

T. K. Komatsubara

KEK-IPNS

Kaon Decay Workshop @KEK February'01

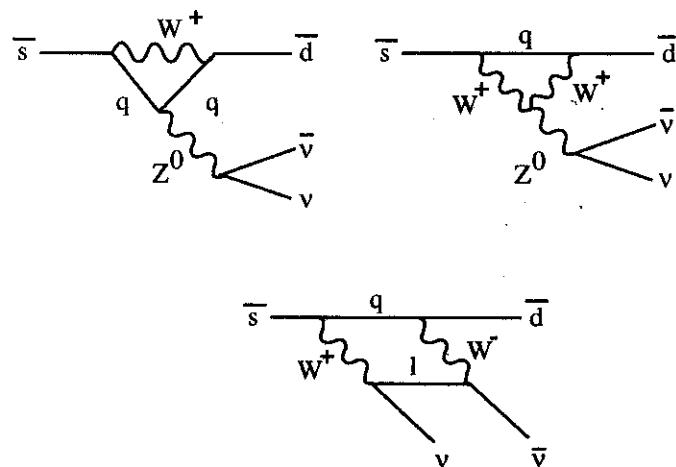
From E787 to E949 and the future:
stopped-kaon experiment for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

Outline :

- Introduction
- BNL-E787 experiment: detector
- Why the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment is so “difficult” ?
(from my personal viewpoint)
- BNL-E949 experiment [2001~]
- The future

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay

no FCNC at tree level : induced by loop effects as



top-quark(170GeV/c²) dominant

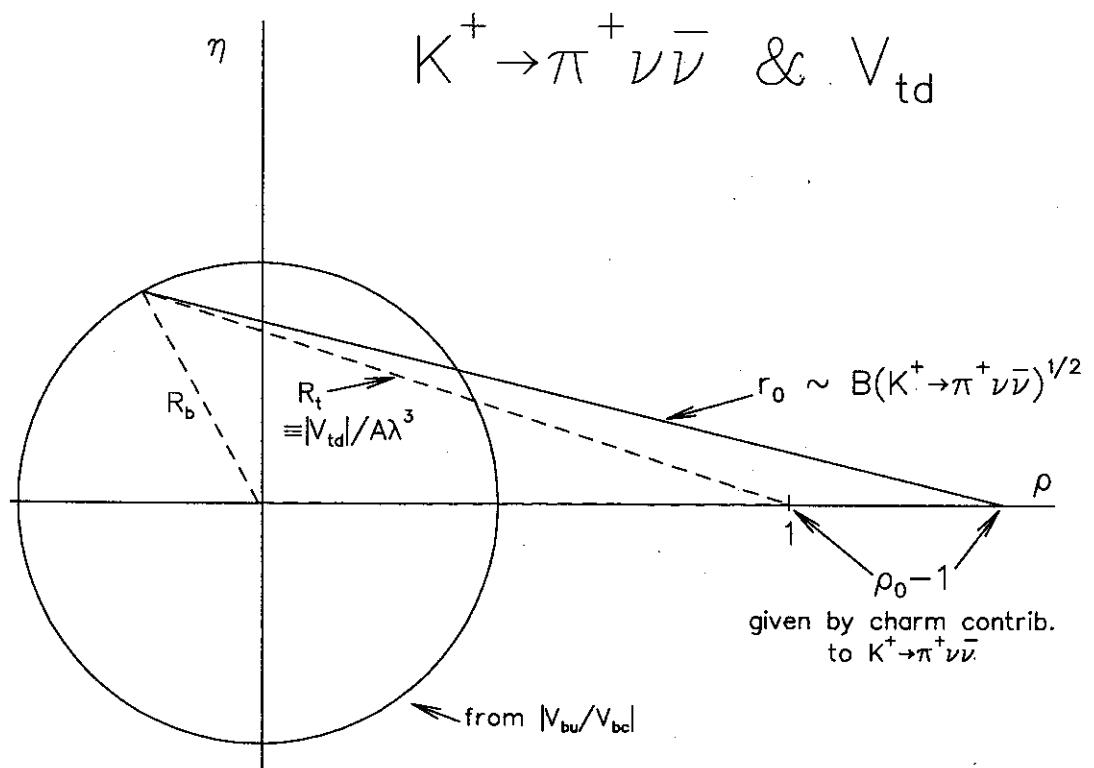
the best place to determine |V_{td}|

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

- long-distance contributions : negligible
- $\langle K | H | \pi \rangle$ from $K^+ \rightarrow \pi^0 e^+ \nu$.
- The theoretical uncertainty $\sim 7\%$
(m_{charm} contribution in the NLO QCD analysis)
 - a small “overlooked” term from the box diagram (1999)
 - effect of the subleading dimension-8 operators (2000)

$$\text{B.R.}(\bar{K}^+ \rightarrow \pi^+ \nu \bar{\nu})$$

$$4.11 \times 10^{-11} \times A^4 \times X(x_t)^2 \times [(\rho_0 - \rho)^2 + \eta^2]$$



(ρ, η) from ϵ_K , $|V_{ub}/V_{cb}|$, ΔM_{B_d} , ΔM_{B_s}



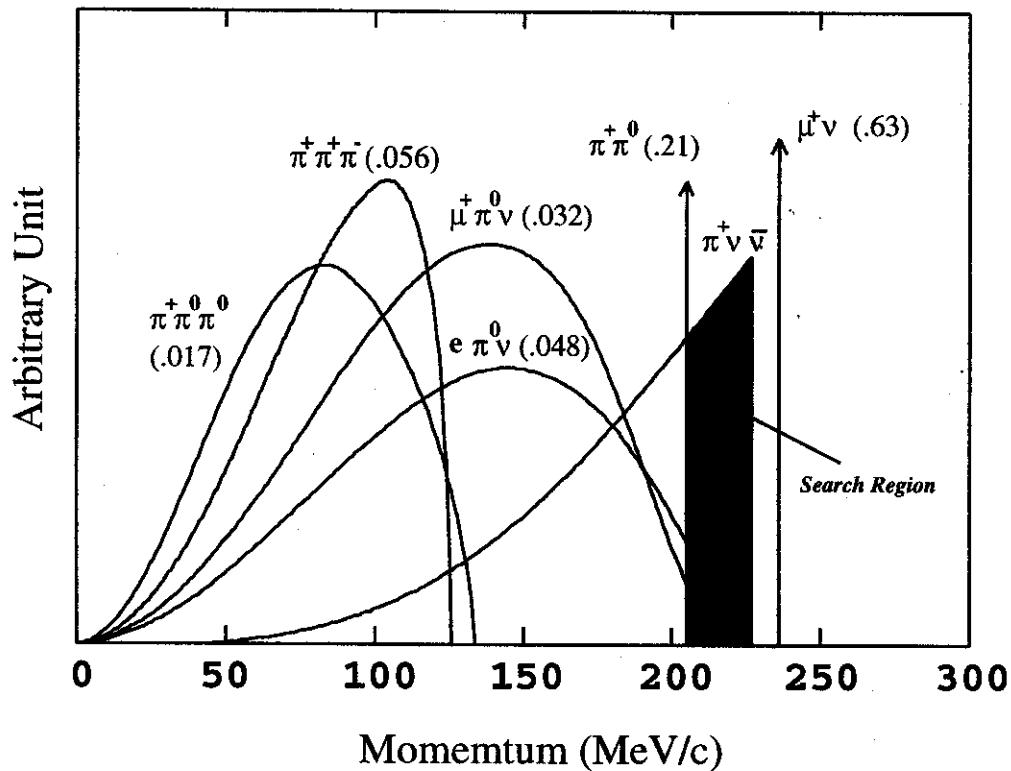
a Standard Model prediction: $(0.75 \pm 0.29) \times 10^{-10}$

a recent review by Buras: [*hep-ph/0101336*](https://arxiv.org/abs/hep-ph/0101336)

* $|\Delta M_{B_d}/\Delta M_{B_s}| \Rightarrow < 1.15 \times 10^{-10}$

a measurement at 1.5×10^{-10} would be beyond the SM

stopped K^+ decay to π^+ plus "nothing"



the π^+ ($< 227\text{MeV}/c$) from 3-body decay

Background rejection is essential in this experiment.

- Kinematics : Momentum/Energy/Range
- μ^+ rejection $\iff K^+ \rightarrow \mu^+ \nu$
- Extra particles (γ) Veto $\iff K^+ \rightarrow \pi^+ \pi^0$

each weapon should have rejection of $10^5 \sim 10^6$
 \leftarrow reliable estimation using real data

E787

A Search for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ and Related Decays

S. Adler, M.S. Atiya, I-H. Chiang, M. Diwan, J.S. Frank, J.S. Haggerty,
V. Jain, S.H. Kettell, T.F. Kycia, K.K. Li, L.S. Littenberg, C. Ng,
G. Redlinger, R.C. Strand, and C.H. Witzig

Brookhaven National Laboratory

M. Miyajima, J.Nishide, K.Shimada, T.Shimoyama, and Y. Tamagawa
Fukui University

M. Aoki, T. Inagaki, S. Kabe, M. Kazumori, M. Kobayashi,
T. K. Komatsubara, Y. Kuno, M. Kuriki, N. Muramatsu,
A. Otomo, T.Sato, T. Shinkawa, S. Sugimoto, and Y. Yoshimura.

High Energy Accelerator Research Organization (KEK)

T. Fujiwara and T. Nomura
Kyoto University

Y. Kishi, T. Nakano, and T. Sasaki
Osaka University

M. Ardebili, A. Bazarko, M. Convery, M.M. Ito, D.R. Marlow,
R. McPherson, P.D. Meyers, F.C. Shoemaker, A.J.S. Smith,
and J.R. Stone

Princeton University

P. Bergbusch, E.W. Blackmore, D.A. Bryman, S. Chen, A. Konaka,
J.A. Macdonald, J. Mildenberger, T. Numao, J.-M. Poutissou,
and R. Poutissou

TRIUMF

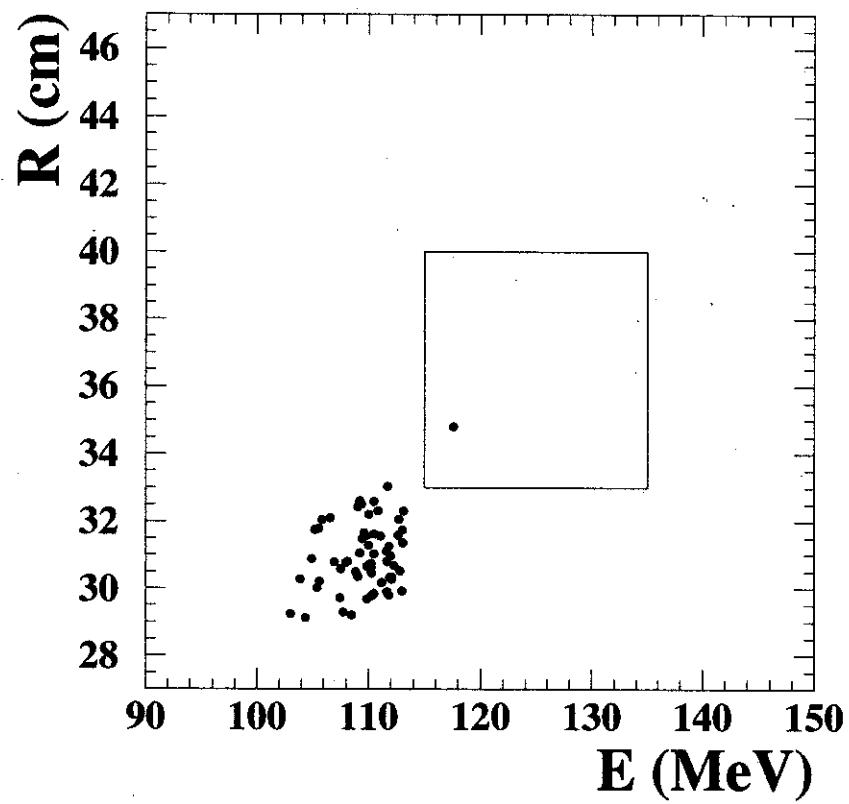
P. Kitching and H-S. Ng
University of Alberta

