

## BNL E949 collaboration

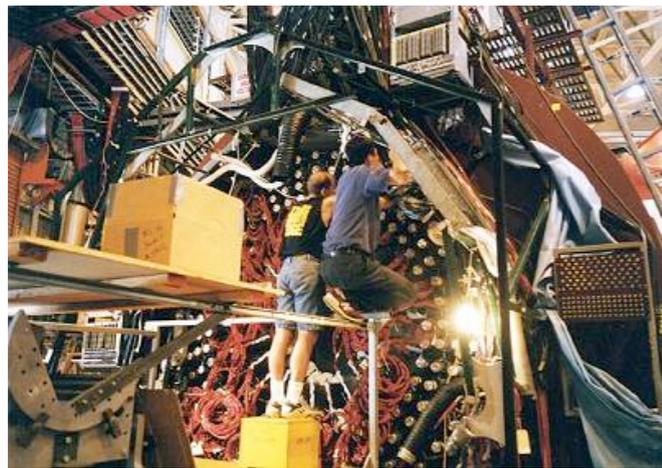
> 60 physicists, 16 institutes from Canada, China, Japan, Russia and the US.

A.V. Artamonov<sup>1</sup>, B. Bassalleck<sup>2</sup>, B. Bhuyan<sup>3</sup>, E.W. Blackmore<sup>4</sup>, D.A. Bryman<sup>5</sup>, S. Chen<sup>6,4</sup>, I-H. Chiang<sup>3</sup>, I.-A. Christidi<sup>7</sup>, P.S. Cooper<sup>8</sup>, M.V. Diwan<sup>3</sup>, J.S. Frank<sup>3</sup>, T. Fujiwara<sup>9</sup>, J. Hu<sup>4</sup>, J. Ives<sup>5</sup>, D.E. Jaffe<sup>3</sup>, S. Kabe<sup>10</sup>, S.H. Kettell<sup>3</sup>, M.M. Khabibullin<sup>11</sup>, A.N. Khotjantsev<sup>11</sup>, P. Kitching<sup>12</sup>, M. Kobayashi<sup>10</sup>, T.K. Komatsubara<sup>10</sup>, A. Konaka<sup>4</sup>, A.P. Kozhevnikov<sup>1</sup>, Yu.G. Kudenko<sup>11</sup>, A. Kushnirenko<sup>8</sup>, L.G. Landsberg<sup>1</sup>, B. Lewis<sup>2</sup>, K.K. Li<sup>3</sup>, L.S. Littenberg<sup>3</sup>, J.A. Macdonald<sup>4</sup>, J. Mildenberger<sup>4</sup>, O.V. Mineev<sup>11</sup>, M. Miyajima<sup>13</sup>, K. Mizouchi<sup>9</sup>, V.A. Mukhin<sup>1</sup>, N. Muramatsu<sup>14</sup>, T. Nakano<sup>14</sup>, M. Nomachi<sup>15</sup>, T. Nomura<sup>9</sup>, T. Numao<sup>4</sup>, V.F. Obraztsov<sup>2</sup>, K. Omata<sup>10</sup>, D.I. Patalakha<sup>1</sup>, S.V. Petrenko<sup>1</sup>, R. Poutissou<sup>4</sup>, E.J. Ramberg<sup>8</sup>, G. Redlinger<sup>3</sup>, T. Sato<sup>10</sup>, T. Sekiguchi<sup>10</sup>, T. Shinkawa<sup>16</sup>, R.C. Strand<sup>3</sup>, S. Sugimoto<sup>10</sup>, Y. Tamagawa<sup>13</sup>, R. Tschirhart<sup>8</sup>, T. Tsunemi<sup>10</sup>, D.V. Vavilov<sup>1</sup>, B. Viren<sup>3</sup>, Zhe Wang<sup>6,3</sup>, N.V. Yershov<sup>11</sup>, Y. Yoshimura<sup>10</sup> and T. Yoshioka<sup>10</sup>

1. Institute for High Energy Physics (IHEP), 2. University of New Mexico (UNM), 3. Brookhaven National Laboratory (BNL), 4. TRIUMF, 5. University of British Columbia, 6. Tsinghua University 7. Stony Brook University, 8. Fermi National Accelerator Laboratory (FNAL), 9. Kyoto University, 10. High Energy Accelerator Research Organization (KEK), 11. Institute for Nuclear Research (INR), 12. Centre for Subatomic Research, University of Alberta, 13. Fukui University, 14. Research Center for Nuclear Physics (RCNP), Osaka University, 15. Osaka University, and 16. National Defense Academy.

## E949 institutes

- Japan: KEK(+Tokyo), RCNP, Fukui, Kyoto, Osaka, NDA
- US: BNL, FNAL, Stony Brook, New Mexico
- Canada: TRIUMF, Alberta, British Columbia
- Russia: IHEP, INR
- China: Tsinghua



BNL-E787 (-1998)

E949 proposed (1998), approved (1999)

construction/upgrade (-2001)

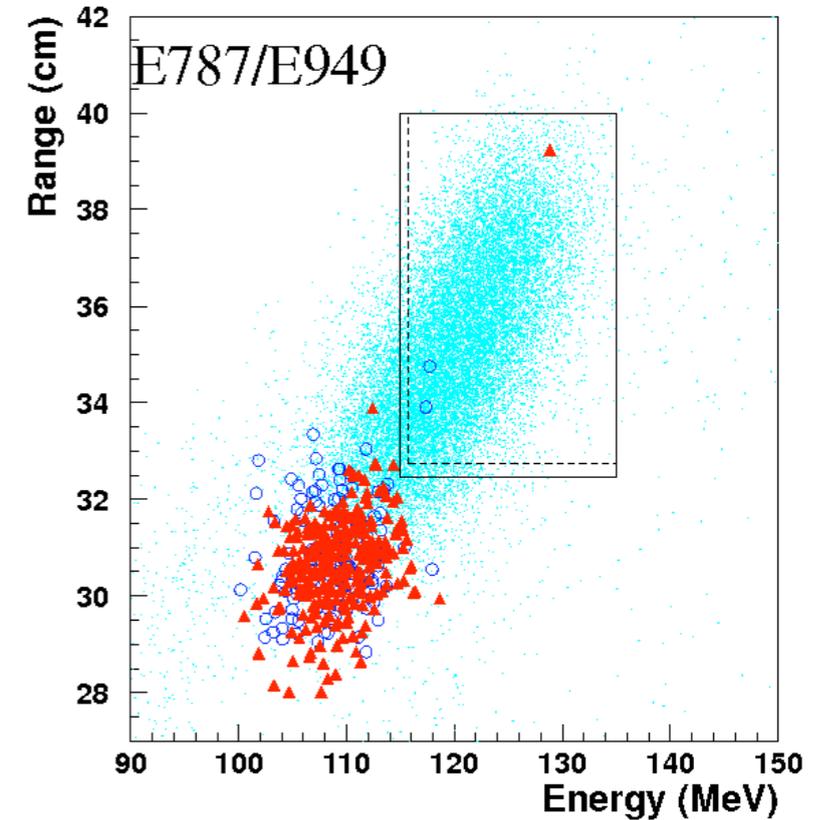
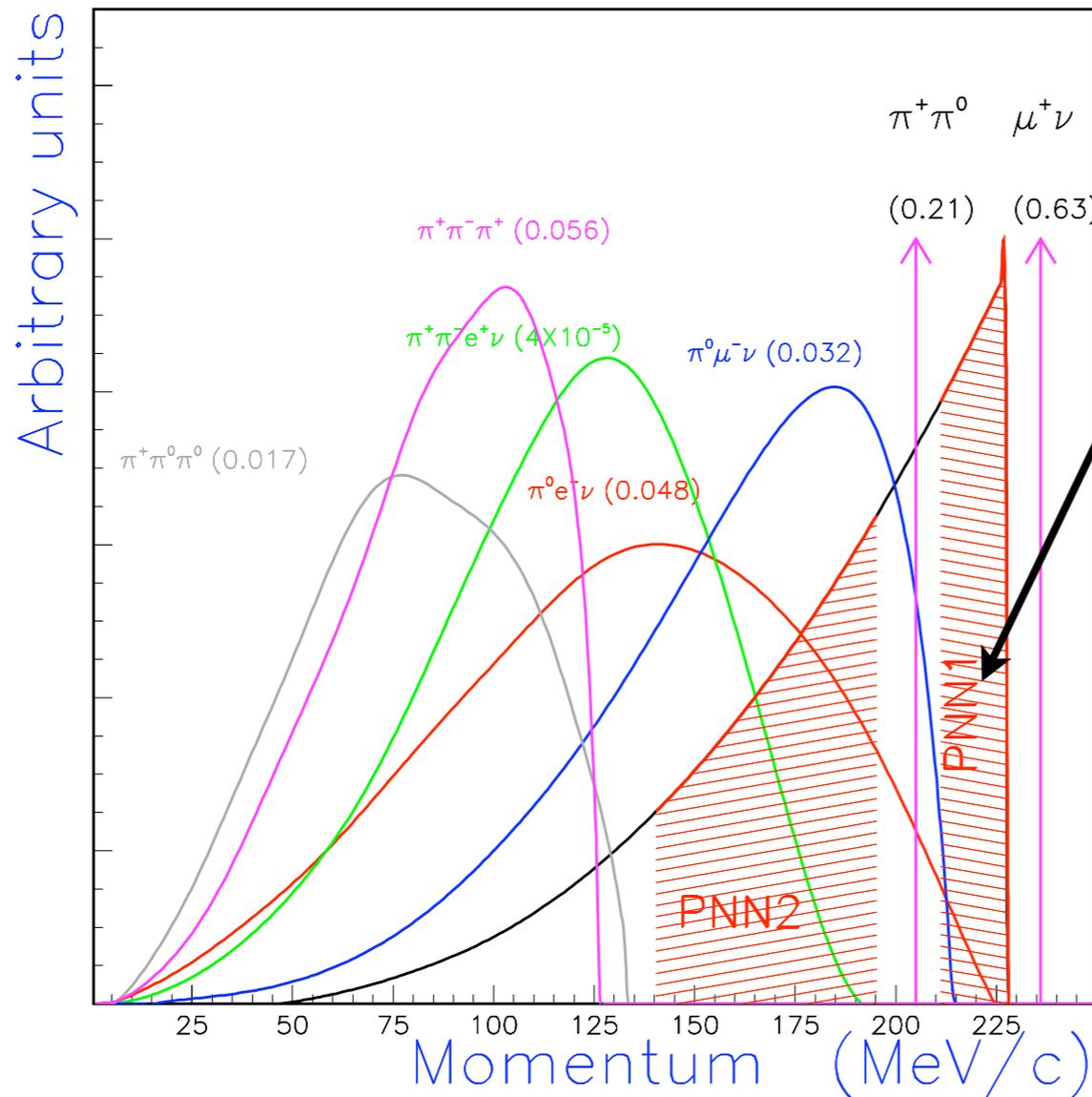
engineering run (2001)

First (and last) Physics Run (2002)

# First results (2004)

PNN1: above  $K_{\pi 2}$

$\pi^+$  momentum from  $K^+$  decay at rest



KEK Physics Seminar (April 7, 2004)

Phys. Rev. Lett. **93**, 031801 (2004)

Phys. Rev. **D77**, 052003 (2008)

**Measurement of the  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  branching ratio**

S. Adler,<sup>1</sup> V. V. Anisimovsky,<sup>2</sup> M. Aoki,<sup>3,\*</sup> M. Ardebili,<sup>4</sup> A. V. Artamonov,<sup>5</sup> M. Atiya,<sup>1</sup> B. Bassalleck,<sup>6</sup> A. O. Bazarko,<sup>4</sup> B. Bhuyan,<sup>1,†</sup> E. W. Blackmore,<sup>3</sup> D. A. Bryman,<sup>7</sup> S. Chen,<sup>8,3</sup> I-H. Chiang,<sup>1</sup> I.-A. Christidi,<sup>9,‡</sup> M. R. Convery,<sup>4</sup> P. S. Cooper,<sup>10</sup> M. V. Diwan,<sup>1</sup> J. S. Frank,<sup>1</sup> T. Fujiwara,<sup>11</sup> J. Haggerty,<sup>1</sup> J. Hu,<sup>3</sup> T. Inagaki,<sup>12</sup> M. M. Ito,<sup>4</sup> A. P. Ivashkin,<sup>2</sup> D. E. Jaffe,<sup>1</sup> S. Kabe,<sup>12</sup> M. Kazumori,<sup>12,§</sup> Y. Kuno,<sup>12,\*</sup> M. Kuriki,<sup>12,||</sup> S. H. Kettell,<sup>1</sup> M. M. Khabibullin,<sup>2</sup> A. N. Khotjantsev,<sup>2</sup> P. Kitching,<sup>13</sup> M. Kobayashi,<sup>12</sup> T. K. Komatsubara,<sup>12</sup> A. Konaka,<sup>3</sup> A. P. Kozhevnikov,<sup>5</sup> Yu. G. Kudenko,<sup>2</sup> A. Kushnirenko,<sup>10,¶</sup> L. G. Landsberg,<sup>5,\*\*</sup> B. Lewis,<sup>6</sup> K. K. Li,<sup>1</sup> L. S. Littenberg,<sup>1</sup> J. A. Macdonald,<sup>3,\*\*</sup> D. R. Marlow,<sup>4</sup> R. A. McPherson,<sup>4</sup> P. D. Meyers,<sup>4</sup> J. Mildenerger,<sup>3</sup> O. V. Mineev,<sup>2</sup> M. Miyajima,<sup>14</sup> K. Mizouchi,<sup>11</sup> V. A. Mukhin,<sup>5</sup> N. Muramatsu,<sup>15</sup> T. Nakano,<sup>15</sup> M. Nomachi,<sup>16</sup> T. Nomura,<sup>11</sup> T. Numao,<sup>3</sup> V. F. Obraztsov,<sup>5</sup> K. Omata,<sup>12</sup> D. I. Patalakha,<sup>5</sup> S. V. Petrenko,<sup>5</sup> R. Poutissou,<sup>3</sup> E. J. Ramberg,<sup>10</sup> G. Redlinger,<sup>1</sup> T. Sato,<sup>12</sup> T. Sekiguchi,<sup>12</sup> T. Shinkawa,<sup>17</sup> F. C. Shoemaker,<sup>4</sup> A. J. S. Smith,<sup>4</sup> J. R. Stone,<sup>4</sup> R. C. Strand,<sup>1</sup> S. Sugimoto,<sup>12</sup> Y. Tamagawa,<sup>14</sup> R. Tschirhart,<sup>10</sup> T. Tsunemi,<sup>12,††</sup> D. V. Vavilov,<sup>5,‡‡</sup> B. Viren,<sup>1</sup> N. V. Yershov,<sup>2</sup> Y. Yoshimura,<sup>12</sup> and T. Yoshioka<sup>12,§§</sup>

<sup>1</sup>Brookhaven National Laboratory, Upton, New York 11973, USA

<sup>2</sup>Institute for Nuclear Research RAS, 60 October Revolution Pr. 7a, 117312 Moscow, Russia

<sup>3</sup>TRIUMF, 4004 Wesbrook Mall, Vancouver, British Columbia, Canada V6T 2A3

<sup>4</sup>Joseph Henry Laboratories, Princeton University, Princeton, New Jersey 08544, USA

<sup>5</sup>Institute for High Energy Physics, Protvino, Moscow Region, 142 280, Russia

<sup>6</sup>Department of Physics and Astronomy, University of New Mexico, Albuquerque, New Mexico 87131, USA

<sup>7</sup>Department of Physics and Astronomy, University of British Columbia, Vancouver, British Columbia, Canada V6T 1Z1

<sup>8</sup>Department of Engineering Physics, Tsinghua University, Beijing 100084, China

<sup>9</sup>Department of Physics and Astronomy, Stony Brook University, Stony Brook, New York 11794, USA

<sup>10</sup>Fermi National Accelerator Laboratory, Batavia, Illinois 60510, USA

<sup>11</sup>Department of Physics, Kyoto University, Sakyo-ku, Kyoto 606-8502, Japan

<sup>12</sup>High Energy Accelerator Research Organization (KEK), Oho, Tsukuba, Ibaraki 305-0801, Japan

<sup>13</sup>Centre for Subatomic Research, University of Alberta, Edmonton, Canada T6G 2N5

<sup>14</sup>Department of Applied Physics, Fukui University, 3-9-1 Bunkyo, Fukui, Fukui 910-8507, Japan

<sup>15</sup>Research Center for Nuclear Physics, Osaka University, 10-1 Mihogaoka, Ibaraki, Osaka 567-0047, Japan

<sup>16</sup>Laboratory of Nuclear Studies, Osaka University, 1-1 Machikaneyama, Toyonaka, Osaka 560-0043, Japan

<sup>17</sup>Department of Applied Physics, National Defense Academy, Yokosuka, Kanagawa 239-8686, Japan

(Received 6 September 2007; published 13 March 2008)

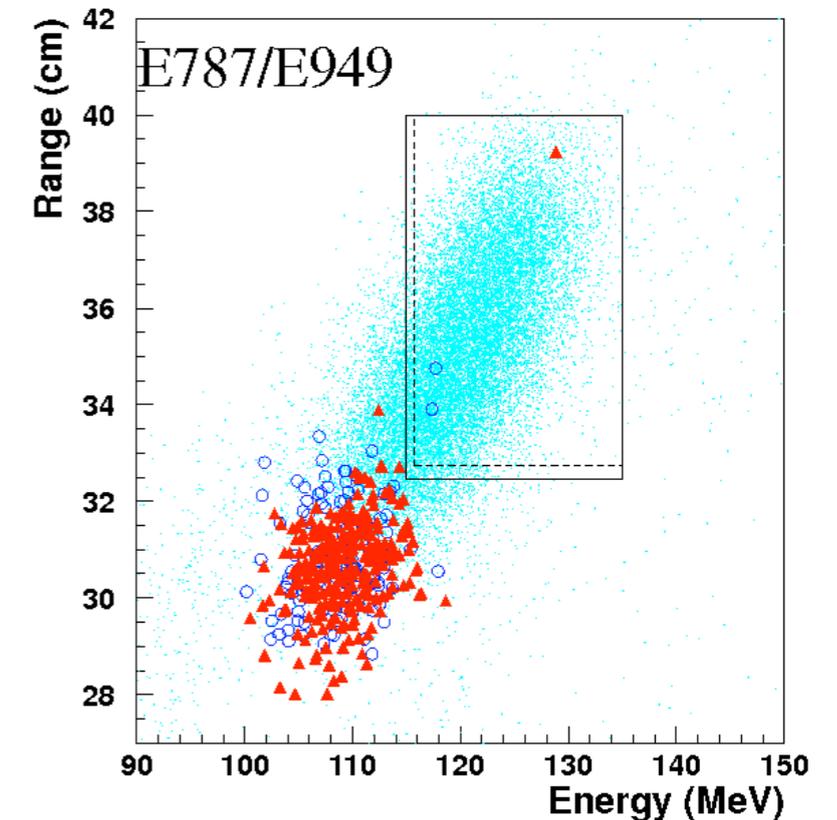
Experiment E949 at Brookhaven National Laboratory studied the rare decay  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  and other processes with an exposure of  $1.77 \times 10^{12} K^+$ 's. The data were analyzed using a blind analysis technique yielding one candidate event with an estimated background of  $0.30 \pm 0.03$  events. Combining this result with the observation of two candidate events by the predecessor experiment E787 gave the branching ratio  $\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.47^{+1.30}_{-0.89}) \times 10^{-10}$ , consistent with the standard model prediction of  $(0.74 \pm 0.20) \times 10^{-10}$ . This is a more detailed report of results previously published [V. V. Anisimovsky *et al.*, Phys. Rev. Lett. **93**, 031801 (2004)].

DOI: [10.1103/PhysRevD.77.052003](https://doi.org/10.1103/PhysRevD.77.052003)

PACS numbers: 13.20.Eb, 12.15.Hh, 14.80.Mz

# First results (2004)

PNN1: above  $K_{\pi 2}$



KEK Physics Seminar (April 7, 2004)

Phys. Rev. Lett. **93**, 031801 (2004)

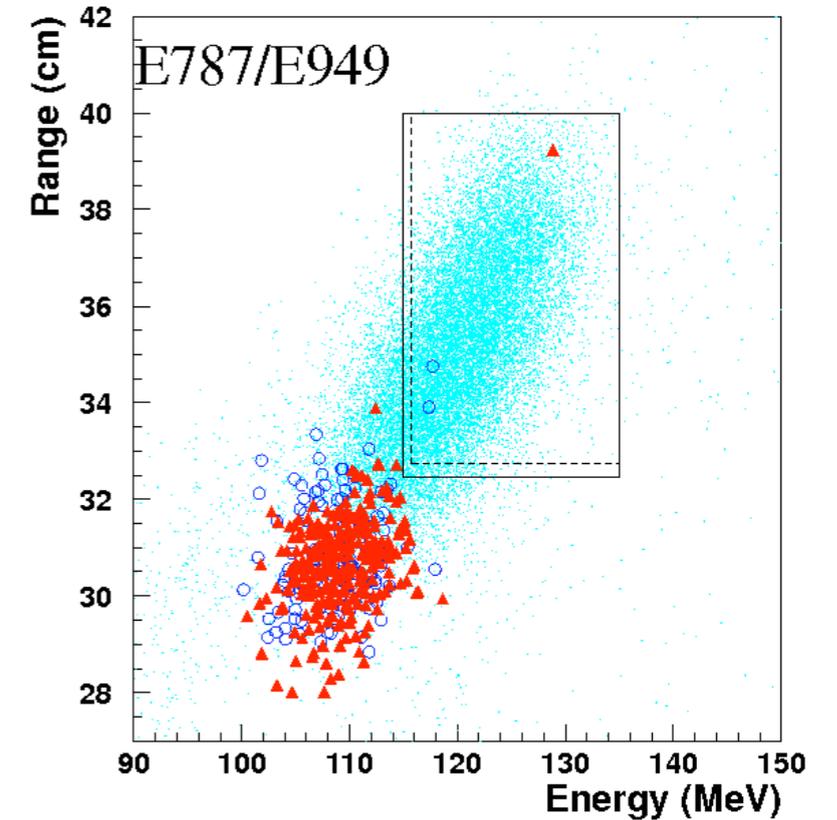
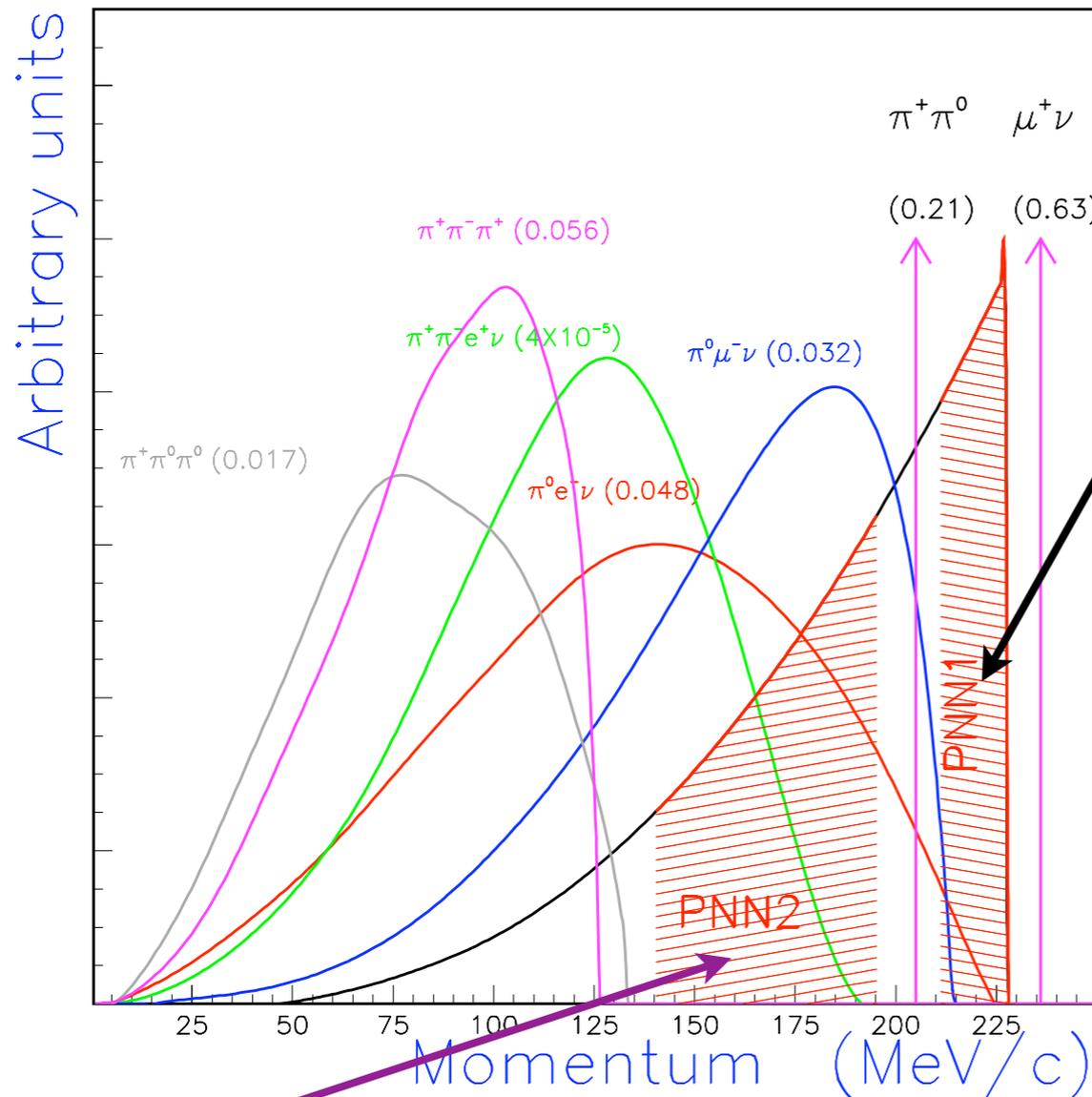
Phys. Rev. **D77**, 052003 (2008)

(including E787 collaborators to the authors)

# First results (2004)

PNN1: above  $K_{\pi 2}$

$\pi^+$  momentum from  $K^+$  decay at rest



Final results (today)

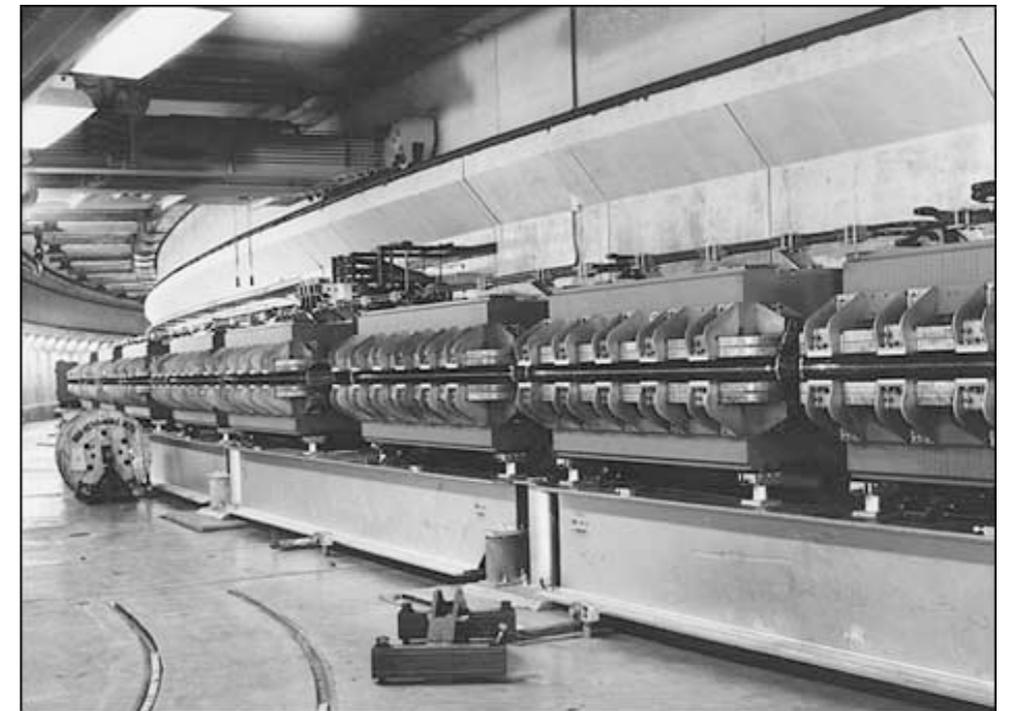
PNN2: below  $K_{\pi 2}$  and E787+E949, PNN1 and 2, all combined

# FINAL Results from BNL-E949 on the Rare Decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

Takeshi K. Komatsubara (KEK-IPNS)

representing the E949 collaboration

- arXiv:0808:2459
- CKM2008 workshop at Roma, Sept 9-13  
by D.E. Jaffe (BNL)



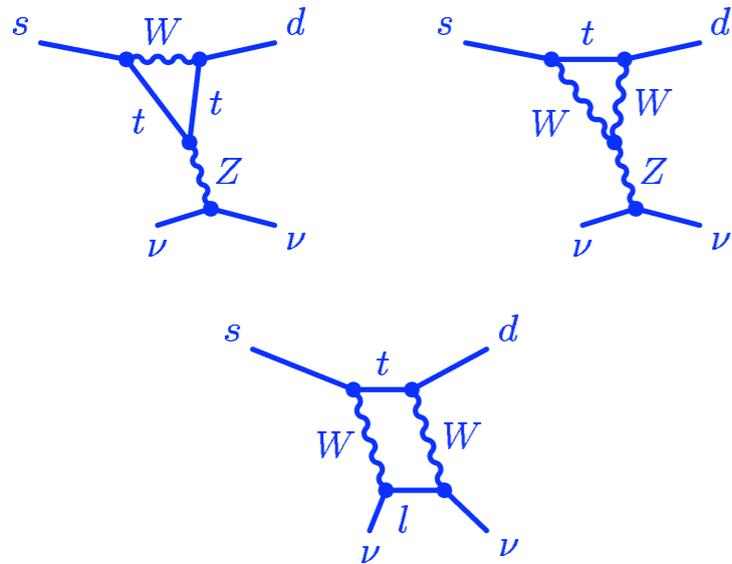
KEK Physics Seminar, September 16, 2008

[in 58 slides, 50 minutes]

# outline

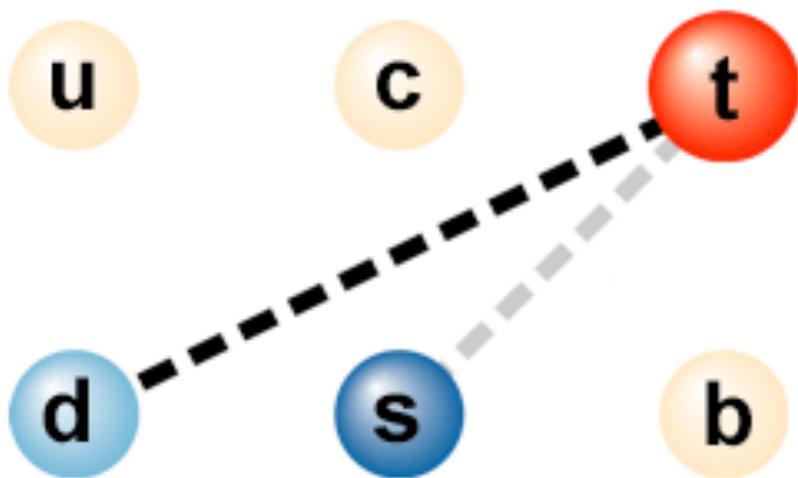
- introduction
- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$   
in and beyond the Standard Model
- BNL AGS and E949 in 2002:  
experiment with K+ decay at rest (and the “jargons” )
- analysis for PNN2: why different and difficult ?
- results
- conclusions and future

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay



- Standard Model:  
 $s \rightarrow d$  FCNC loop ( $W^\pm / Z^0$ )
- top-quark ( $174 \text{ GeV}/c^2$ ) dominant
- $\lambda_t \equiv V_{ts}^* \cdot V_{td}$   
 $= -A^2 \lambda^5 \cdot (1 - \rho - i\eta) :$

best place to determine  $|\lambda_t|$  (or  $|V_{td}|$ )



