

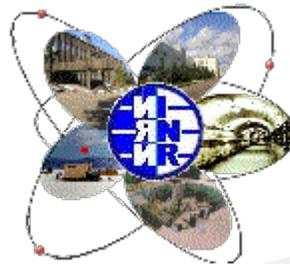
# **New results for $K^+ \rightarrow \pi^+ \nu \nu$ at low $\pi^+$ momentum from BNL E949**

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(for E949 collaboration)

Institute for Nuclear Research

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New trends in high-energy physics (experiment, phenomenology, theory),  
Yalta, Crimea, Ukraine, September 27 - October 4, 2008

# Outline

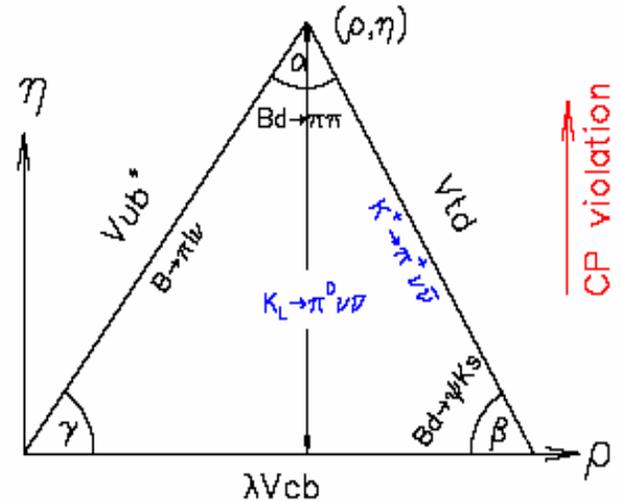
- Motivation
- Experiment E949
- Backgrounds: suppression and estimation
- Results
- Future

# Motivation

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

**Goal:** Independently define the Unitarity triangle/ CKM matrix ( $A, \lambda, \eta, \rho$  Wolfenstein parameters)

A better determination of  $V_{td}$  from  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  will provide a sensitive test of the SM by comparing the results from the K and B sector and probe new physics

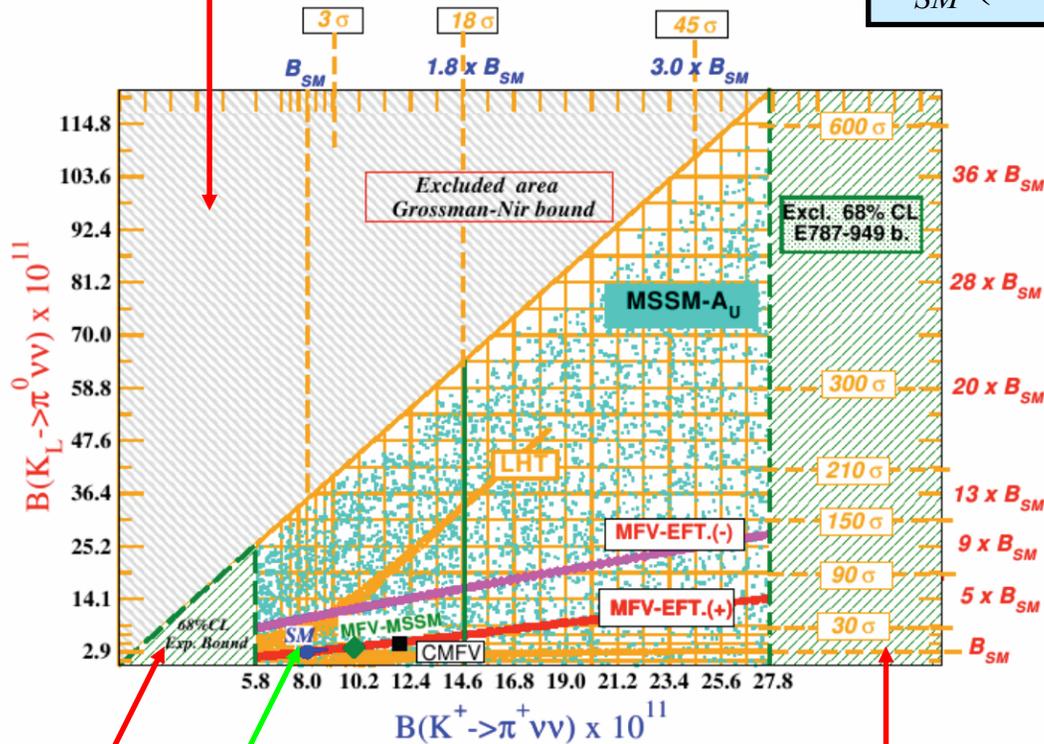


# Sensitive probe to new physics

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \nu) \leq 4.4 \mathcal{B}(K^+ \rightarrow \pi^+ \nu \nu)$$

Grossman – Nir Bound

$$\mathcal{B}_{SM}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (0.85 \pm 0.07) \times 10^{-10}$$



