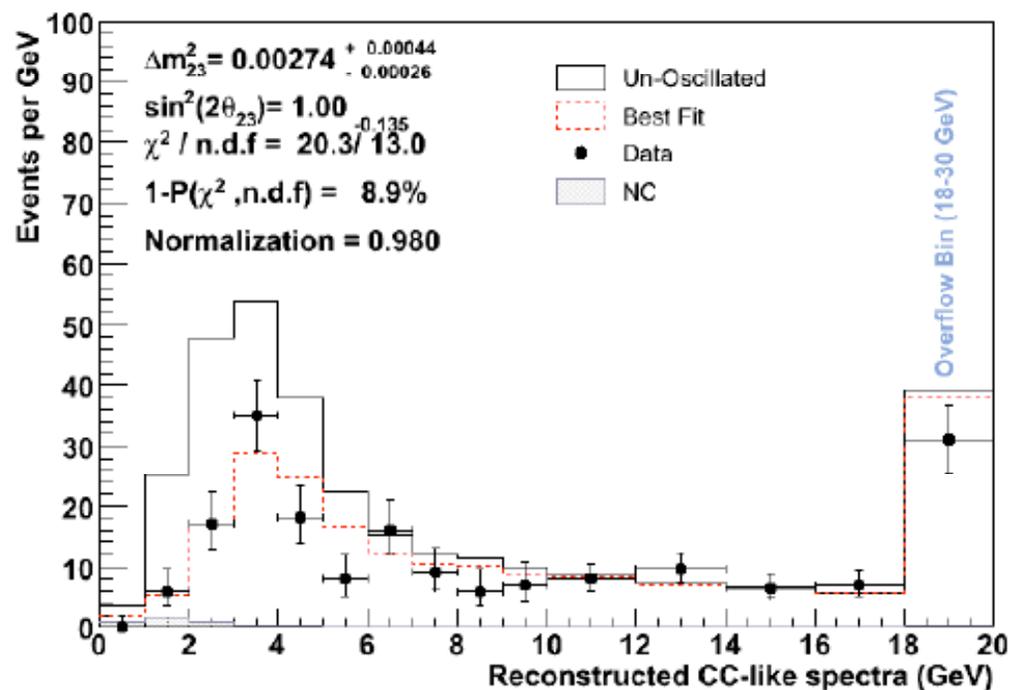
## NC Event



- short event, often diffuse

# Best-fit spectrum and ratio

## Oscillation Results for 1.27E20 p.o.t



- **Strong energy-dependent suppression of  $\nu_\mu$  events observed (5.9 standard deviation effect below 5 GeV)**
  - consistent with the neutrino oscillation hypothesis
  - *with more data, we can test and rule out alternative hypotheses, such as neutrino decay, which predict a different energy dependent suppression of the  $\nu_\mu$  rate*

# What do we know and how do we know it

Not known  
Has CP phase

Bounded by CHOOZ

{ From Max. Atm. mixing,  
 $\nu_3 \equiv (\nu_\mu + \nu_\tau) / \sqrt{2}$

(mass)<sup>2</sup>



Don't know sign

$\Delta m_{\text{atm}}^2$

{ From  $\nu_\mu$ (Up) oscillate  
but  $\nu_\mu$ (Down) don't

0.0025 eV<sup>2</sup>

{ In LMA-MSW,  $P_\odot(\nu_e \rightarrow \nu_e)$   
=  $\nu_e$  fraction of  $\nu_2$  and KamLAND



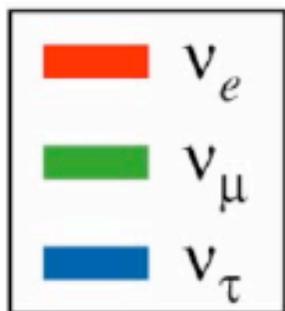
{  $\Delta m_\odot^2$  ← From distortion of  $\nu_e$ (solar)  
and  $\bar{\nu}_e$ (reactor) spectra



0.000008 eV<sup>2</sup>

{ From Max. Atm. mixing,  $\nu_1$  &  $\nu_2$   
include  $(\nu_\mu - \nu_\tau) / \sqrt{2}$