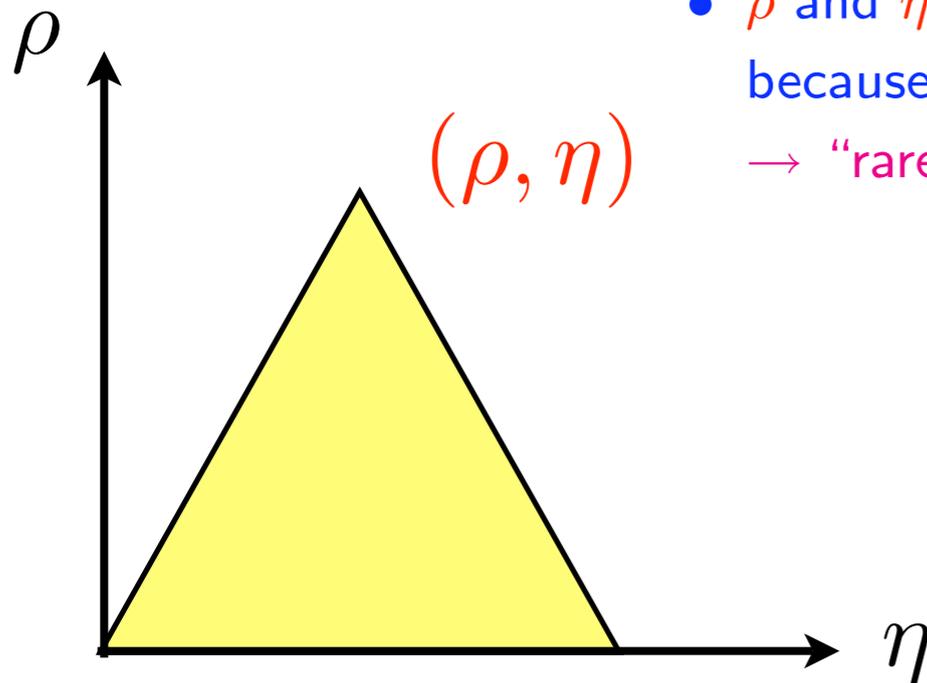
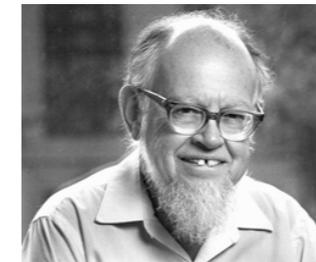
# Cabibbo(1963)-Kobayashi-Maskawa(1972) matrix

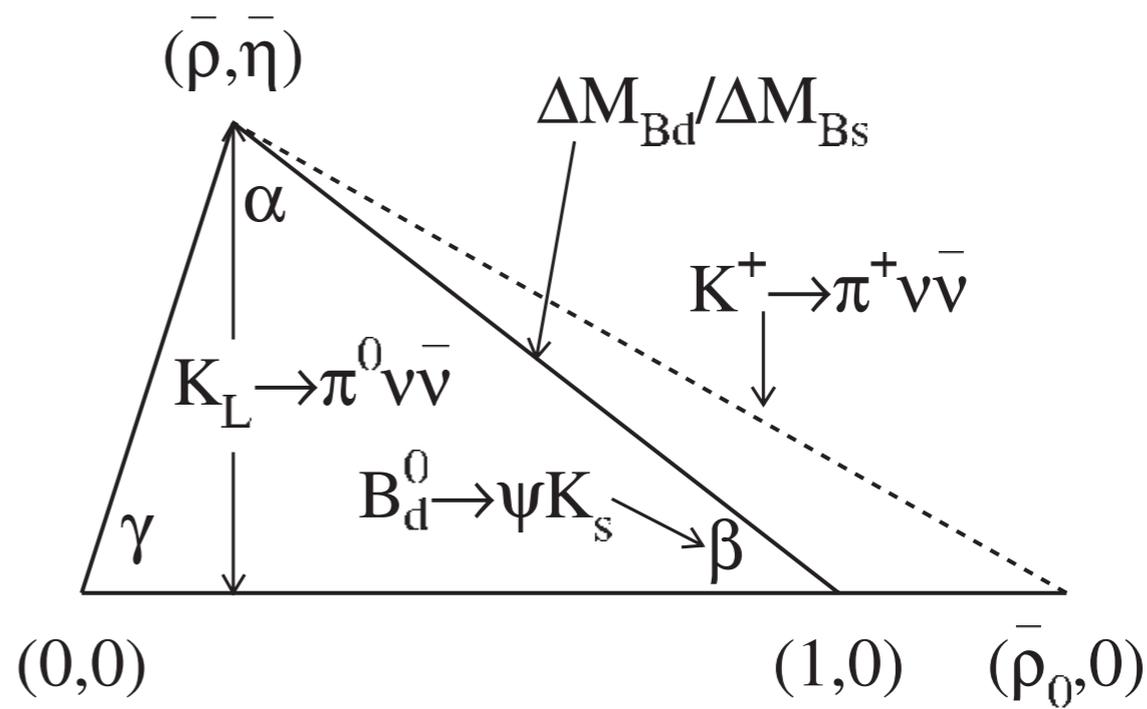
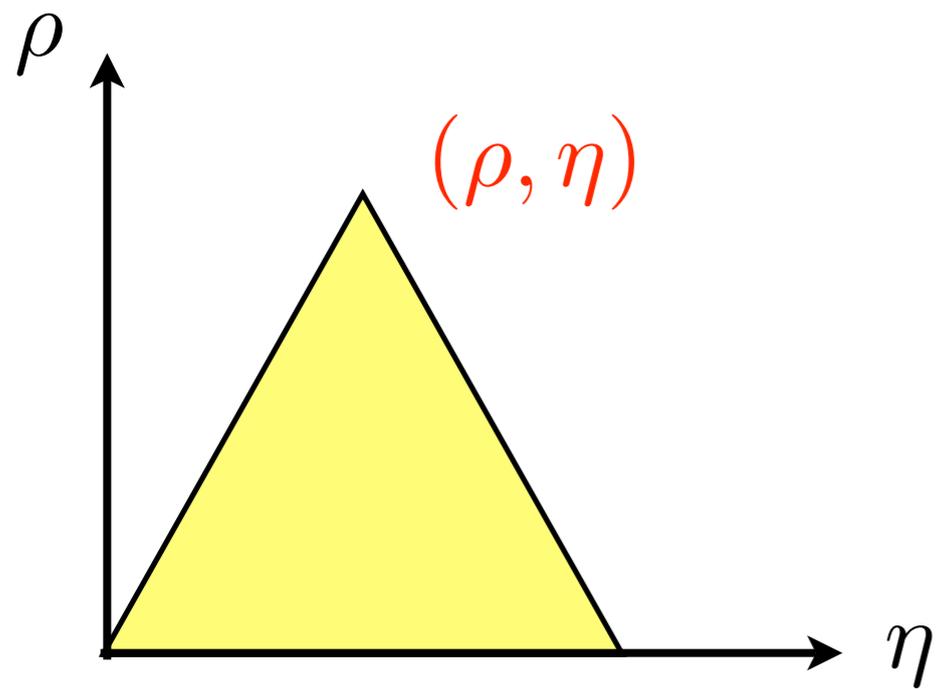
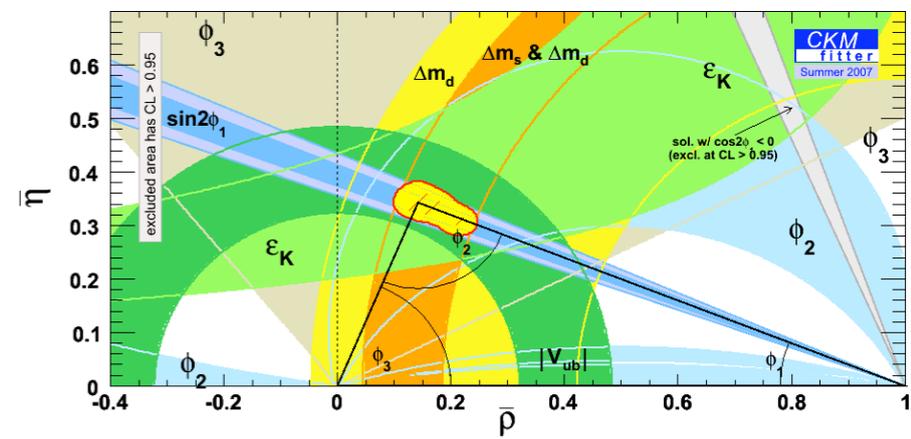


$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} .975 & .22 & .002 - .005 \\ .22 & .974 & .038 - .044 \\ .004 - .014 & .037 - .044 & .999 \end{pmatrix}$$

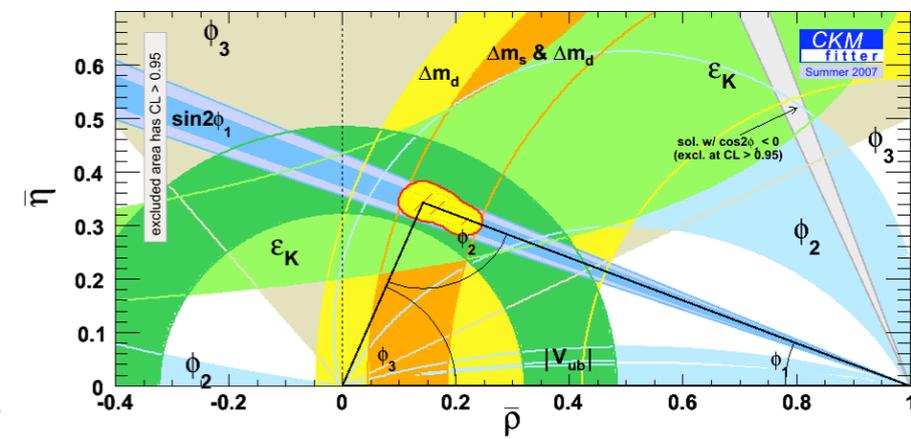
$$\simeq \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

- Wolfenstein parameterization (1983) :  
 $\lambda \equiv \sin\theta_C = 0.22$ ,  $A$ ,  $\rho$ ,  $\eta$ .
- $\rho$  and  $\eta$  are hard to measure,  
because they are in  $V_{ub}$  and  $V_{td}$  of  $O(\lambda^3)$ .  
→ “rare” processes in K and B decays.





in the SM:  $K \rightarrow \pi \nu \bar{\nu}$



$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (5.30 \times 10^{-11}) \cdot C_{\pi \nu \bar{\nu}} \times [(\rho_0 - \rho)^2 + \eta^2]$$

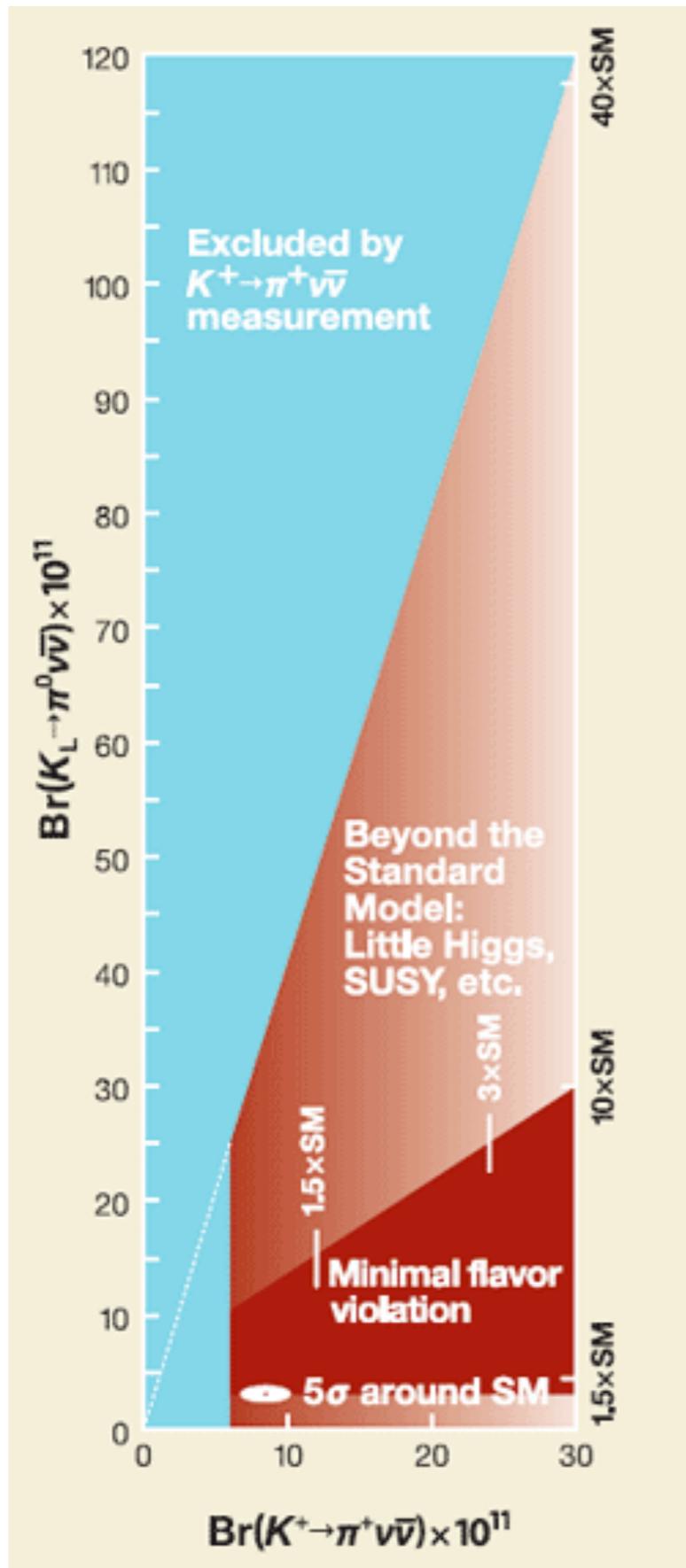
$$\mathcal{B}(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (23.2 \times 10^{-11}) \cdot C_{\pi \nu \bar{\nu}} \times [\eta^2]$$

$$C_{\pi \nu \bar{\nu}} \equiv \left[ \frac{\mathcal{B}(K^+ \rightarrow \pi^0 e^+ \nu)}{4.87 \times 10^{-2}} \right] \times \left[ \frac{|V_{cb}|}{0.0415} \right]^4 \times \left[ \frac{X(x_t)}{1.529} \right]^2 \times 10^{-11}$$

	2004	2008
K+	7.8 +- 1.2 (15%)	8.51 +- 0.70 (8%)
KL	3.0 +- 0.6 (20%)	2.76 +- 0.40 (14%)

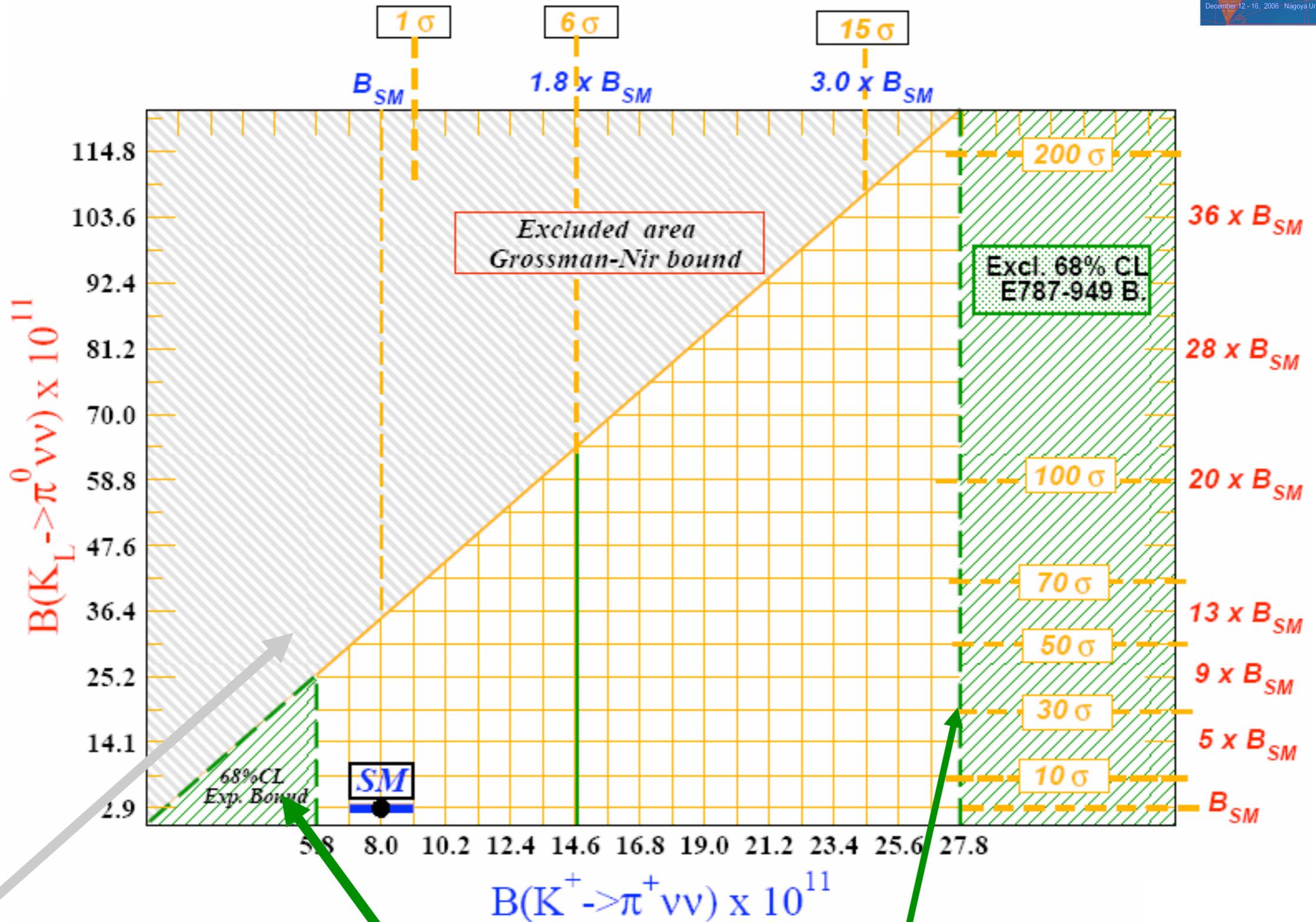
- NNLO QCD calculations (Buras et al. '05, '06)
- non-perturbative effects due to charm&up (Isidori et al. '05)
- K13 matrix elements (Mescia-Smith '07)
- electroweak corrections to charm contribution (Brod-Gorbahn arXiv:0805.4119)
- a complete review by Buras, Schwab, Uhlig, Rev.Mod.Phys. 80, 965 (2008)

# New Physics



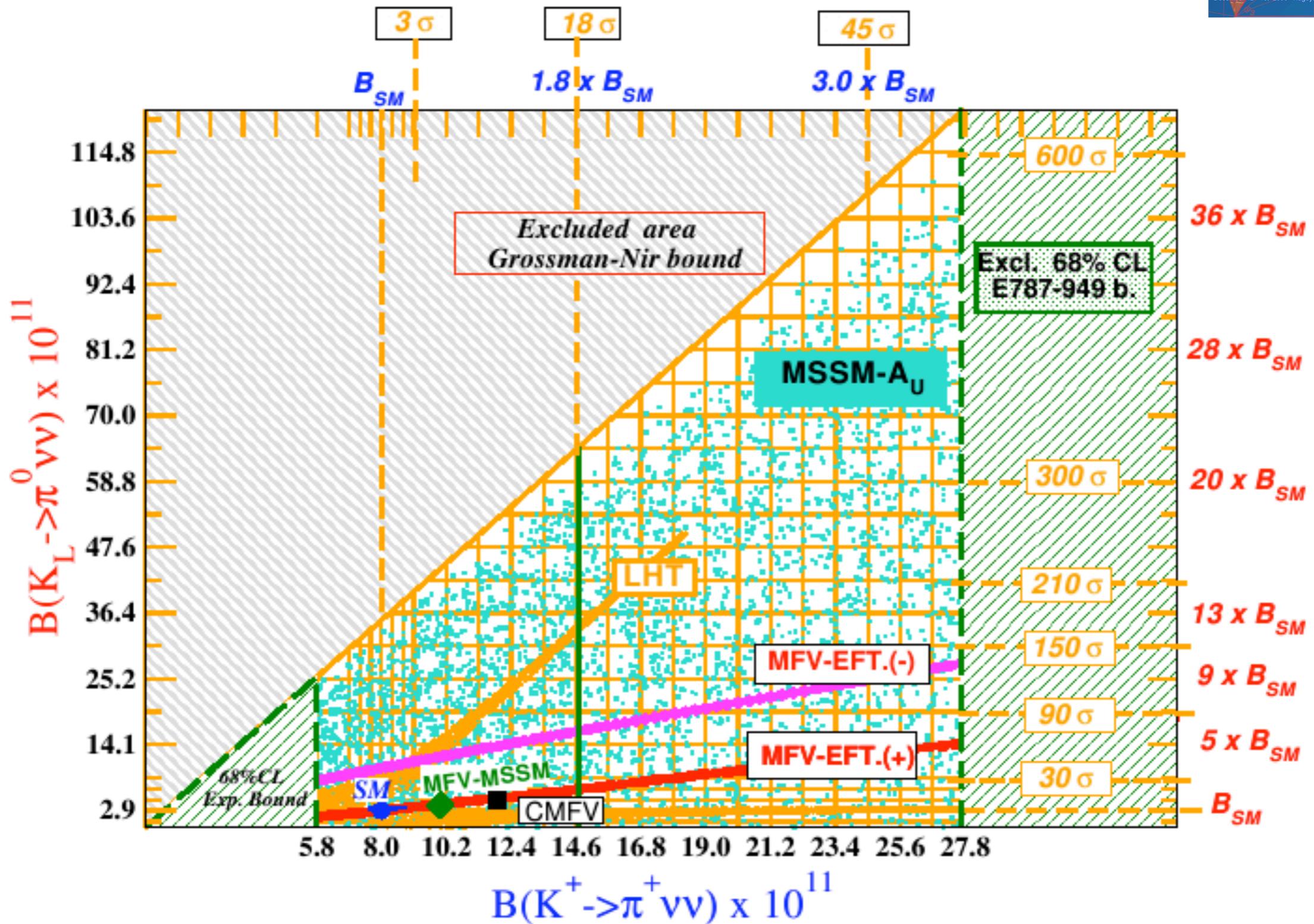
illustrated in

Fermilab Steering Group Report (2007)



$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 14.7_{-8.9}^{+13.0} \times 10^{-11} \quad [E787-E949]$$

$$B(K_L \rightarrow \pi^0 \nu \bar{\nu}) \leq 4.4 B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \quad [\text{Grossmann - Nir Bound}]$$



<http://www.Inf.infn.it/wg/vus/content/Krare.html>

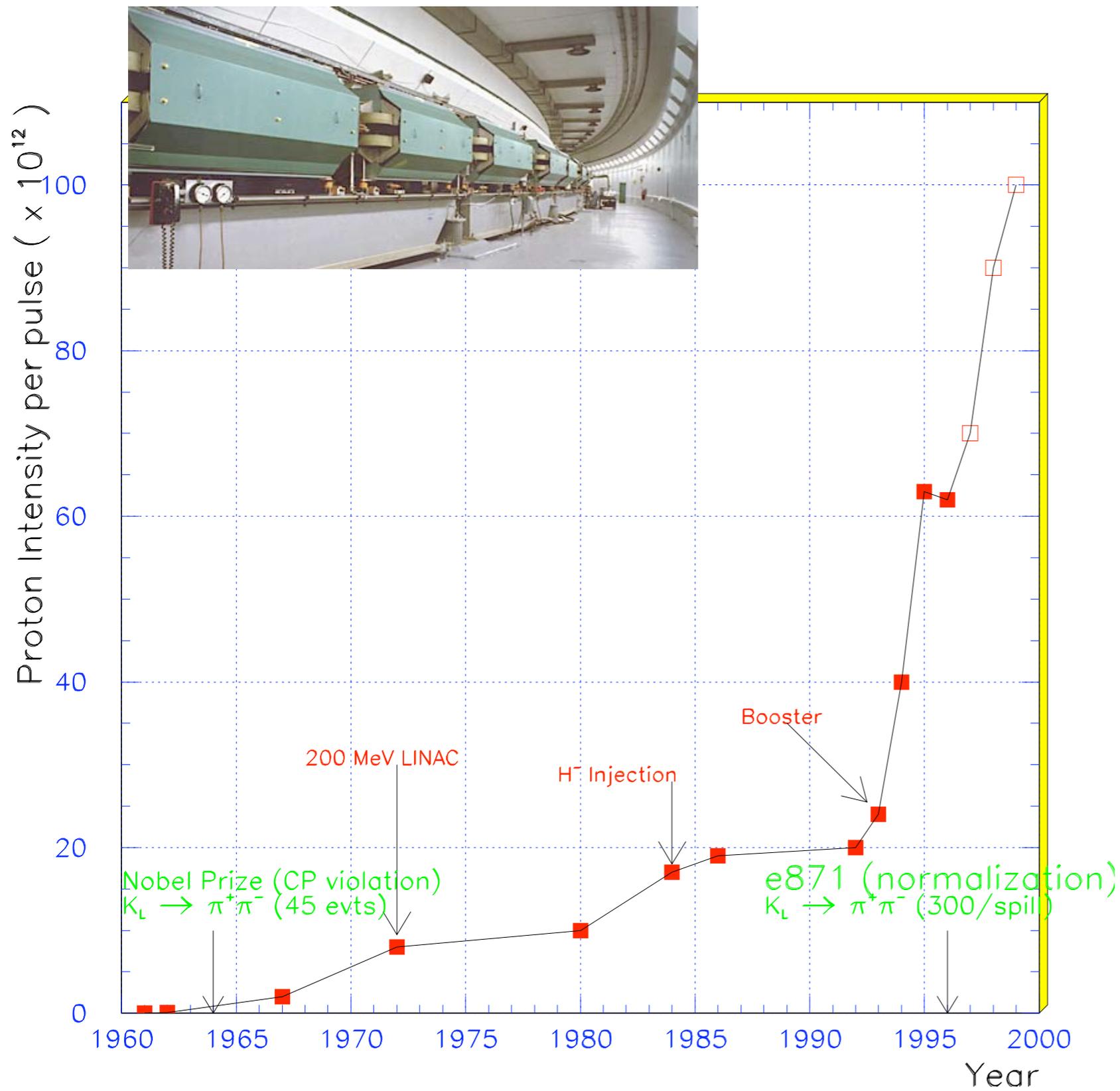
The FlaviaNet Kaon working group

go back to  
E949 in 2002

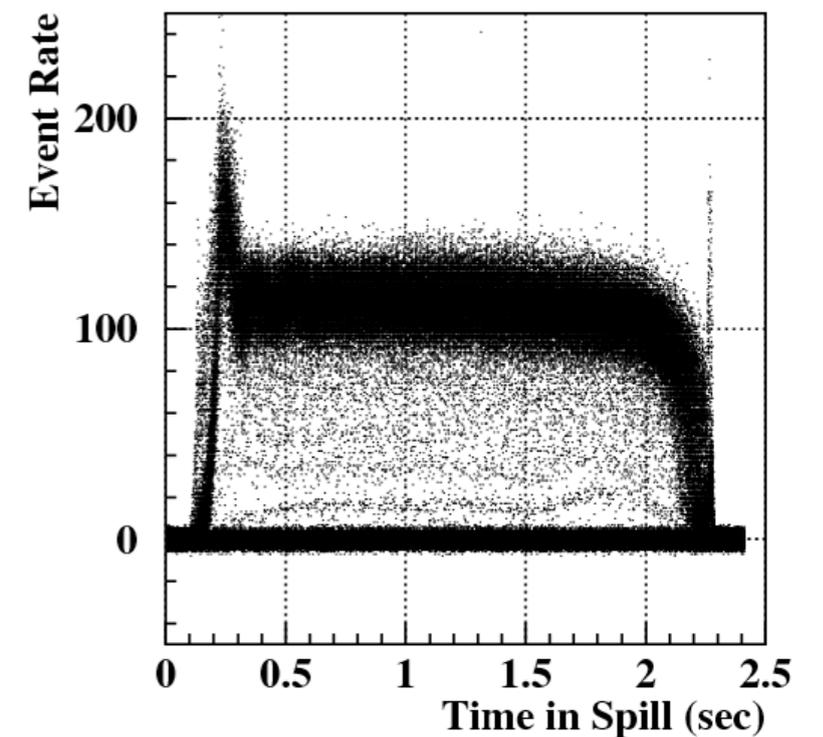
That was the last time  
AGS ever saw  
high-intensity proton beam.



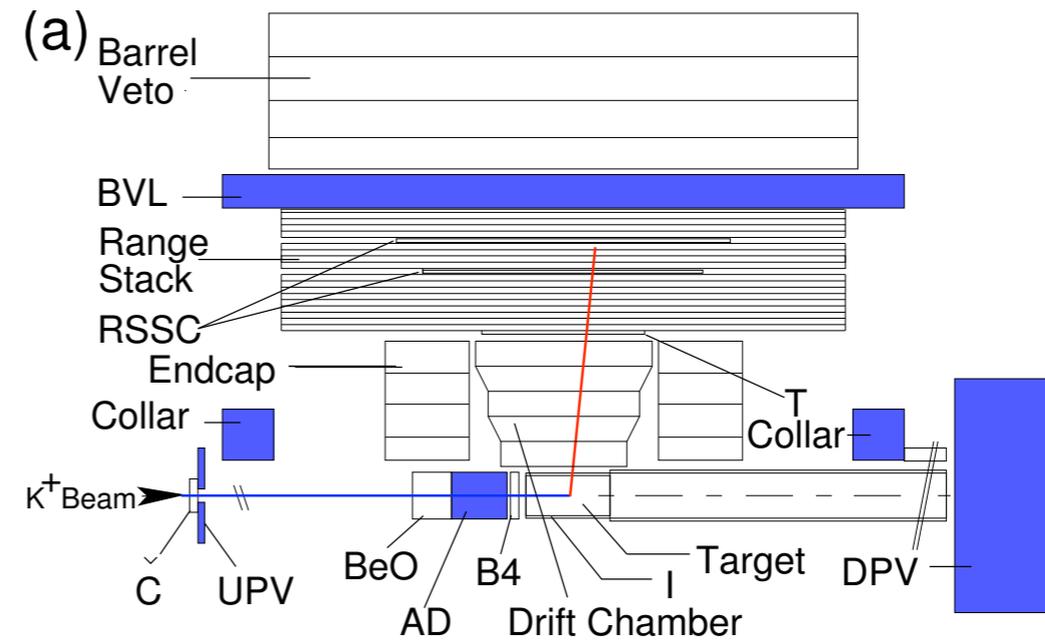
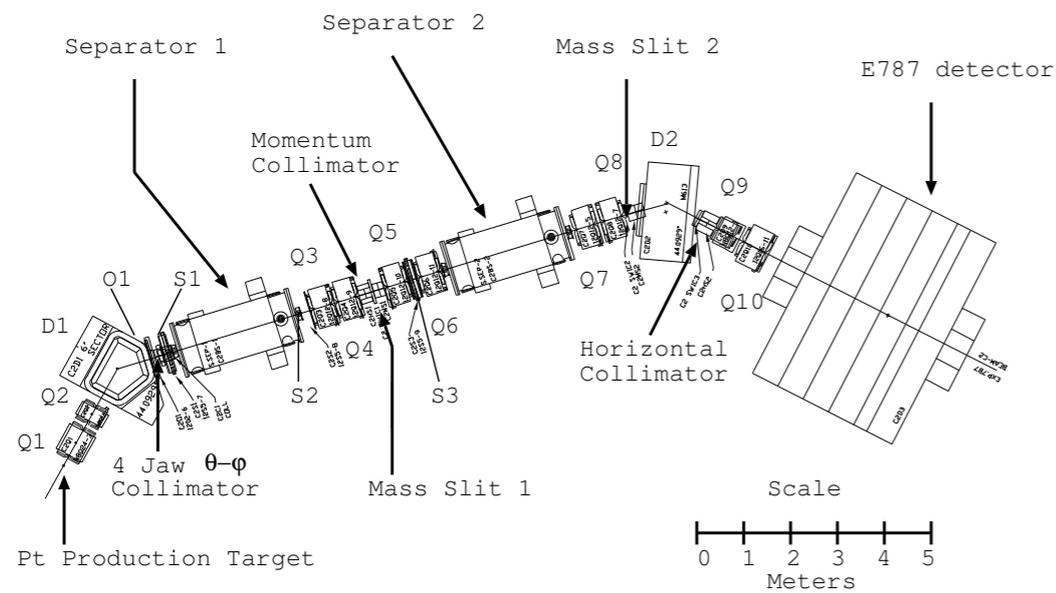
# BNL-AGS: $\geq 60 \times 10^{12}$ (Tera) protons per spill