SM prediction

Excluded by E787/E949 results

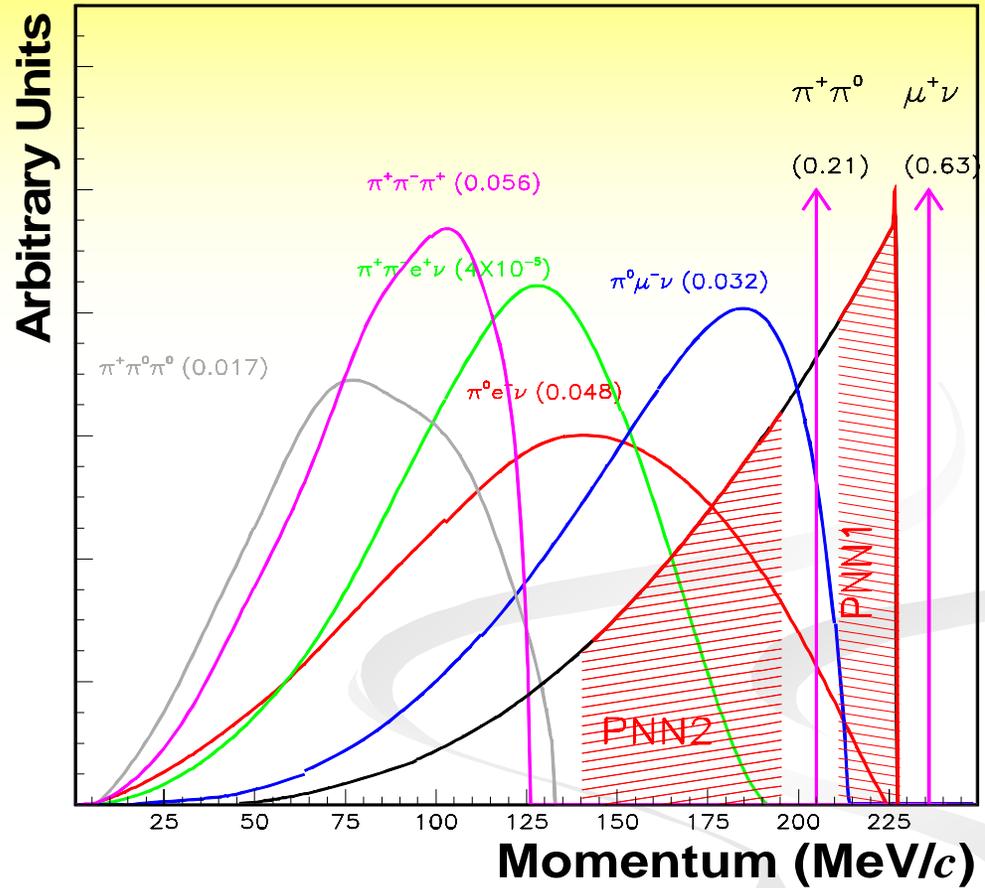
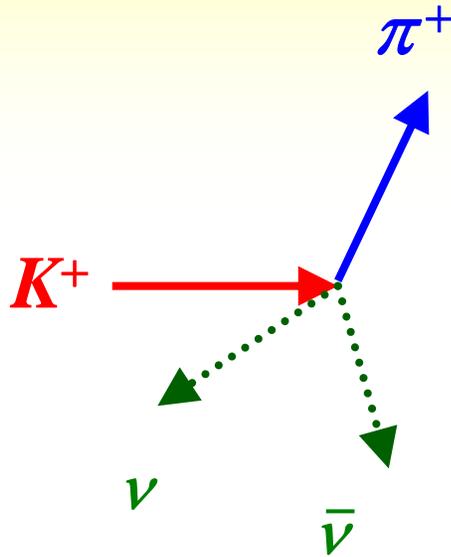
J. Brod and M. Gorbahn  
arXiv:0805.4119

Because of the strong suppression of the  $s \rightarrow d$  short-distance amplitude in the SM, rare  $K$  decays are the most sensitive probes of new physics.

# Previous results

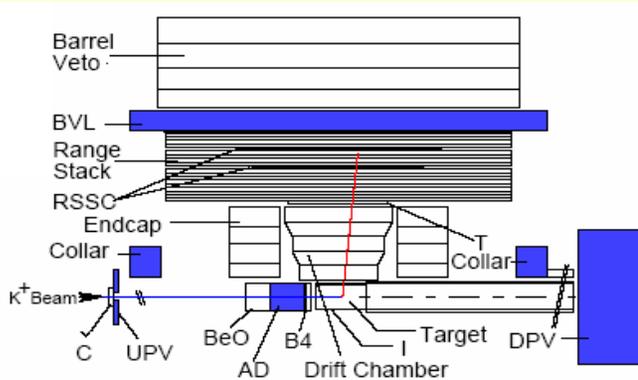
	PNN1	PNN2
<b>P(<math>\pi^+</math>)(MeV/c)</b>	[211,229]	[140,195]
<b>Years</b>	1995-98(E787) and 2002(E949)	1996-97(E787)
<b>Stopped <math>K^+</math></b>	$7.7 \times 10^{12}$	$1.7 \times 10^{12}$
<b>Candidates</b>	3	1
<b>Background</b>	$0.45 \pm 0.06$	$1.22 \pm 0.24$
<b>BR(<math>K^+ \rightarrow \pi^+ \nu \bar{\nu}</math>)</b>	$(1.47^{+1.30}_{-0.89}) \times 10^{-10}$ (68%CL)	$< 22 \times 10^{-10}$ (90%CL)

# The experimental signature of $K^+ \rightarrow \pi^+ \nu \nu$ is single $\pi^+$ + "nothing"



Momentum spectra of charged particles from  $K^+$  decays in the rest frame

# E949 Detector



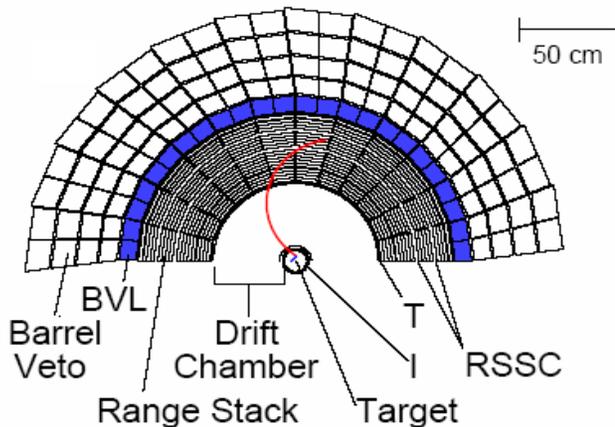
- Incoming  $\sim 700$  MeV/c beam K slowed down by BeO and AD

- $K^+$  stops and decays at rest in scintillating fiber target – measure delay (at least 2 ns)

- Outgoing  $\pi^+$  momentum is measured in UTC, energy & range in RS and target

- $\pi^+$  stops & decays in RS – detect  $\pi^+ \rightarrow \mu^+ \rightarrow e^+$  chain

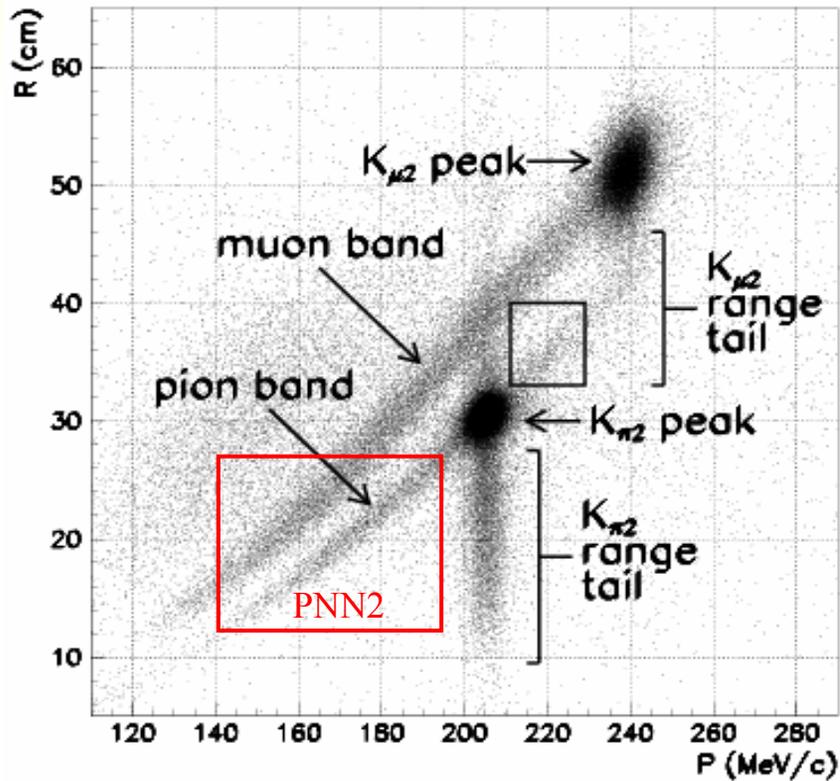
- Photons veto in BV – BVL, RS, EC, CO, USPV, DSPV