History of E787 @BNL-AGS

- E787 [BNL-Princeton-TRIUMF] proposed in '83
 - LESB1 beamline ($K/\pi \sim 0.5$)
 - '89-'91 [28 weeks]: $\leq 2.4 \times 10^{-9}$ (90% C.L.)
 - upgraded-E787
 - [BNL-KEK-Osaka-Princeton-TRIUMF-Alberta + Fukui, Kyoto]
 - LESB3 beamline ($K/\pi > 3$, $\times 5 K^+$ /spill)
 - major upgrade of detector/trigger/DAQ
- '95 (first physics run): 18 weeks,
'96 and '97: 13 + 8 weeks and RESULT 1.5×10^{-10}
'98 : 20 weeks \Leftarrow analysis ongoing

E787 data collection was completed.
expected sensitivity: $\sim 0.7 \times 10^{-10}$

byproduct modes: analysis under way

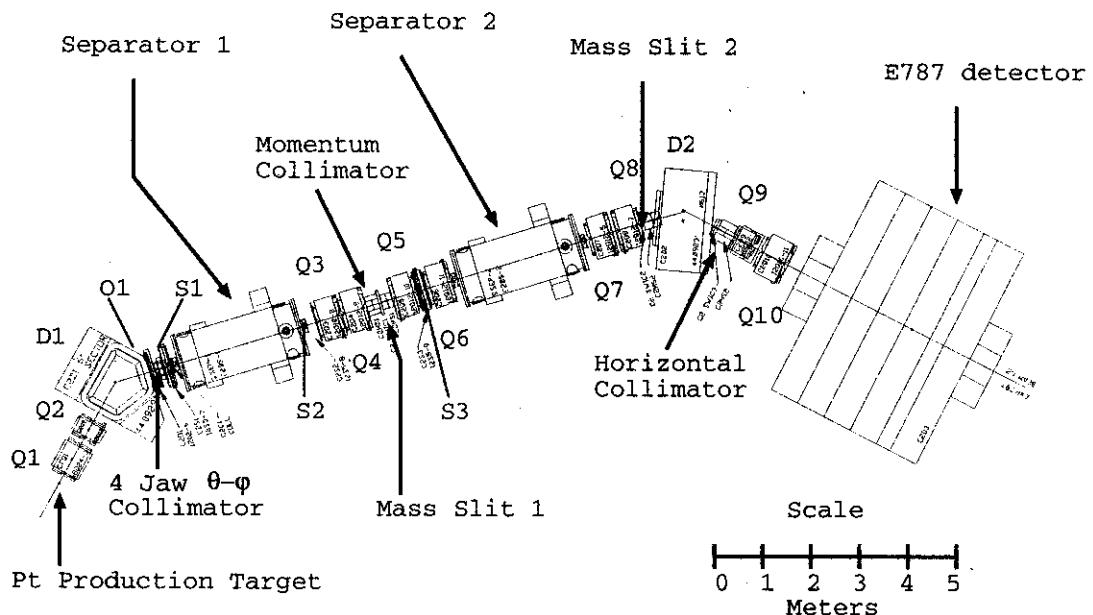


new result from '95-'97 Phy.Rev.Lett. 84, 3768 (2000)

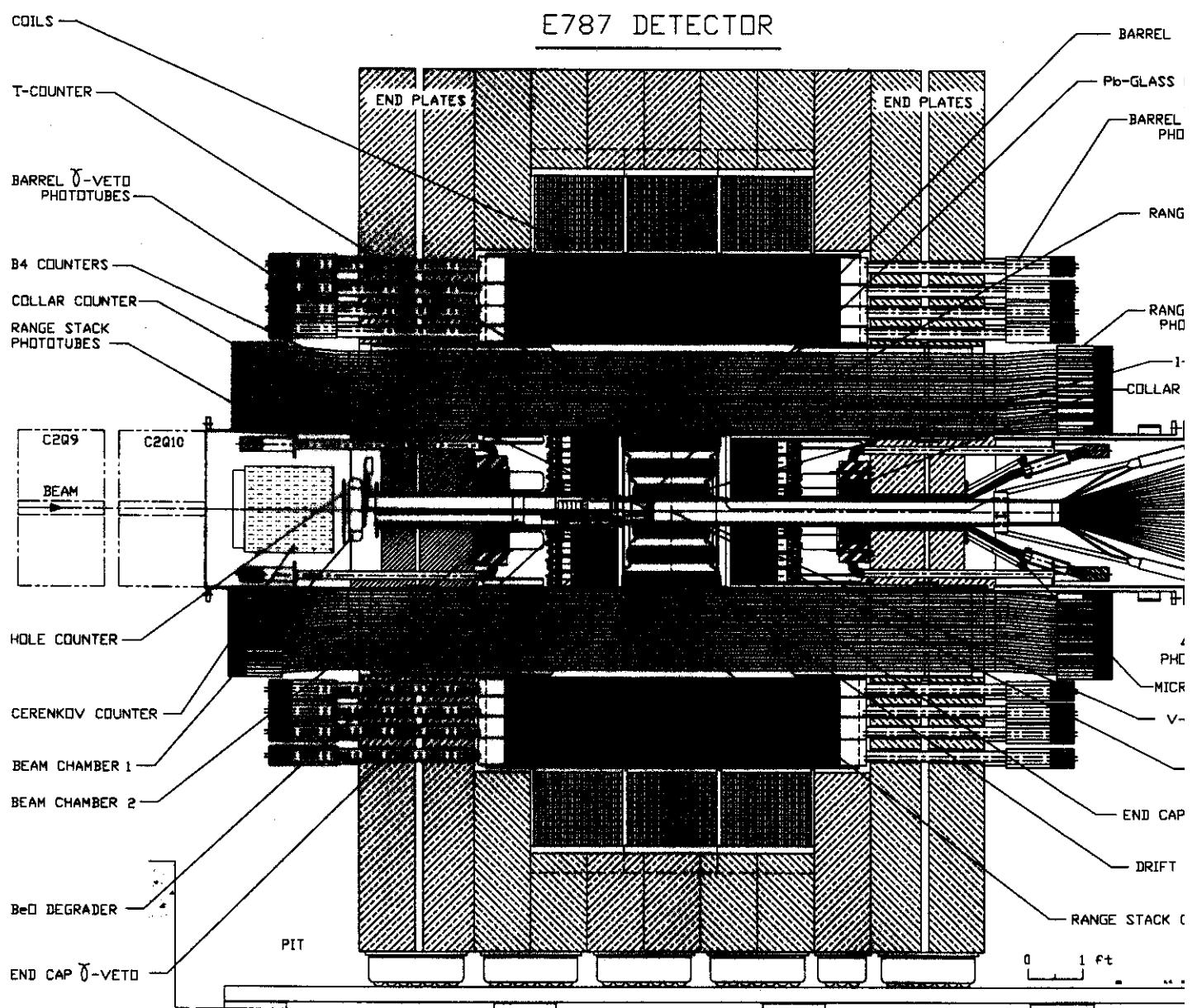
- branching ratio $(1.5^{+3.4}_{-1.2}) \times 10^{-10}$
- background level [0.08±0.02 events]: 1.2×10^{-11}
- $0.002 < |V_{td}| < 0.04$,
 $1.07 \times 10^{-4} < |V_{ts}^* V_{td}| < 1.39 \times 10^{-3}$
- consistent with the SM prediction