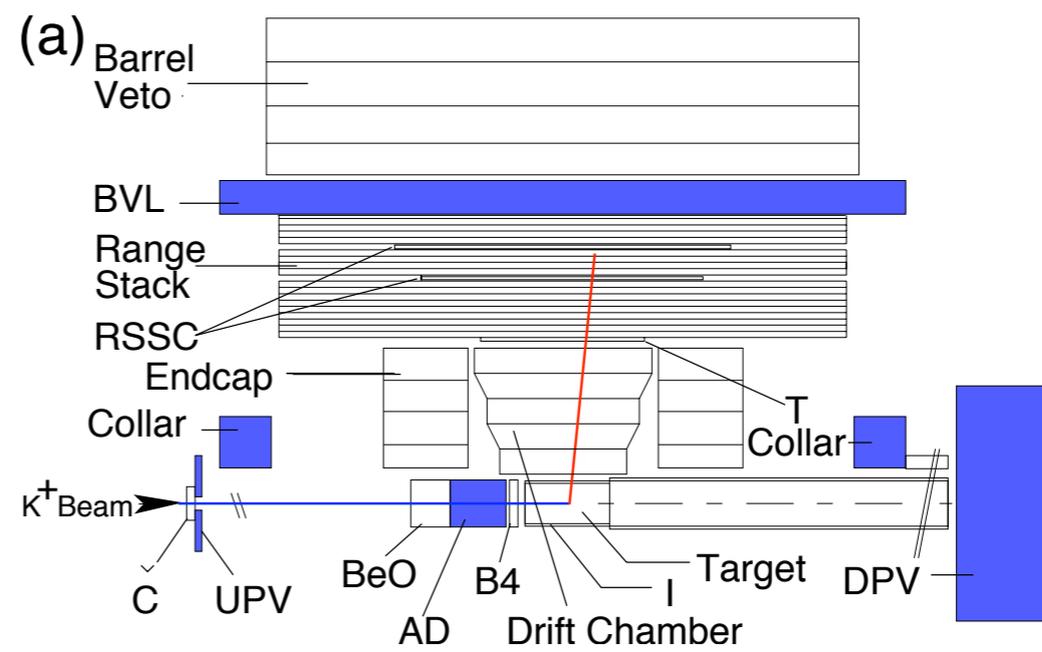
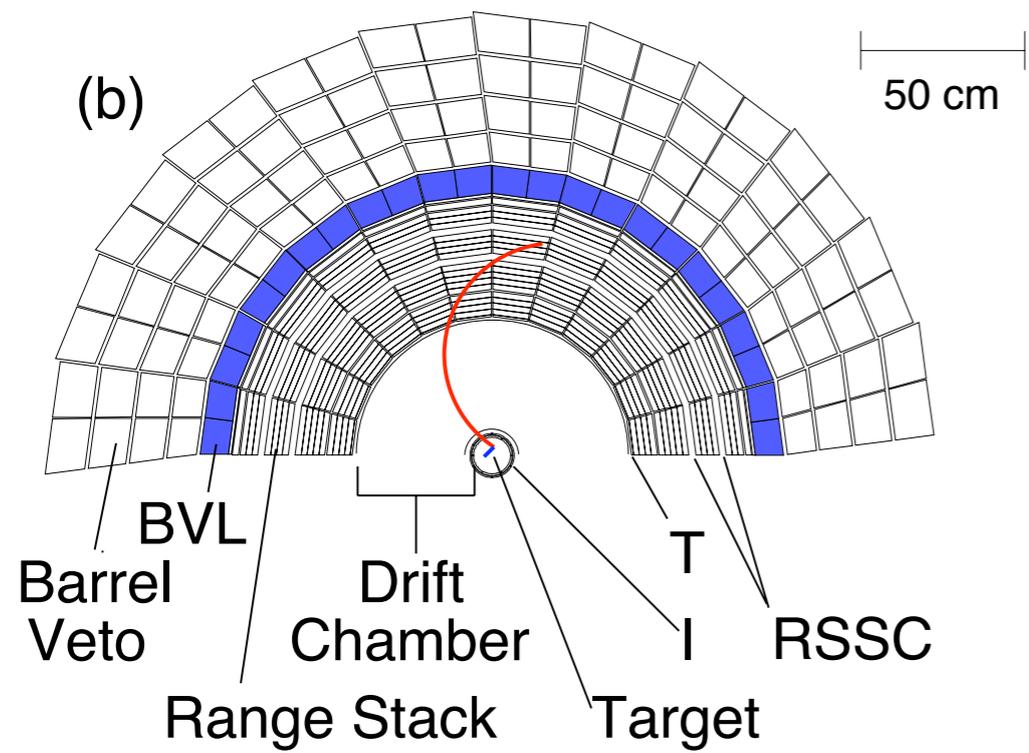
		E949-'02
protons per spill	Tp	65
AGS energy	GeV	22
beam spill	sec	2.2
cycle	sec	5.4
duty factor	%	41



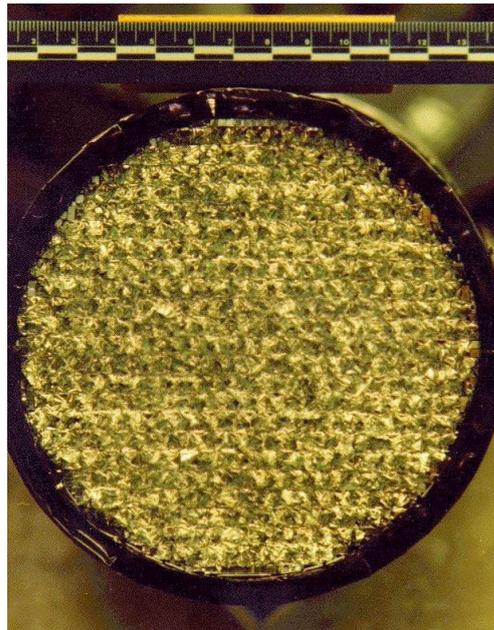
# LESB3 → $K^+$ slowed by degrader and coming to rest



			<b>E949-'02</b>
$K^+/\pi^+$			<b>3</b>
kaon momentum	MeV/c		710
$N_K$ in the spill	M		<b>3.5</b>
$N_K$	MHz		<b>1.6</b>
stopping fraction	%		28

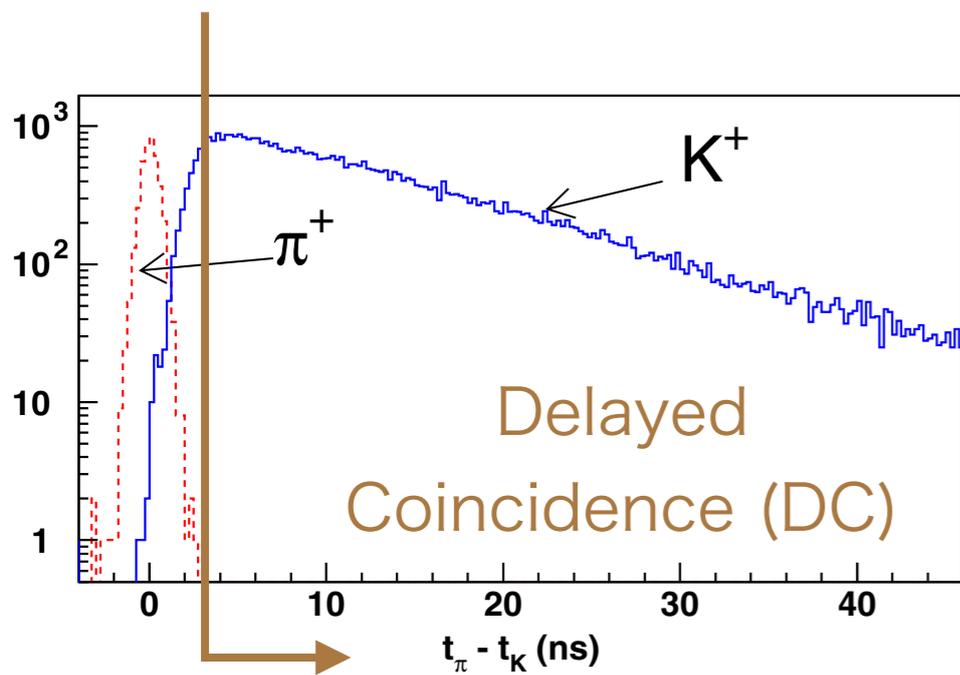
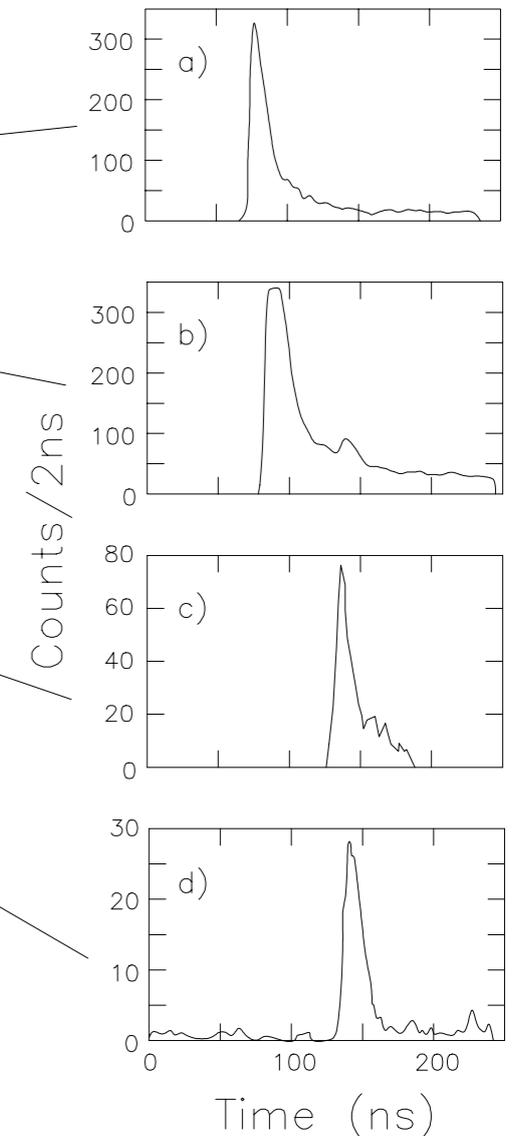
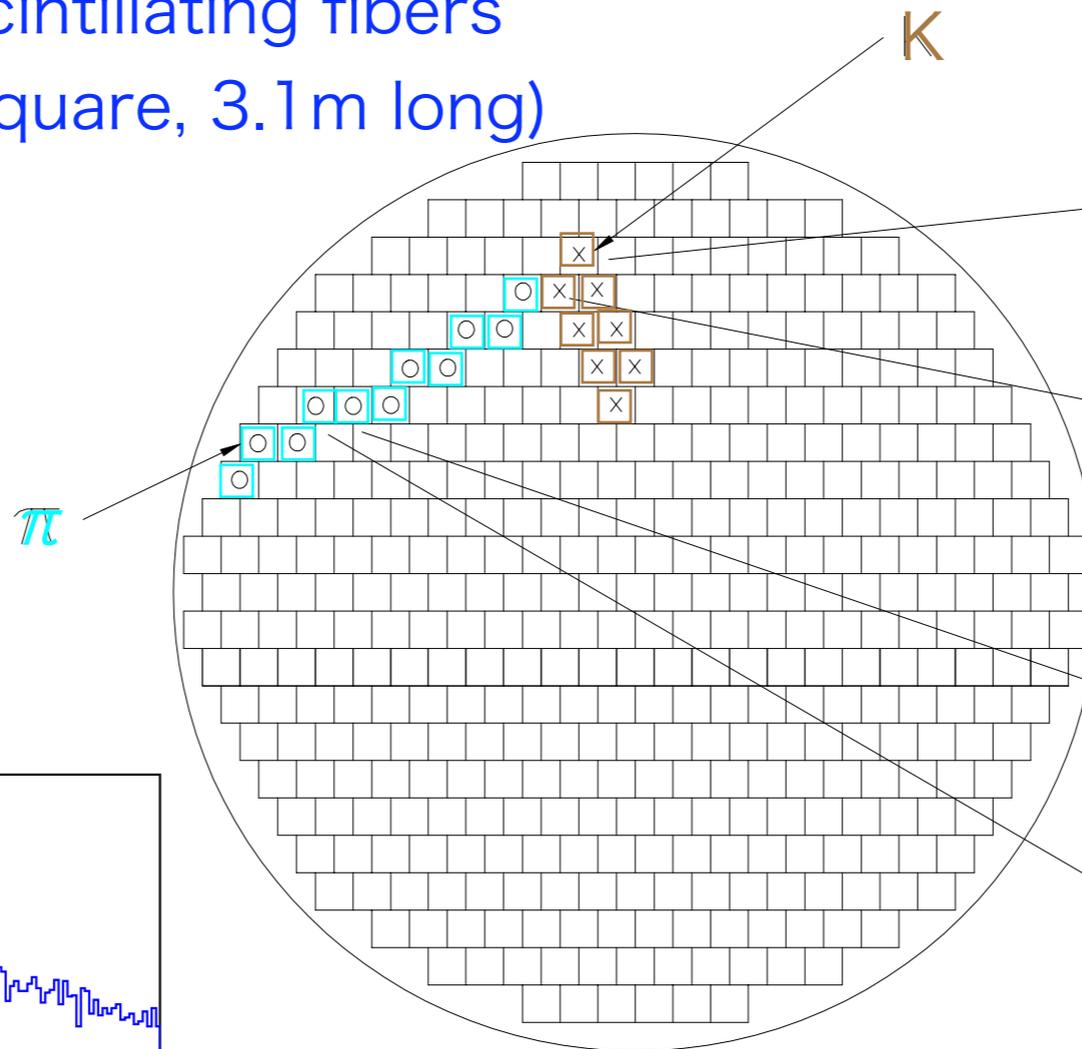


# K<sup>+</sup> decay at rest in the Target (end-view)



413 scintillating fibers  
(5mm square, 3.1m long)

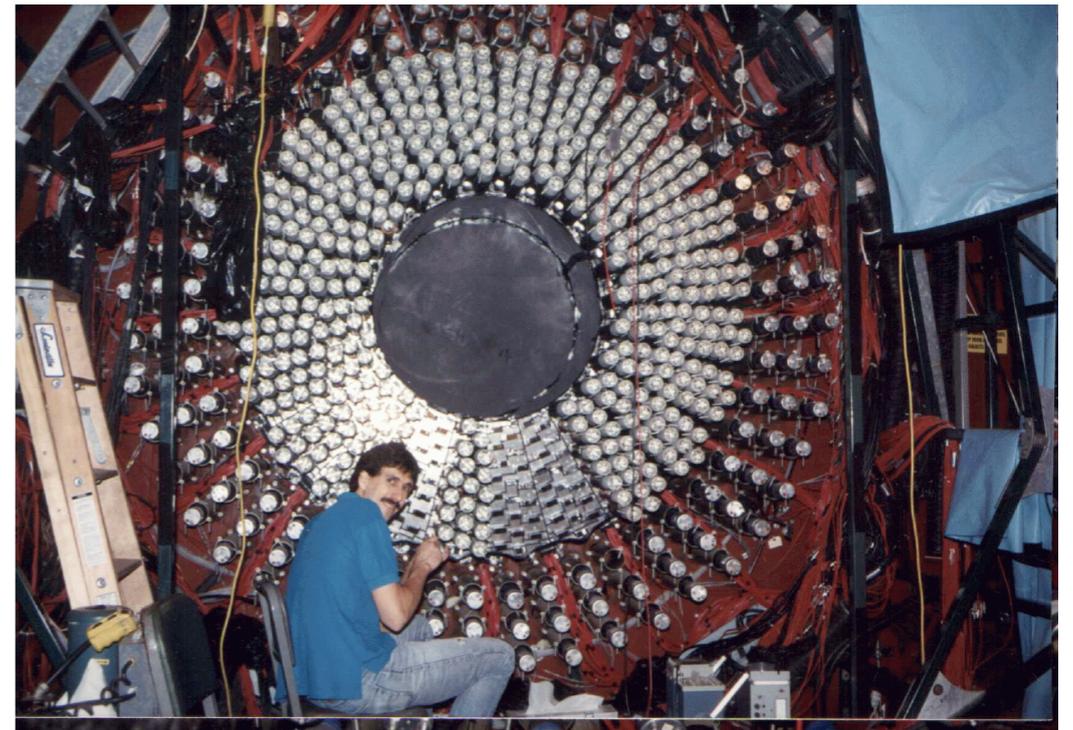
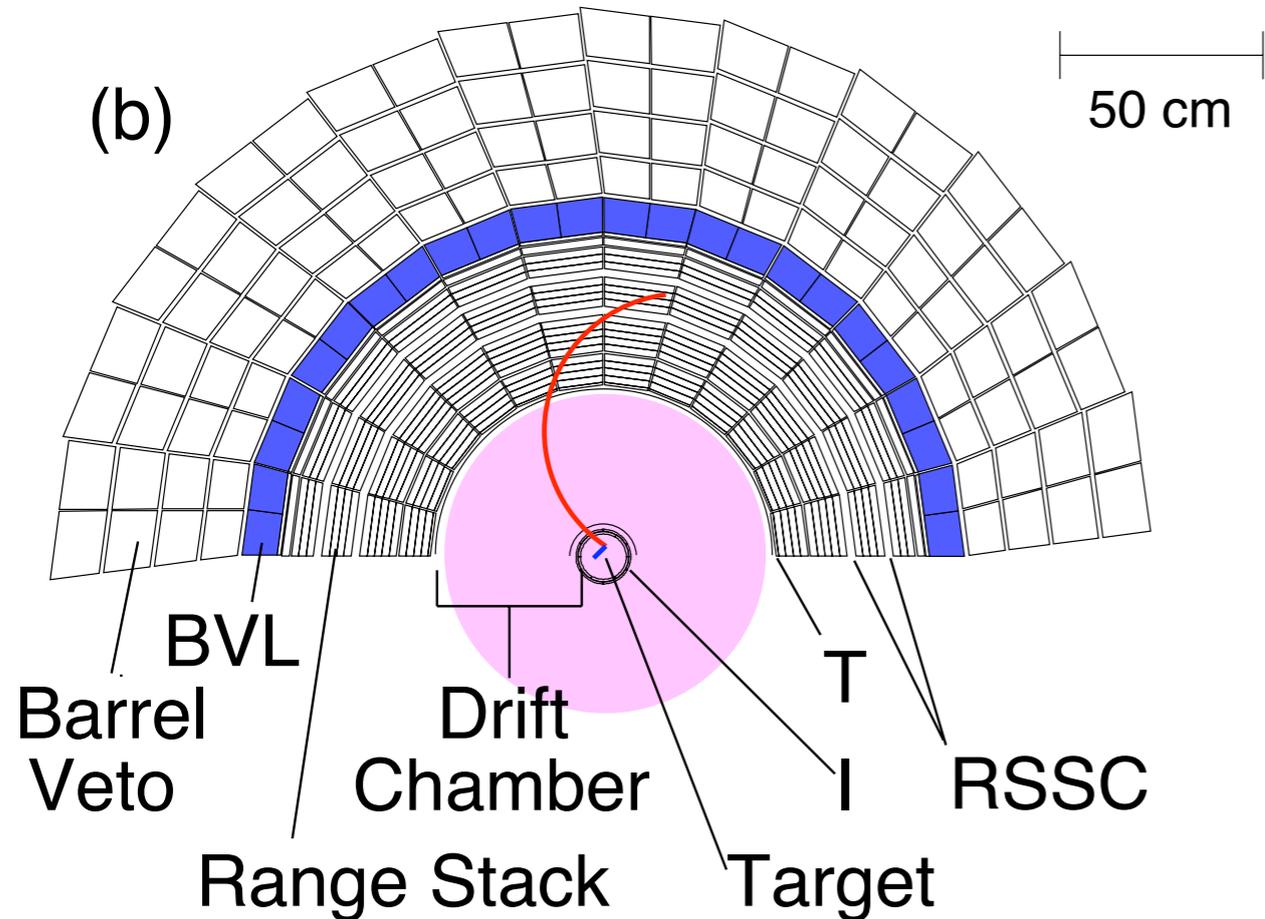
CCD-based 500MHz  
waveform digitizers



3ns in PNN2

- energy loss and path length of outgoing  $\pi^+$
- any “in-time” extra activity within the target

# Charged track from the Target to the Range Stack



momentum and trajectory  
with ultra-thin Drift Chamber

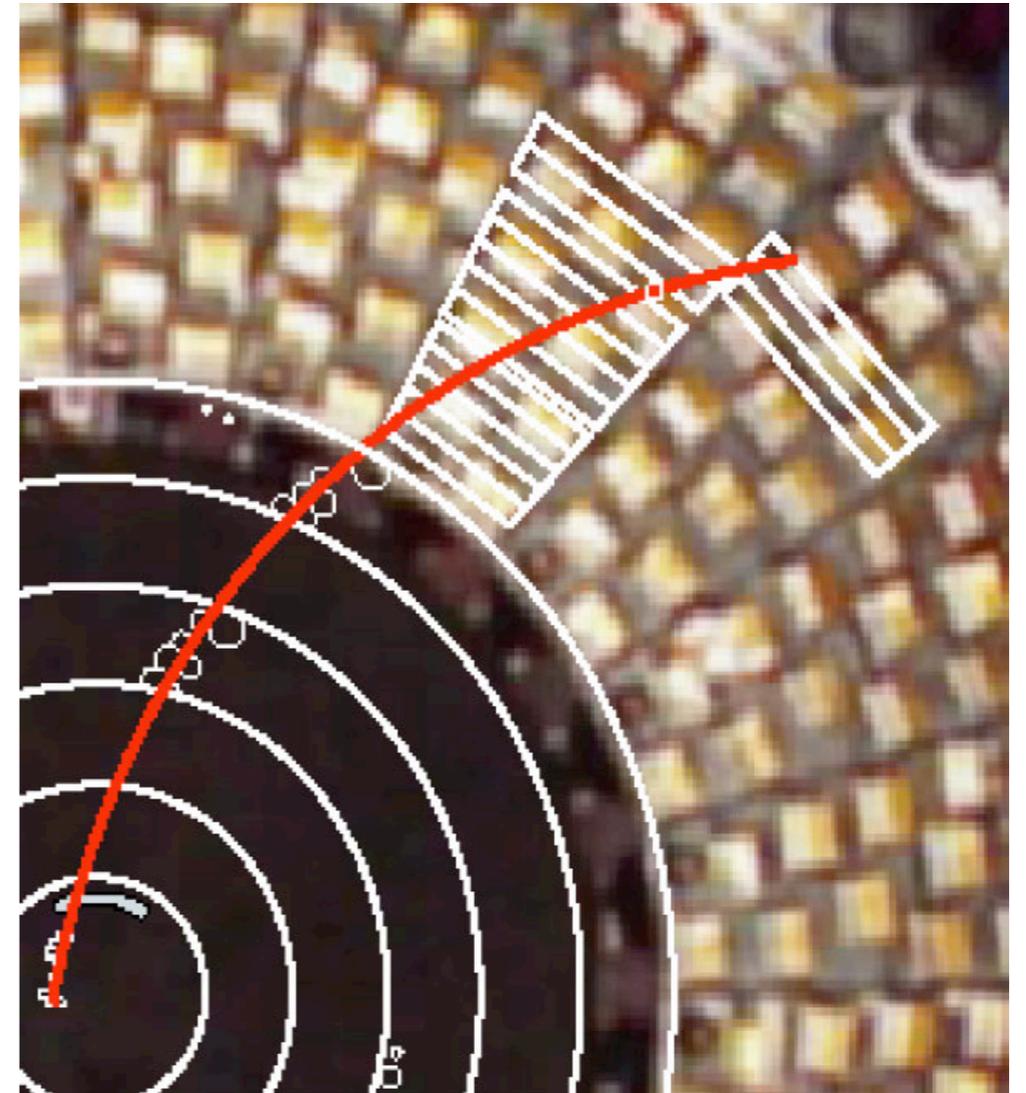
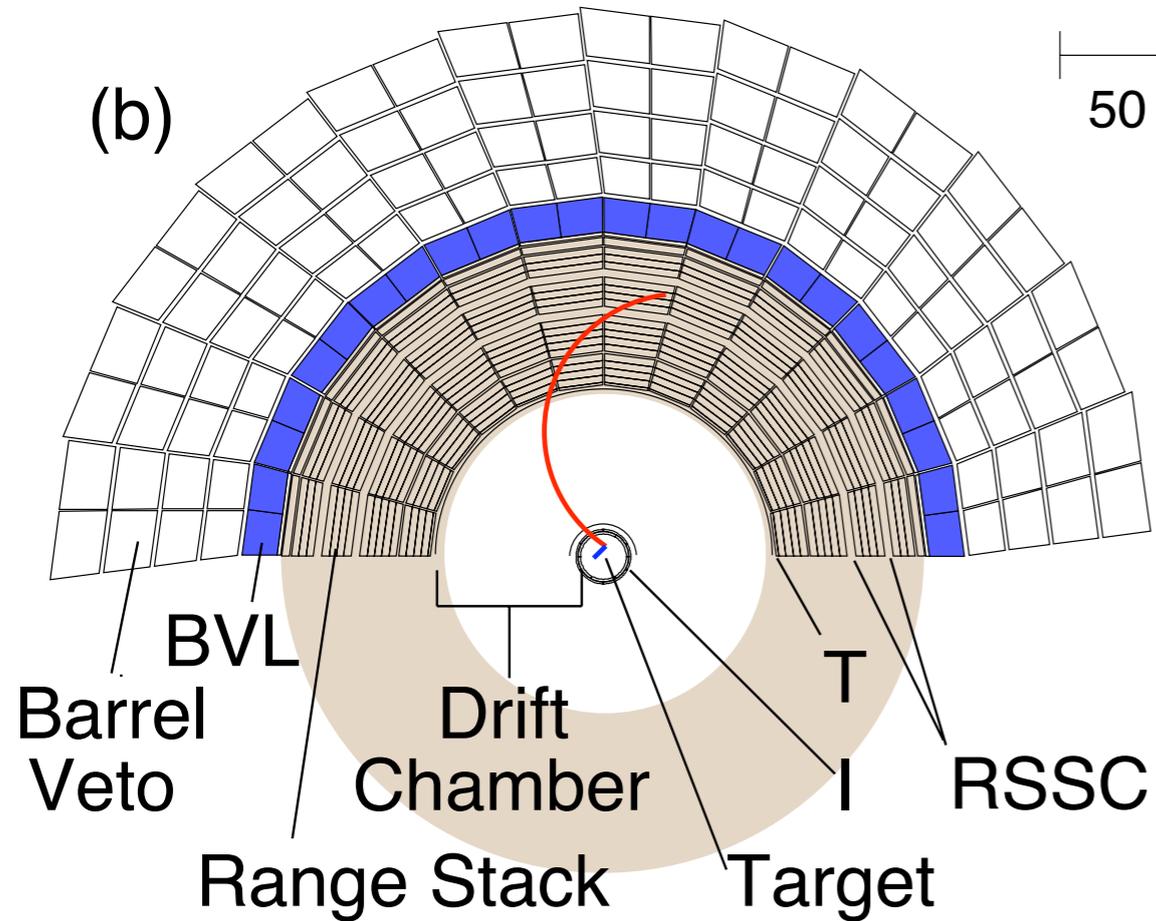
- correction with energy loss in the target

# $\pi^+$ loses energy, and comes to rest in the RS

sum of energy loss (= kinetic energy )

and

range in the plastic scintillator

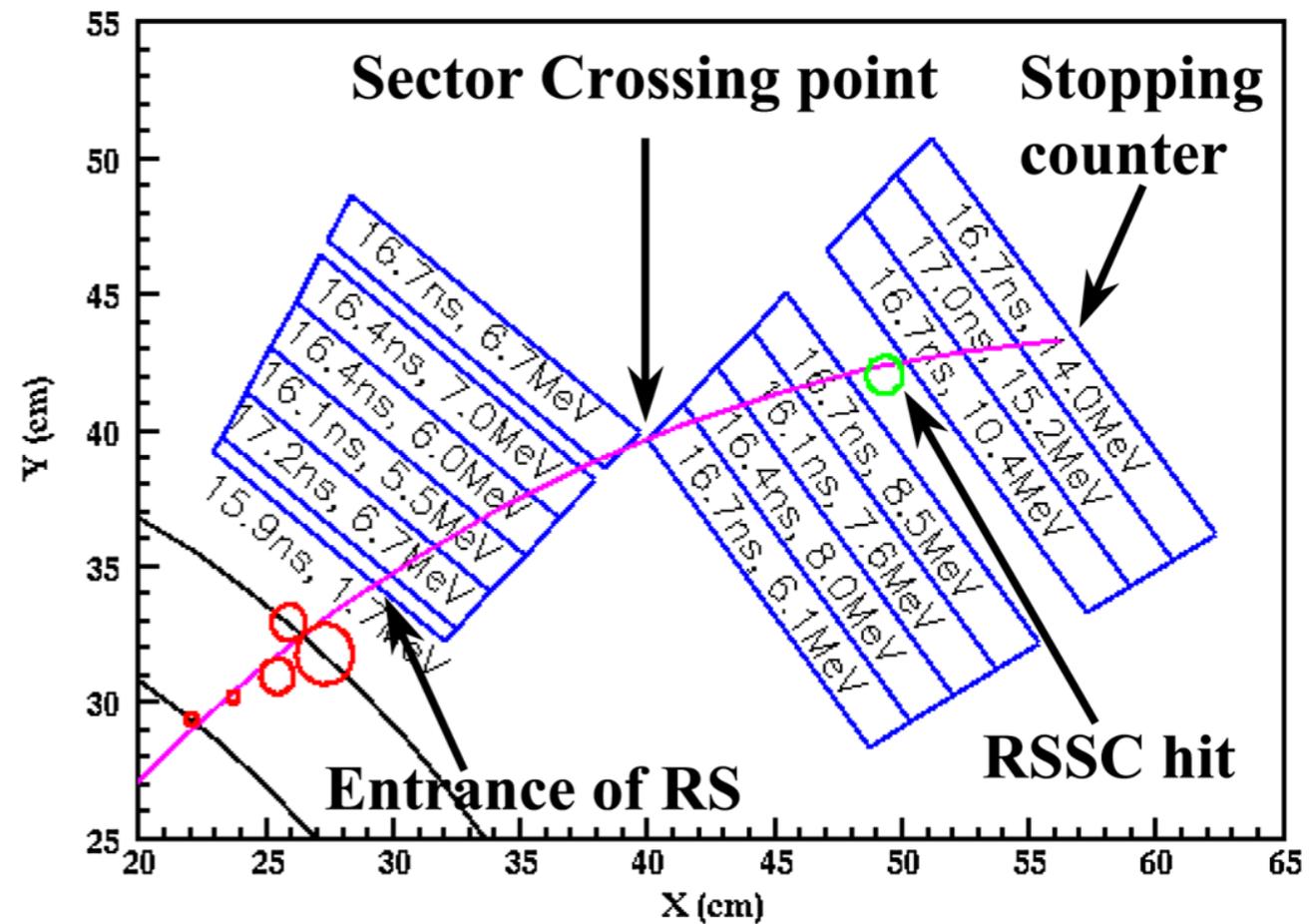
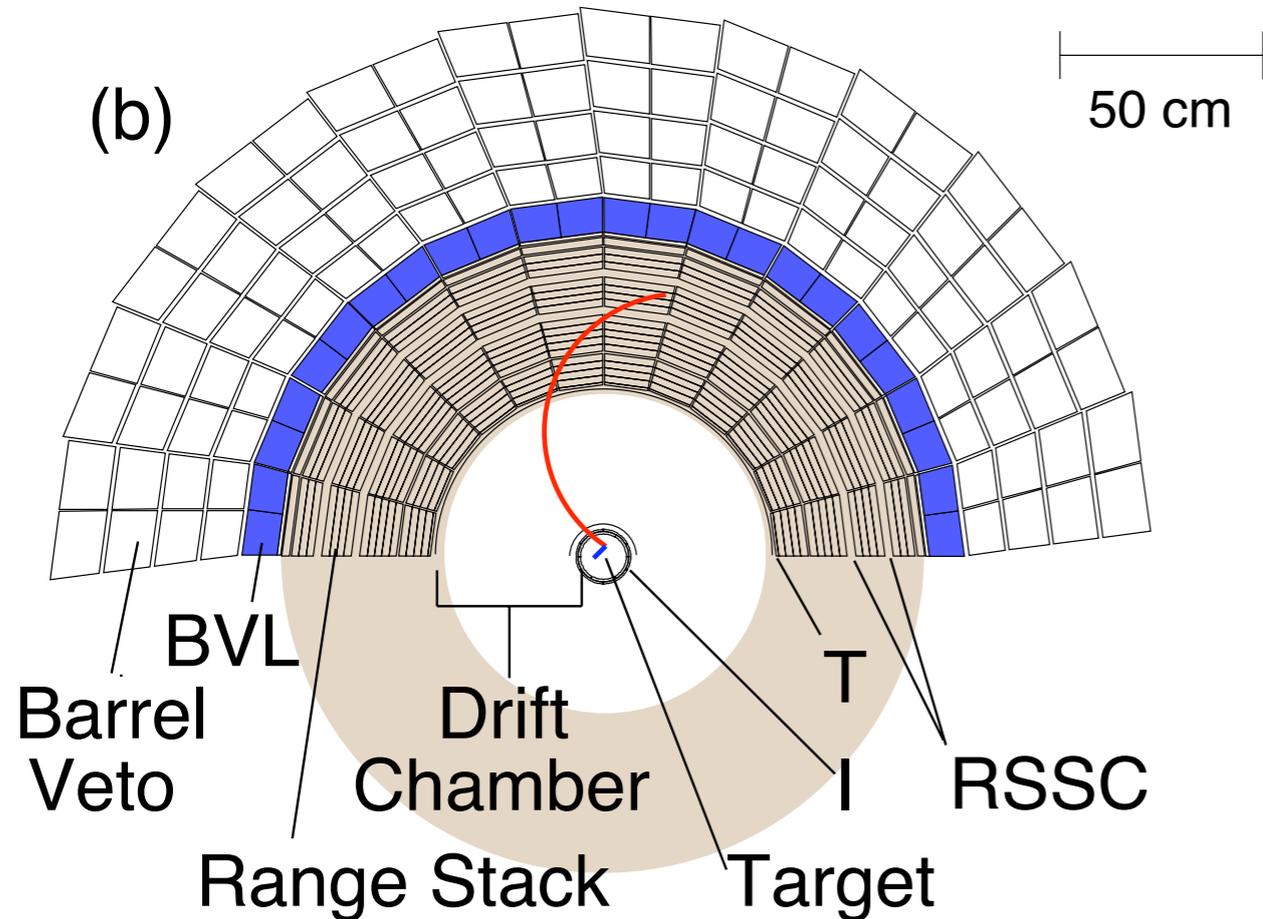