# E949 analysis strategy

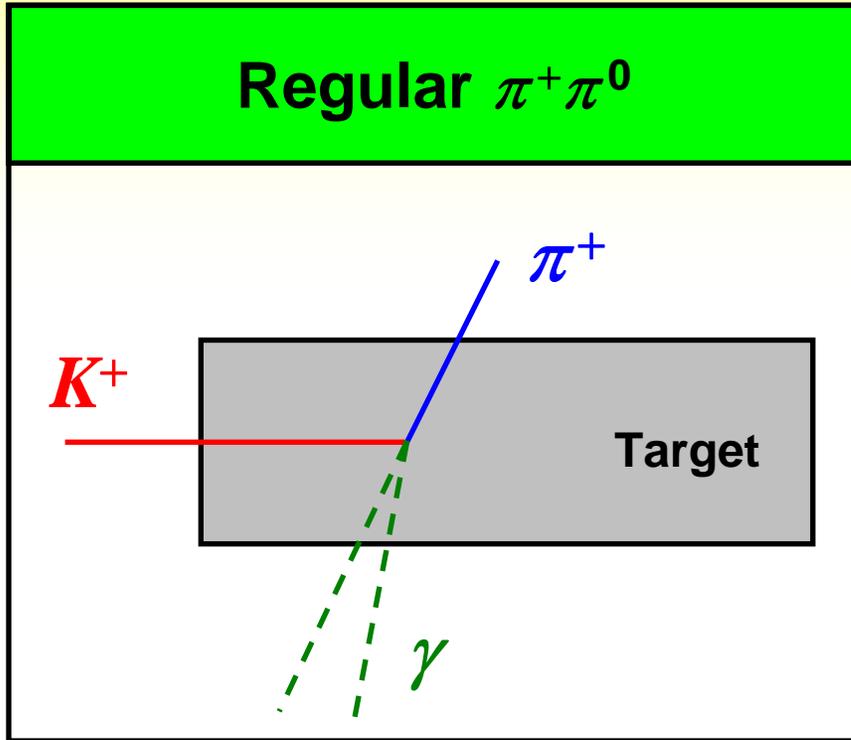
- A priori identification of background sources
- Use at least two uncorrelated cuts to estimate each background using information outside of the signal region
- Set cuts using 1/3 of data then measure backgrounds with remaining 2/3 sample
- Verify backgrounds estimate by loosening cuts and comparing observed and predicted rates
- “Blind” analysis: DON'T examine signal region until all backgrounds verified

# Backgrounds

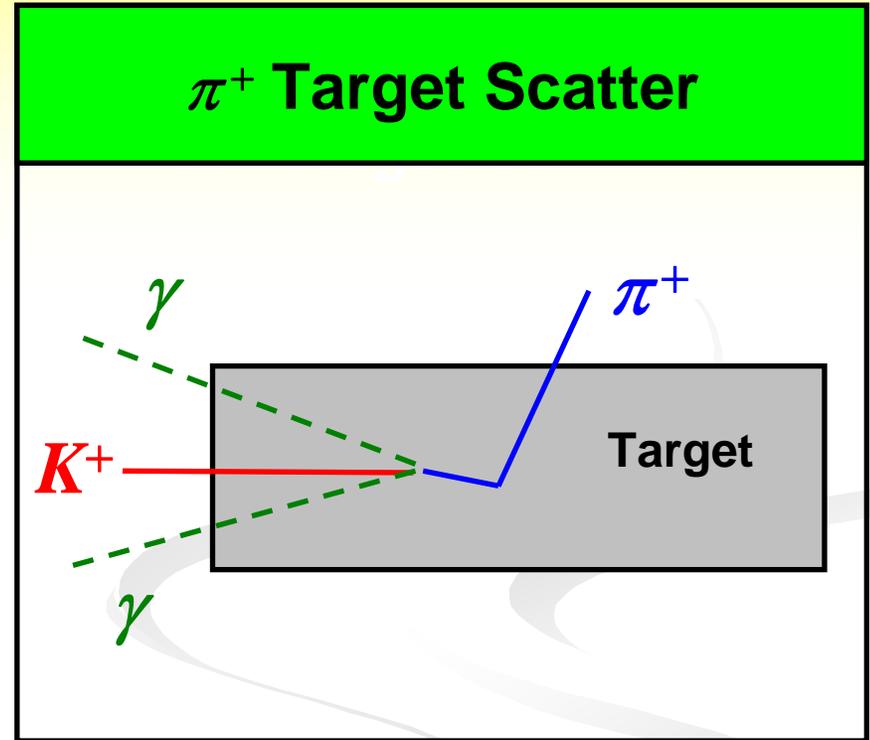


Background	BR ( $\cdot \times 10^{-3}$ )
$K^+ \rightarrow \pi^+ \pi^0$ ( $K_{\pi 2}$ )	212
Beam particles	-
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu_e$ ( $K_{e4}$ )	0.039
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ ( $K_{\pi 2 \gamma}$ )	0.275
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$ ( $K_{\mu 3}$ )	31.8
$K^+ \rightarrow \mu^+ \nu_\mu \gamma$ ( $K_{\mu \nu \gamma}$ )	5.5
CEX ( $K^+ n \rightarrow K^0 p$ , $K^0_L \rightarrow \pi^+ \mu^- \nu_\mu$ or $K^0_L \rightarrow \pi^+ e^- \nu_e$ )	Prob=0.0015 0.135 0.194