LESB3: a two-stage separated 800-MeV/c kaon beamline

J. Doornbos et al., NIM A 444 (2000) 546

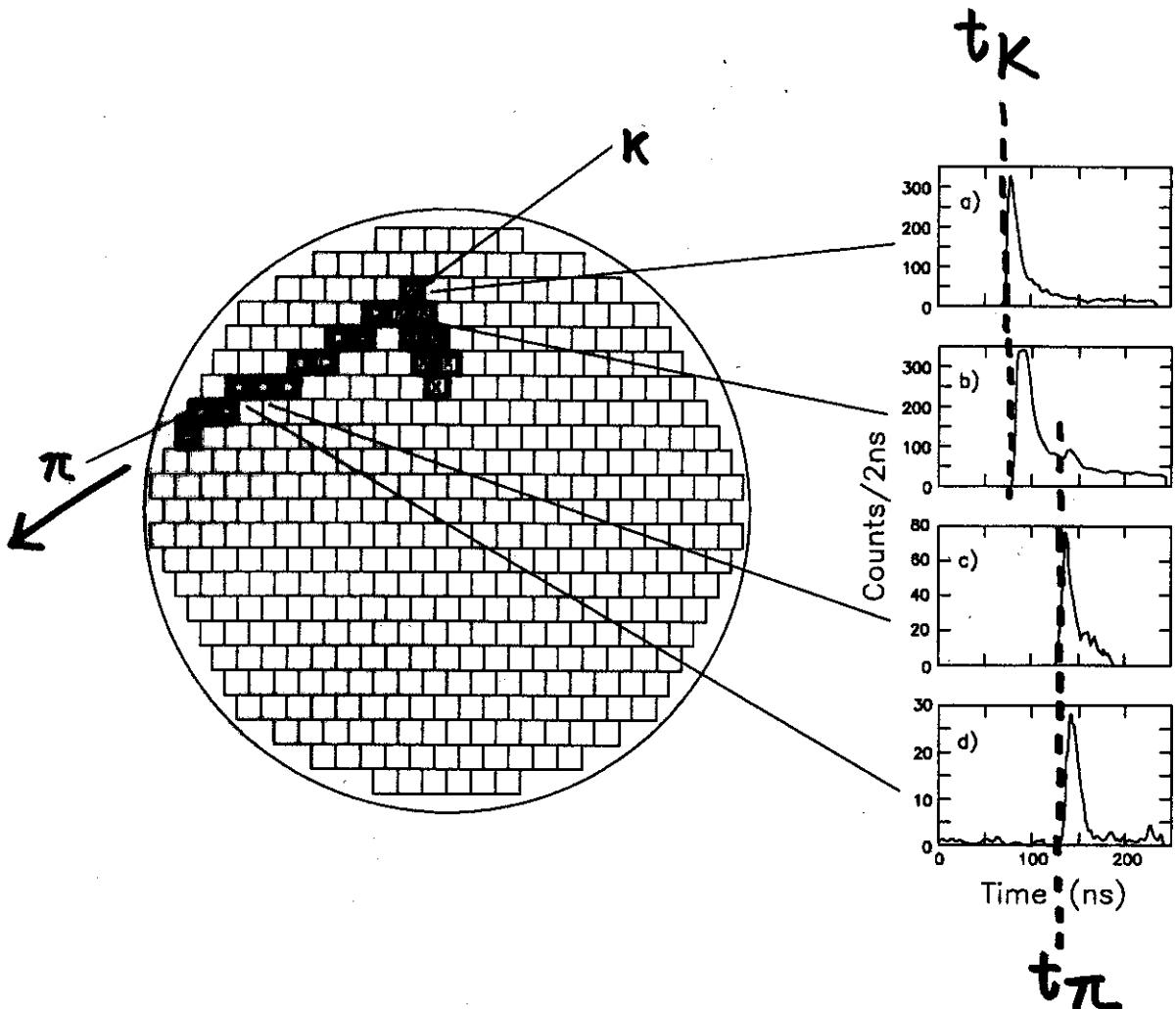


- $\sim 5 \times 10^5 K^+ / 10^{12}$ protons on target
- K/π ratio > 3



$K^+ \rightarrow \pi^+$ Decay

- 5 mm × 5 mm scintillating fibers
- 500 MHz GaAs CCD digitizers

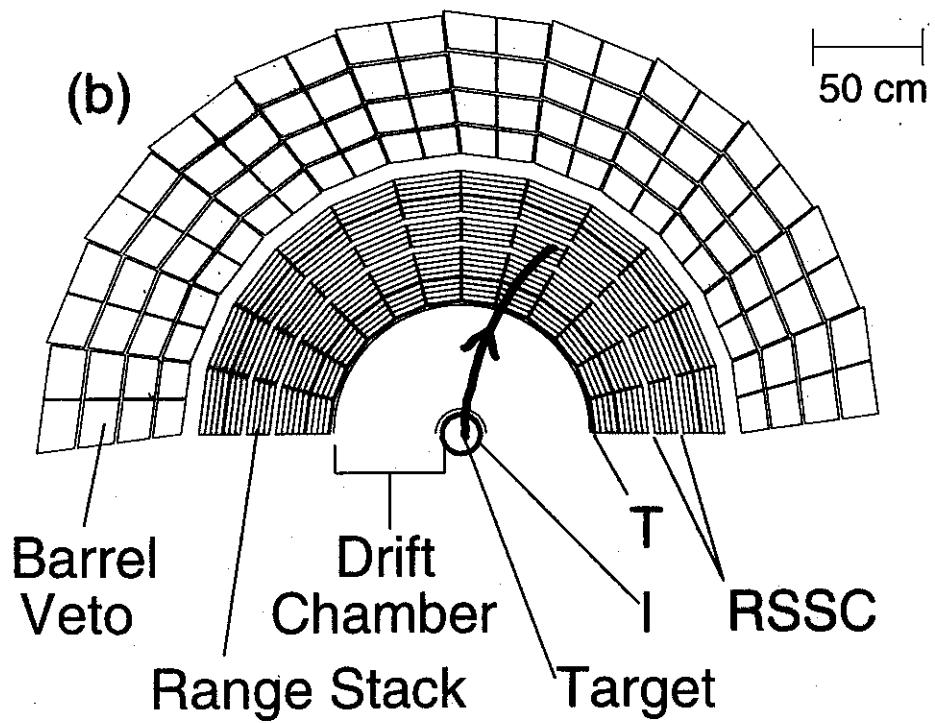
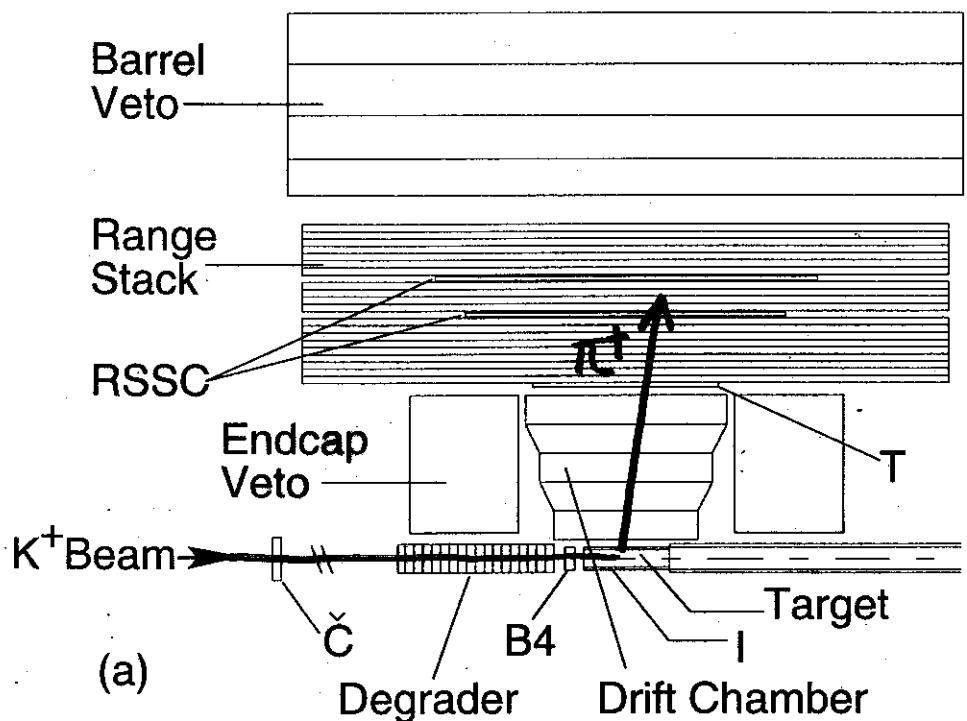


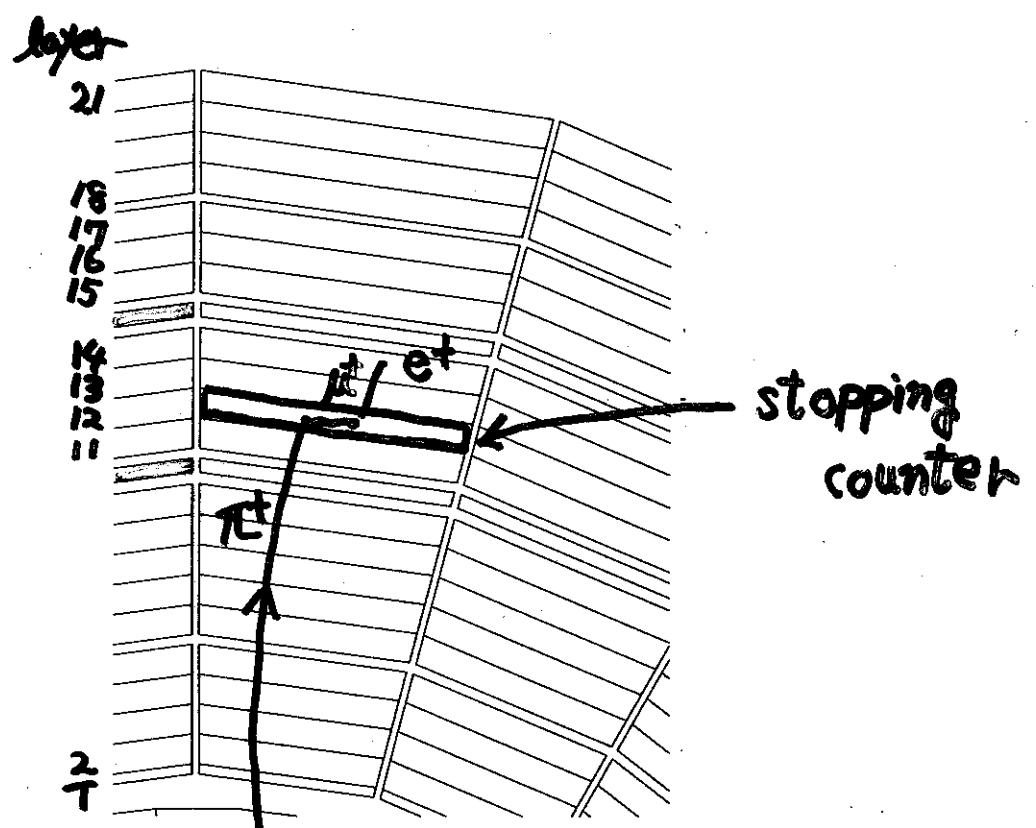
vs. pions or kaon decay-in-flight into the detector (π_{scat})
(Target, Beam counters/chambers)

$$t_\pi - t_K \geq 2 \text{ nsec}$$

[Delayed Coincidence]