# $\pi^+$ loses energy, and comes to rest in the RS

sum of energy loss (= kinetic energy)

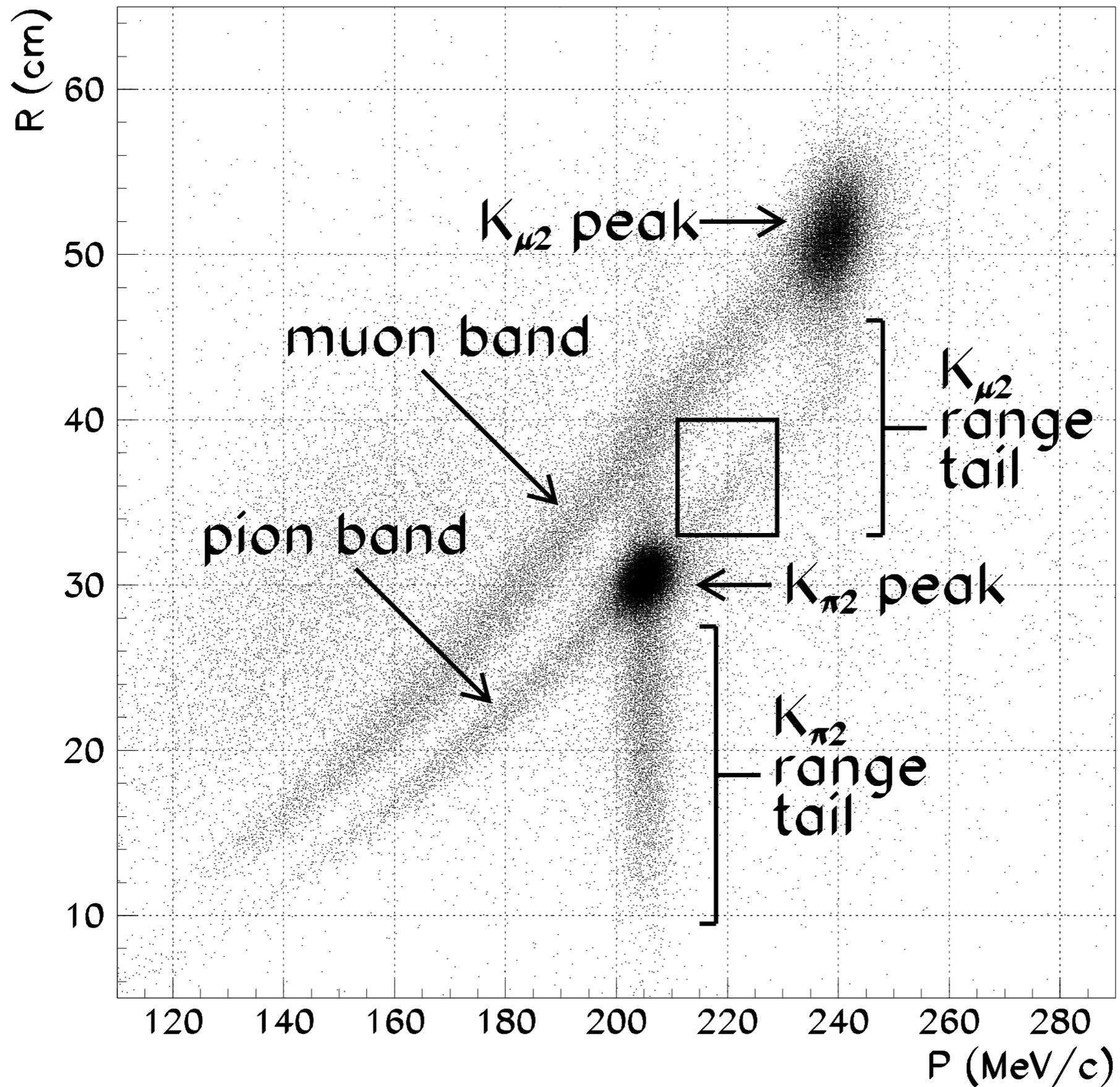
and

range in the plastic scintillator



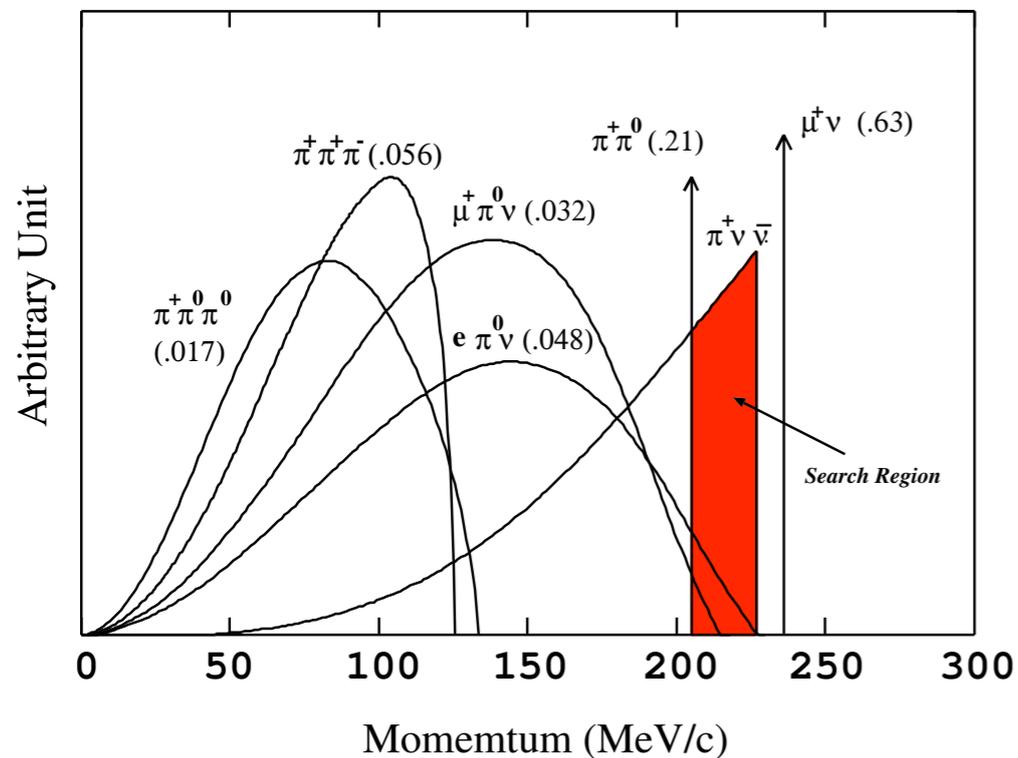
**Kinematic requirements (KIN)**

- correction with energy loss and path length in the target



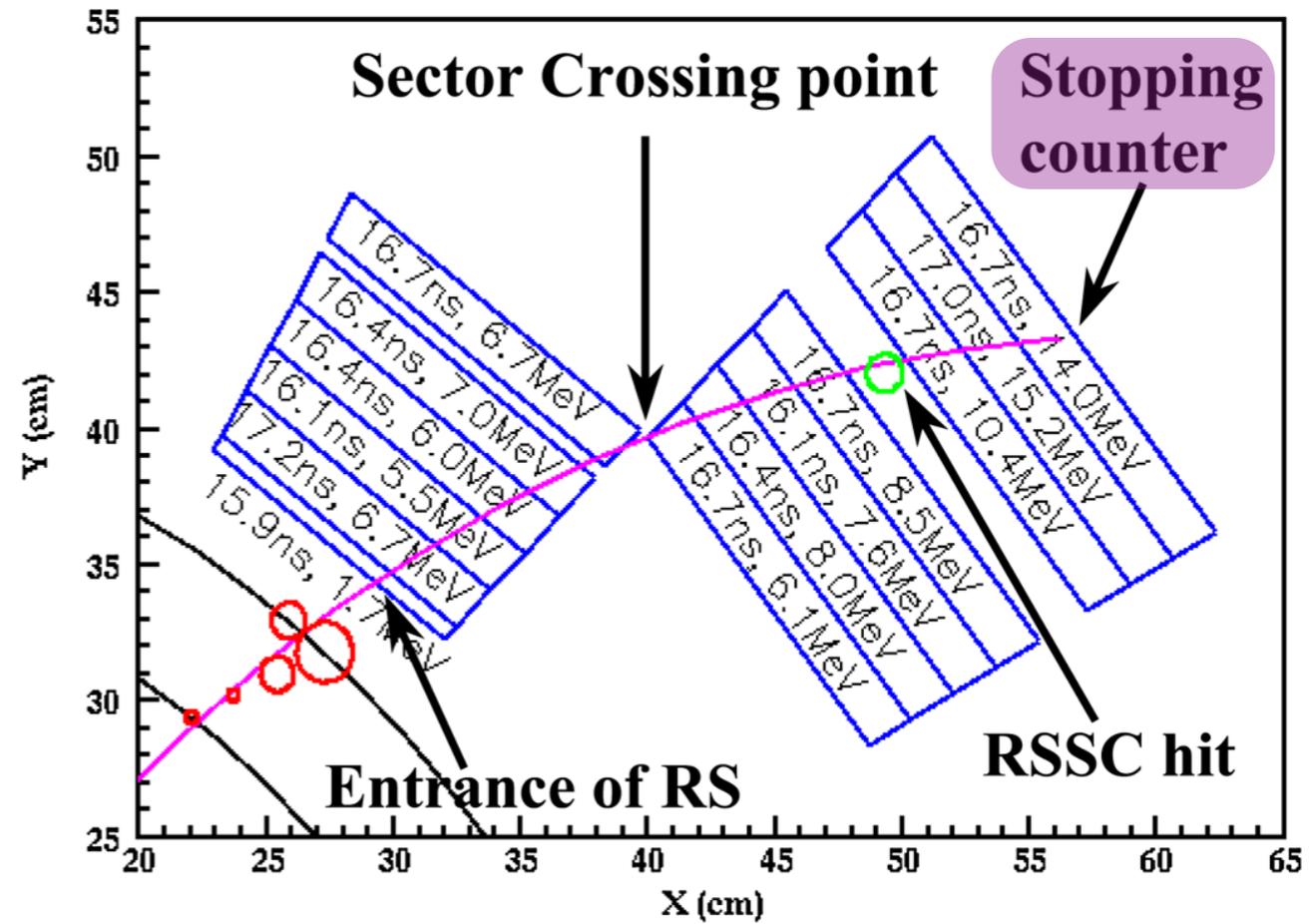
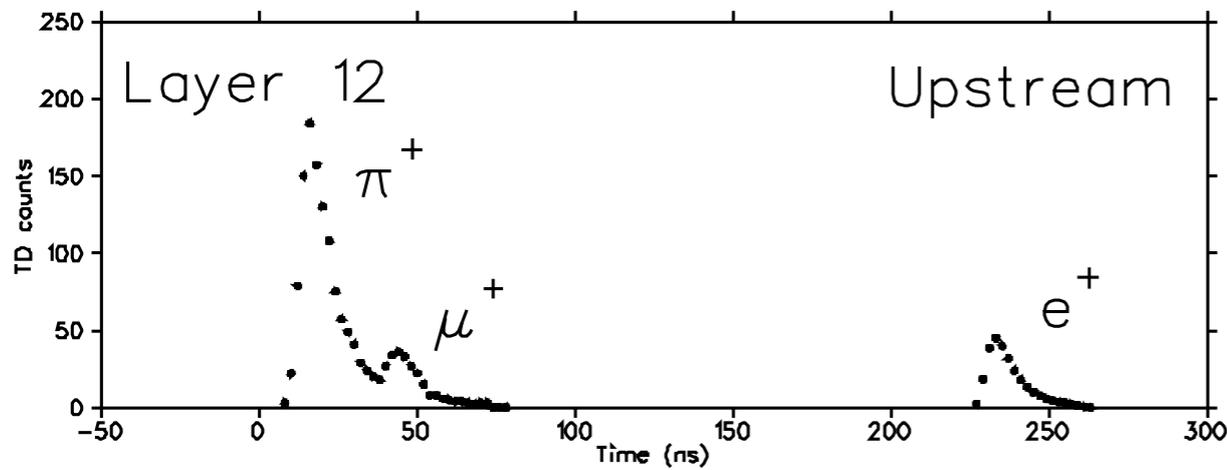
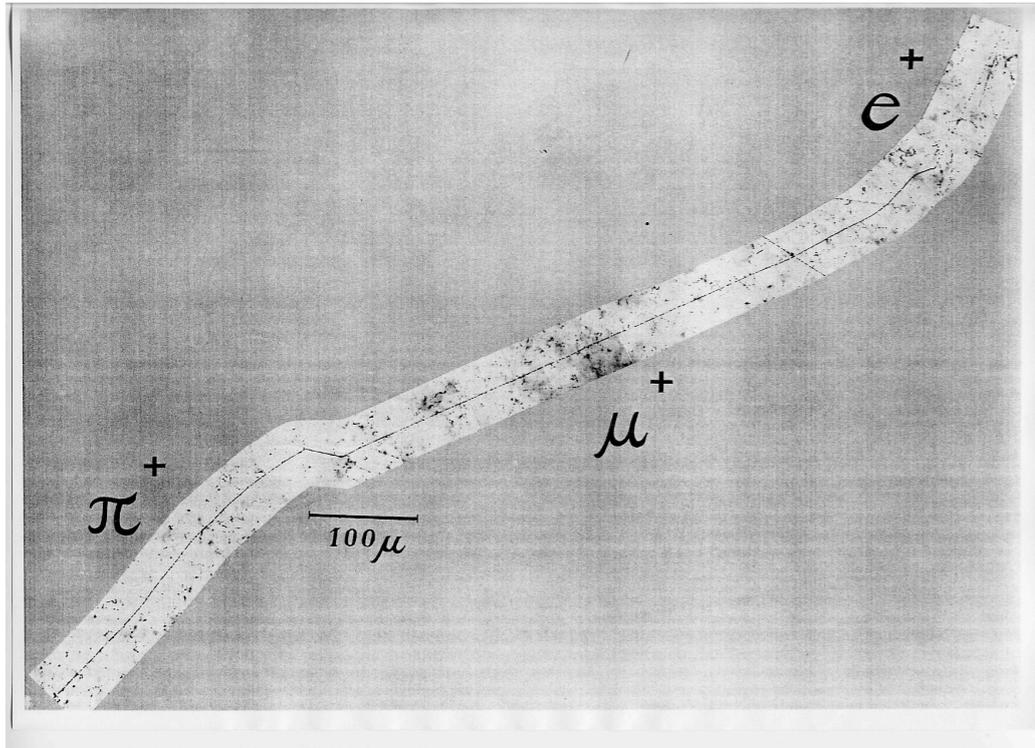
# $K^+$ decay at rest $\rightarrow \pi^+ +$ “nothing”

## Background Rejection



- Kinematics of  $\pi^+$ 
  - Momentum
  - Kinetic Energy
  - “Range” in plastic scintillators
- $\pi^+ / \mu^+$  separation
  - $\Leftrightarrow K^+ \rightarrow \mu^+ \nu$
- extra particles ( $\gamma, \dots$ )
  - $\Leftrightarrow K^+ \rightarrow \pi^+ \pi^0$

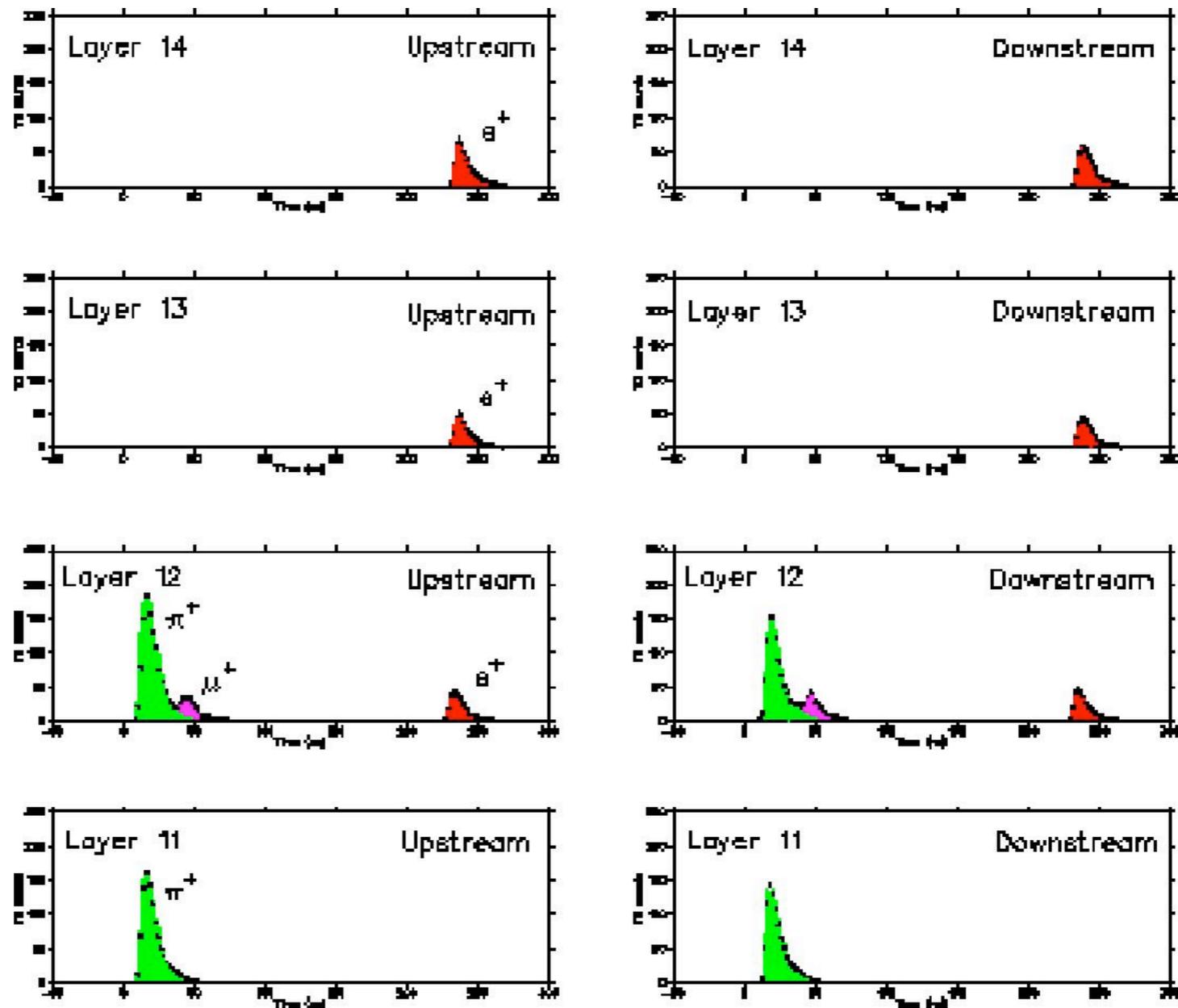
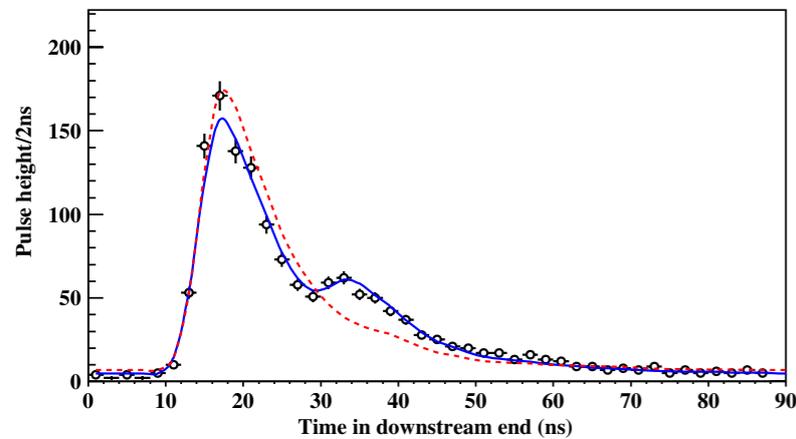
each weapon should have rejection of  $10^6 \sim 10^7$



output pulse shape in each end of the stopping counter

# Transient Digitizer (TD)

$\pi^+ \rightarrow \mu^+ \rightarrow e^+$  recorded by 500-MHz waveform digitizer

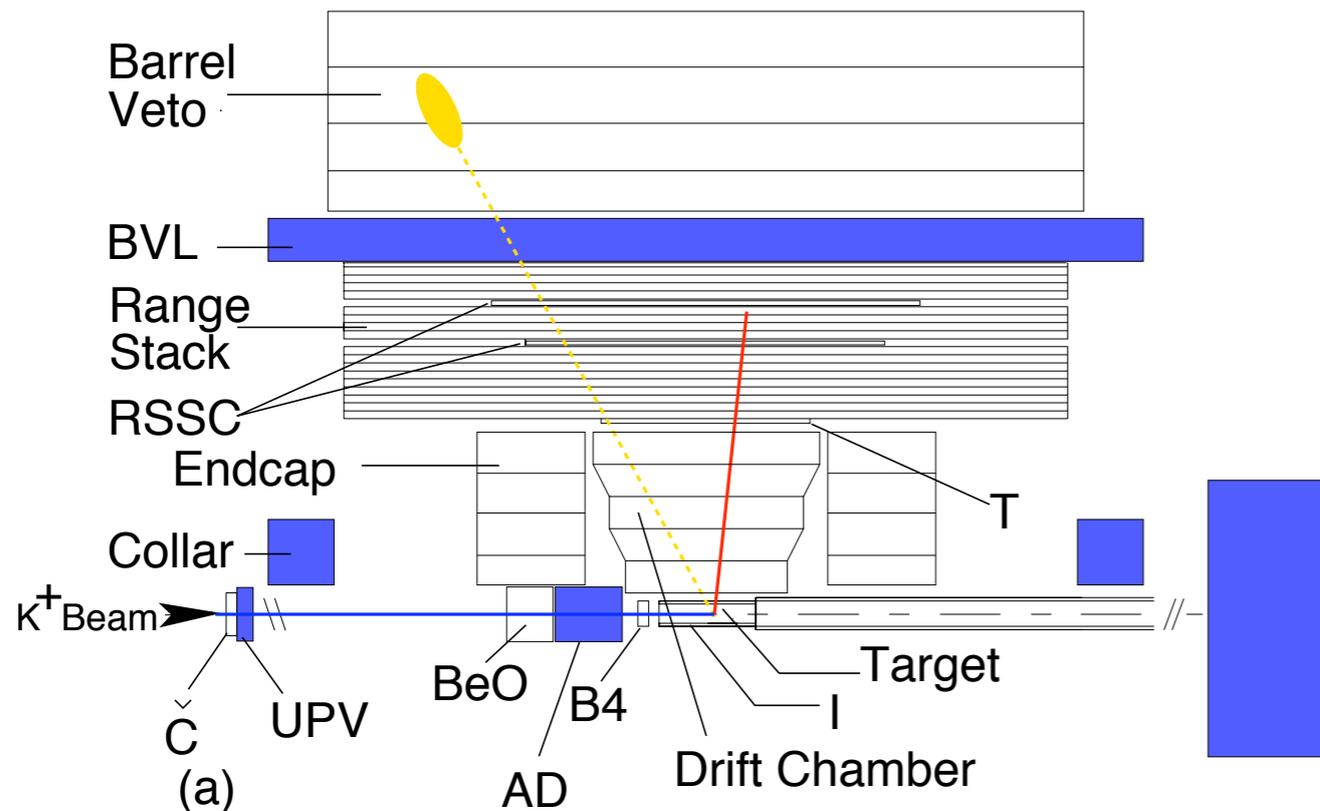


Online + Offline  $\mu^+$  rejection ( $> 10^5$ )

# “Photon Veto” (PV)

## $\gamma$ detection by the hermetic Calorimetry

any in-time detector activity  
with Barrel, Endcap, Range Stack, Target



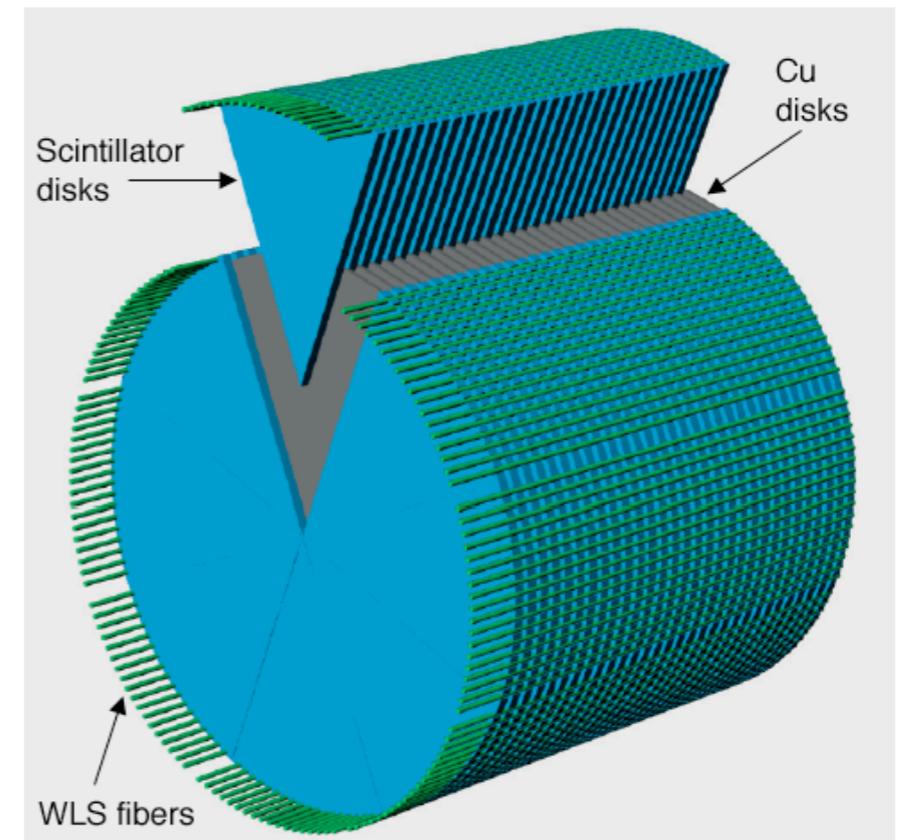
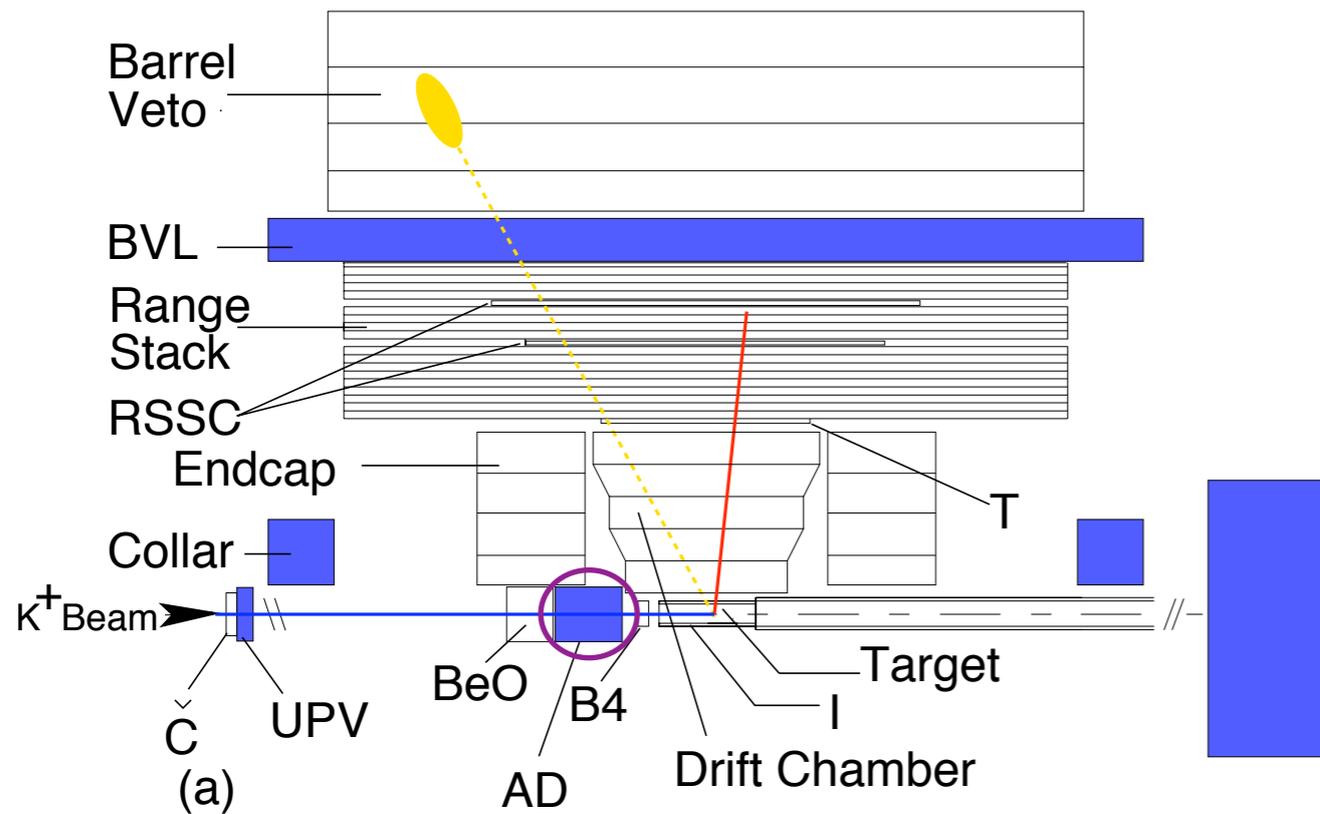
## new calorimeters (in blue)

- barrel part (add 2.3  $X_0$ )
- minor openings along the beam direction
- segmented Active Degradator
- new Collar detectors
- Upstream and Downstream PV

# “Photon Veto” (PV)

## $\gamma$ detection by the hermetic Calorimetry

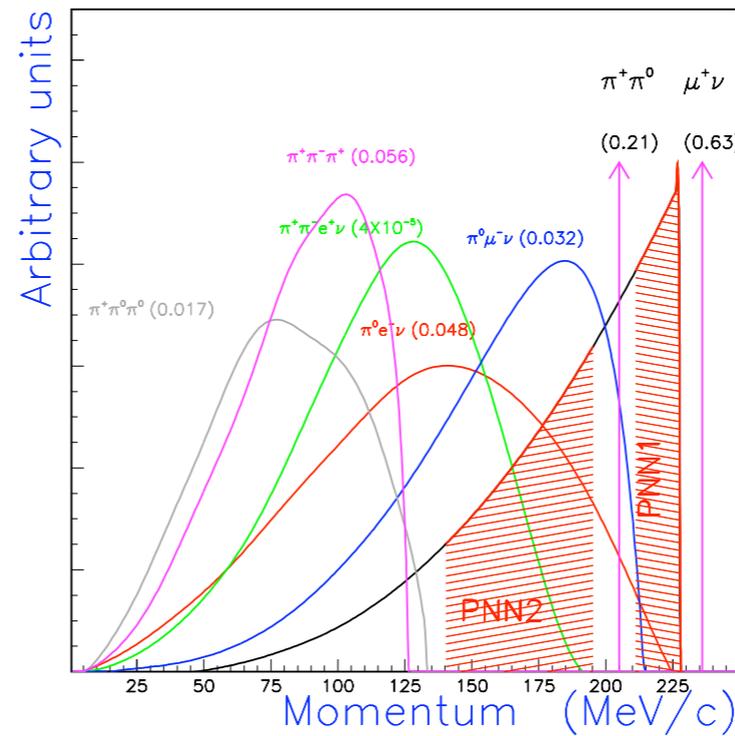
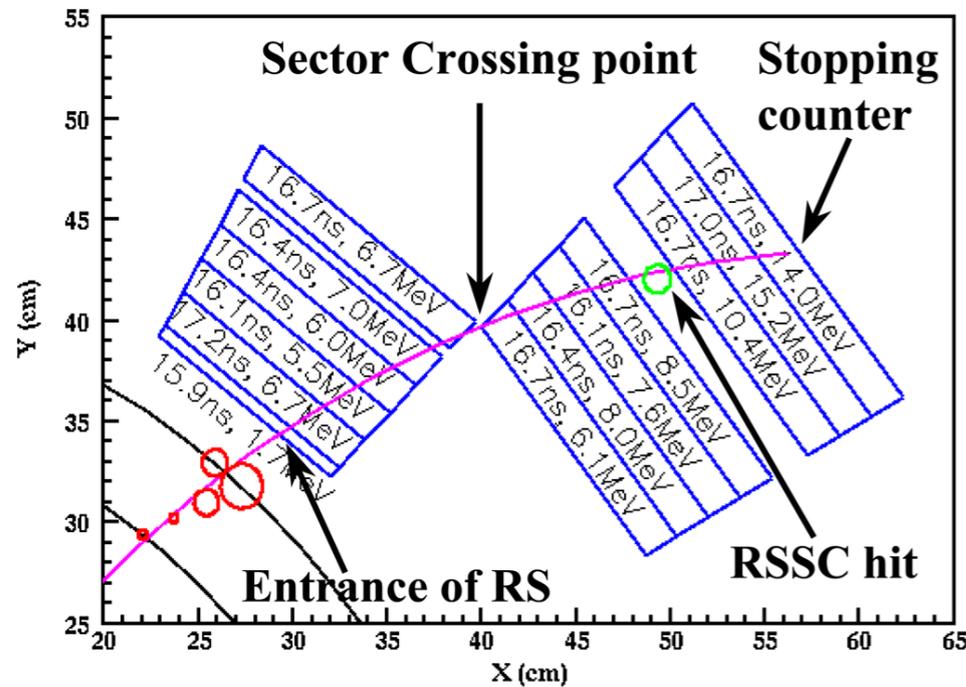
any in-time detector activity  
with Barrel, Endcap, Range Stack, Target



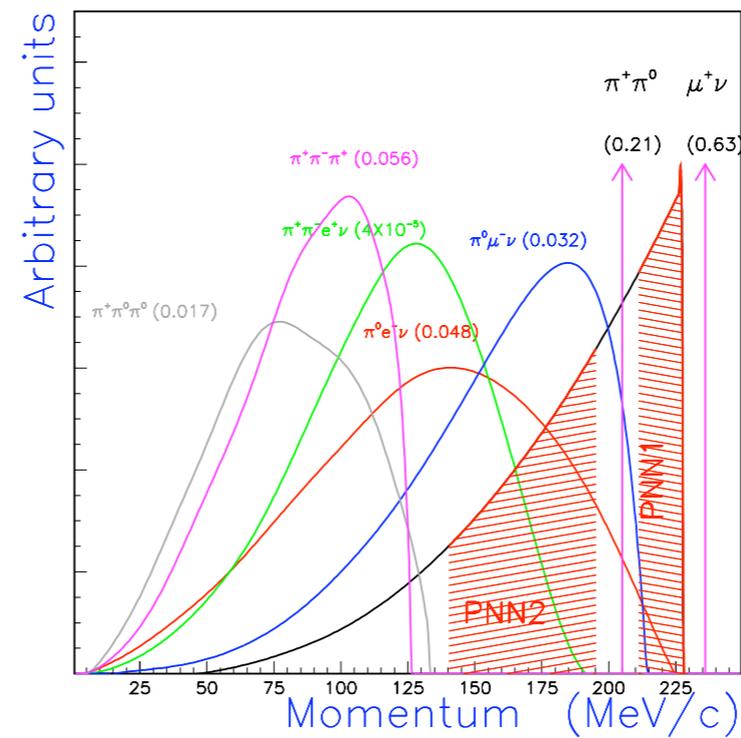
Active Degradator (AD)

14cm diameter, 17cm long,  
12 azimuthal segments  
6.1 radiation lengths

# Trigger requirements for $\pi^+$ “above/below” the $K_{\pi 2}$ peak



	E949- $\pi^+\nu\bar{\nu}(2)$	E949- $\pi^+\nu\bar{\nu}(1)$
Beam	$K^+$ at rest in the target to decay	
	no incoming $\pi^+$	
charged track to RS	I-counters and T-counters	
RS stopping layer ( $\pi^+$ range)	from 6 to 12	from 11 to 18
$\pi^+$ identification	$\pi^+ \rightarrow \mu^+$ in the stopping counter	
$\gamma$	no shower in RS, Barrel, Endcap	
$K^+$ exposure	$1.7 \times 10^{12}$	$1.8 \times 10^{12}$
# of triggered events	$9.3 \times 10^7$	$9.0 \times 10^7$



# analysis for PNN2

why different and difficult ?