# Main background is $K^+ \rightarrow \pi^+ \pi^0$



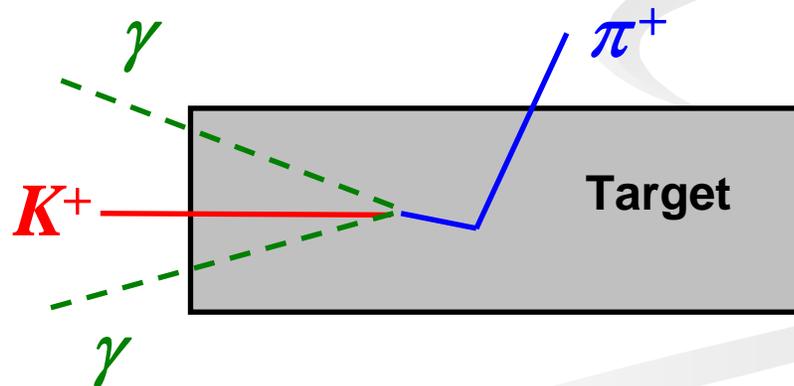
$\pi^+$  and  $\pi^0$  are back to back so photons are directed to efficient part of the photon veto



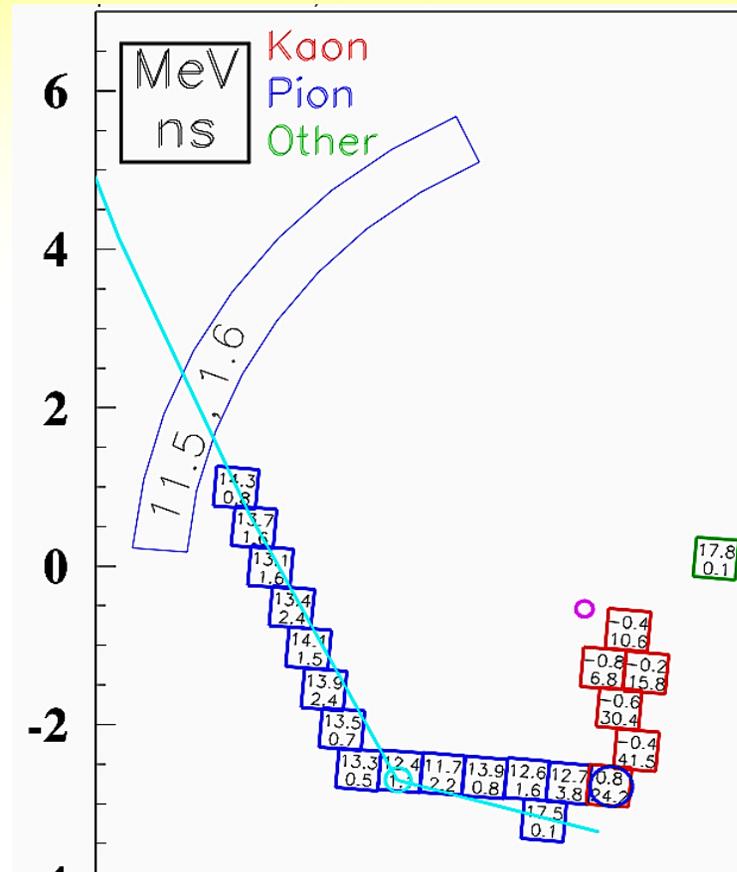
$\pi^+$  scatters in the target, loses energy and the photons lose directional correlation with the  $\pi^+$

# Suppression of $K_{\pi 2}$ -scatter events

- Photon veto of  $\pi^0 \rightarrow \gamma\gamma$ .  $\gamma$ 's may go to beam region => photon detection in this region is important
- Identification of  $\pi^+$  scattering in the target:
  - kink in the pattern of the target fibers
  - $\pi^+$  track that doesn't point back to the  $K^+$  decay point
  - energy deposits inconsistent with an outgoing  $\pi^+$

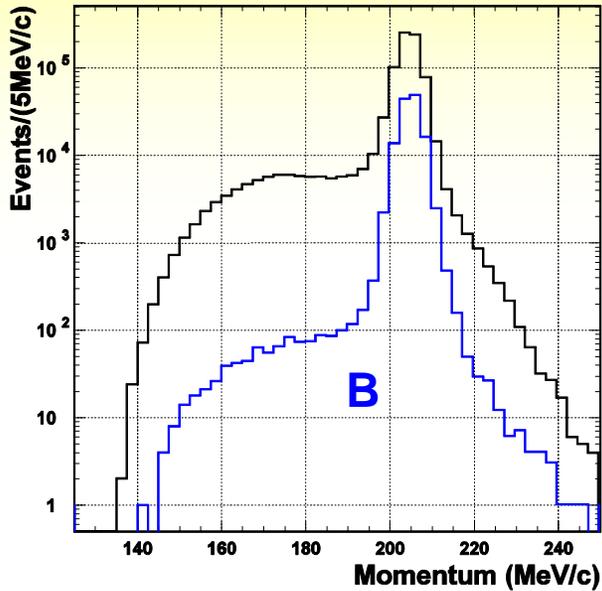


# Identification of $\pi^+$ scattering

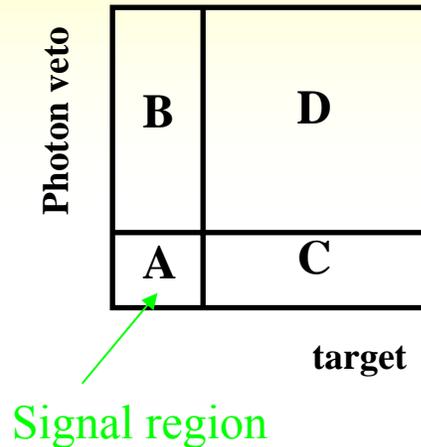


Kink in the pattern of target fibers

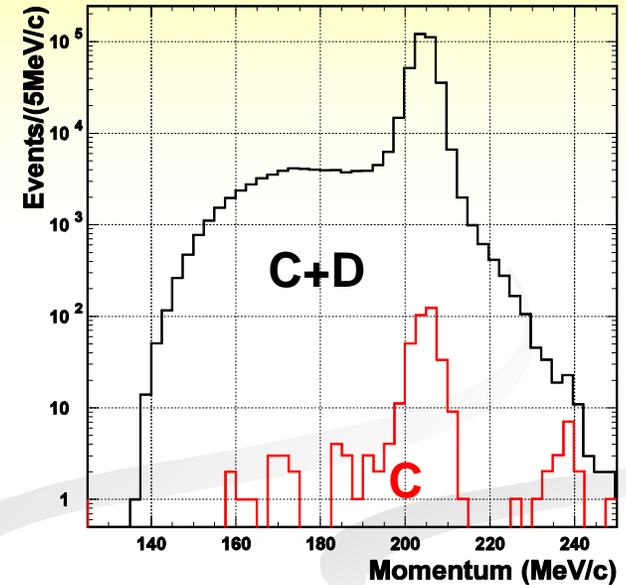
# Two cuts used for suppression $\pi^+$ -scatter events are photon veto and target-scatter cuts



photon veto is inverted,  
target cuts are applied.