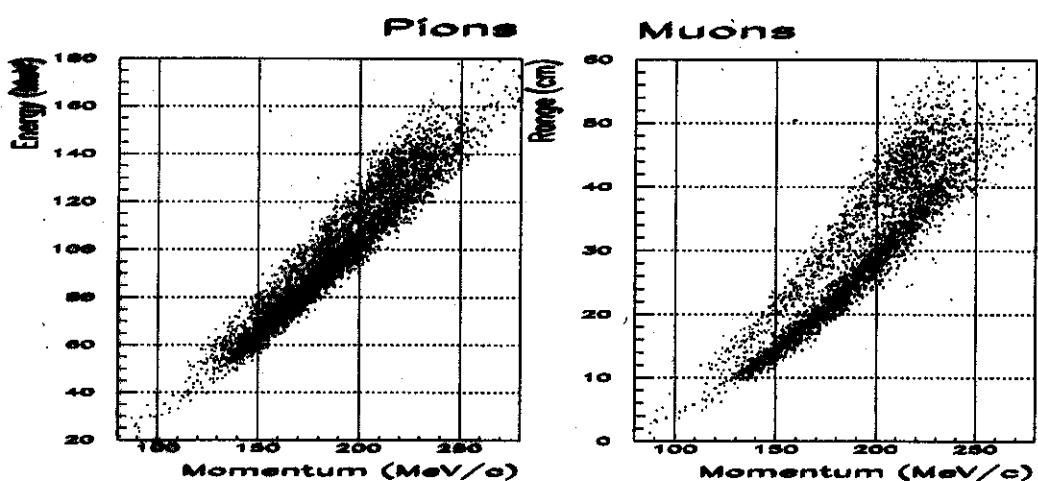
E-787 Spectrometer



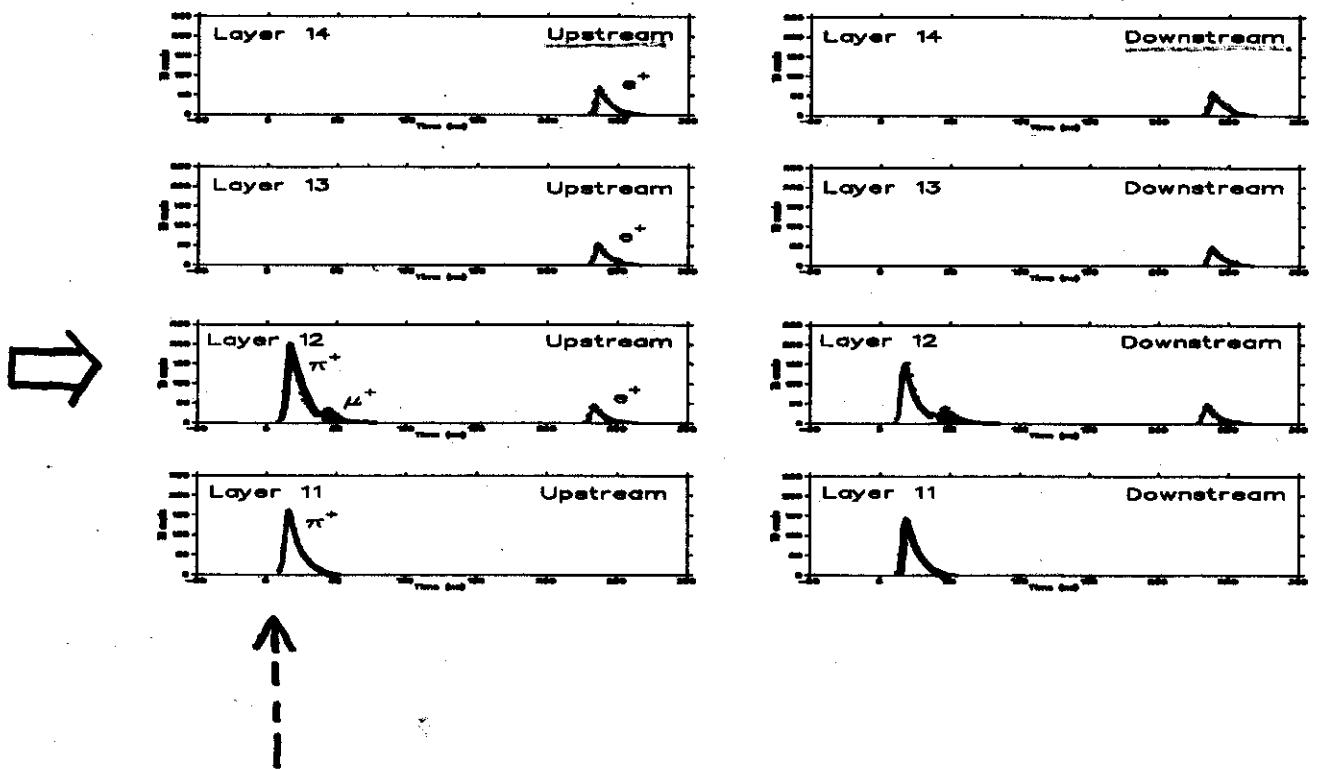


vs. muons from $K^+ \rightarrow \mu^+\nu$, $K^+ \rightarrow \mu^+\nu\gamma$

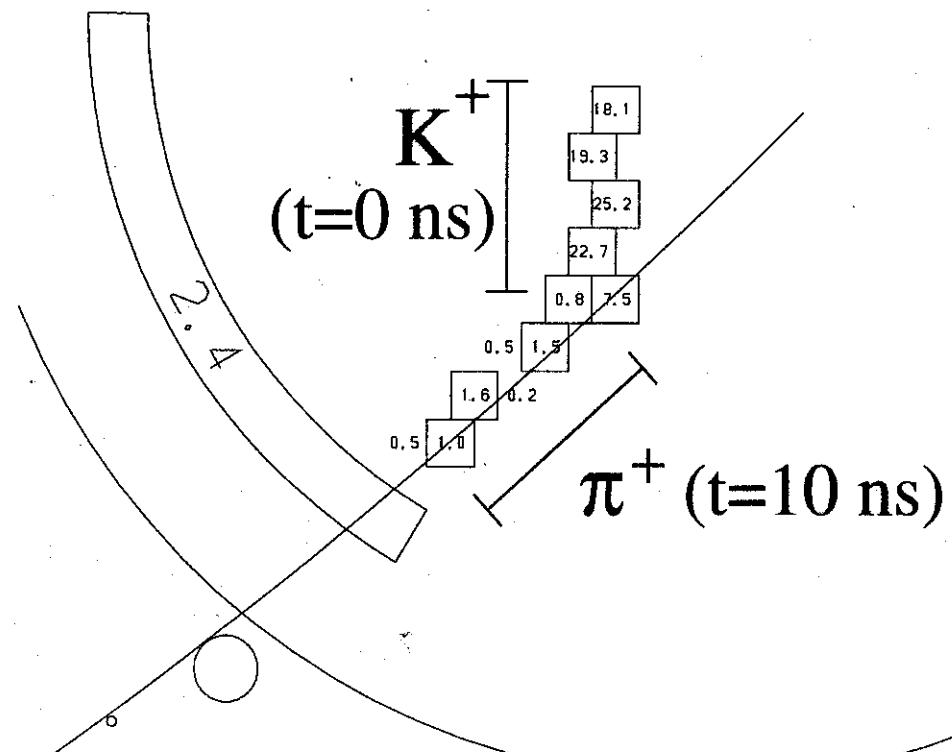
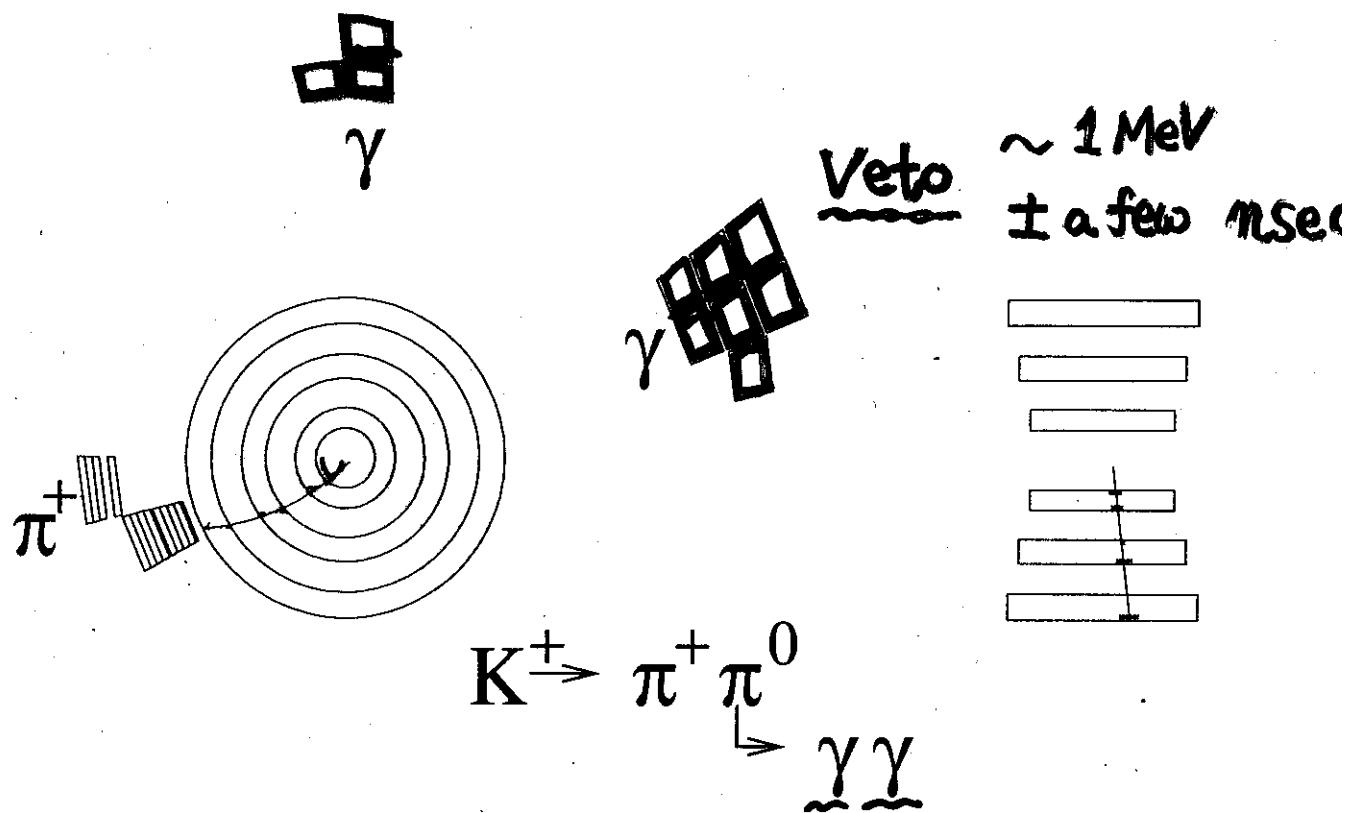
- Energy/Range - Momentum relation

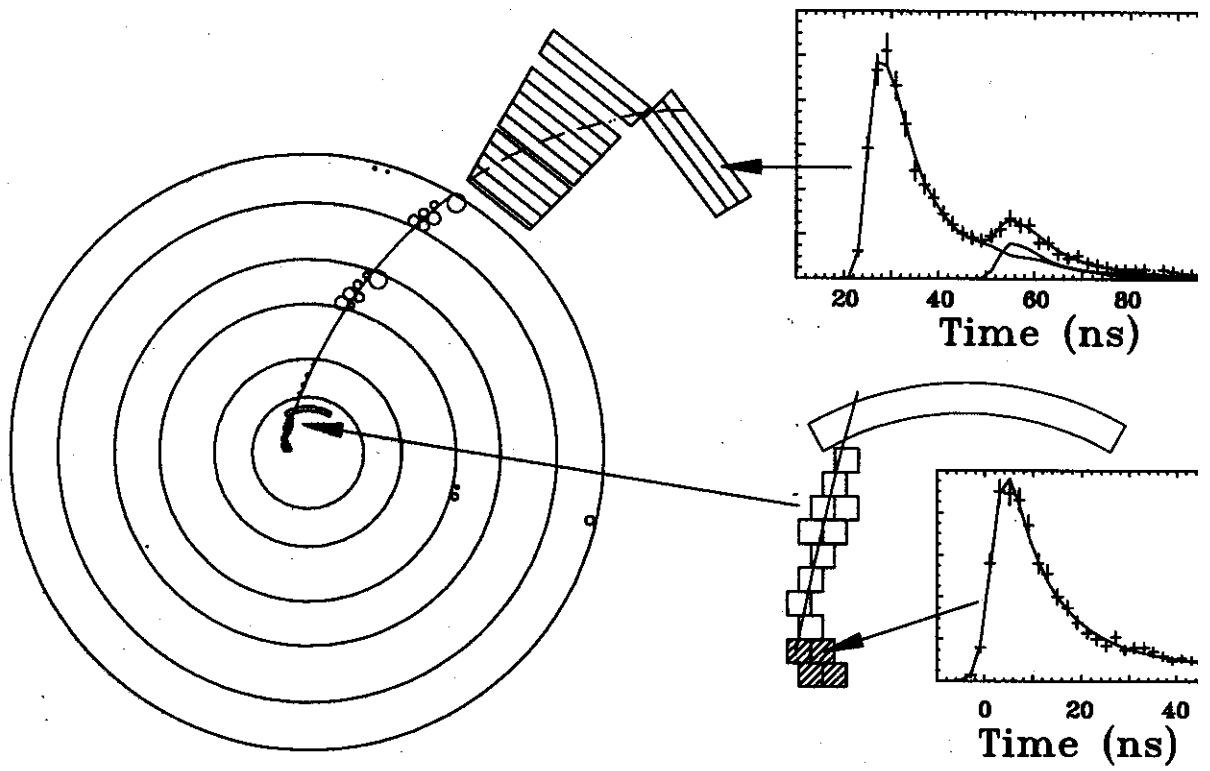


- $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ chain (Transient Digitizer)



Typical Event





Evidence for the Decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

S. Adler *et al.*, (E787 collaboration)

Phy. Rev. Lett. **79**, 2204 (1997)

'95 data [18 weeks]

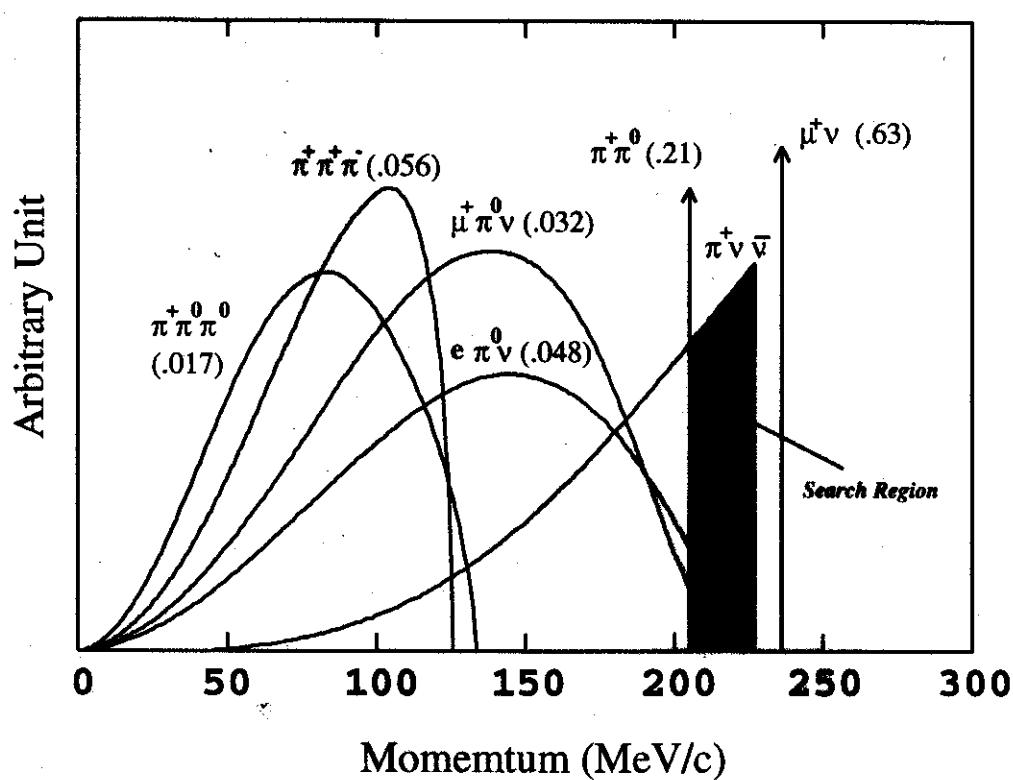
**Why the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment is
so "difficult" ?**
(from my personal viewpoint)

or

**Things that are NOT secret,
but you cannot easily learn
by reading the papers**

$$\text{Acceptance} = 0.21 \pm .01(\text{stat}) \pm .02(\text{sys}) \%$$

| Acceptance factors | method | |
|---|--------------------------------|--------------|
| $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ phase space | M.C. | 0.155 |
| Solid angle acceptance | M.C. | 0.407 |
| π^+ nucl. int., decay-in-flight | M.C. | 0.513 |
| K^+ stop efficiency | $\text{BR}(K_{\mu 2})$ | 0.704 |
| K^+ decay after 2 ns | $K_{\mu 2}$ | 0.850 |
| Reconstruction efficiency | $K_{\mu 2}$ | 0.959 |
| Other kinematic constraints | $\pi_{\text{scat}}, K_{\pi 2}$ | 0.665 |
| $\pi - \mu - e$ decay acceptance | π_{scat} | 0.306 |
| Beam and target analysis | $K_{\mu 2}$ | 0.699 |
| Accidental loss | $K_{\mu 2}$ | 0.785 |
| Total acceptance | | 0.21% |