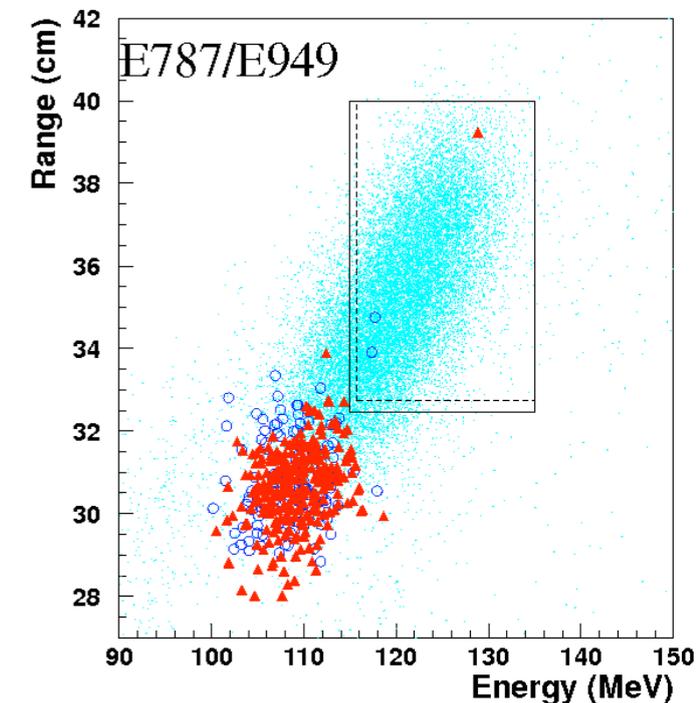
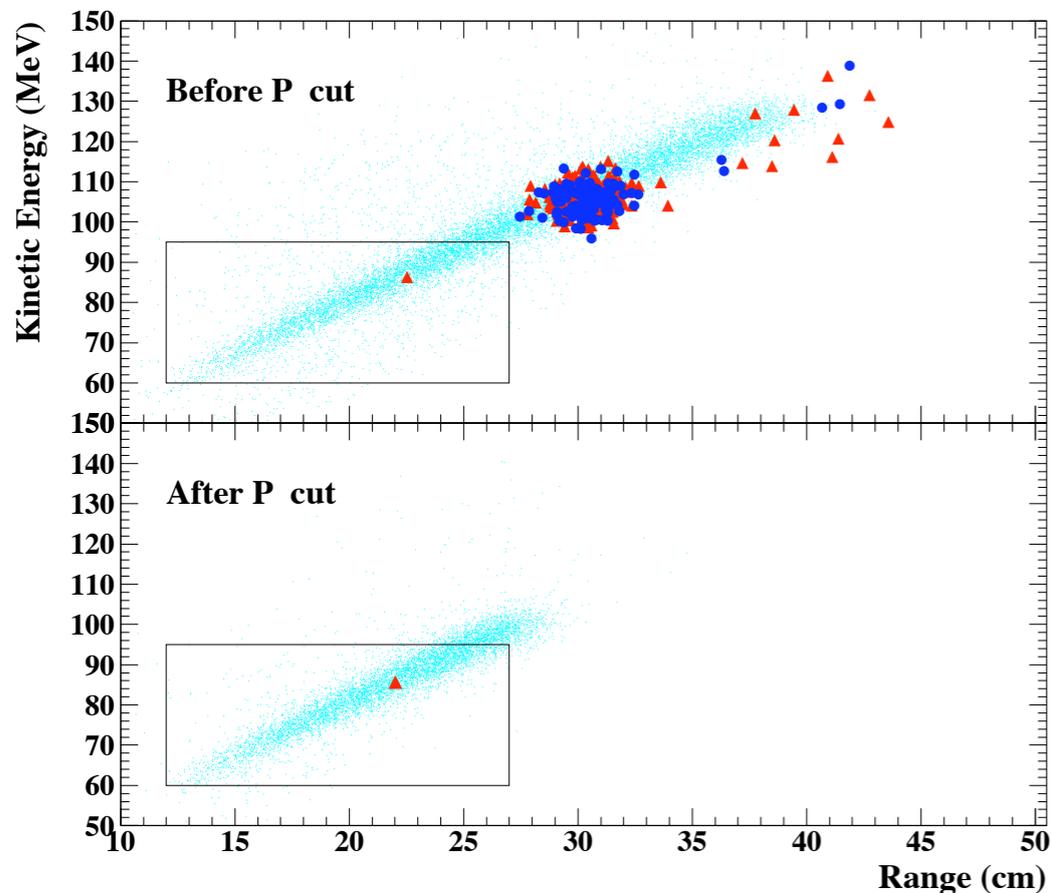
Region “PNN2”  
 $P_{\pi^+}$  (MeV/c) [140,195]  
 Years E787 96-97  
 Ref: PR **D70**,  
 037102 (2004)

Region “PNN1”  
 $P_{\pi^+}$  (MeV/c) [211,229]  
 Years E787(95, 96-97,98)  
 E949  
 Ref: PRL **93**,  
 031801 (2004)

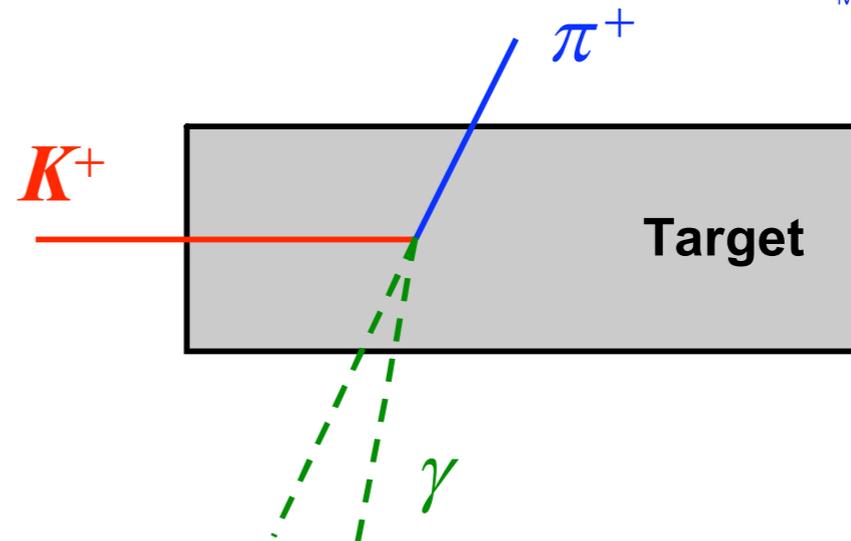
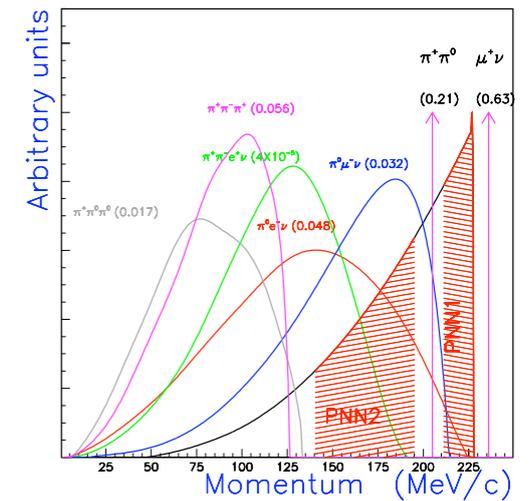
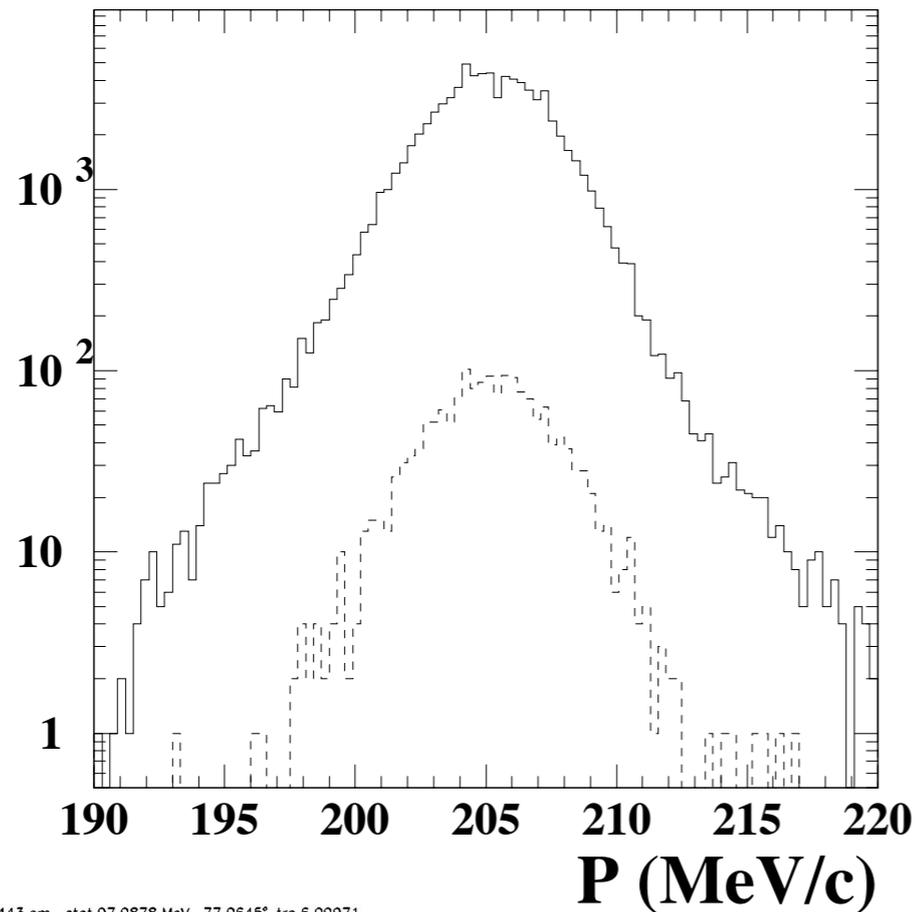
previous  
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$   
 results

Stopped  $K^+$   $1.73 \times 10^{12}$   
 Acceptance 0.084%  
 SES  $6.9 \times 10^{-10}$   
 Background  $1.22 \pm 0.24$   
 Candidates 1  
 $\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 22 \times 10^{-10}$

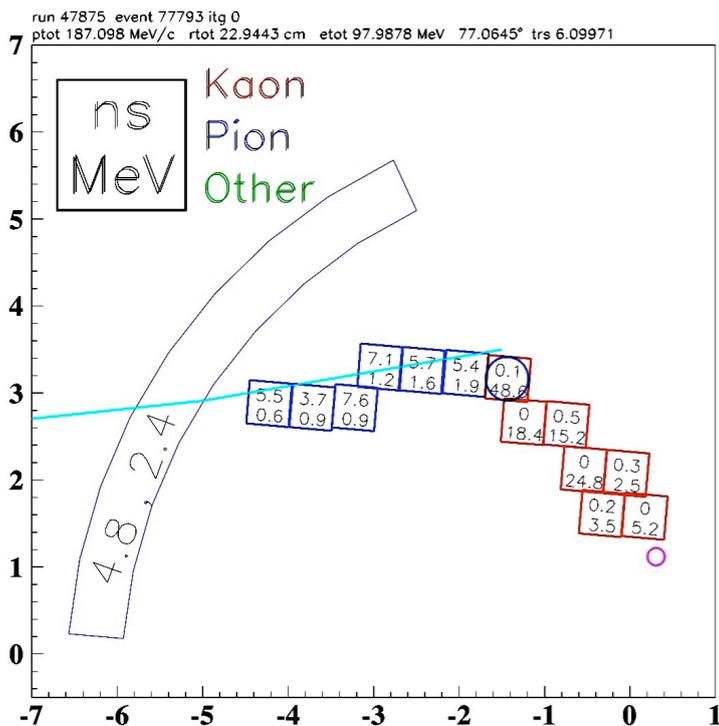
$7.7 \times 10^{12}$   
 0.2%  
 $0.63 \times 10^{-10}$   
 $0.44 \pm 0.05$   
 3  
 $(1.47^{+1.30}_{-0.89}) \times 10^{-10}$



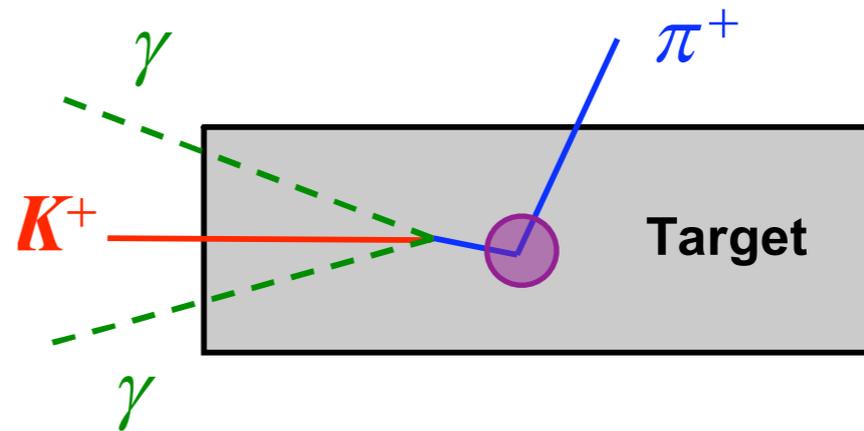
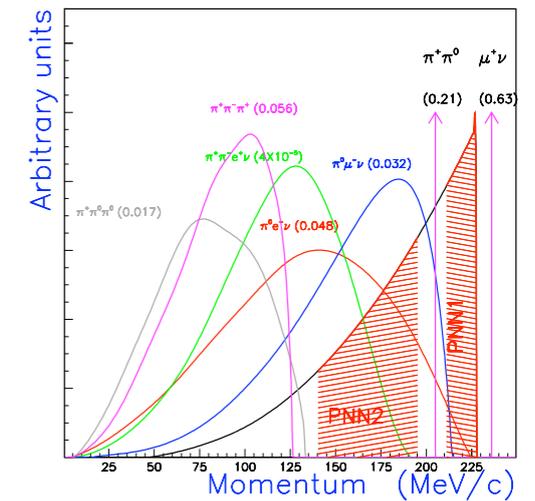
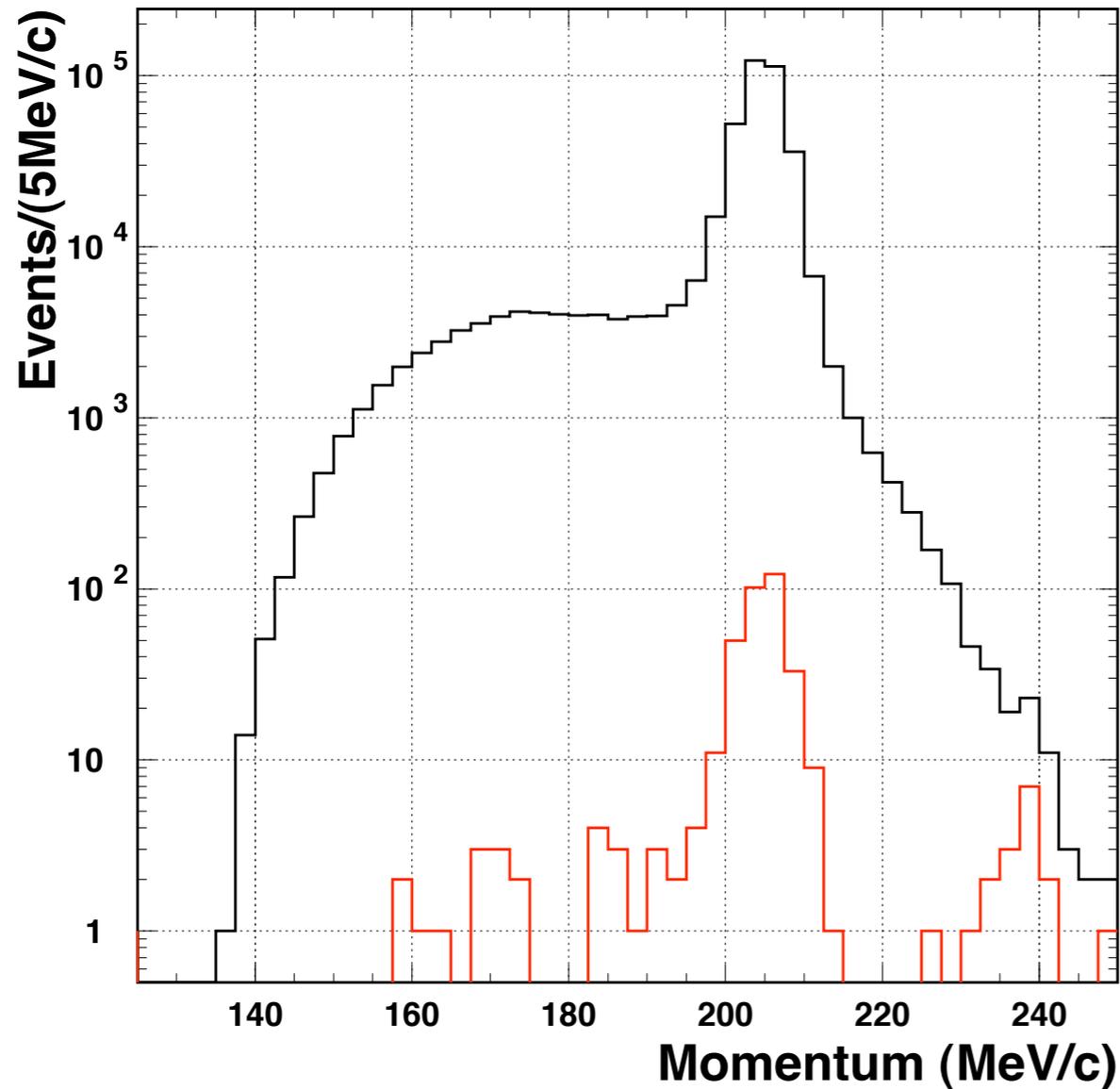
# $K\pi 2$ background in PNN1



- $\pi^+$  P/E/R get larger only due to mis-measurement.
- $\pi^+$  triggered by RS ensures  $\pi^0$  in the opposite direction (and  $\gamma$ 's are covered by Barrel and Endcap).



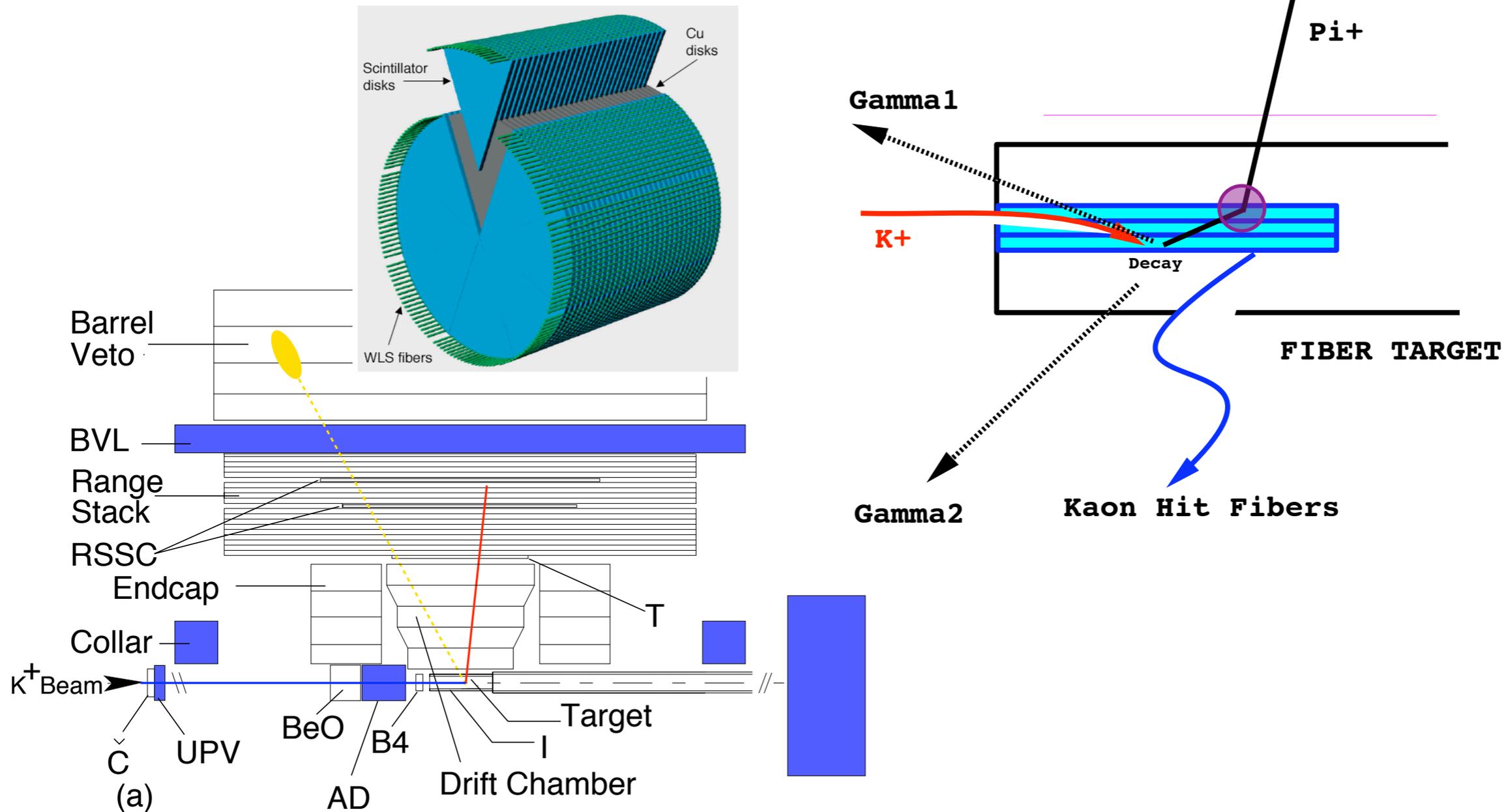
# $K\pi 2$ background in PNN2



- $\pi^+$  P/E/R can get smaller due to scattering (and energy loss) in the Target.
- $\pi^0$  (and  $\gamma$ 's) may go to the beam region whose coverage is weak.

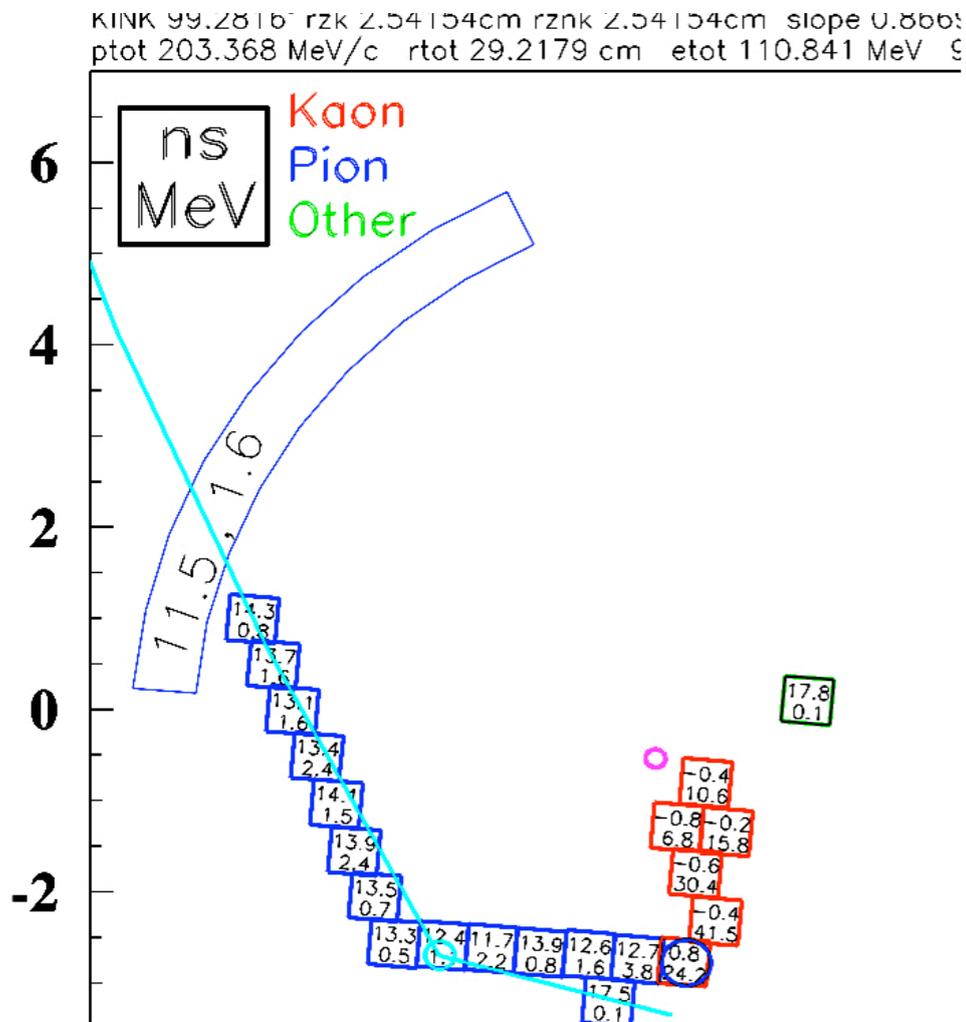
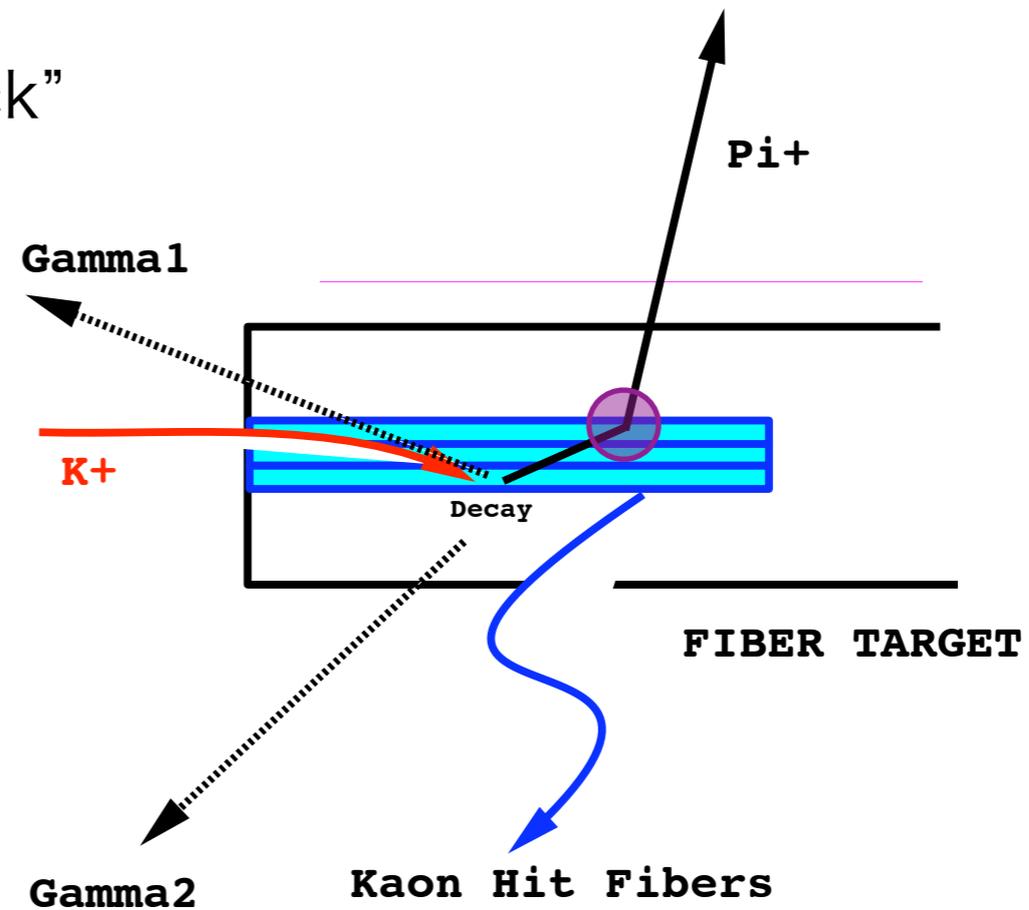
# $K\pi 2$ background in PNN2

- $\gamma$  detection in the beam region



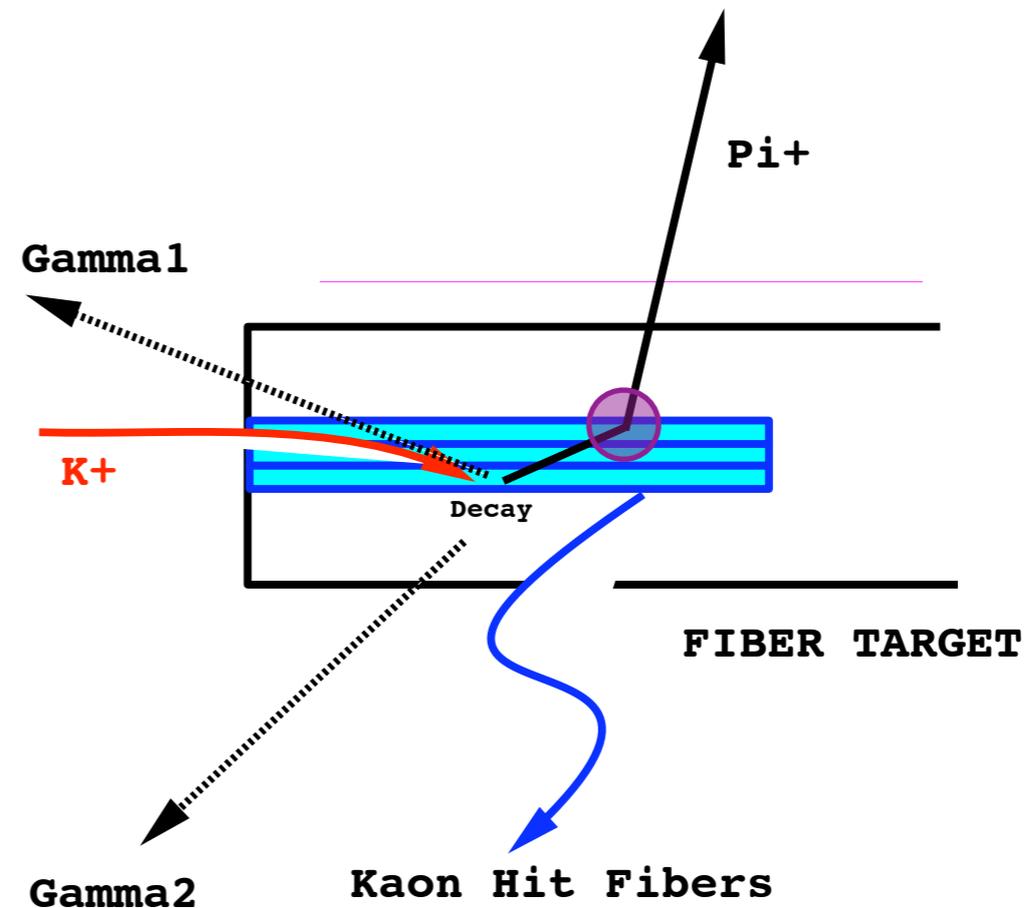
# $K\pi 2$ background in PNN2

- Identification of  $\pi^+$  scattering in the Target fibers:
  - “kink” in the XY pattern of fibers
  - $\pi^+$  track that does not “point back” to the  $K^+$  decay point.