**Background**  
**A = BC/D**

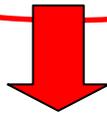


target cuts are inverted,  
photon veto is applied.

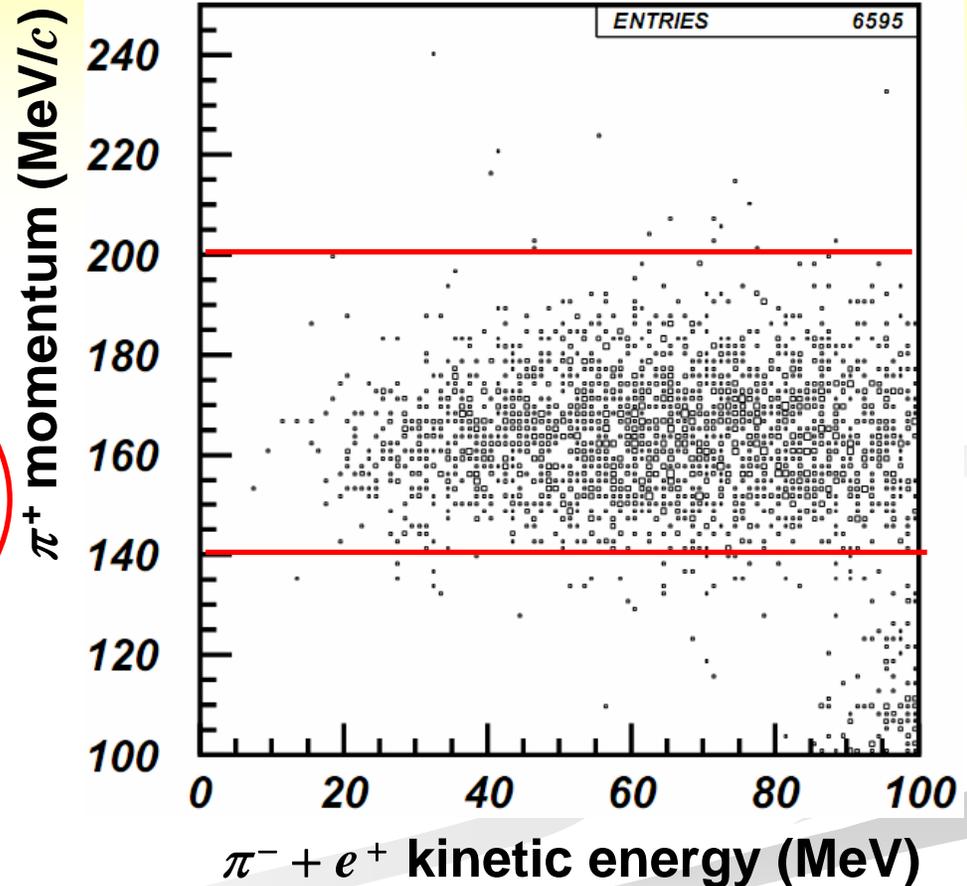
Sample "B" also contains  $K_{\pi 2}$ -scatter in range stack and  $K^+ \rightarrow \pi^+ \pi^0 \gamma$  events.

# $K^+ \rightarrow \pi^+ \pi^- e^+ \nu(K_{e4})$ background

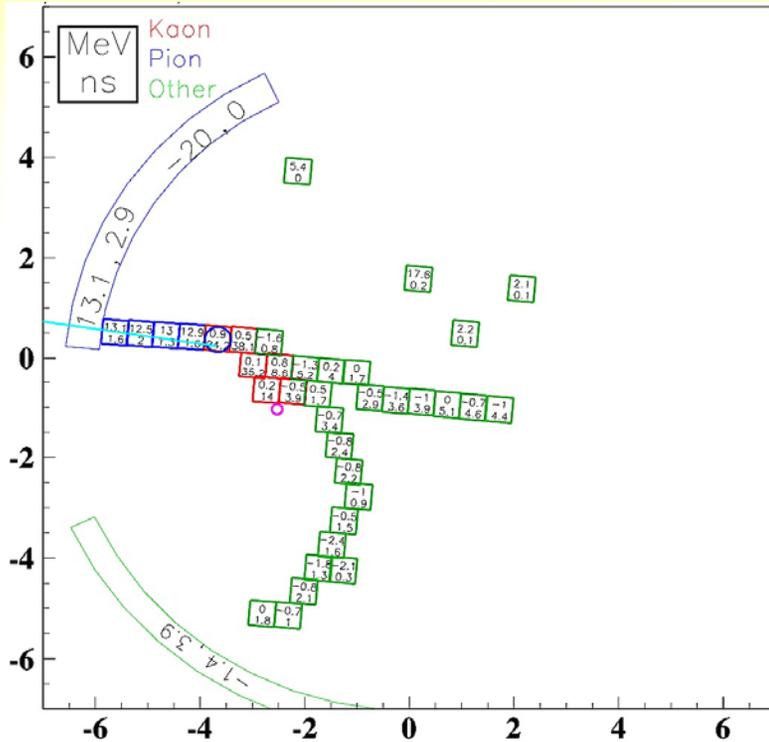
- $K_{e4}$  process forms a background when the  $\pi^-$  and  $e^+$  interact in the target without leaving a detectable trace
- $K_{e4}$  background could not be distinguished from the larger  $K_{\pi 2}$ -scatter background based solely on the  $\pi^+$  track, and we cannot make a purely data-based background estimate