Measurements  
not yet precise

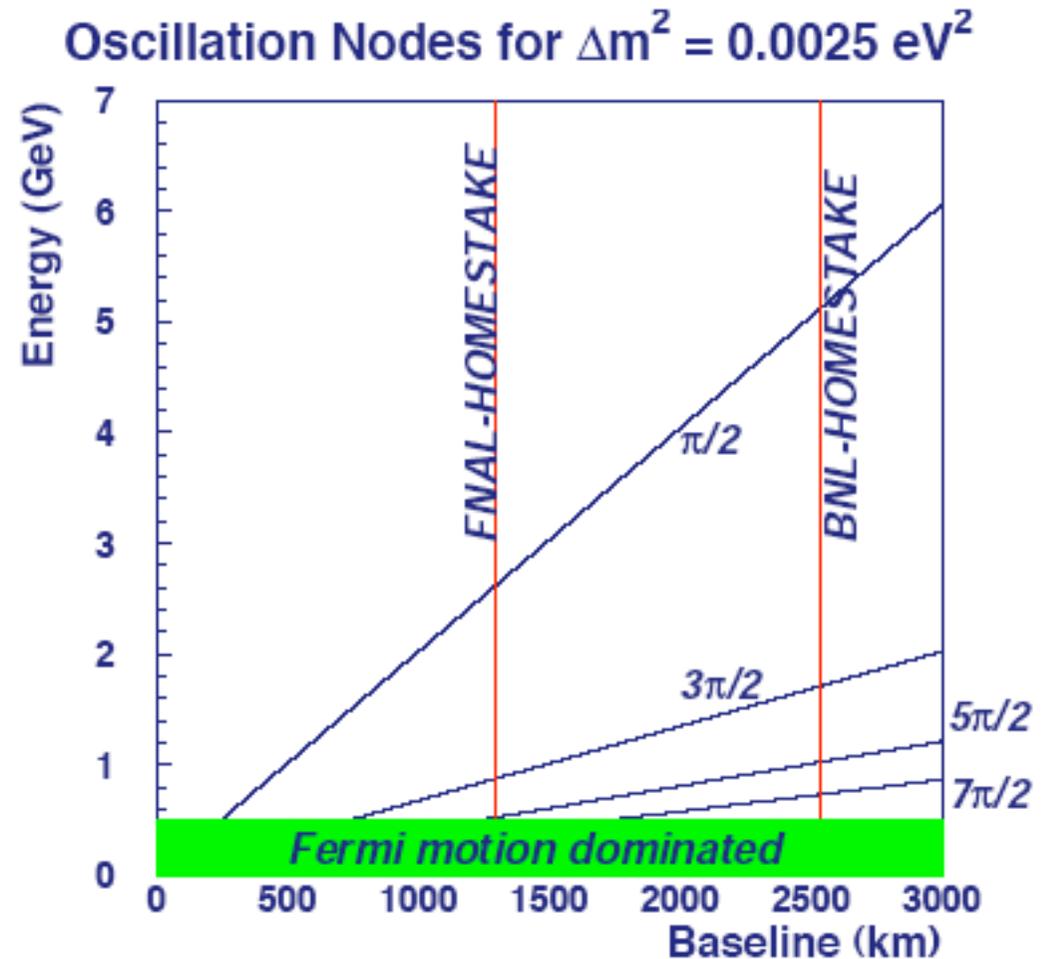


# New Age of Accelerator Neutrinos

- For more precise experiments need pure beams of muon type neutrinos (or anti-neutrinos)
- Better controlled characteristics: energy, spectrum, backgrounds, pulsed.
- High energy ( $>1$  GeV) to provide events with long muons. Better resolution.
- Generally called Long Baseline Experiments.