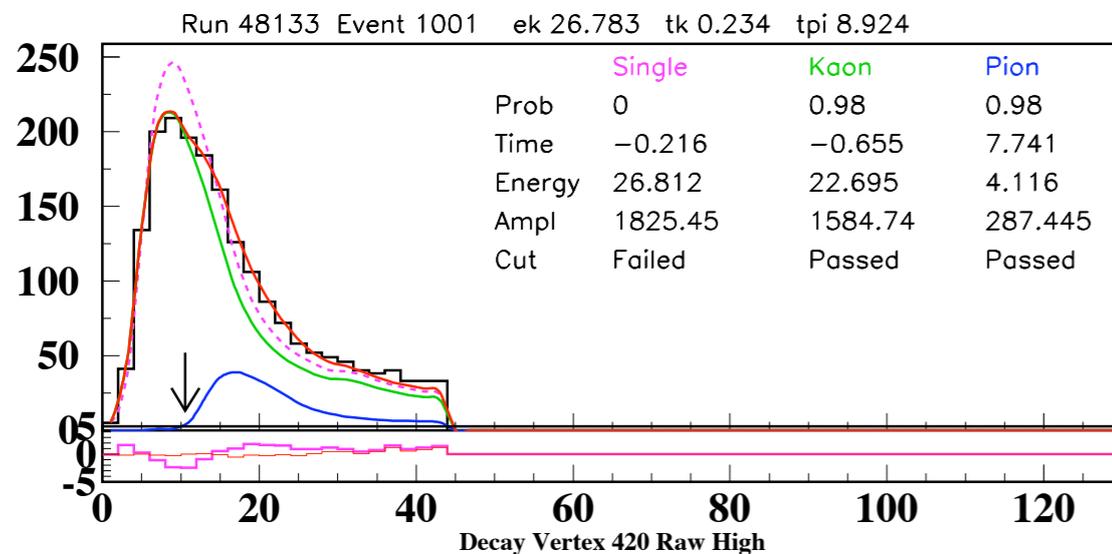


# $K\pi 2$ background in PNN2

- Identification of  $\pi^+$  scattering in the Target fibers:
  - large energy deposit hidden in the Kaon Hit Fibers
  - extra energy deposit inconsistent with outgoing  $\pi^+$

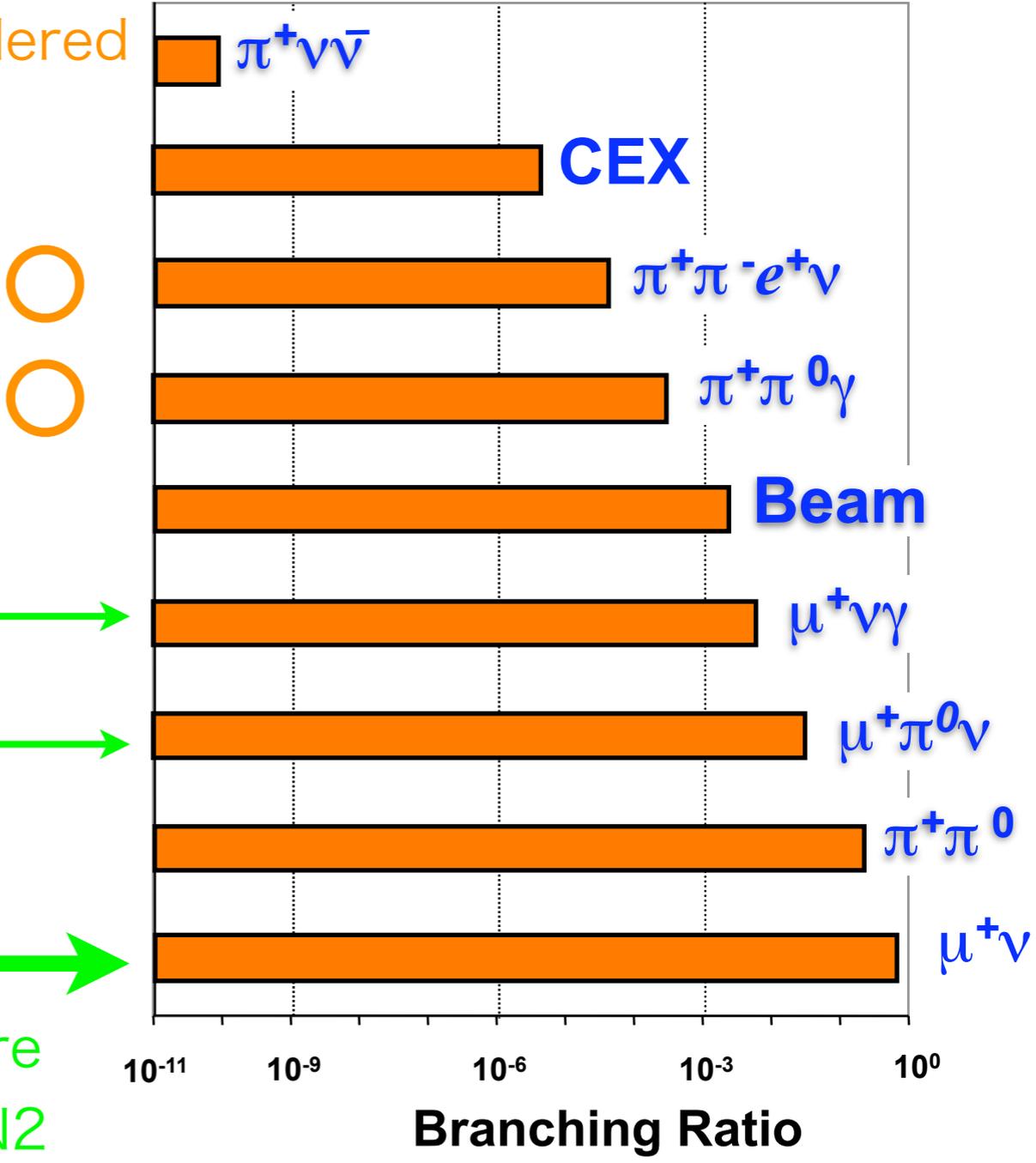
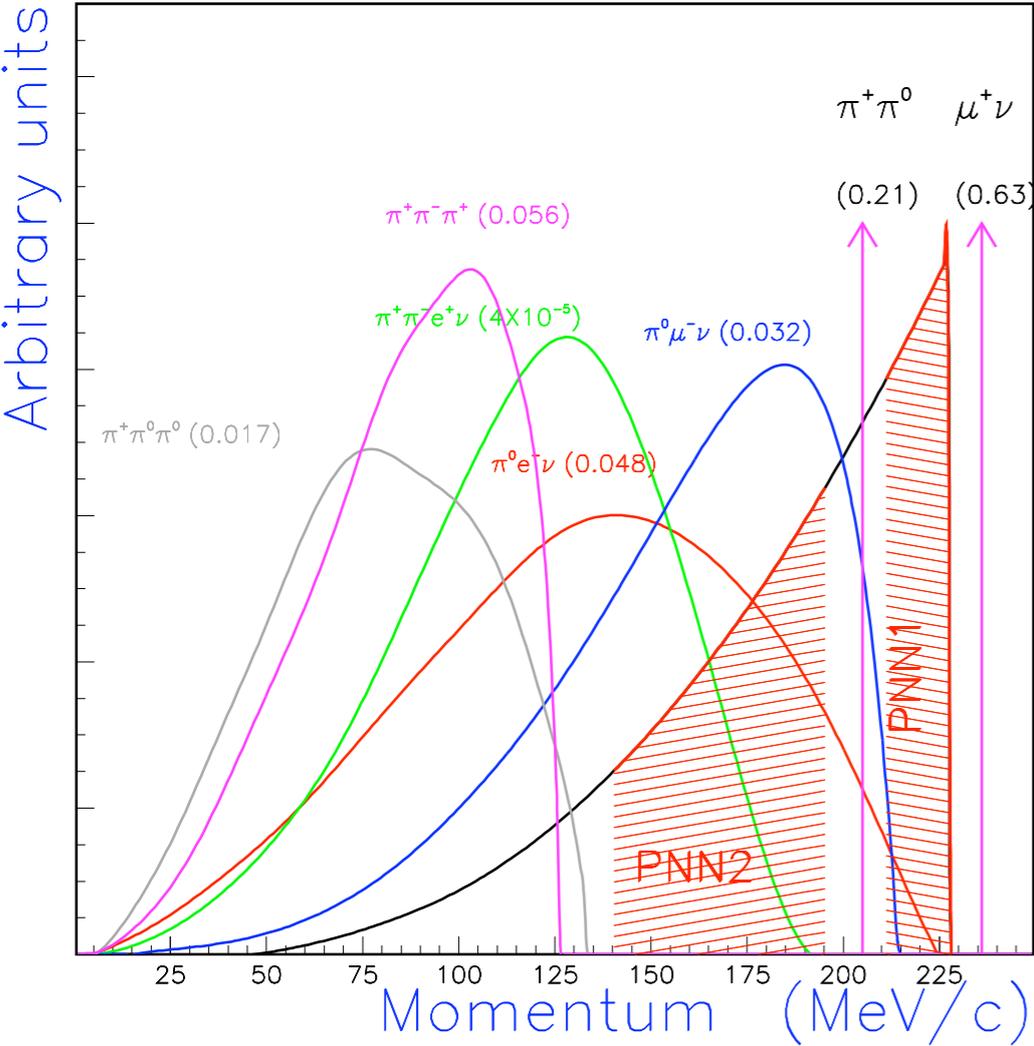


## CCD pulse fitting in kaon fibers



# background sources in PNN2

more sources  
should be  
considered

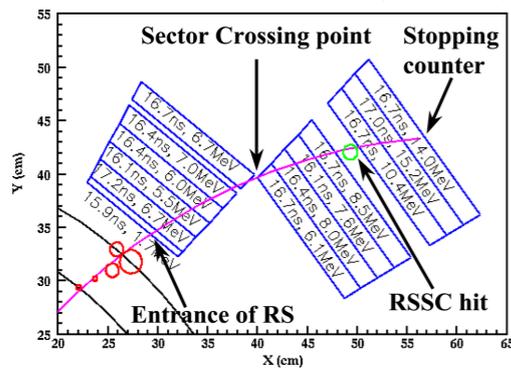


$\mu$  backgrounds are  
not serious in PNN2  
(in particular  $\mu+\nu$ )

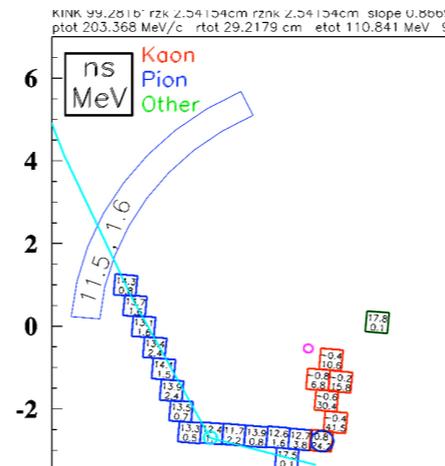
# selection criteria (cuts)

- signal region:  
 $140 < P < 199 \text{ MeV}/c$   
 Range: R, Kinetic Energy: E

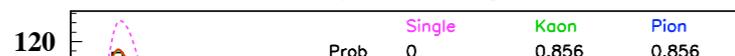
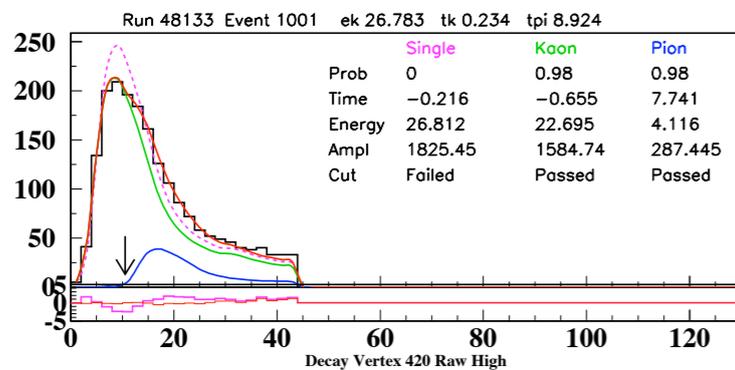
- Kinematic cuts (KIN)



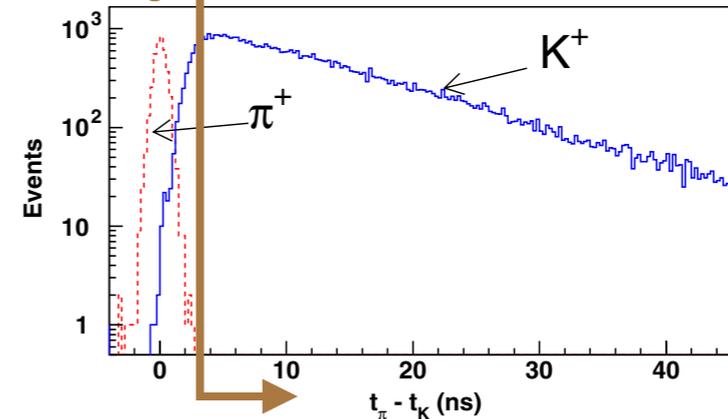
- Target cuts (TARGET)



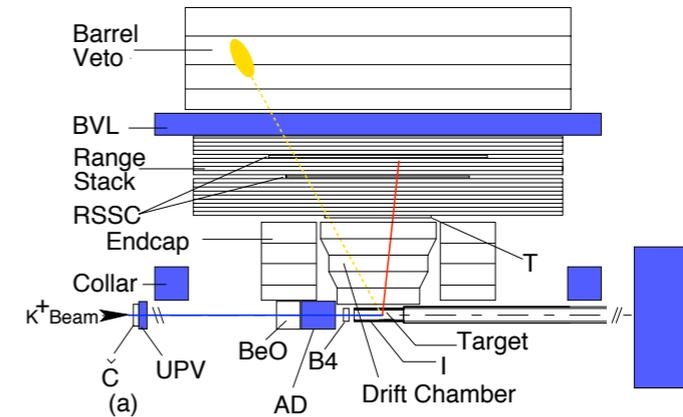
- CCD pulse cuts (CCDPUL)



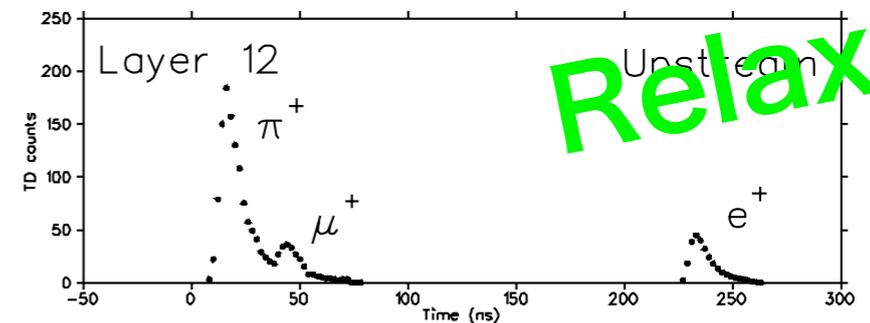
- Beam cuts (BEAM) and Delayed Coincidence cuts (DC)



- Photon Veto cuts (PV)



- $\pi^+$  identification cuts (TD)



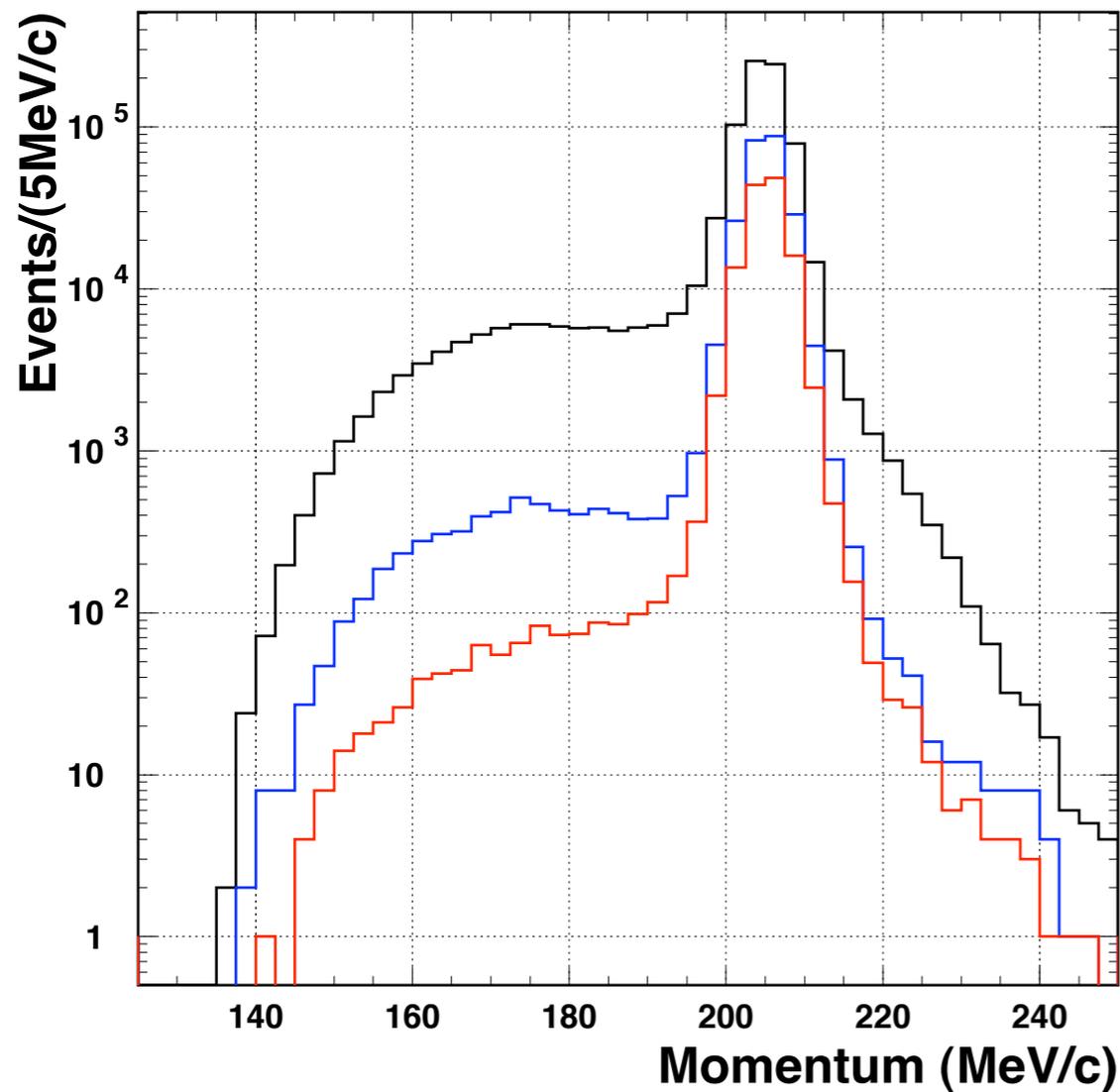
Relaxed

- "Pathology" cuts

## Background studies: our policy and philosophy

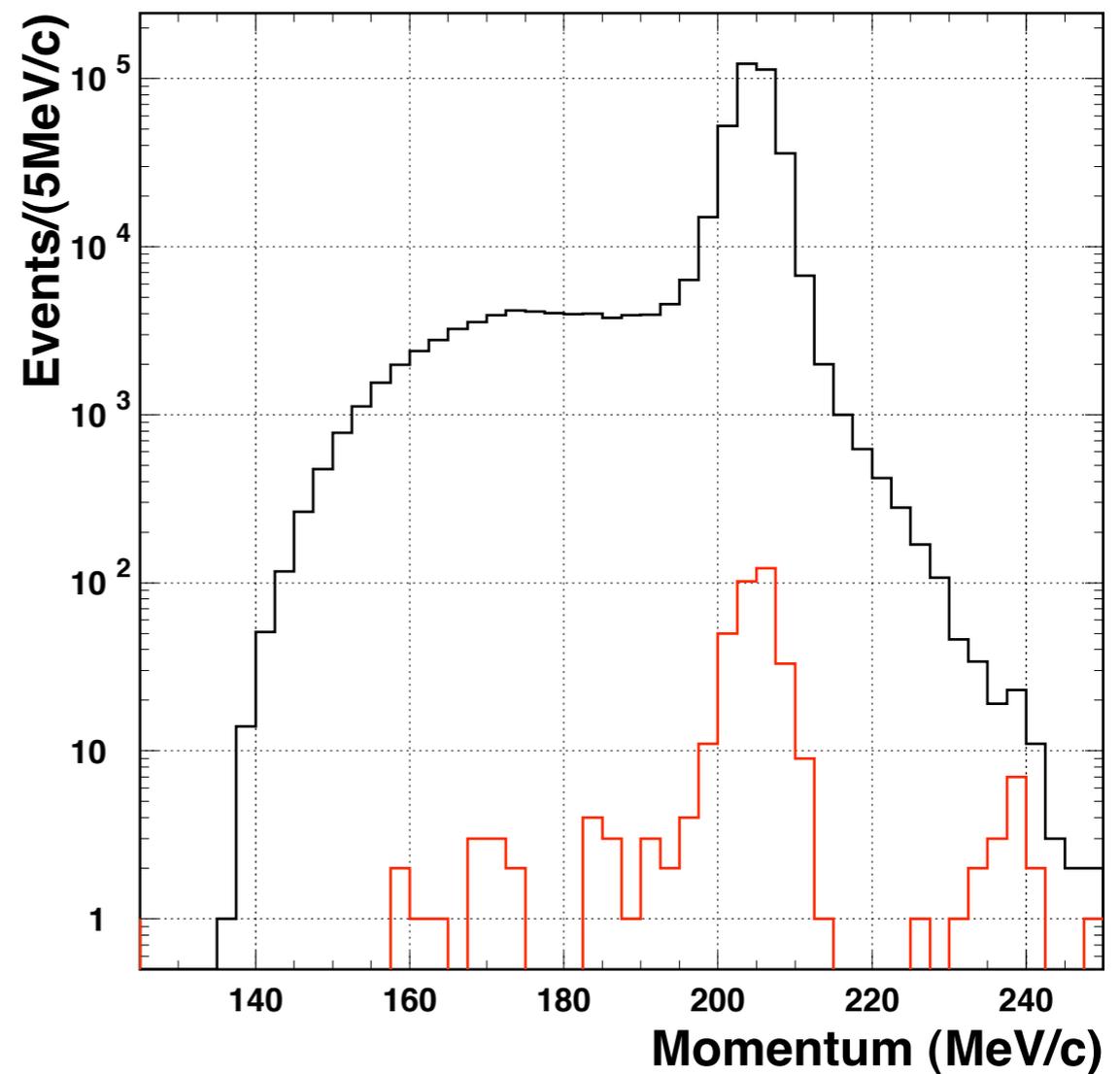
- To avoid bias due to small statistics,
  - 1/3 of sample: for study
  - 2/3 of sample: (keep untouched)
- invert at least one of the cuts to:
  - enhance the backgrounds collected by the trigger
  - prevent candidate events from being examined (“Blind Analysis” even at the event selection)
- Cuts were frozen with the 1/3 sample.
- Then measure the background levels in the search region with the remaining (independent) 2/3 sample.

# $K_{\pi 2}$ background in PNN2



photon tagged sample

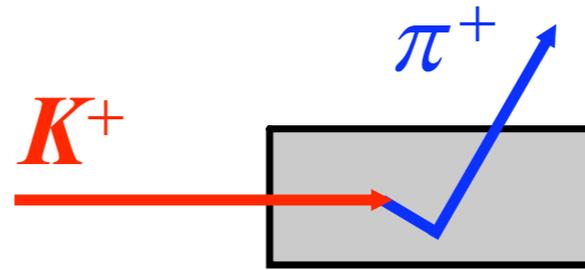
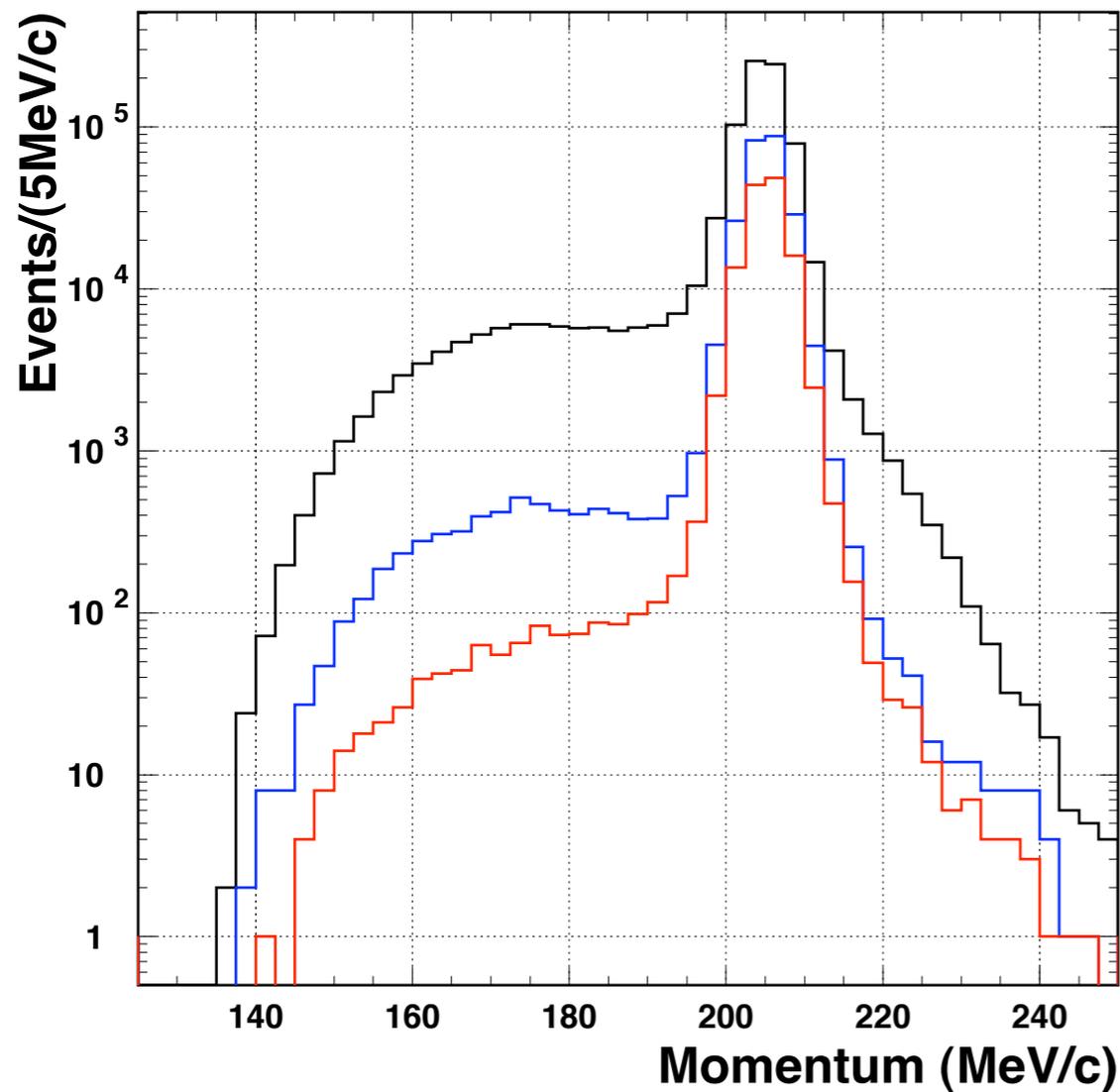
- imposing TARGET cuts
- imposing CCDPUL cuts



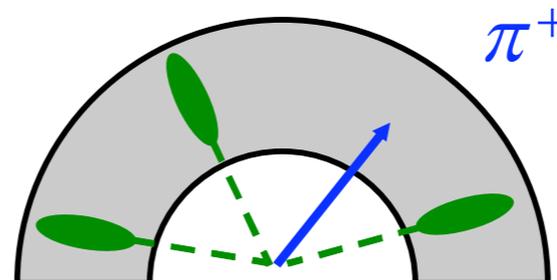
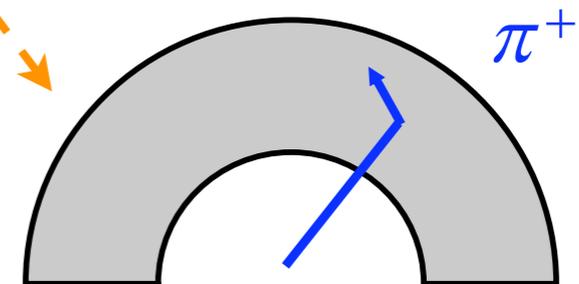
$\pi^+$  + scatter tagged sample

- imposing PV cuts

# $K_{\pi 2}$ background in PNN2

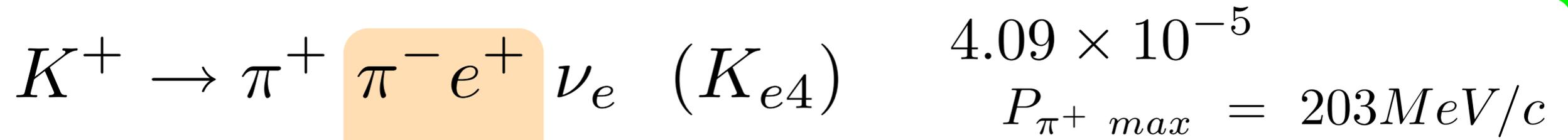


Process	Background events
$K_{\pi 2}$ TG-scatter	$0.619 \pm 0.150^{+0.067}_{-0.100}$
$K_{\pi 2}$ RS-scatter	$0.030 \pm 0.005 \pm 0.004$
$K_{\pi 2\gamma}$	$0.076 \pm 0.007 \pm 0.006$