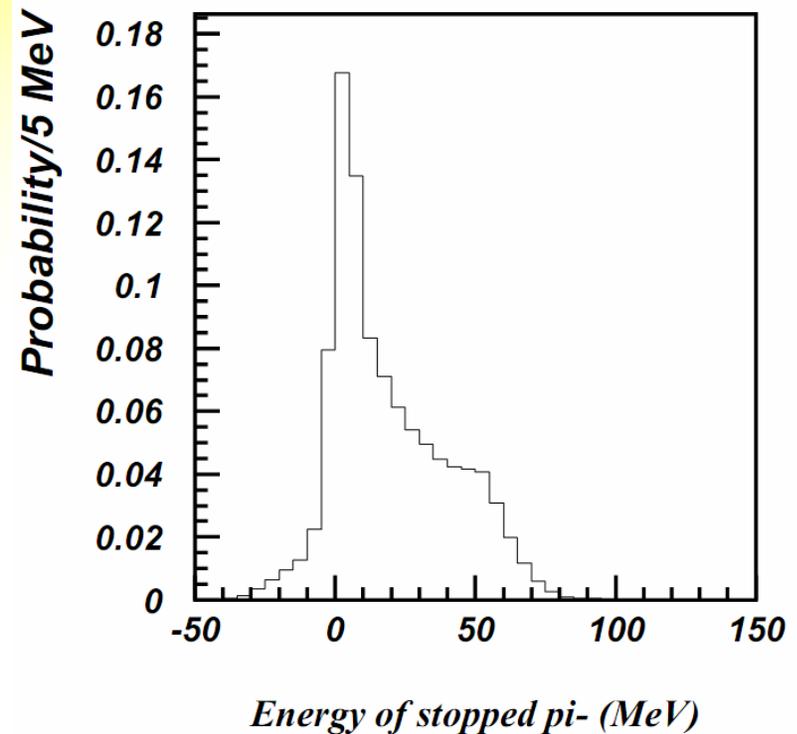
Use both data and Monte Carlo to estimate this background



# $K^+ \rightarrow \pi^+ \pi^- e^+ \nu(K_{e4})$ background

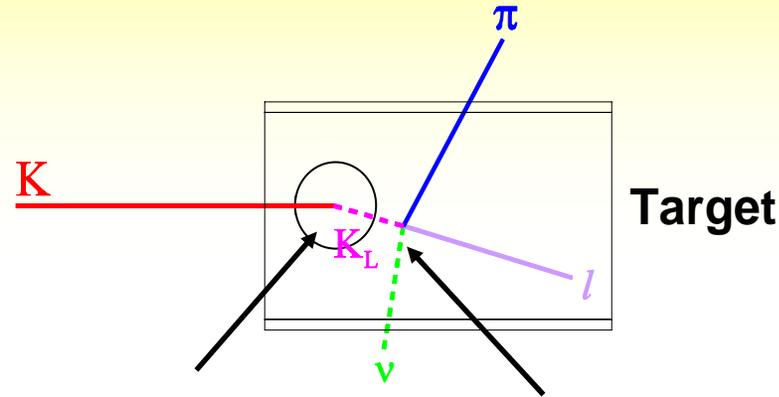


Use target pattern recognition to isolate  $K_{e4}$  sample



Estimate the rejection power of the target pattern recognition using simulated data supplemented by the measured  $\pi^-$  energy deposit in scintillator

# Charge exchange (CEX) background

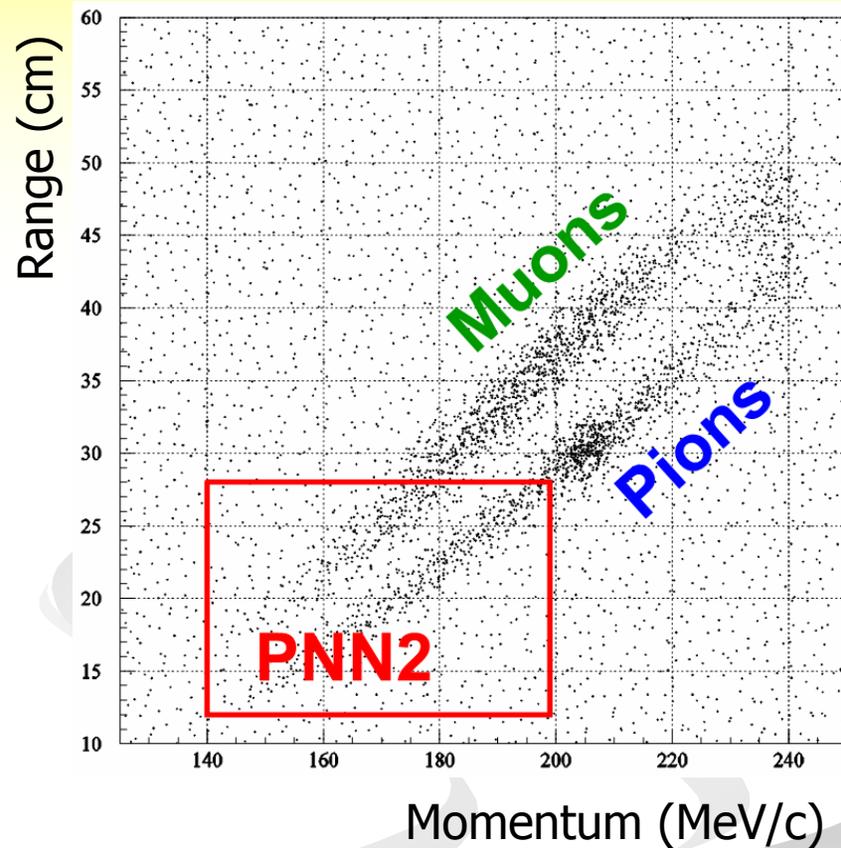
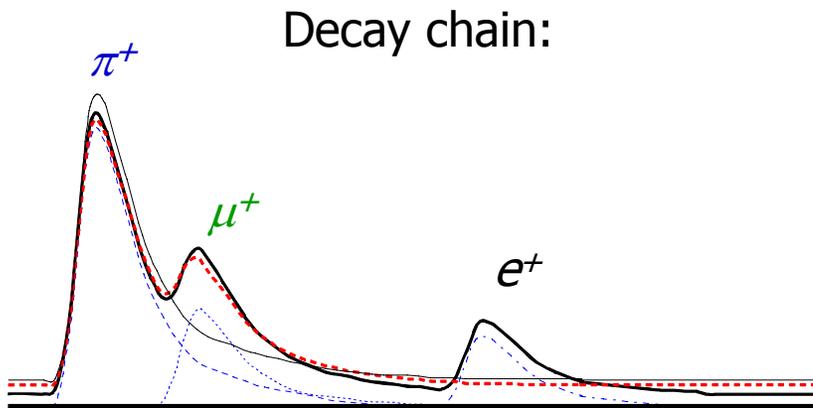


This background is suppressed by  $K^+$  decay time condition ( $K_S$  lifetime is only 0.1 ns ) and target pattern recognition to identify gaps between  $K^+$  and  $\pi^+$  tracks. Additional suppression is provided by detecting the negative lepton.