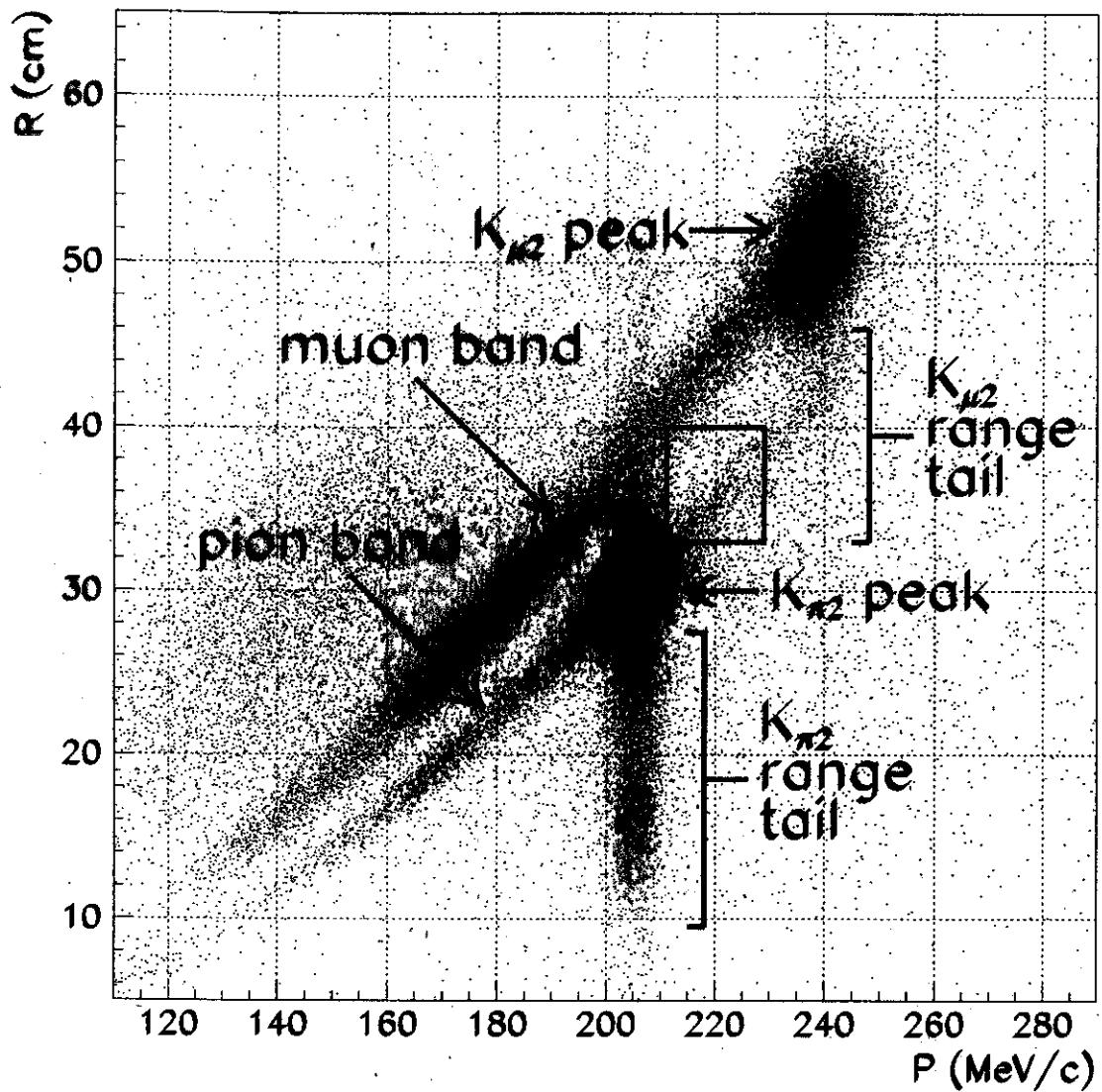
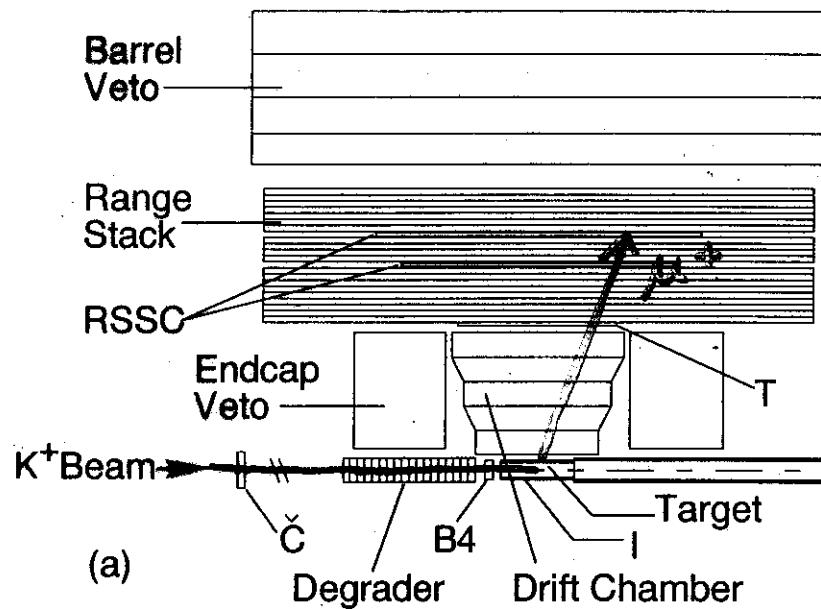


Figure 4.1: Range in scintillator (R) vs. momentum (P), and kinematic categorization of events passing the $K_{\pi 2}(1)$ trigger. The $\pi^+\nu\bar{\nu}(1)$ signal region is shown as a box. The $K_{\mu 2}$ peak momentum is reconstructed higher than the accepted value of 236 MeV/c [10] because a “pion hypothesis” has been used to calculate the momentum loss in the target (see section B.1).

• $K^+ \rightarrow \mu^+ \nu$ [Kμ2] .63



• μ^+ rejection { online
offline }

μ^+ Rejection

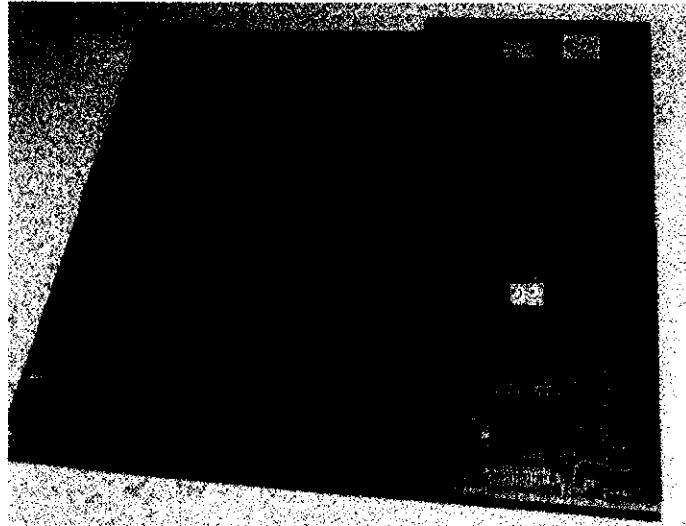
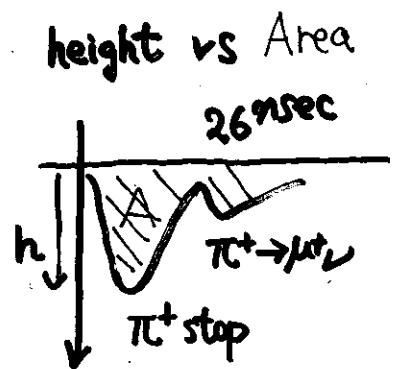
[500MHz Transient Digitizers for RS]

tag $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ decay chain by recording RS pulses

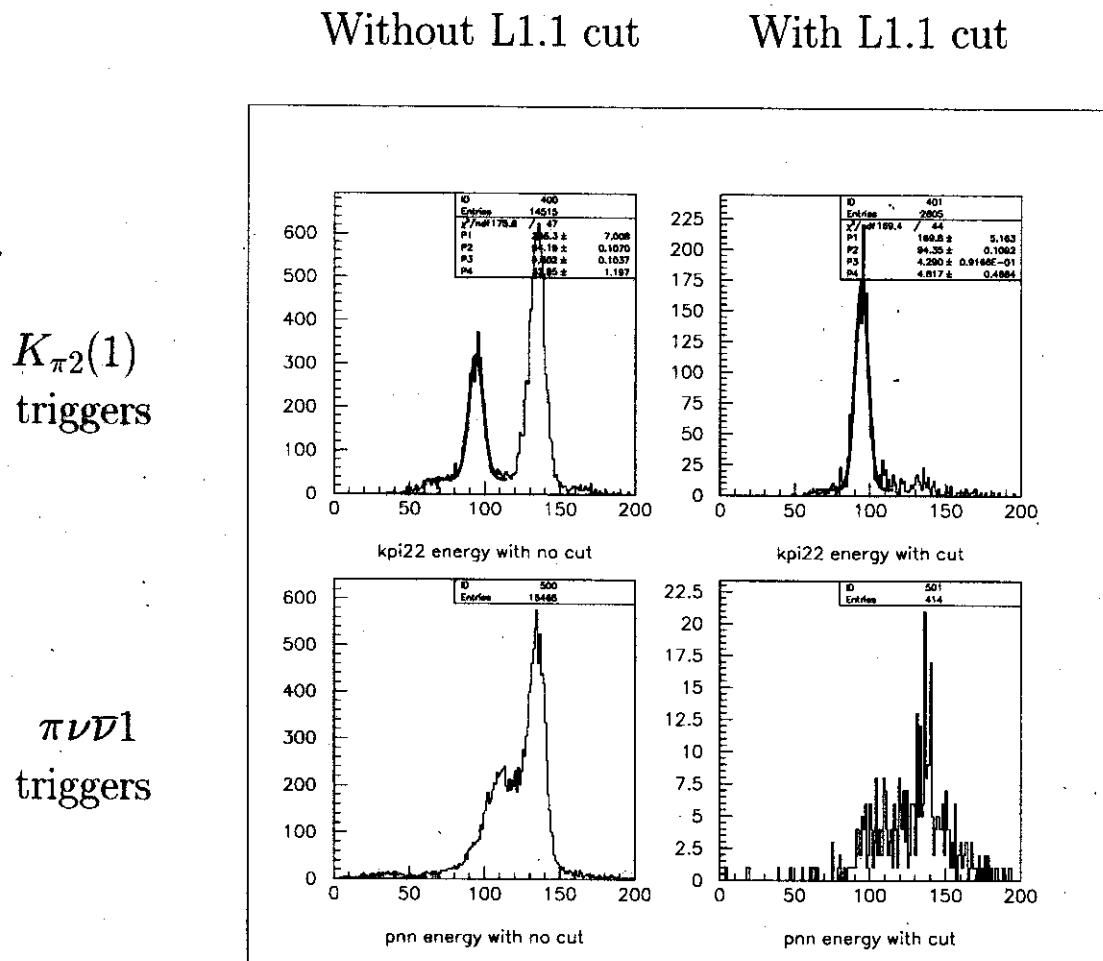
online trigger (tag $\pi^+ \rightarrow \mu^+$)
↪ Level 1.1 [hardware]

Control Board with ASIC's
(Application Specific Integrated Circuits)

⇒ decision time : $(150 \sim 250\mu s)_{L1.6} \rightarrow 15-20 \mu s$



Level 1.1 Trigger Results



Kinetic energy charged track spectra with and without the l1.1 trigger cut for $K_{\pi2}(1)$ and $\pi\nu\bar{\nu}l$ triggers