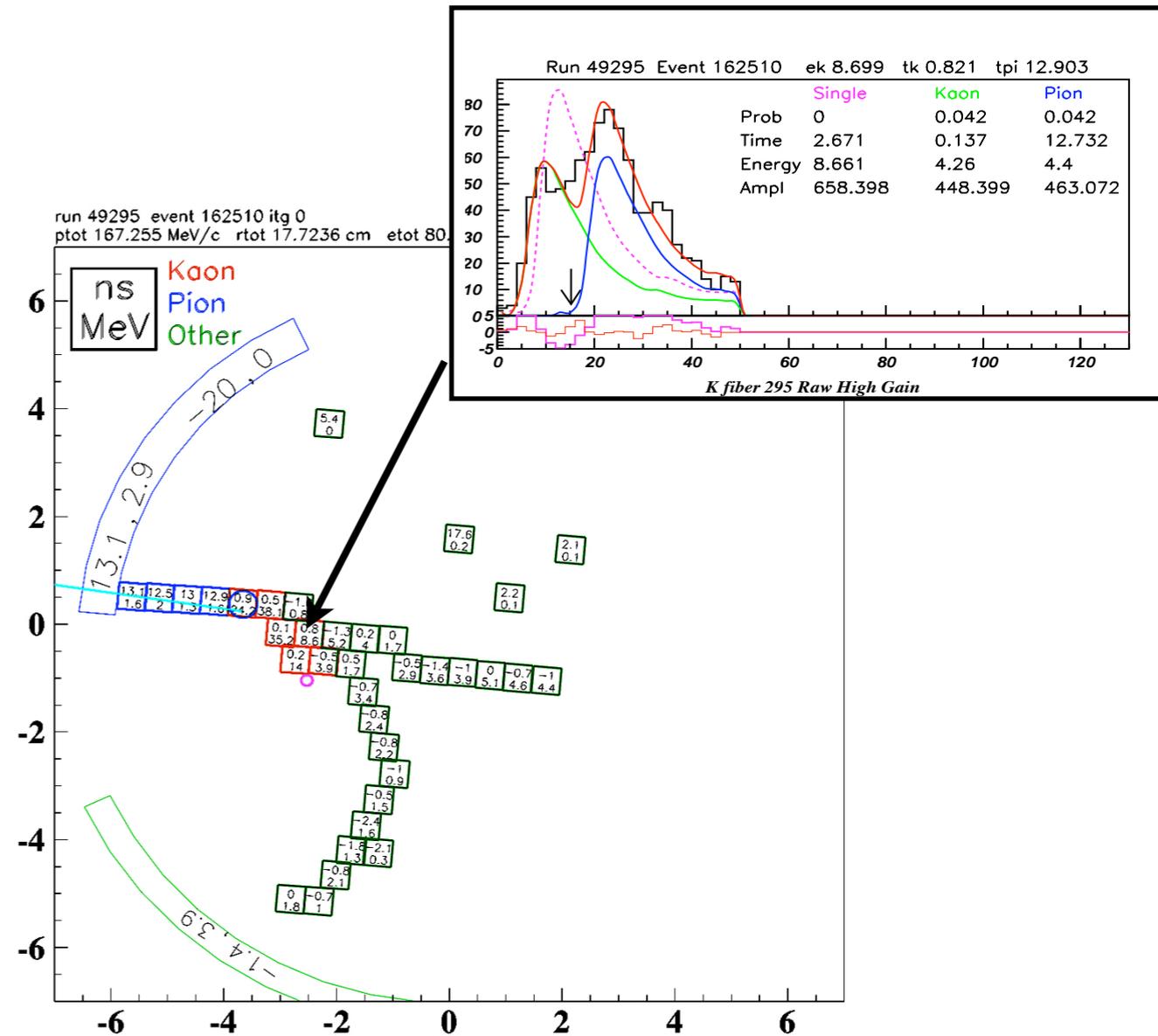
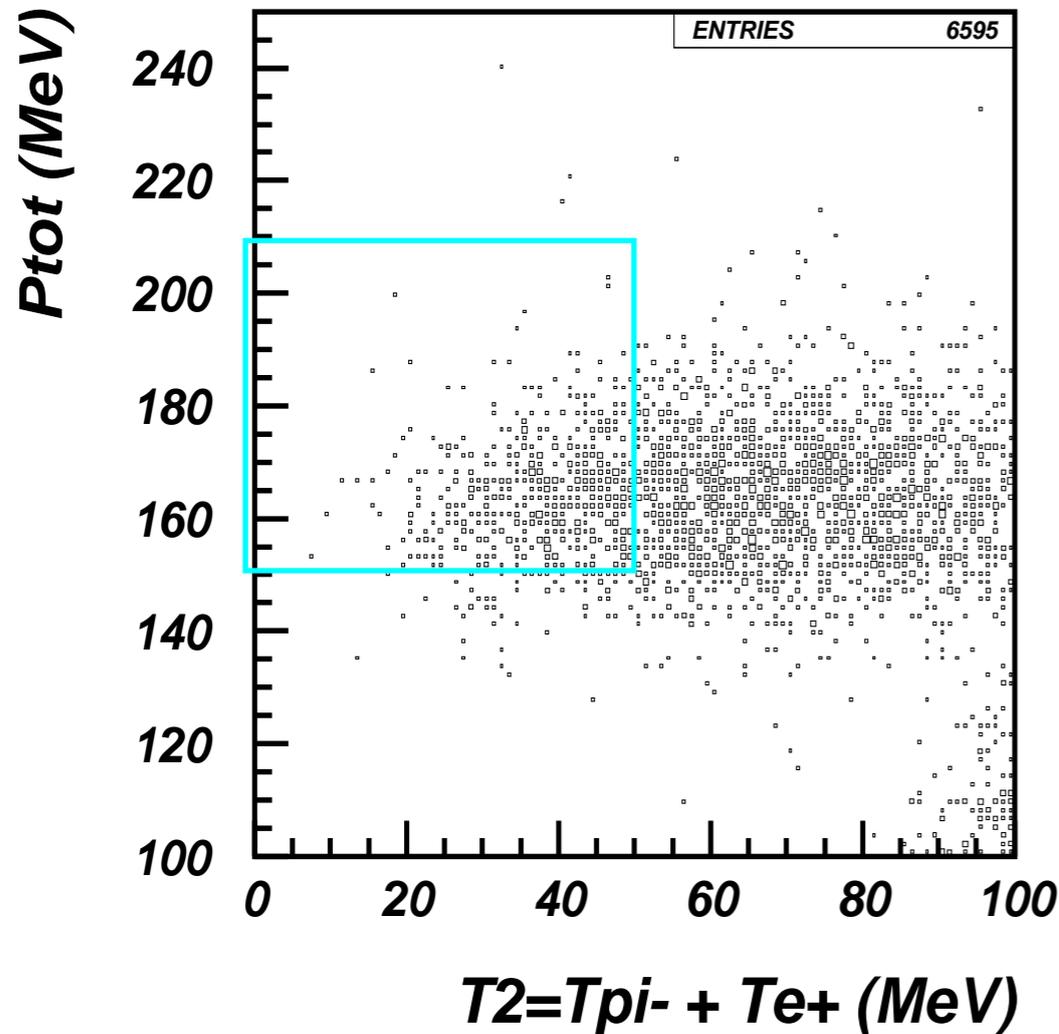
photon tagged sample

no photon in the final state

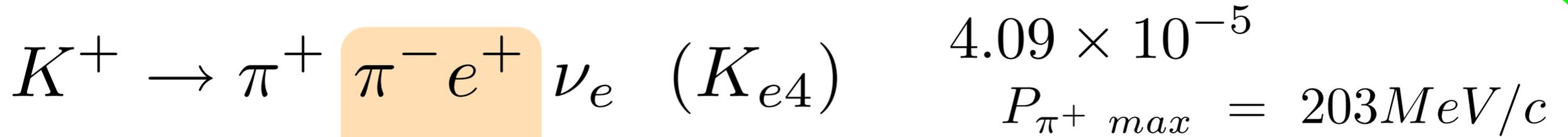


with small energy, and if undetected in the Target

Monte Carlo with PNN2 trigger

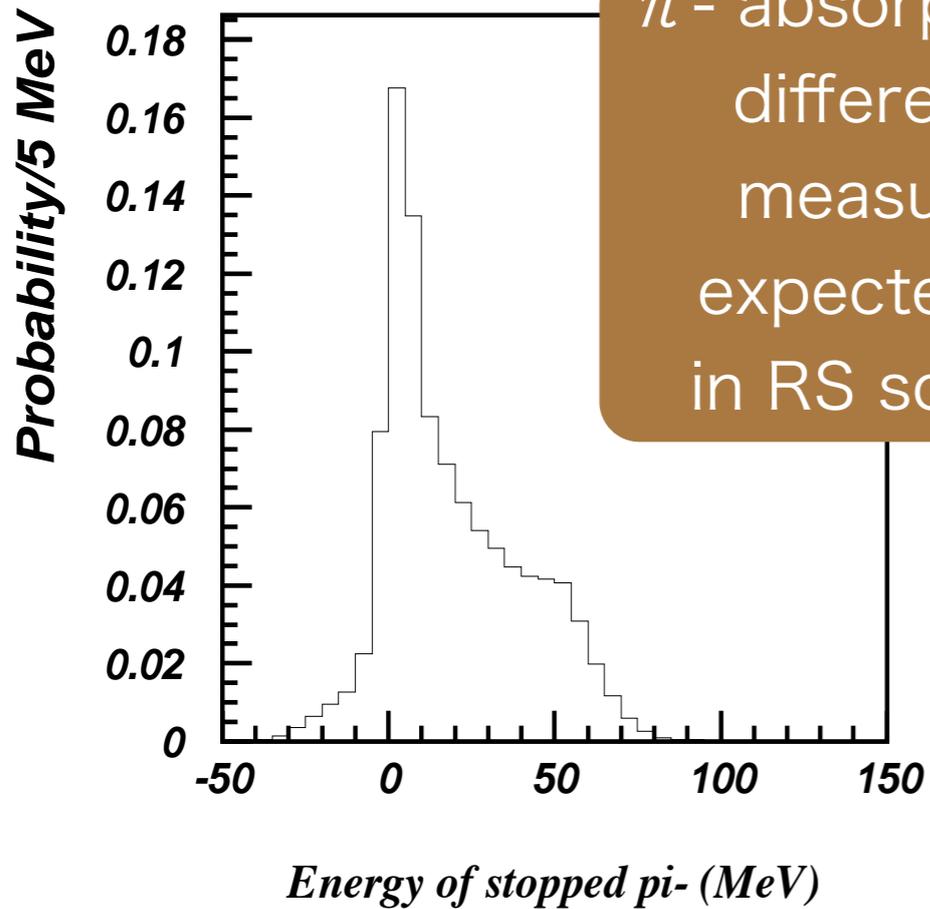


a  $K_{e4}$  candidate event,  
tagged by CCDPUL



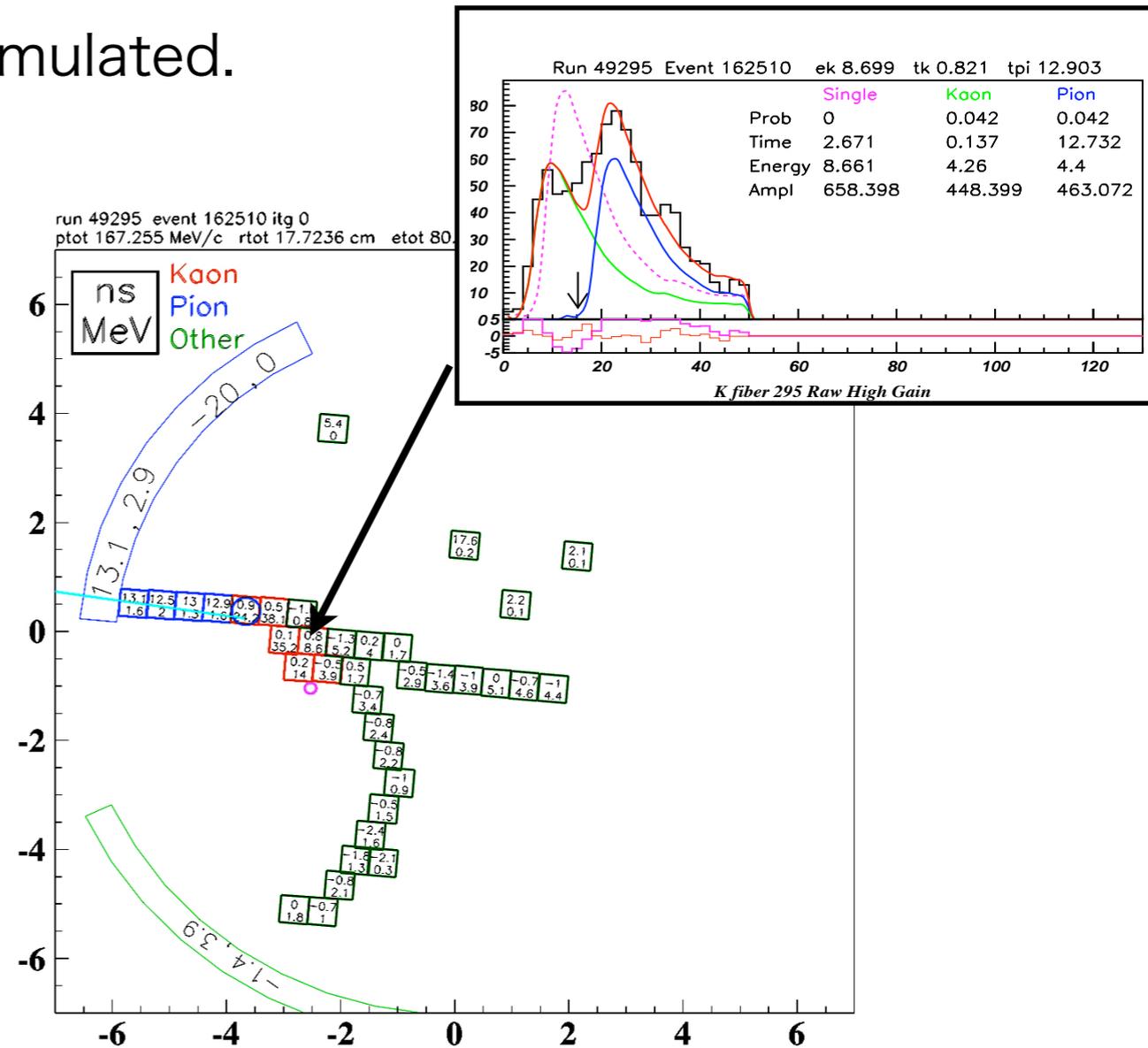
Target pattern recognition:

energy deposit of charged tracks are simulated.



$\pi^-$  - absorption (data):  
difference bet.  
measured and  
expected energy  
in RS scintillators

$e^+$  interaction (in EGS4)



a  $K_{e4}$  candidate event,  
tagged by CCDPUL

# total background in PNN2

Process	Bkgd events (E949)	Bkgd events (E787)
$K_{\pi 2}$ -scatter	$0.649 \pm 0.150^{+0.067}_{-0.100}$	$1.030 \pm 0.230$
$K_{\pi 2\gamma}$	$0.076 \pm 0.007 \pm 0.006$	$0.033 \pm 0.004$
$K_{e4}$	$0.176 \pm 0.072^{+0.233}_{-0.124}$	$0.052 \pm 0.041$
CEX	$0.013 \pm 0.013^{+0.010}_{-0.003}$	$0.024 \pm 0.017$
Muon	$0.011 \pm 0.011$	$0.016 \pm 0.011$
Beam	$0.001 \pm 0.001$	$0.066 \pm 0.045$
Total bkgd	$0.93 \pm 0.17^{+0.32}_{-0.24}$	$1.22 \pm 0.24$

Region  
 $P_{\pi^+}$  (MeV/c)  
 Years  
 Ref:  
 Stopped  $K^+$   
 Acceptance  
 SES  
 Background  
 Candidates  
 $\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 22 \times 10^{-10}$

“PNN2”  
 [140,195]  
 E787 96-97  
 PR **D70**,  
 037102 (2004)

[140,199]  
 E949  
 arXiv:0808.2459

“PNN1”  
 [211,229]  
 E787(95, 96-97,98)  
 E949  
 PRL **93**,  
 031801 (2004)

$1.73 \times 10^{12}$   
 0.084%  
 $6.9 \times 10^{-10}$

$1.71 \times 10^{12}$   
 0.137%  
 $4.3 \times 10^{-10}$

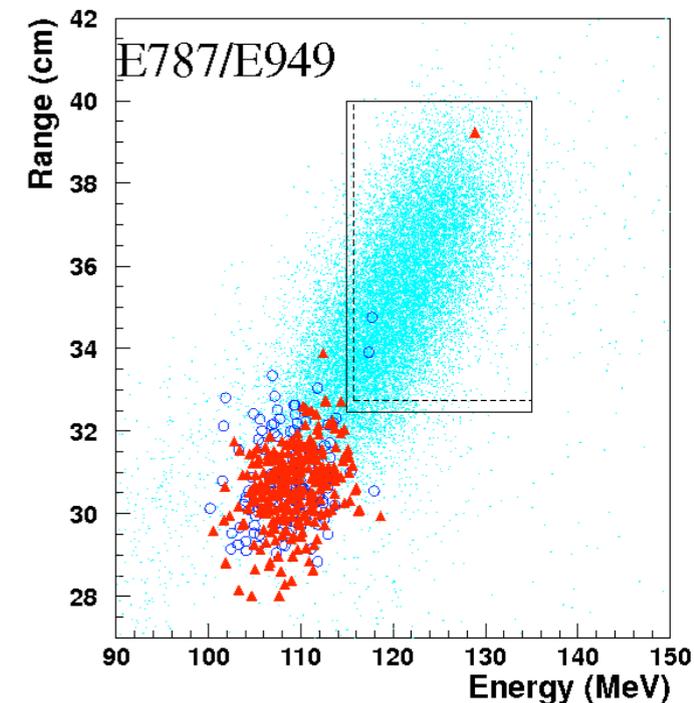
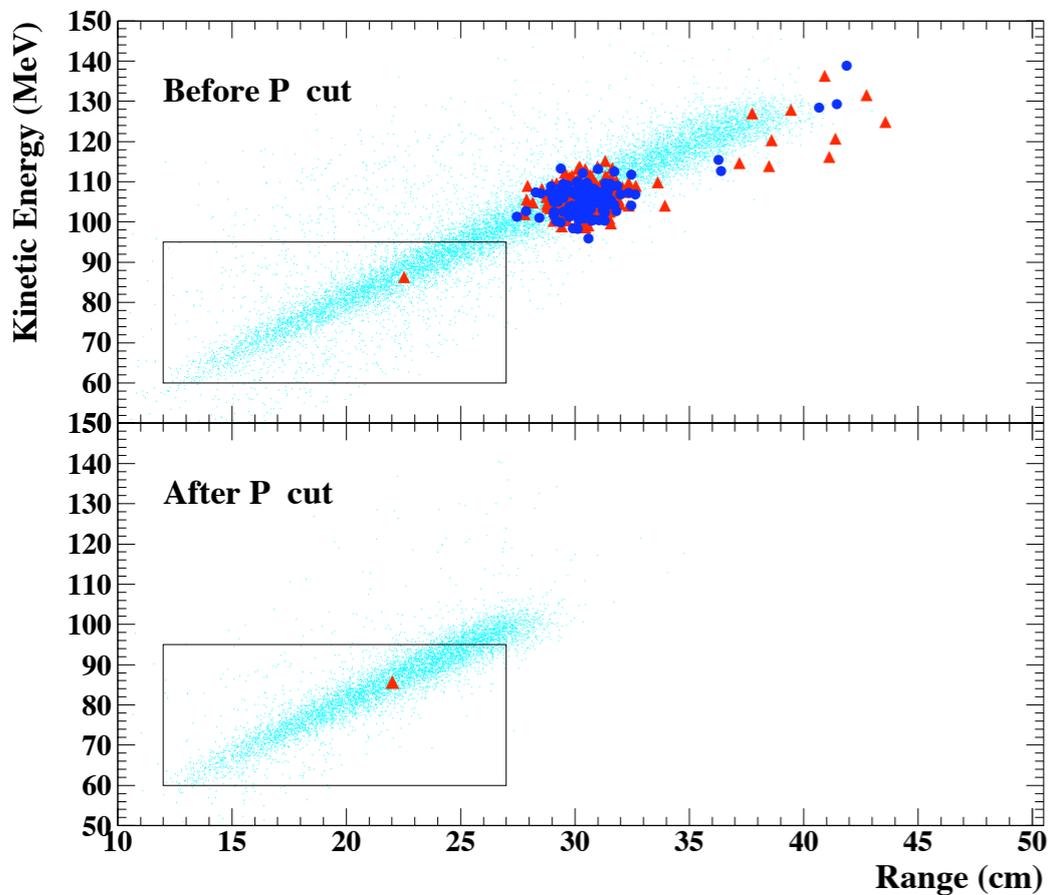
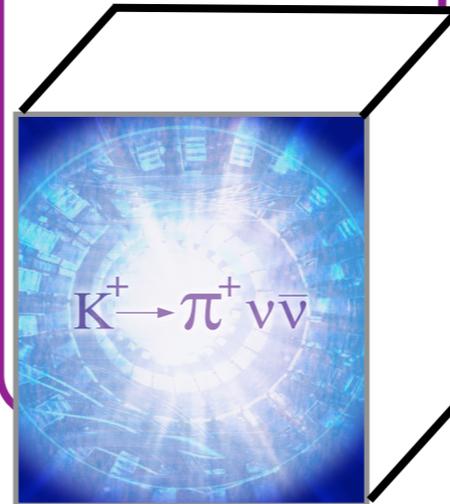
$7.7 \times 10^{12}$   
 0.2%  
 $0.63 \times 10^{-10}$

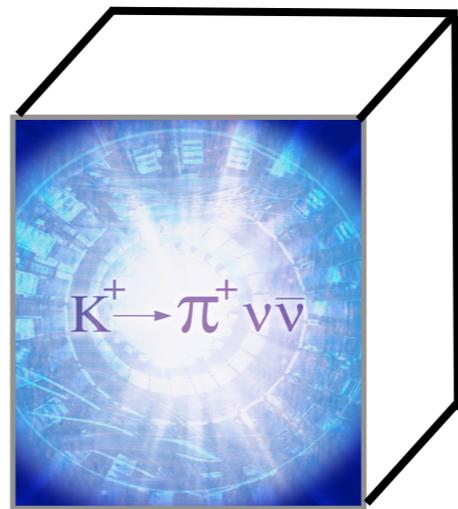
$1.22 \pm 0.24$   
 1

$0.93 \pm 0.17^{+0.32}_{-0.24}$

$0.44 \pm 0.05$   
 3

$(1.47^{+1.30}_{-0.89}) \times 10^{-10}$





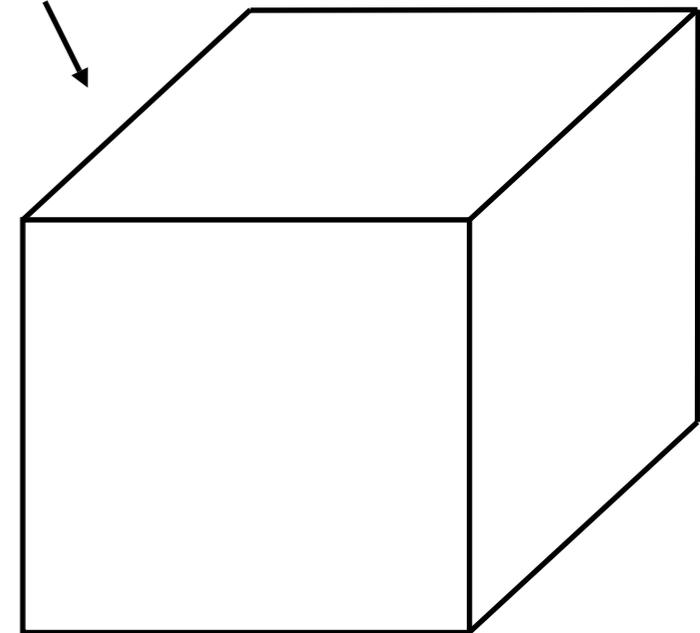
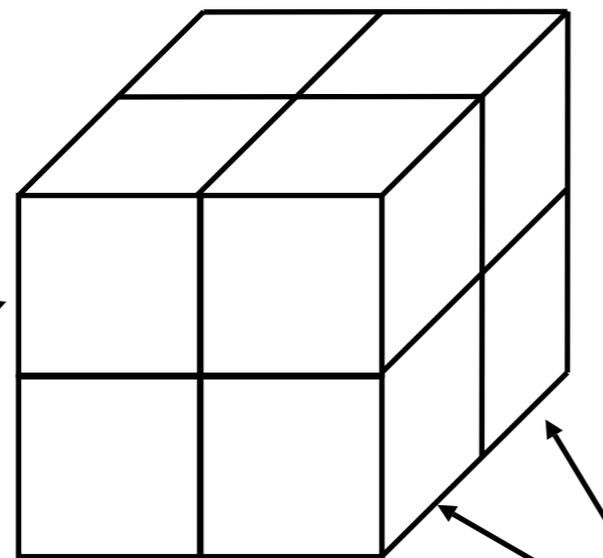
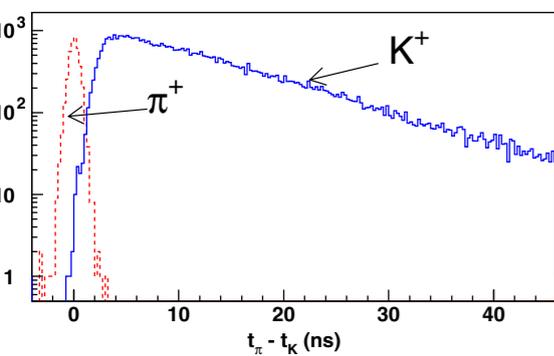
results

By further tightening four of the cuts,  
Signal region (in multi-dimensional “cut” space)  
was divided into 9 cells.

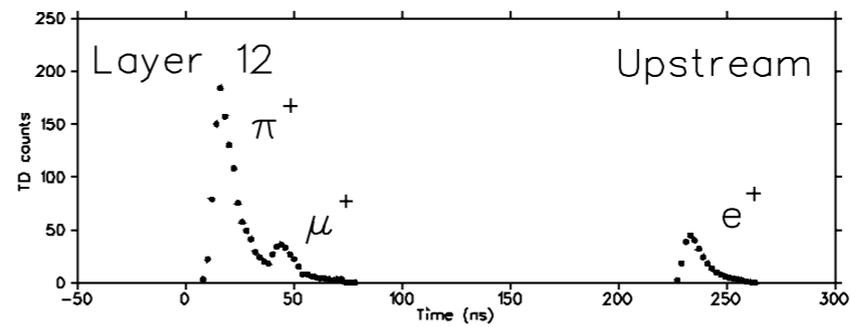
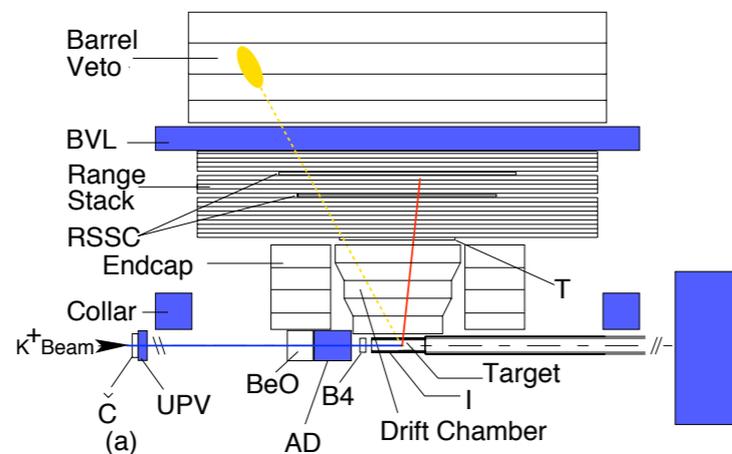
tight-“signal region”  
 $165 < P < 197 \text{ MeV}/c$   
 $17 < R < 28 \text{ cm}, 72 < E < 100 \text{ MeV}$

“Signal region”  
 $140 < P < 199 \text{ MeV}/c$   
 $12 < R < 28 \text{ cm}, 60 < E < 100.5 \text{ MeV}$   
 excluding the tight region

Delayed  
Coincidence (DC)



Photon Veto  
(PV)



$\pi^+$  identification (TD)

By further tightening four of the cuts,  
Signal region (in multi-dimensional “cut” space)  
was divided into 9 cells.

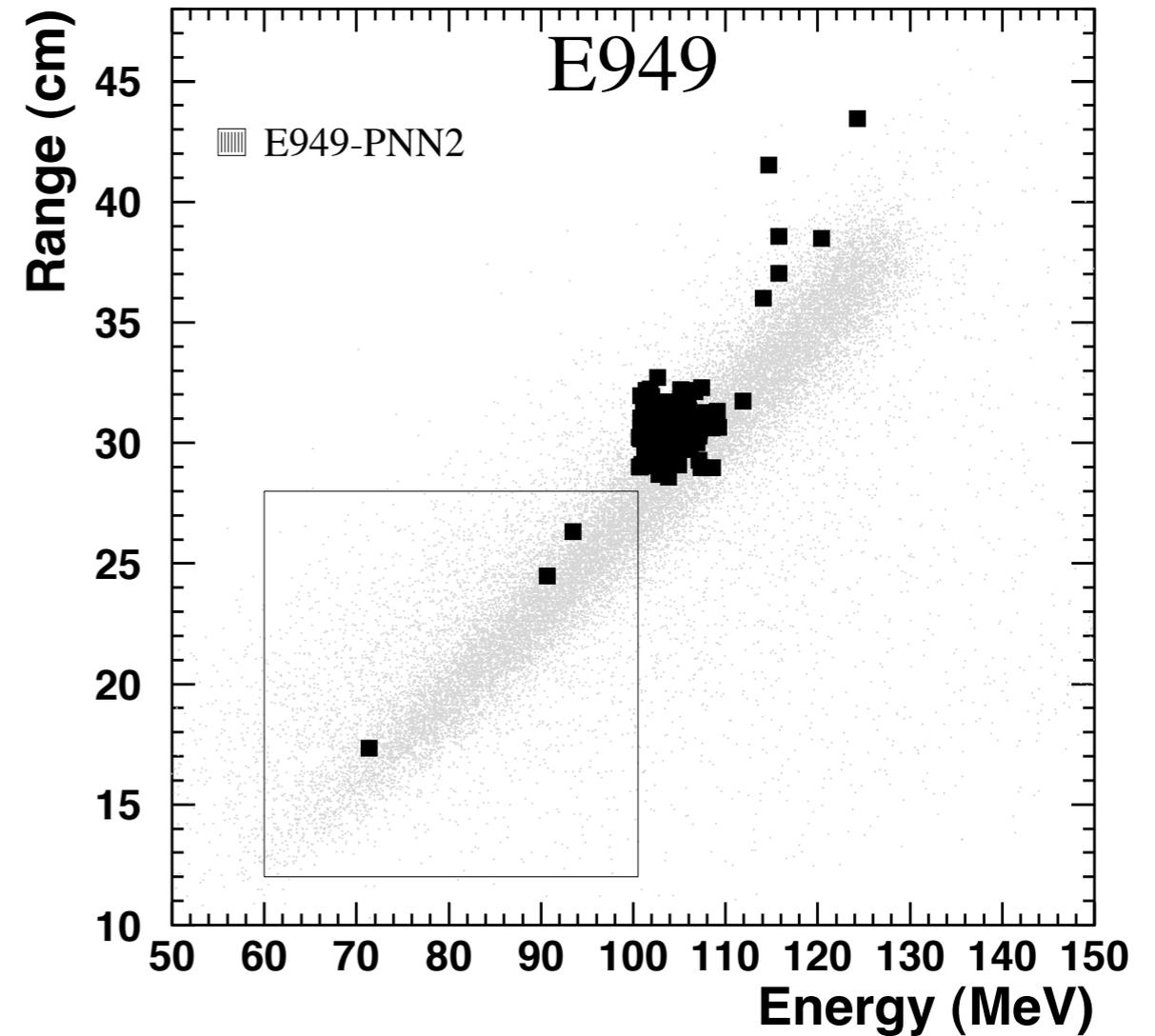
Acc =  
0.137%

rel. Acc	bkg	Acc/bkg
0.314	0.152	2.065
0.073	0.038	1.921
0.031	0.019	1.653
0.007	0.005	1.559
0.287	0.243	1.183
0.066	0.059	1.135
0.028	0.027	1.036
0.006	0.007	0.998
0.188	0.379	0.496
sum	1	0.93

By further tightening four of the cuts,  
Signal region (in multi-dimensional “cut” space)  
was divided into 9 cells.