# Muon background

Due to kinematics constrain only multi-body decays  $K^+ \rightarrow \mu^+ \pi^0 \nu_\mu$  and  $K^+ \rightarrow \mu^+ \nu_\mu \gamma$  are important

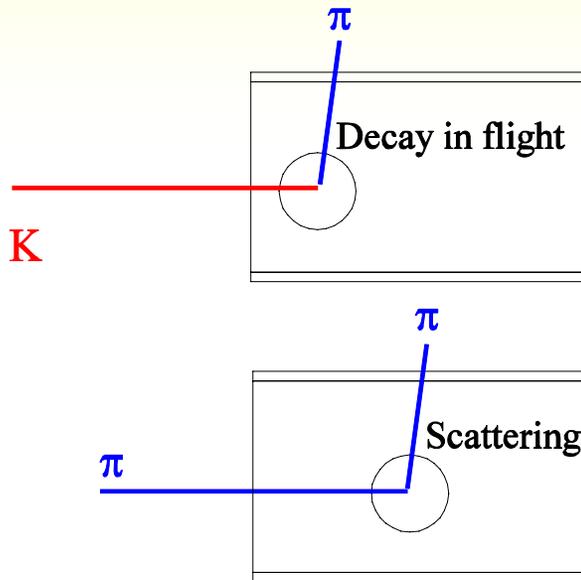
This backgrounds are suppressed kinematically and by identification of  $\pi^+$  decay



Muons have different kinematics parameters than pions

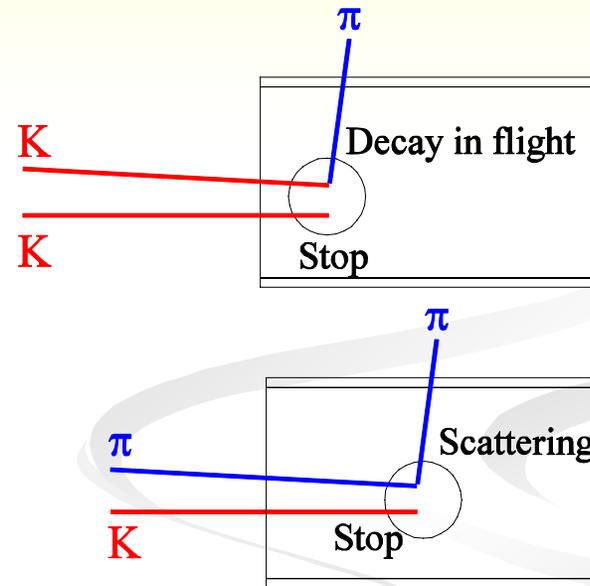
# Beam backgrounds

## Single-beam



Suppressed by time delay

## Double-beam



Suppressed by looking for any extra activities that are coincident with the charged track in the beam instrumentation

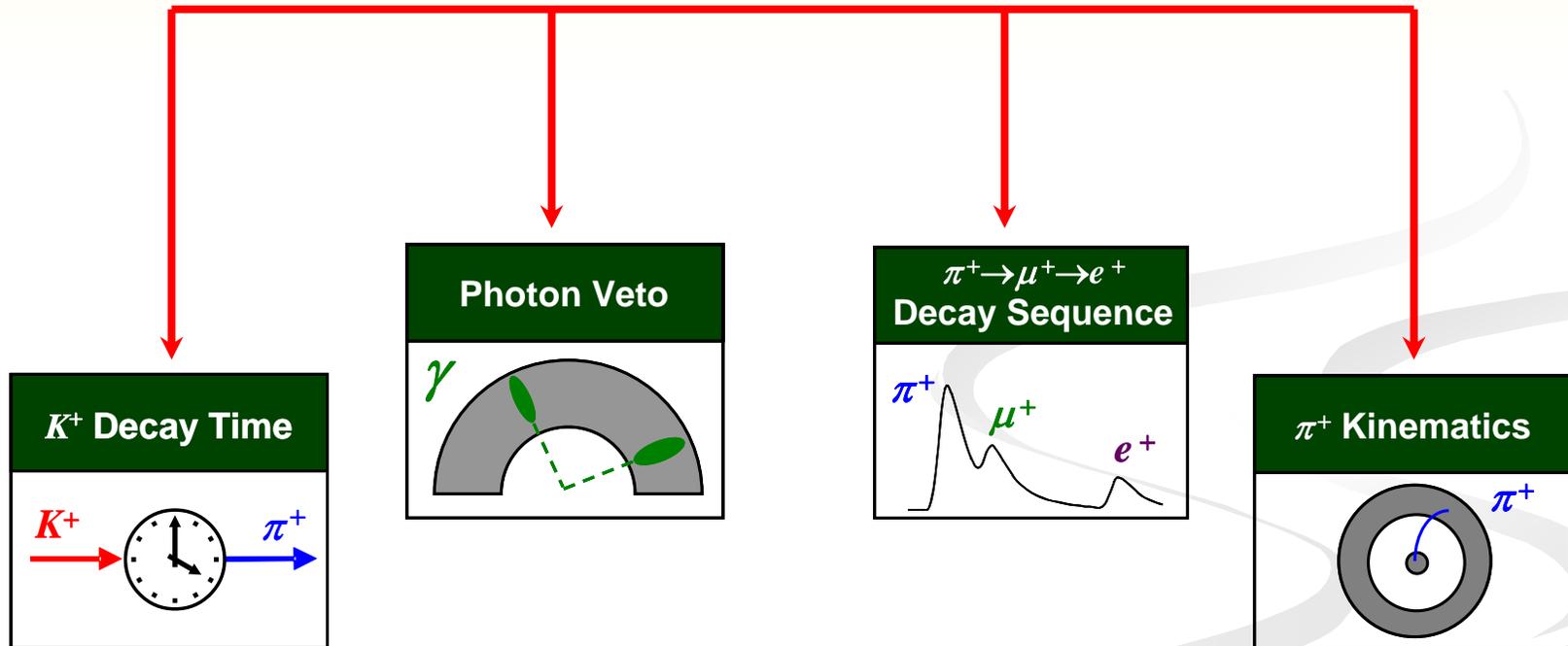
# Total background in pnn2

Process	Bkgd events (E949)	Bkgd events (E787)
$K_{\pi 2}$ -scatter	$0.649 \pm 0.150^{+0.067}_{-0.100}$	$1.030 \pm 0.230$
$K_{\pi 2\gamma}$	$0.076 \pm 0.007 \pm 0.006$	$0.033 \pm 0.004$
$K_{e4}$	$0.176 \pm 0.072^{+0.233}_{-0.124}$	$0.052 \pm 0.041$
CEX	$0.013 \pm 0.013^{+0.010}_{-0.003}$	$0.024 \pm 0.017$
Muon	$0.011 \pm 0.011$	$0.016 \pm 0.011$
Beam	$0.001 \pm 0.001$	$0.066 \pm 0.045$
Total bkgd	$0.93 \pm 0.17^{+0.32}_{-0.24}$	$1.22 \pm 0.24$