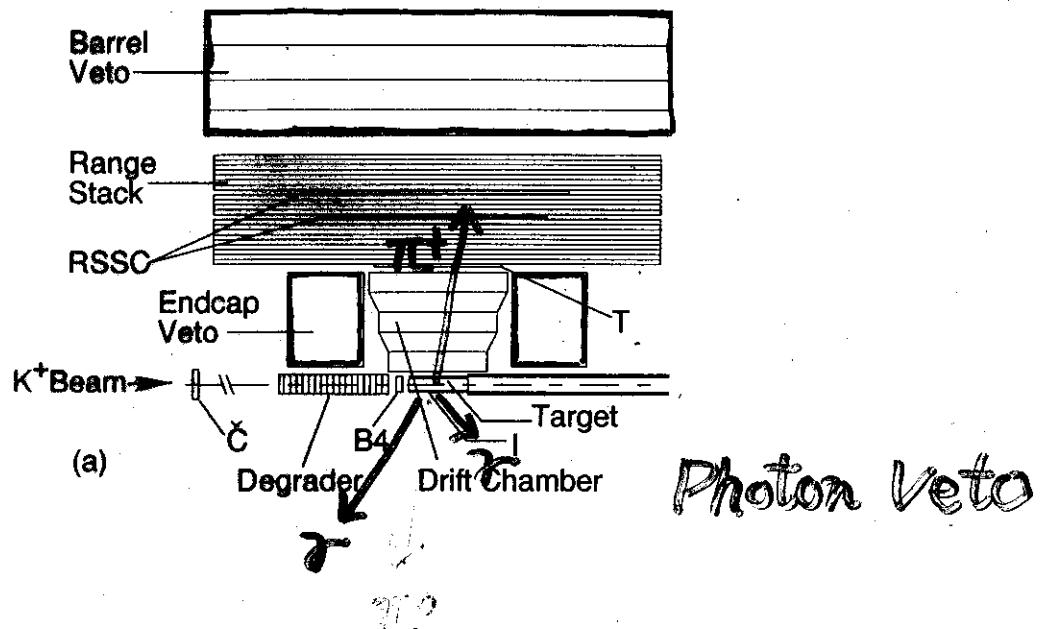
π^+ tagging efficiency $\sim 60\%$

μ^+ rejection factor $\sim 15 - 25$

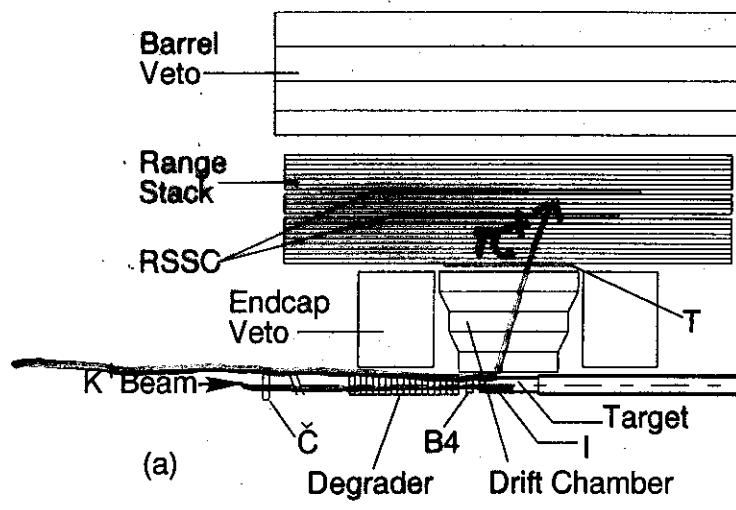
Trigger Decision Time $\sim 10 - 20 \mu s$

S. Adler

$$\bullet K^+ \rightarrow \pi^+ \pi^0 [K\pi_2]$$



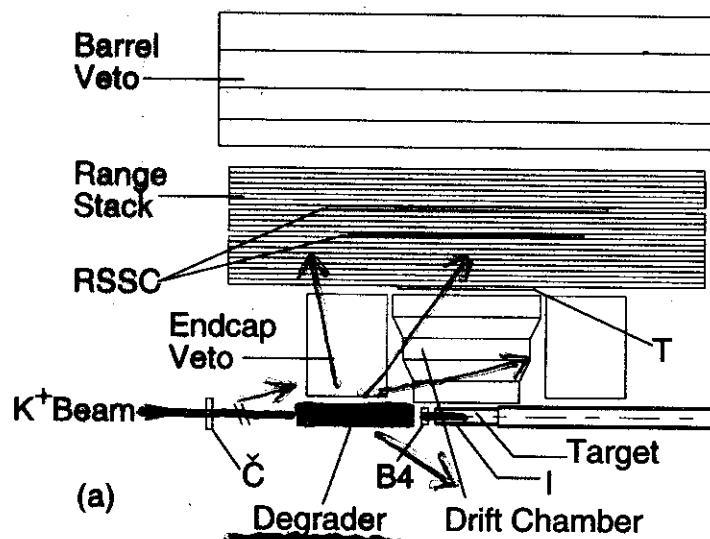
• beam



beam cuts
target cuts

Strategy of stopped K⁺ experiment

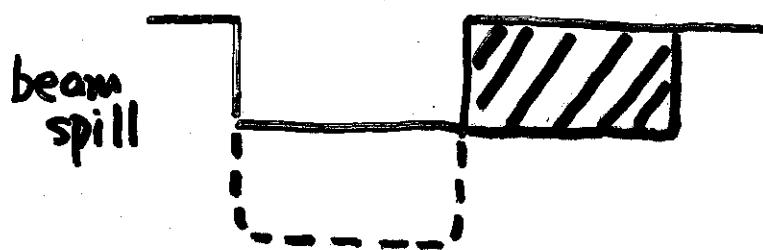
- stopped K⁺ (slowed by BeO degrader)
~ 20% @ 790MeV/c



rate \propto incident beam (not 'stopped K⁺') :

the beam momentum \searrow (less degrader)
 \Rightarrow K⁺ stopping fraction \nearrow

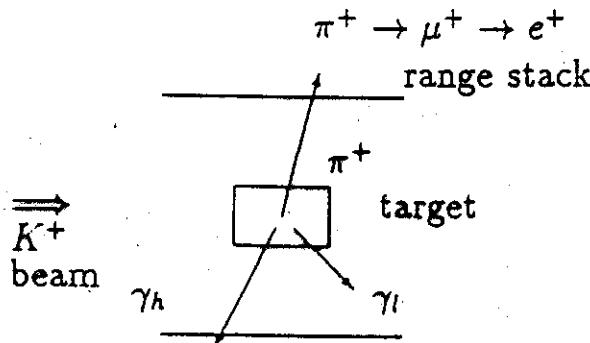
- duty factor





below the K_{π_2} peak
(lower π^+ momentum)

a)



b)

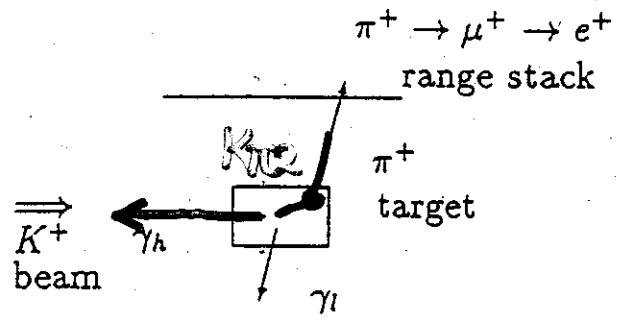


Figure 2: a) A typical K_{π_2} event: π^+ enters into the range stack and two photons from π^0 enter the photon detector. b) A K_{π_2} background event in the region below K_{π_2} : that is produced to the beam direction enters the range stack after large angle scatter and the higher energy photon goes to the beam hole.

target analysis

PV systems

Interaction of $\pi^+/\mu^+/\gamma$ in the Target, Range Stack

In addition to

- Multiple Coulomb scattering (with non-Gaussian tail) of π^+/μ^+ and
- ElectroMagnetic shower of γ ,
- π^+ hadronic interactions in plastic scintillator:

| | | | $\sigma(125\text{MeV})$ |
|----------------|-------------------------|---|-------------------------|
| elastic | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^+ {}^{12}\text{C}$ | 214 mb |
| pseudo-elastic | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^+ {}^{12}\text{C}^*$ | 11 mb |
| quasi-elastic | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^+ p {}^{11}\text{B}^{(*)}$ | 52 mb |
| | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^+ n {}^{11}\text{C}^{(*)}$ | 31 mb |
| | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^0 N X$ | 16 mb |
| absorption | $\pi^+ {}^{12}\text{C}$ | $\rightarrow X(\text{without } \pi)$ | 194 mb |
| spallation | $\pi^+ {}^{12}\text{C}$ | $\rightarrow \pi^+ X$ | 120 mb |

- μ^+ elastic/inelastic scattering:

- hard scattering
- $\mu^+ {}^{12}\text{C}$ Giant Dipole Resonance

- Photo-nuclear absorption

Cross section, energy loss, scattering angle, are unknown/uncertain to the low-energy particles from stopped-kaon decays.

We cannot rely on Monte Carlo;
we need to work on and learn from data.

HEP 4π detector in Collide

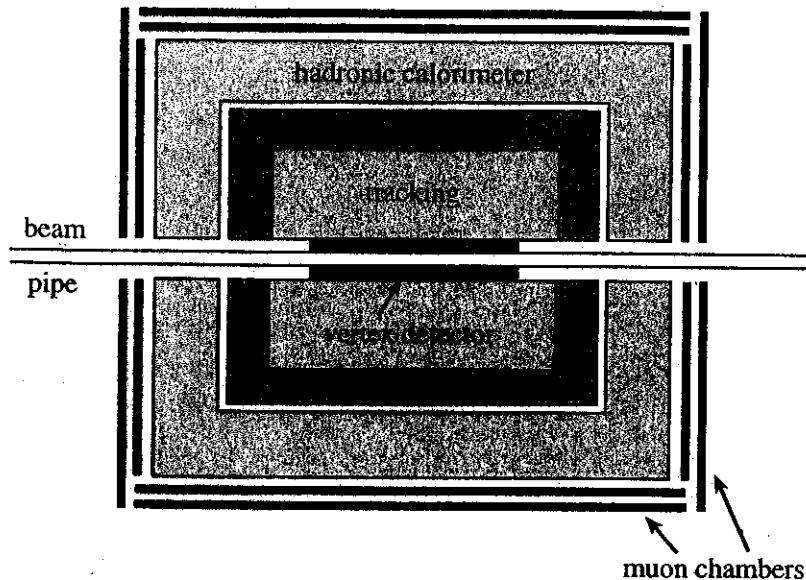
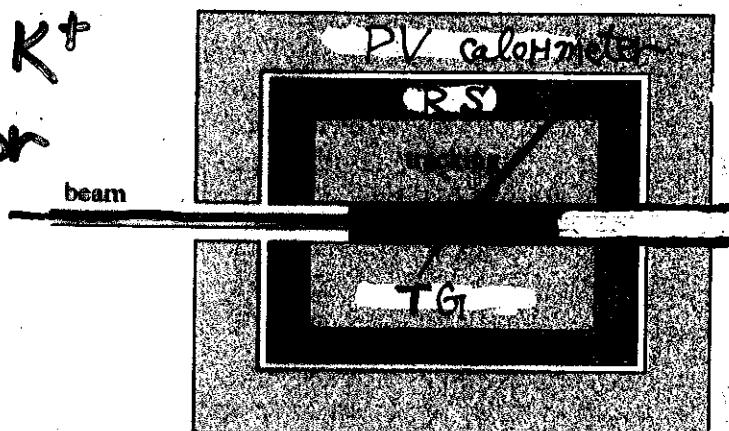


Figure 4: Schematic cross section through a typical collider detector. Shown, from the beam pipe out, are the locations of vertex detector, tracker, electromagnetic calorimeter, hadronic calorimeter, and muon chambers.