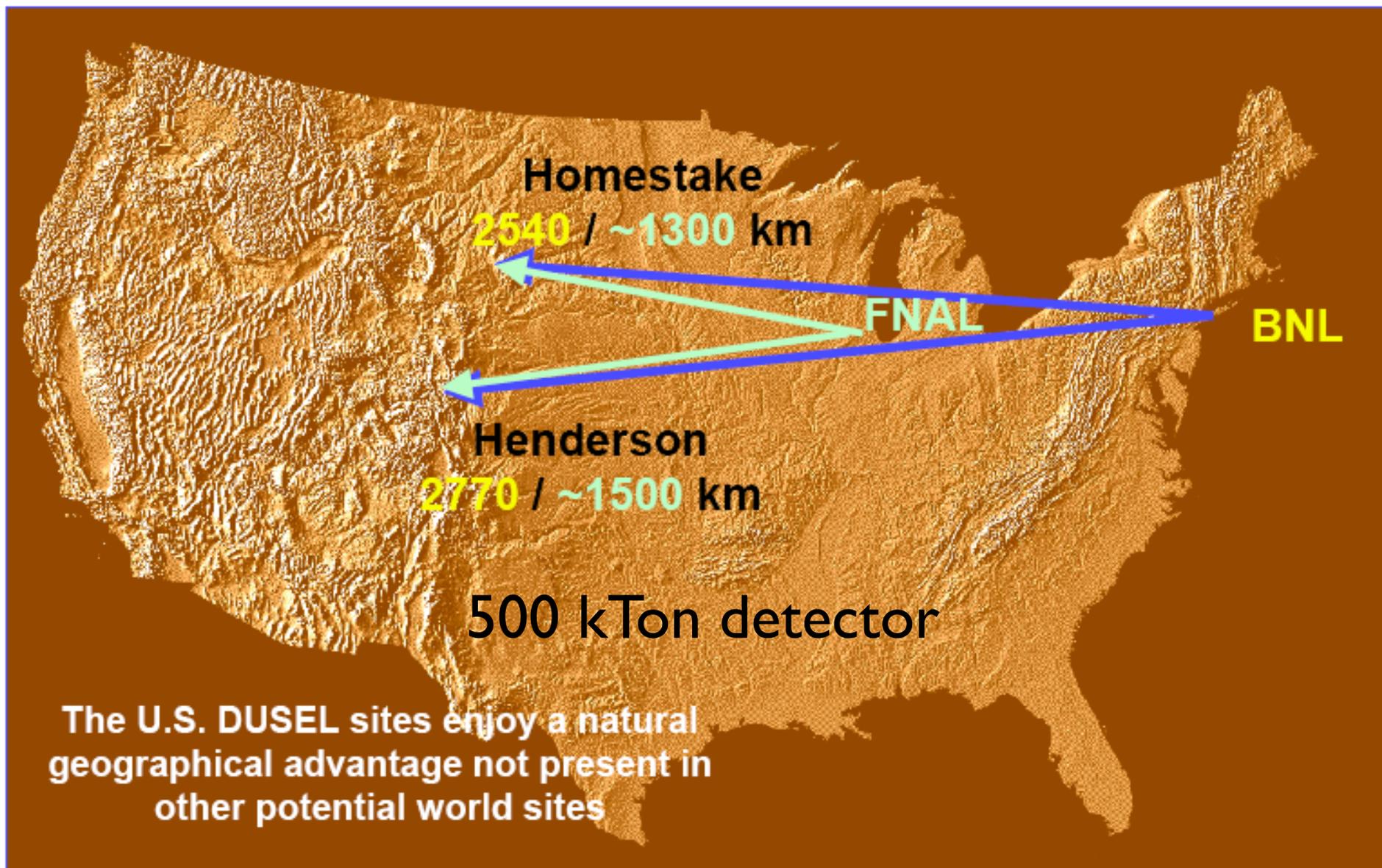
# Ultimate Ambitions !

- Must see multiple nodes in a spectrum for precise measurements
- Need E: 1-6 GeV
- Need  $\sim 2000$  km
- Need intense beam.
- Need very large



(M. Diwan, hep-ex/0407047)

# Super Neutrino Beam to DUSEL Candidate Sites



# Great puzzles for you

- What is the origin of the left/right asymmetry ?
- Are neutrinos and antineutrinos the same but have opposite spin ?
- Do neutrinos and antineutrinos transform in the same way ?
- How do we detect the cold neutrinos all around us ? (Perhaps with the Mariachi detector)