Acc =  
0.137%

rel. Acc	bkg	Acc/bkg
0.314	0.152	2.065
0.073	0.038	1.921
0.031	0.019	1.653
0.007	0.005	1.559
0.287	0.243	1.183
0.066	0.059	1.135
0.028	0.027	1.036
0.006	0.007	0.998
0.188	0.379	0.496
sum	1	0.93

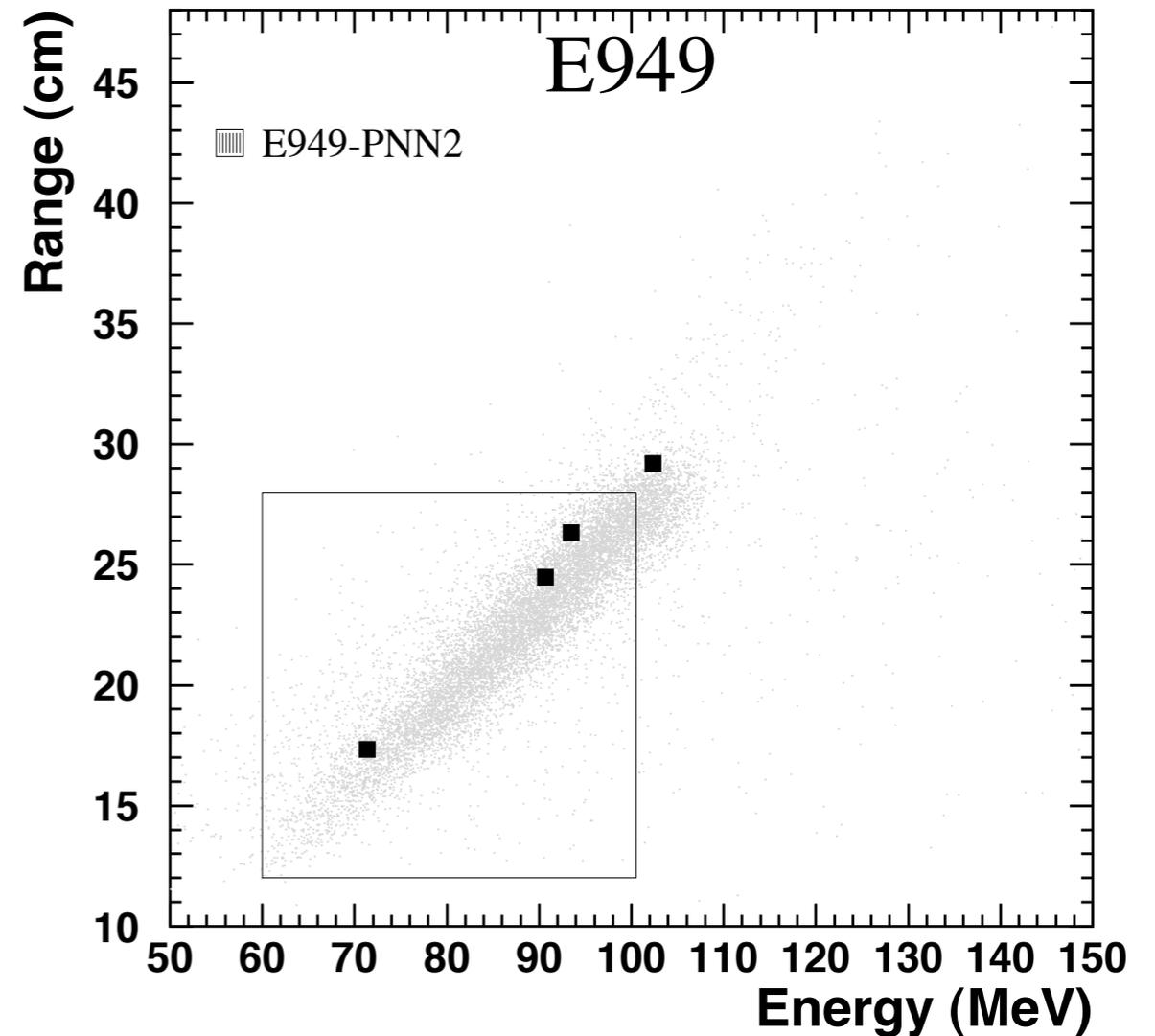


all the cuts  
except for  $140 < P < 199$  MeV/c

By further tightening four of the cuts,  
Signal region (in multi-dimensional “cut” space)  
was divided into 9 cells.

Acc =  
0.137%

rel. Acc	bkg	Acc/bkg
0.314	0.152	2.065
0.073	0.038	1.921
0.031	0.019	1.653
0.007	0.005	1.559
0.287	0.243	1.183
0.066	0.059	1.135
0.028	0.027	1.036
0.006	0.007	0.998
0.188	0.379	0.496
sum	1	0.93

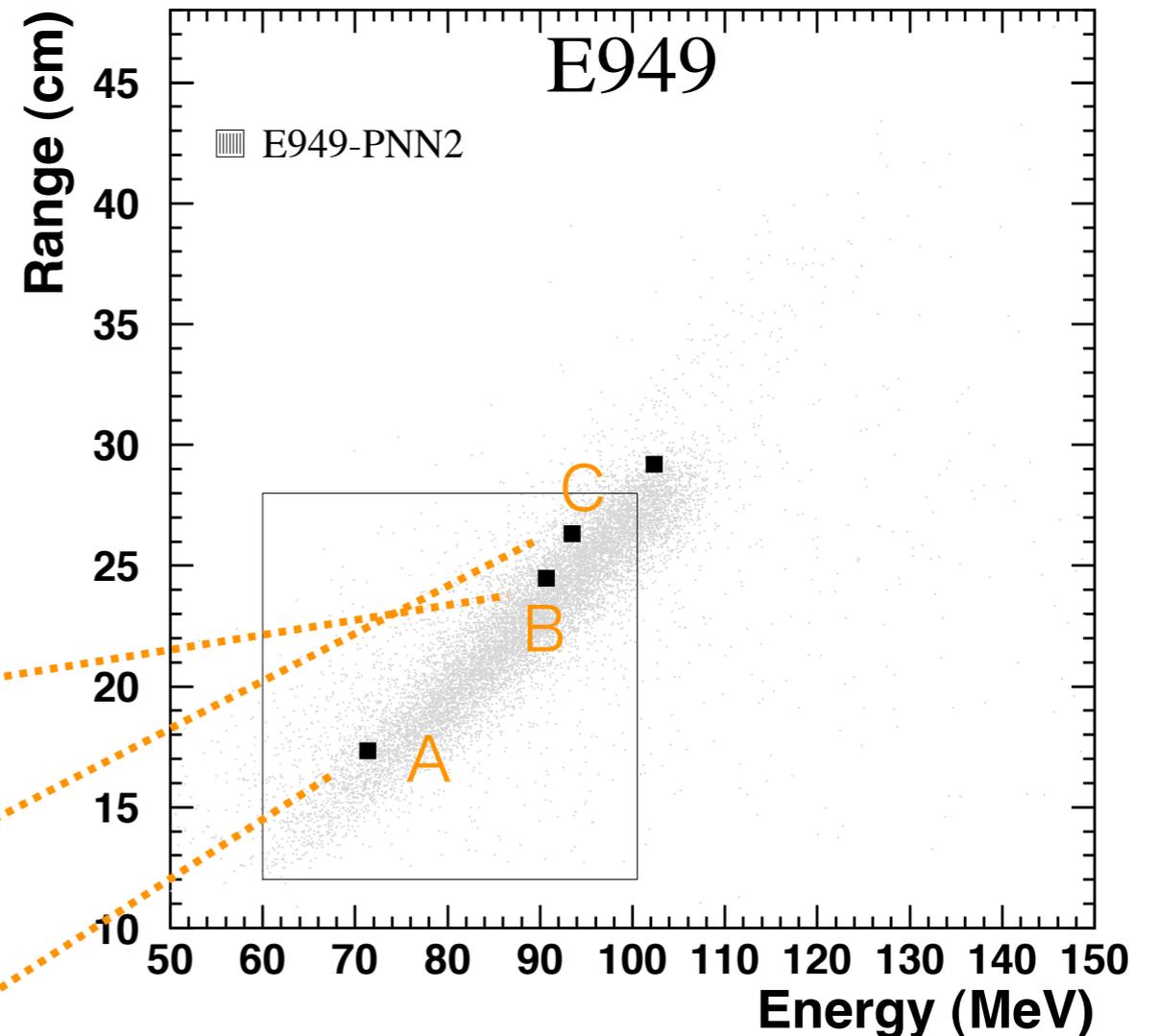


after imposing  $140 < P < 199$  MeV/c

By further tightening four of the cuts,  
Signal region (in multi-dimensional “cut” space)  
was divided into 9 cells.

Acc =  
0.137%

rel. Acc	bkg	Acc/bkg	data
0.314	0.152	2.065	0
0.073	0.038	1.921	0
0.031	0.019	1.653	0
0.007	0.005	1.559	0
0.287	0.243	1.183	1
0.066	0.059	1.135	0
0.028	0.027	1.036	1
0.006	0.007	0.998	0
0.188	0.379	0.496	1
sum	1	0.93	



after imposing  $140 < P < 199$  MeV/c

The probability that the 3 events were due to background only is 3.7%.

named as	A	B	C
P (MeV/c)	161.46	188.40	191.30
R (cm)	17.29	24.16	26.10
E (MeV)	76.07	95.57	97.94
tight Box	fail	pass	pass
K+ decay time (ns)	3.70	15.74	5.14
$\pi$ + decay time (ns)	22.4	16.7	10.2
$\mu$ + decay time (ns)	5959	2271	9508
tight DelCo	fail	pass	fail
tight PhotonVeto	pass	fail	fail
tight TD	pass	pass	pass

Region "PNN2"  
 $P_{\pi^+}$  (MeV/c) [140,195]  
 Years E787 96-97  
 Ref: PR **D70**,  
 037102 (2004)

Stopped  $K^+$   $1.73 \times 10^{12}$   
 Acceptance 0.084%  
 SES  $6.9 \times 10^{-10}$

Background  $1.22 \pm 0.24$   
 Candidates 1

$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 22 \times 10^{-10}$

[140,199]  
 E949  
 arXiv:0808.2459

$1.71 \times 10^{12}$   
 0.137%  
 $4.3 \times 10^{-10}$

$0.93 \pm 0.17^{+0.32}_{-0.24}$   
 3

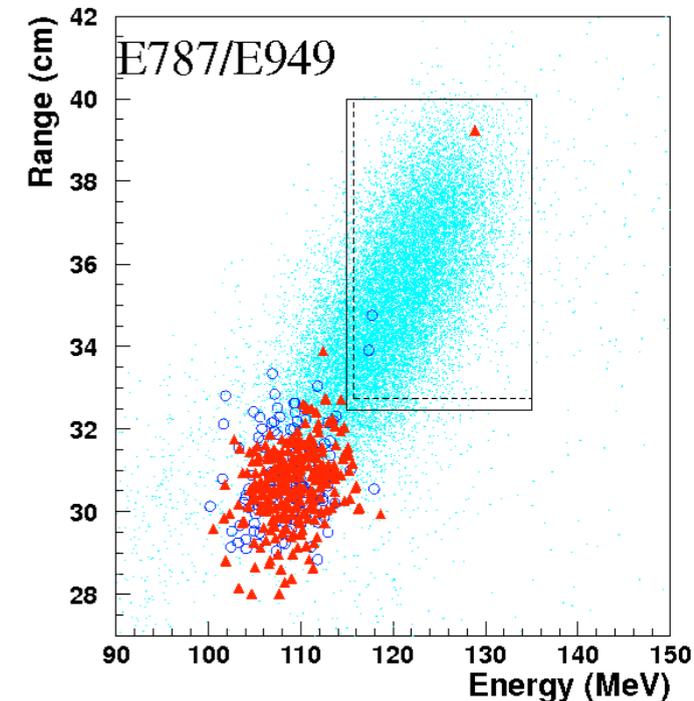
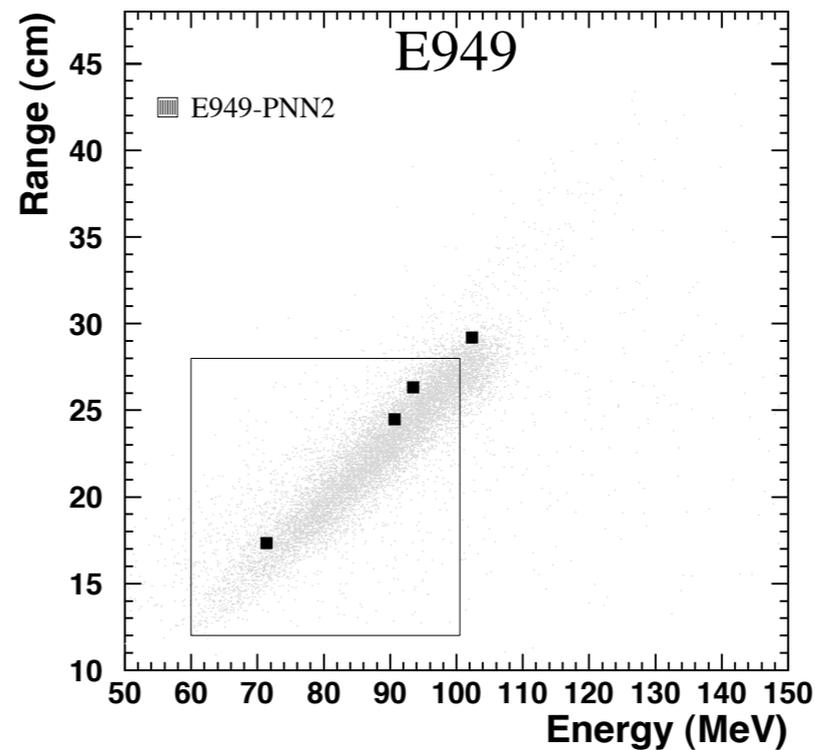
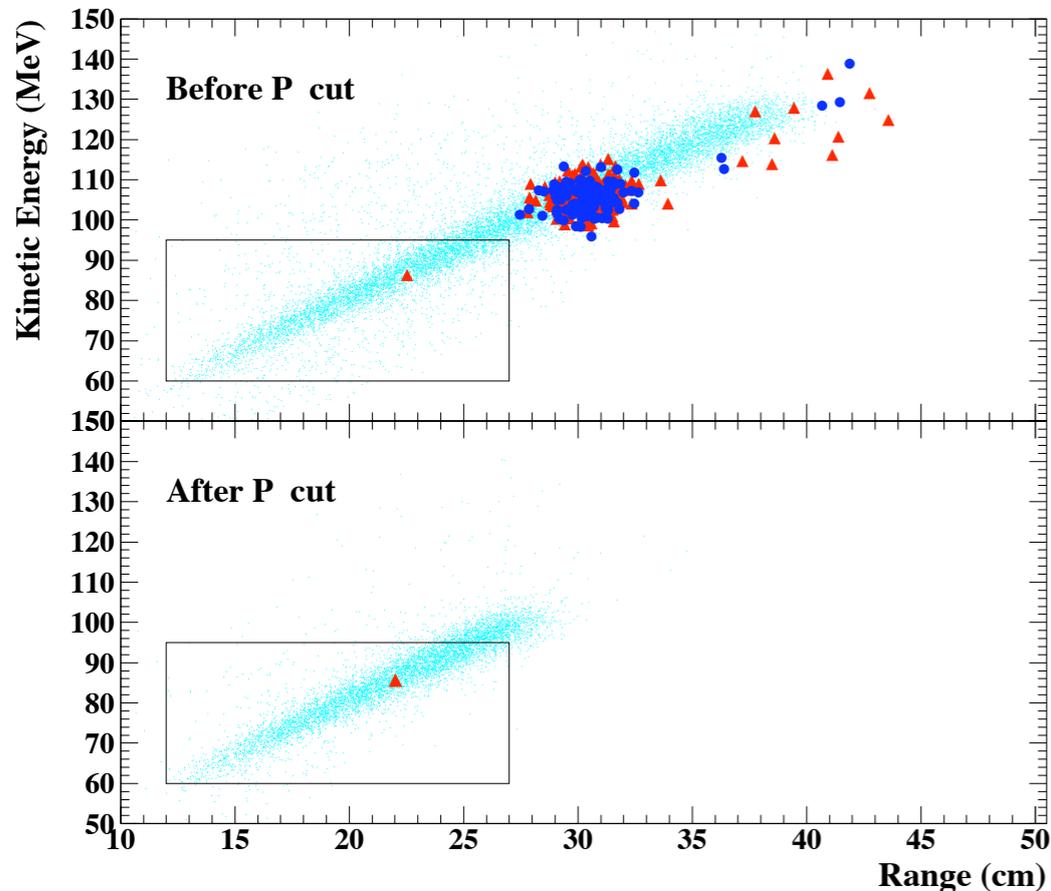
$(7.89^{+9.26}_{-5.10}) \times 10^{-10}$

Region "PNN1"  
 $P_{\pi^+}$  (MeV/c) [211,229]  
 Years E787(95, 96-97,98)  
 Ref: E949  
 PRL **93**,  
 031801 (2004)

$7.7 \times 10^{12}$   
 0.2%  
 $0.63 \times 10^{-10}$

$0.44 \pm 0.05$   
 3

$(1.47^{+1.30}_{-0.89}) \times 10^{-10}$

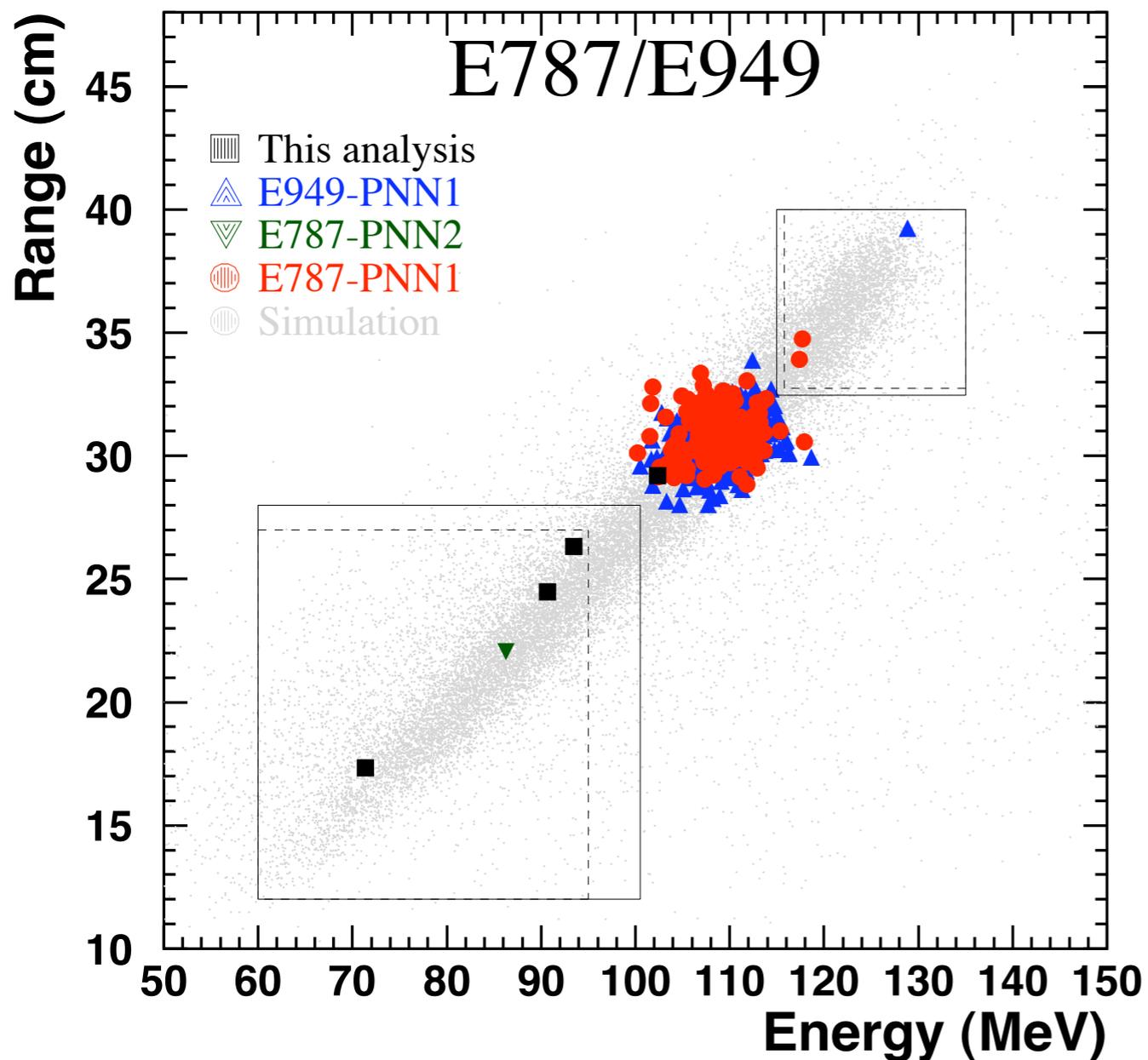


with

$$S_i(BR) \equiv BR \bullet N_K \bullet A_i$$

$$A_i / b_i \implies S_i / b_i d_i$$

FIG. 1 in arXiv:0808.2459



	$S_i / b_i$
E787-PNN1 95	59
E787-PNN1 98	8.2
E949-PNN1 02	1.1
E787-PNN2 96	0.2
E949-PNN1 02A	0.20
E949-PNN1 02B	0.47
E949-PNN1 02C	0.42

with  $BR = 1.73 \times 10^{-10}$

The probability that all 7 events were due to background is 0.1% ( $3\sigma$ ).

$$B.R.(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

68%C.L. interval

90%C.L. interval upper limit

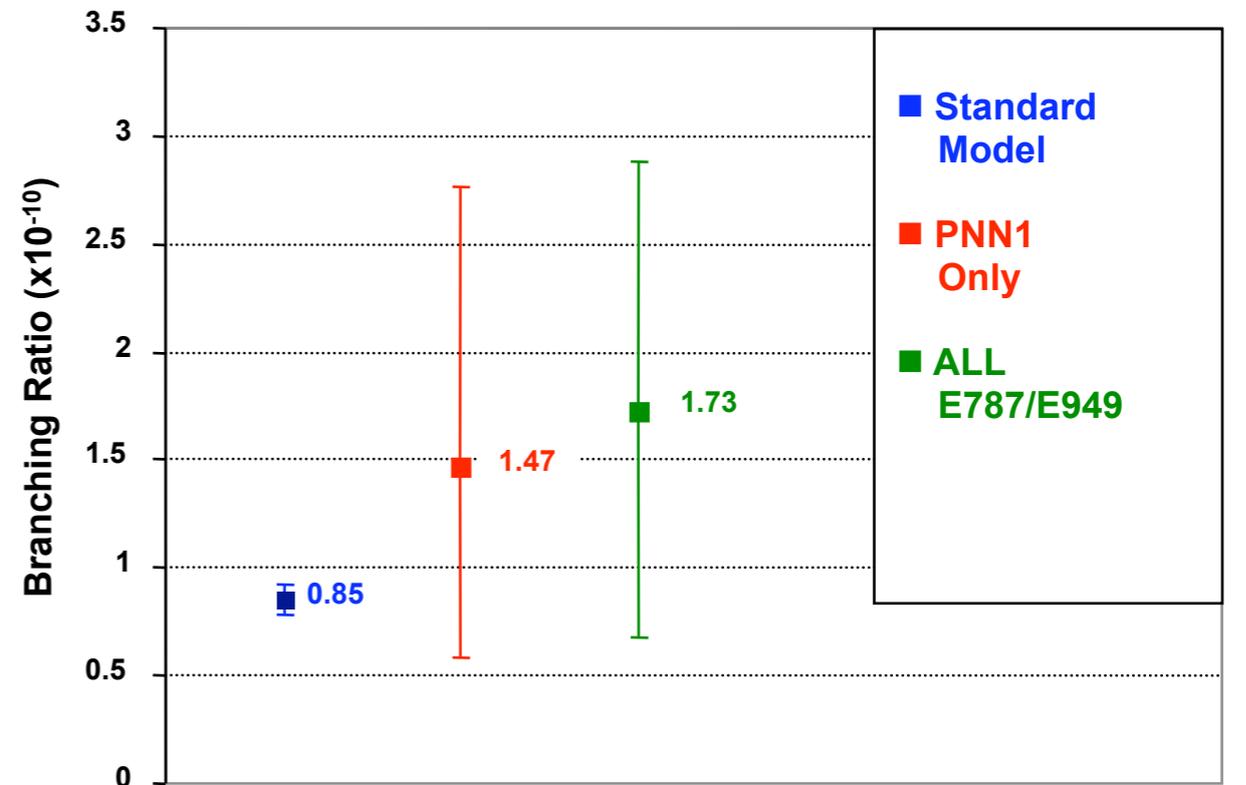
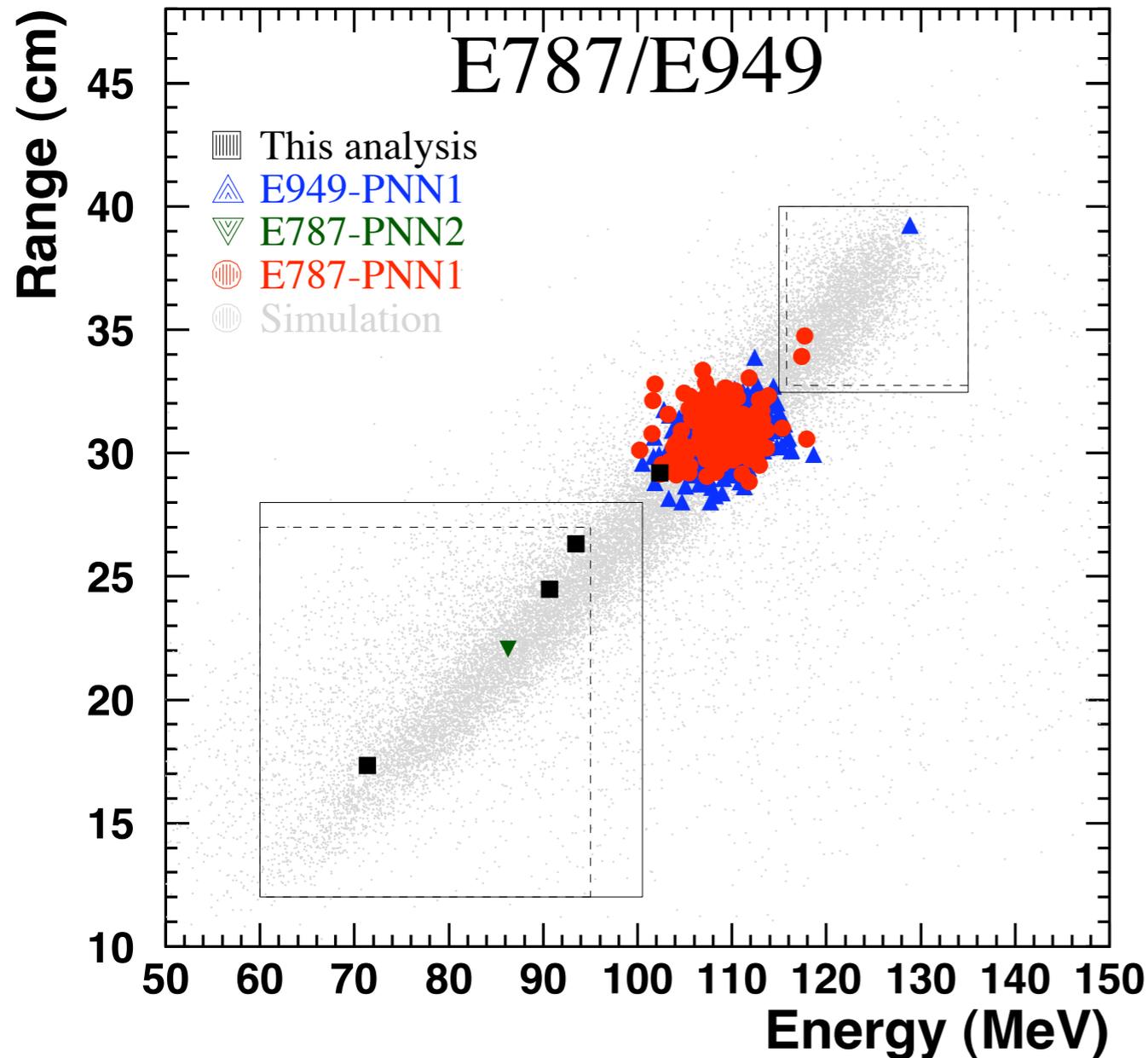
$$3.35 \times 10^{-10}$$



Upper limit on B.R. ( $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ ) by Grossman-Nir(1997):

$$1.4 \times 10^{-9} \quad \text{unchanged}$$

FIG. 1 in arXiv:0808.2459



consistent with the SM prediction

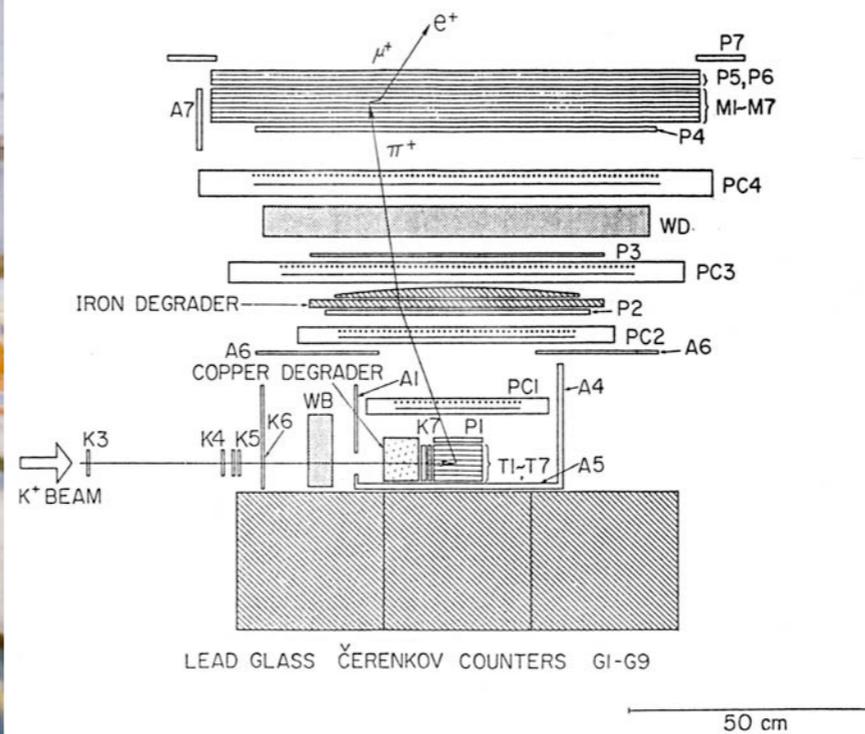
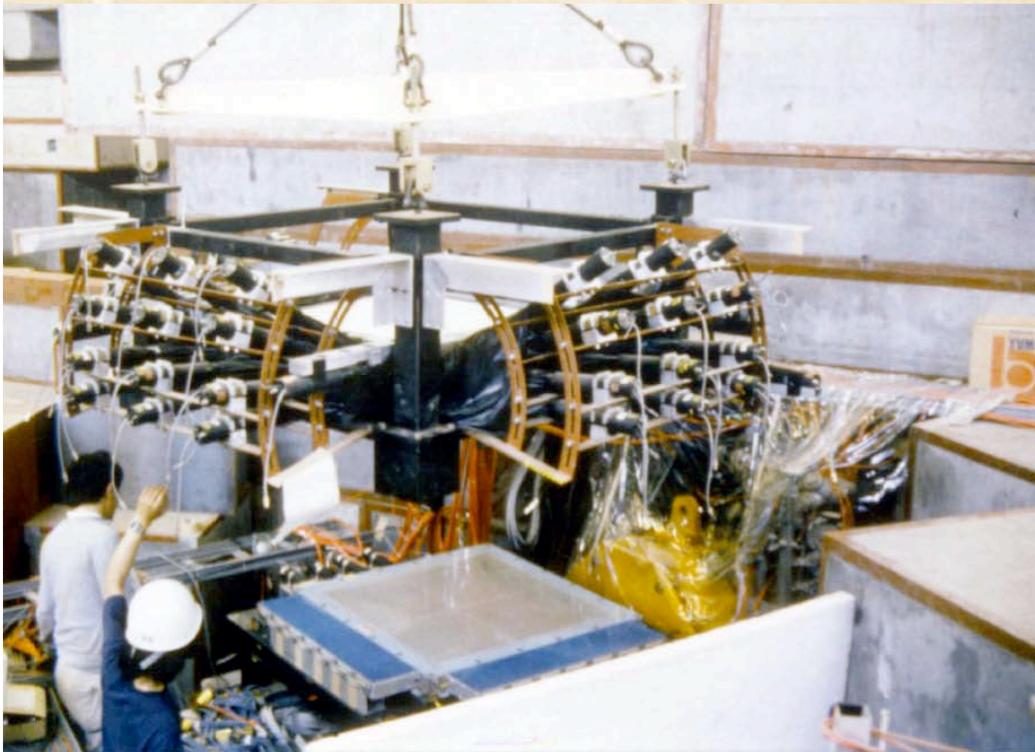
$$B.R.(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

$$B.R._{SM} = (0.85 \pm 0.07) \times 10^{-10}$$

# conclusions and future

## An Example of Decay Experiments

E10 Y.Nagashima:  $K^+ \rightarrow \pi^+ \bar{\nu} \nu$   
 $K^+ \rightarrow \pi^+ + axion$



A Table-top experiment  
: An extinct species of HEP apparatus

PS REVIEW 080122

17

$$< 1.4 \times 10^{-7}$$

KEK-E10, PL B107, 159-162 (1981)

# conclusions and future

- After the efforts of many people for 25 years and with the sophisticated (and state-of-the-art) hardware and software, E949/E787 at BNL-AGS succeeded in measuring the rare decay.



$$B.R.(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = ( 1.73_{-1.05}^{+1.15} ) \times 10^{-10}$$

consistent with SM

- Proton operation at AGS halted.
- Analyses of E949/E787 for other K+ decay modes still continue.
- The show must go on;  
the task of precise measurement (and beyond\_the\_SM study)  
is passed to:
  - K+ decay in flight @ CERN NA62 (in preparation)
  - K+ decay at rest @ J-PARC (LoI)

# acknowledgments

- Japanese group's participation in BNL-E949/E787 was supported in part by MEXT-Japan:



- Japan-U.S. Cooperative Research Program in HEP (1992-2005)
- Grant-in-Aids for Scientific Research,  
for Encouragement of Young Scientists and for JSPS Fellows
- support by the management of KEK and INS-Tokyo
- I would like to be grateful to  
Prof. Shojiro Sugimoto and Prof. Takao Shinkawa,  
who launched the Japan-US project in the early 1990's.