stopped K^+
detector



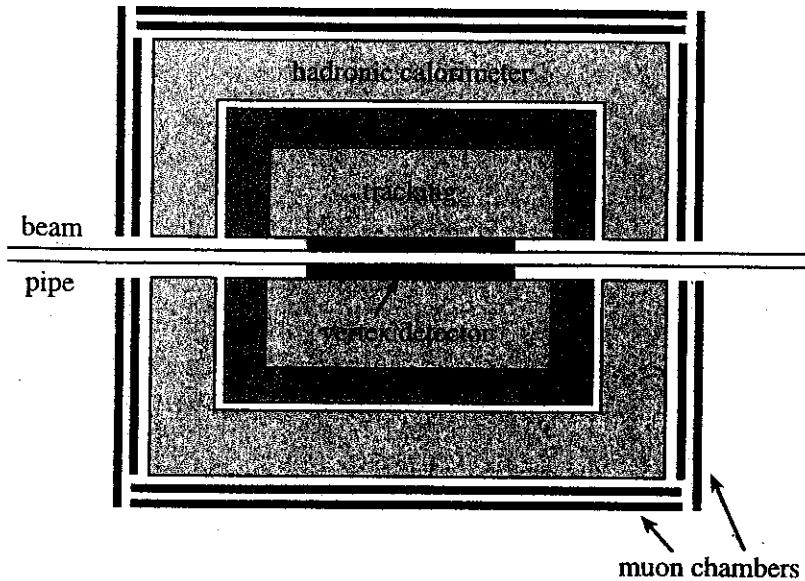
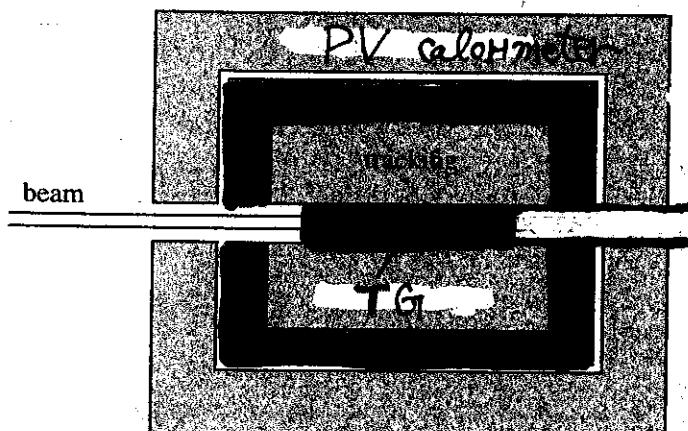


Figure 4: Schematic cross section through a typical collider detector. Shown, from the beam pipe out, are the locations of vertex detector, tracker, electromagnetic calorimeter, hadronic calorimeter, and muon chambers.



- ① π^+/μ^+ non-destructive measurement
in (destructive) TG, RS
- ② three timings (t_π, t_μ, t_e)
< wait these decays > \leftarrow accidental loss
- ③ waveform digitization
 \leftarrow huge event size

E949

An experiment to measure the branching ratio $B(K^+ \rightarrow \pi^+ \nu\bar{\nu})$

P. Kitching and H.-S. Ng

Centre for Subatomic Research, University of Alberta

I-H. Chiang, M.V. Diwan, J.S. Frank, J.S. Haggerty, V. Jain, S.H. Kettell, T.F. Ky, K.K. Li, L.S. Littenberg, G. Rexlinger, R.C. Strand and C. Witzig
Brookhaven National Laboratory (BNL)

P.S. Cooper, E. Ramberg and R.S. Tschirhart

Fermi National Accelerator Laboratory (FNAL)

M. Miyajima, J. Nishida, K. Shimada, T. Shinoyama and Y. Tamagawa
Nagoya University

A. Kozhevnikov, L. Landsherg, V. Mukhin, V. Obraztsov, S. Petrenko, V. Rykalo, V. Victorov
Institute for High Energy Physics (IHEP)

M.P. Grigoriev, A.P. Ivashkin, M.M. Khabibullin, A.N. Khotjantsev, Y.G. Kuden and O.V. Mineev
Institute for Nuclear Research (INR)

T. Inagaki, S. Kabe, M. Kobayashi, T.K. Komatsubara, Y. Kuno, N. Muramatsu, K. Owata, T. Sato, T. Shinkawa, S. Sugimoto and Y. Yoshiimura
High Energy Accelerator Research Organization (KEK)

T. Fujiwara and T. Nomura

Kyoto University

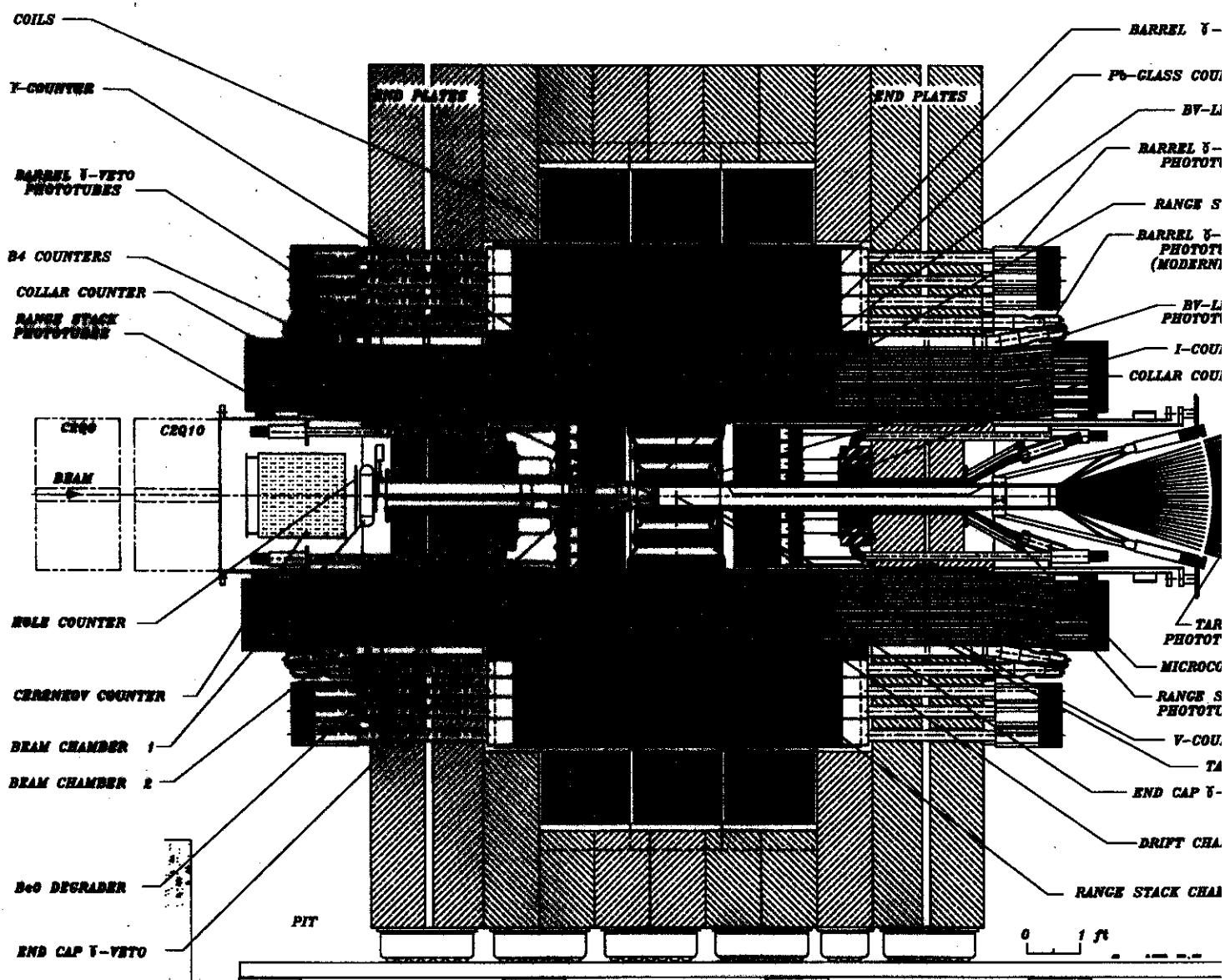
B. Bassalleck, D.E. Fields, J. Lowe and T.L. Thomas
University of New Mexico (UNM)

T. Nakano and M. Nonnachki
Research Center for Nuclear Physics (RCNP), Osaka University

P.C. Bergbusch, E.W. Blackmore, D.A. Bryman, S. Chen, A. Konaka, J.A. Macdonald, J. Milkemberger, T. Numan, J.-M. Poutissou and R. Poutissou
TRIUMF

E949

Measurement of $B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$
Alberta/UBC/FNAL/IHEP/INR/Kyoto/UNM/Yeshiva
BNL/Fukui/KEK/Osaka/TRIUMF