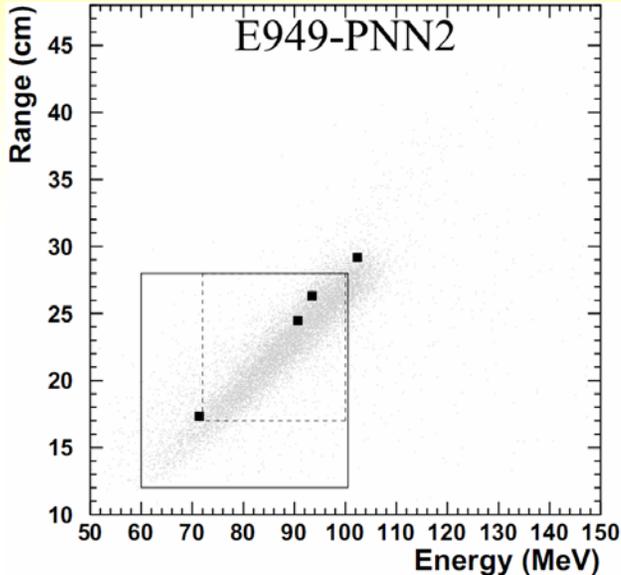
Compared to E787 pnn2 analysis, our total background was decreased by 24% and total acceptance was increased by 63%

# Division of signal region

Use four cuts to divide the signal region into nine cells with differing the relative signal-to-background levels



# Examine the signal region



Solid line represents signal region, dashed shows tightened kinematics cuts. Gray points are simulated  $K^+ \rightarrow \pi^+ \nu \nu$

The nine cells

Bkgd	Events	S/B
0.152	0	0.84
0.038	0	0.78
0.019	0	0.66
0.005	0	0.57
0.243	1	0.47
0.059	0	0.45
0.027	1	0.42
0.007	0	0.35
0.379	1	0.20

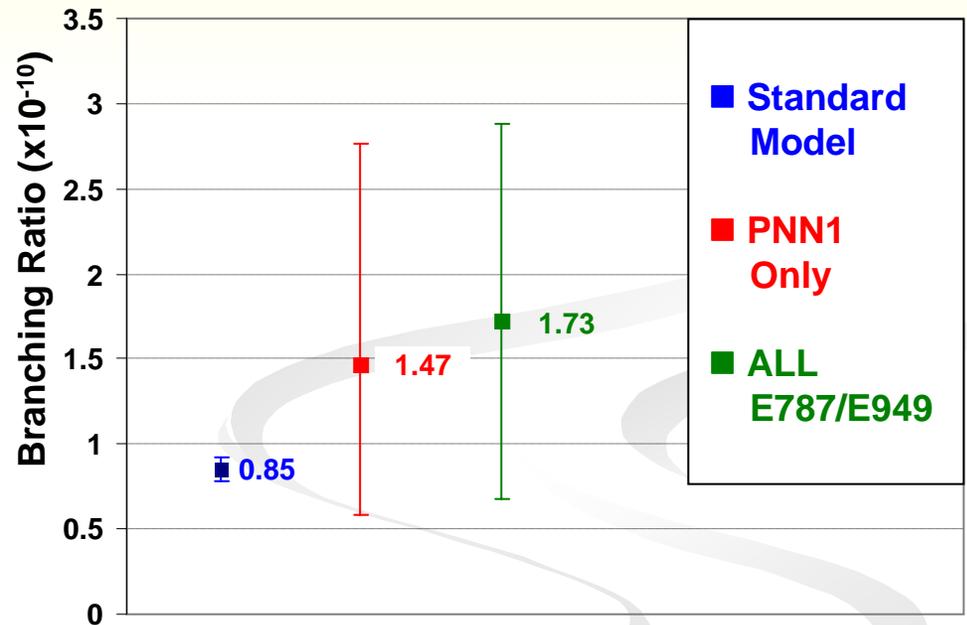
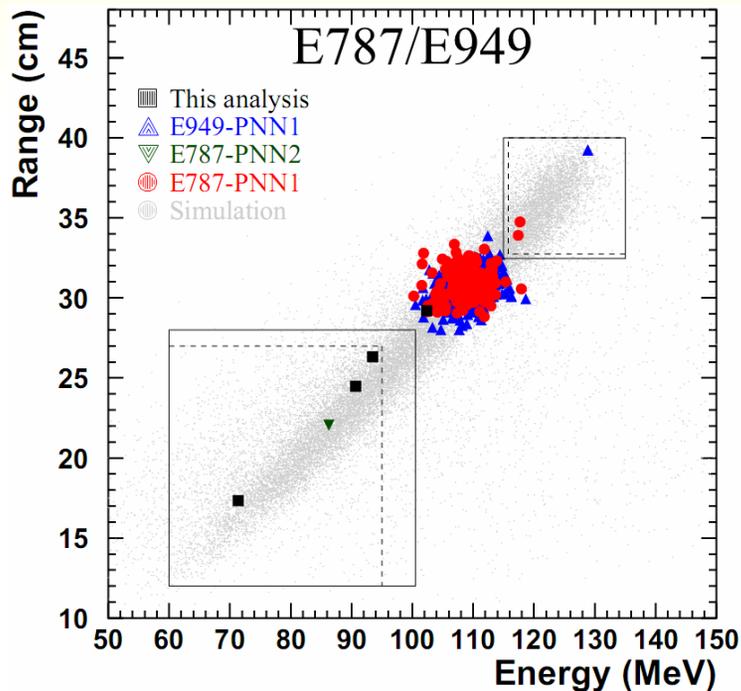
**The probability of all 3 events to be due to background only is 3.7%**

From these three new candidates alone

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \nu) = (7.89^{+9.26}_{-5.10}) \times 10^{-10}$$

# Combined E787/E949 results

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \nu) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$



Consistent with SM prediction

The probability that all seven events were due to background only is 0.1%

# The future

- NA62 (formerly NA48/3) in preparation at CERN. The use of kaon decay-in-flight to measure  $K^+ \rightarrow \pi^+ \nu \nu$  has not been attempted before.
- NA62 proposes to observe  $\approx 65$   $K^+ \rightarrow \pi^+ \nu \nu$  events
- There is a letter of intent for a stopped kaon decay experiment in Japan.
- Analyses of E949/E787 for other  $K^+$  decay modes still continue.

# Thank you! Questions?

Special thanks to David Jaffe and Joss Ives for the resources that made this talk possible