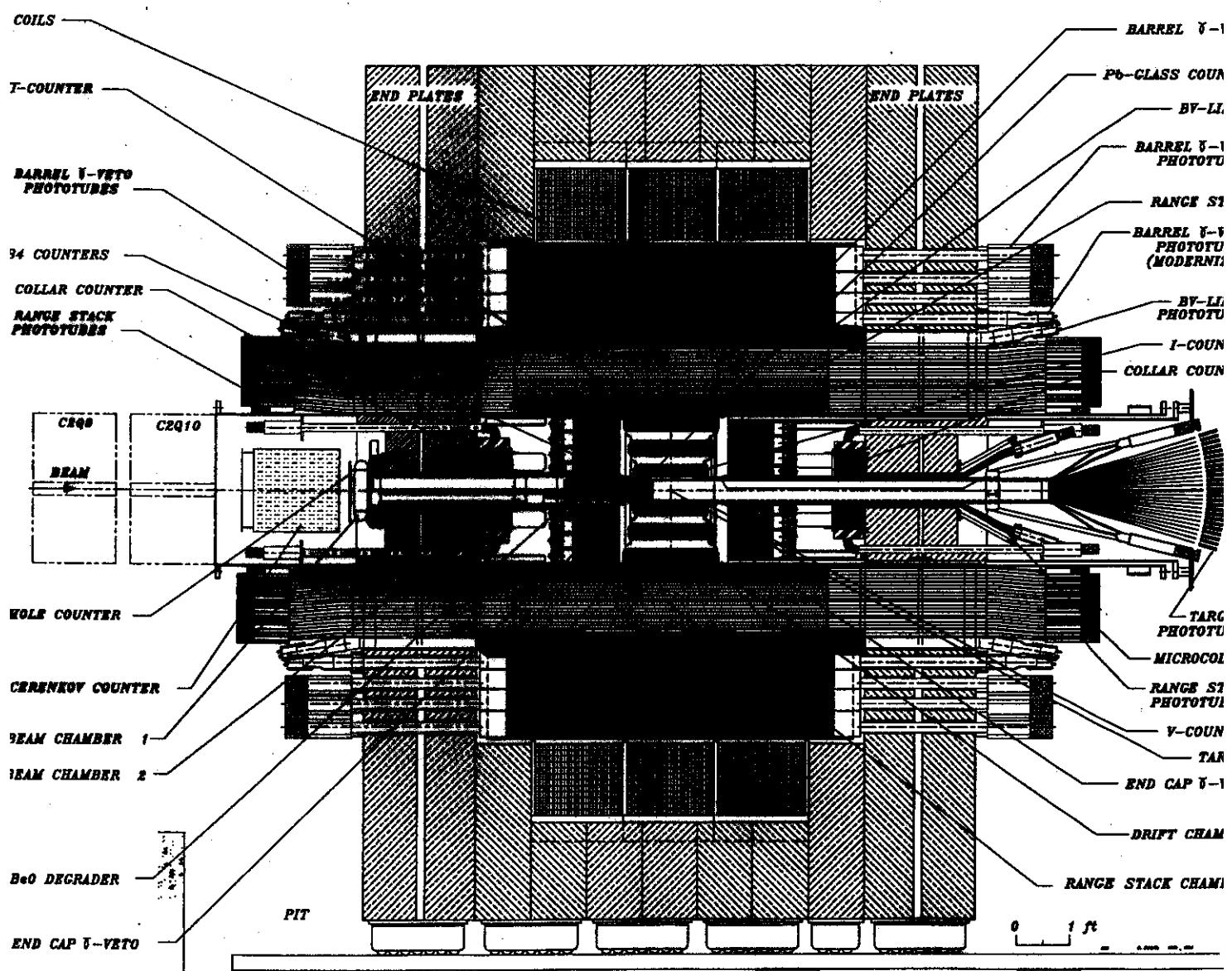


E949

Measurement of $B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

Alberta/UBC/FNAL/IHEP/INR/Kyoto/UNM/Yeshiva
BNL/Fukui/KEK/Osaka/TRIUMF

• BV Liner



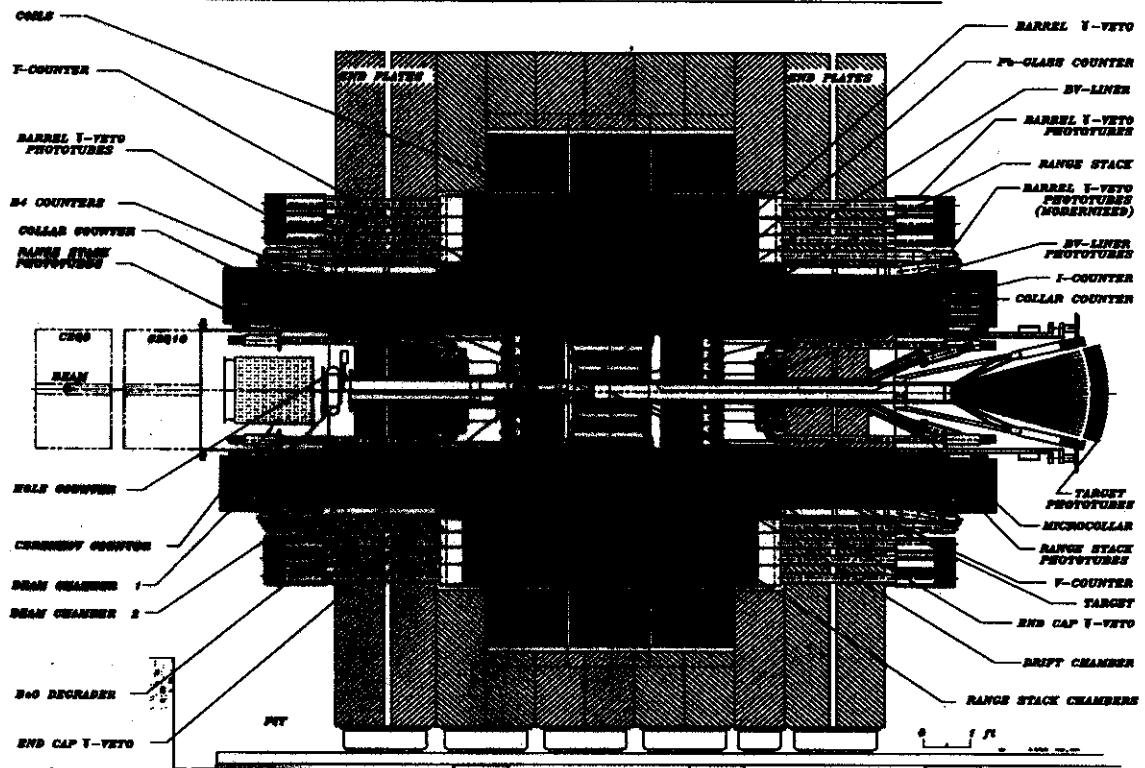
• R.S (Layer T, 2 ~ 5)

• PV

- D M

E949

www.phy.bnl.gov/e949/



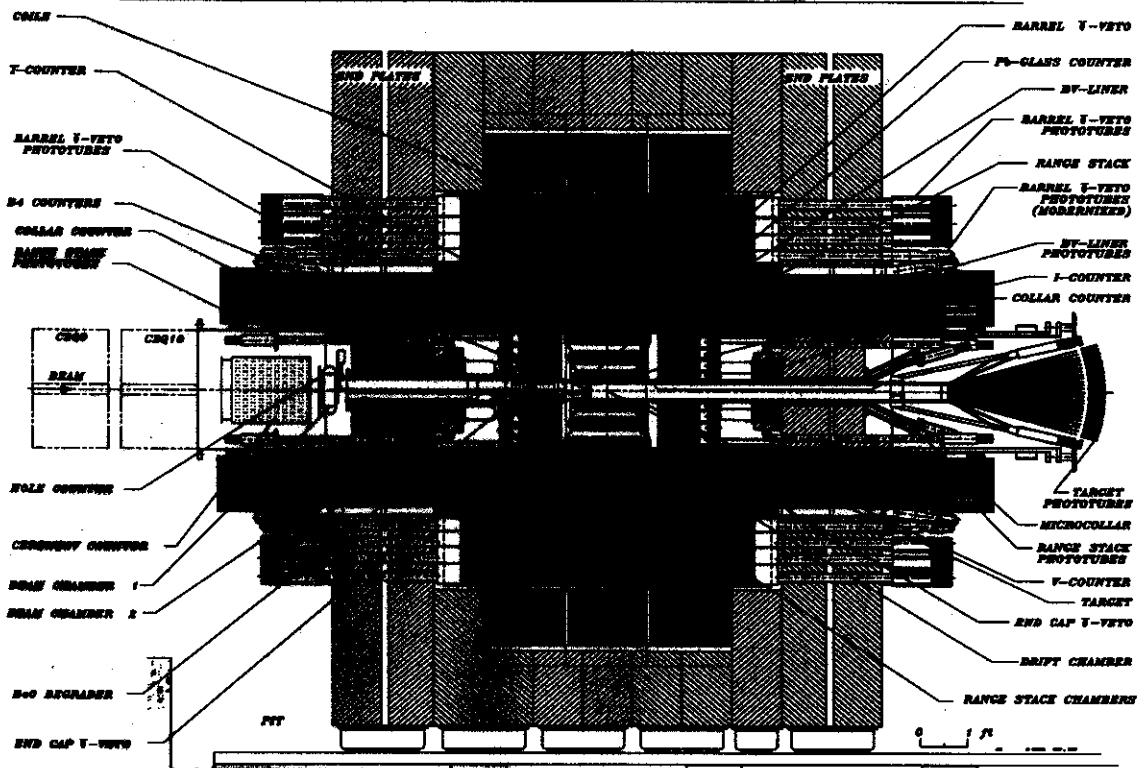
Detector upgrade from E787

- BV Liner
- RS: layer T, 2~5 replaced
- PV: new/improved counters
- BM: new B4 counter, degrader
- Trigger: programmable Level0 board, Mean Timers
- DAQ
- RS Monitor system using LEDs
- RS TDC readout: e^+ identification without TD
- ...

Schedule:

- Engineering run from this spring.
- First Physics run in this summer/autumn/winter (with RHIC).

E949 <http://www.phy.bnl.gov/e949/>



Sensitivity Improvement (compared to the published '95-97 result of E787)

- Running mode :
 - stopping fraction ($\times 1.1$)
 - high duty factor with 65Tp from AGS ($\times 1.4$)
 - beam hours: ~ 2 years of running with RHIC ($\times 1.5$)
- Apparatus (trigger, DAQ, software, ...):
 - efficiency improvements ($\times 2.1$)
- reoptimize analysis or increase the phase space ($\times 2$)
- Total gain of $\times 10$

Goals:

- Sensitivity $(8-14) \times 10^{-12}$ in 6000 hr (~ 2 years of running with RHIC).
- Determine $|V_{td}|$ to 20–5%.

The future

targetting

Sensitivity 1.0×10^{-12}
or ~ 100 signal events
or the BR measurement with 10%

around the year 2010

Two options :

1. In-flight Kaon decay

CKM Experiment at FNAL

(talk by B. Tschirhart, in Special Session)

⇐ The technique is not proved yet.

2. Stopped Kaon decay

Can we further improve the E949-type detector
in a JHF experiment
and compete with CKM ??

Key 1: K^+ Beam

The JHF-50GeV Slow-Extraction is not optimized
to the stopped $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment.

| PS operation | | AGS to LESB3 for E949 | JHF-mod to K550 (pnnJHF) | [1/3] |
|-------------------|------------------------|-----------------------------|--------------------------------|----------------|
| proton energy | GeV | 24 | 30 | |
| protons on Tgt | $10^{12}/\text{spill}$ | 65 | 100 | $\times 1.54$ |
| machine cycle | sec | 6.4 | 3.42 | $\times 1/1.8$ |
| average current | μA | 1.63 | 4.68 | $\times 2.88$ |
| slow extraction | sec | 4.1 | 1.8 | $\times 1/2.3$ |
| duty factor | | 0.64 | 0.53 | $\times 0.83$ |
| instant. rate | $10^{12}/\text{sec}$ | 16 | 55.6 | $\times 3.5$ |
| K^+ momentum | MeV/c | 730 | 550 | (no loss) |
| stopping fraction | | 0.26 | 0.4 | $\times 1.5$ |

Key 2: Detector

The upgrade of (destructive) Target and Range Stack
(better measurement, rate capability)

- RS segmentation (chopping : < 1/4)
- scintillator/SCF readout with more light outputs

start Conceptual Design,
based on the E787/E949 experience.

stopped $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment at JHF

Improvement (compared to the BNL-E949 proposal)

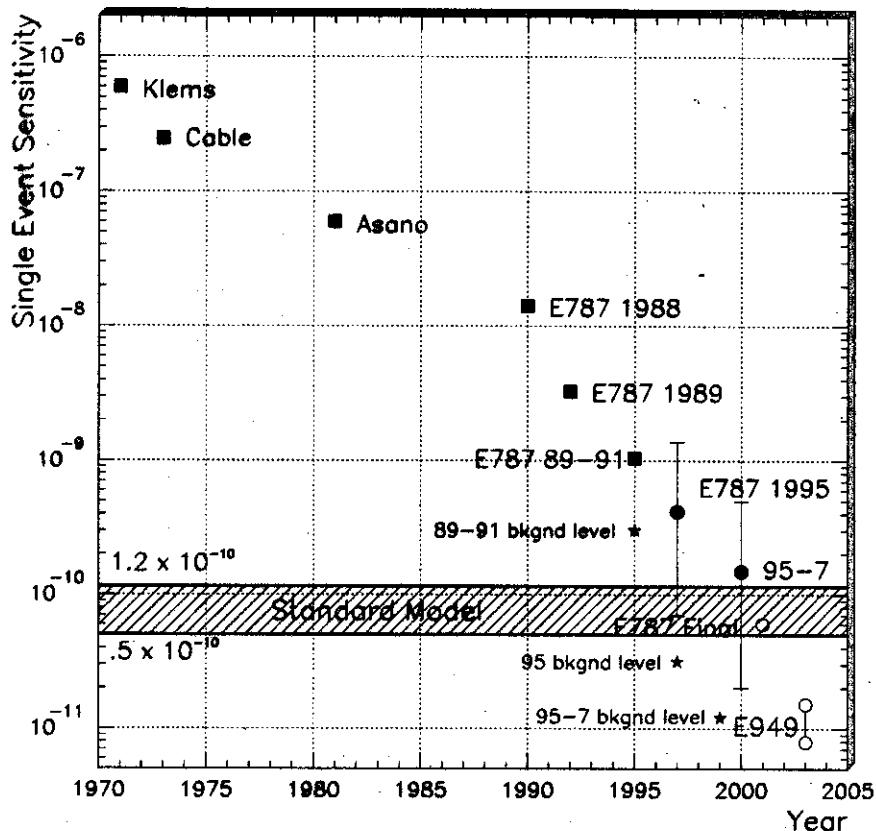
- Running mode :
 - stopping fraction ($\times 1.5$ by K550)
 - duty factor ($\times 0.83$)
 - beam hours : ~ 3 years of running ($\times 1.5$)
- New Detector [segmented, faster, ...] :
 - rate capability for higher intensity ($\times 2.0$)
- re-optimization ($\times 1.5$)
 - $S/N = 5 \Rightarrow$ TD electron cut, ... ($\times 1.2$)
 - brighter detector \Rightarrow better E resolution, ... ($\times 1.2$)
 - pipeline trigger, faster DAQ, ... ($\times 1.1$)
- increase the phase space:
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ below the $K_{\pi 2}$ peak ($\times 2$)
and measure the π^+ spectrum to confirm the SM prediction.

Goals:

- Sensitivity 1×10^{-12} (Background 2×10^{-11})
- ~ 100 SM signals , $S/N = 5$.
- competition with the “in-flight” experiment

Summary

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the stopped-kaon experiment



- Not an easy job, but the stopped-kaon experiment is currently the best method to observe the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay.
- BNL-E787 in '95-'97 : $(1.5^{+3.4}_{-1.2}) \times 10^{-10}$, and the expected sensitivity: $\sim 0.7 \times 10^{-10}$; still consistent with the SM and with beyond the SM.
- The new BNL-E949 experiment is rising, and starts taking data this year, and R&D issues for pnnJHF will be intensively studied.